



## SPECIFICATIONS

# CITY HALL ELECTRICAL RENOVATIONS

Portsmouth, New Hampshire

Prepared for:

**City of Portsmouth**  
**Department of Public Works**

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Prepared by:



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PORTSMOUTH, NEW HAMPSHIRE

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**SECTION 00111**  
**ADVERTISEMENT FOR BIDS**  
(EJCDC C-111 Modified)

City Hall Electrical Renovations

City of Portsmouth, Public Works Department  
Portsmouth, NH

**The City of Portsmouth is seeking bids** for the Renovation of City Hall electrical service, systems, generator and miscellaneous related work. Bid proposals plainly marked, **“City Hall Electrical Renovations” on the outside of the mailing envelope as well as the sealed bid envelope**, addressed to the Finance/Purchasing Department, City Hall, 1 Junkins Avenue, Portsmouth, New Hampshire, 03801, will be accepted until August 25, 2020; 2:00 p.m. at which time all bids will be publicly opened and read aloud.

The project consists of demolition of architectural, mechanical, plumbing and electrical items, renovation of the electrical service, grading, excavation, landscaping and retaining wall work, electrical distribution equipment, and exterior generator and associated equipment, doors, walls, finishes, minor mechanical, plumbing and sprinkler work. The engineer’s estimate for the work is between \$1.8 million and 2.2 million. The anticipated project schedule is to start construction in summer 2020 and complete construction in early spring 2021. Bids shall be a stipulated sum as indicated in the Bid Form.

Electronic Bidding Documents may be obtained at the City’s website <http://cityofportsmouth.com/finance/purchasing.htm>. Hard copies of the Bidding Documents will not be available. Addenda to this bid document, if any, including written answers to questions, will be posted on the City of Portsmouth website under the project heading.

A non-mandatory pre-bid conference will be held at 2:00 pm local time on August 4, 2020 at the City of Portsmouth, City Hall, 1 Junkins Avenue, Portsmouth, NH 03801; a site visit to the renovation areas will follow the pre-bid conference. Therefore, all interested bidders are highly encouraged to attend in order to prepare acceptable bid submissions.

Bids must be accompanied by Bid security made payable to Owner in an amount of 5% percent of Bidder’s maximum Bid price (determined by adding the base bid and all alternates) and in the form of a certified check, bank money order, or a Bid bond (on the form included in the Bidding Documents) issued by a surety meeting the requirements of Paragraphs 6.01 and 6.02 of the General Conditions.

The City of Portsmouth reserves the right to reject any or all bids, to waive technical or legal deficiencies, to re-bid, and to accept any bid that it may deem to be in the best interest of the City.

END OF SECTION



**SECTION 00200**  
**INSTRUCTIONS TO BIDDERS**  
(EJCDC C-200, Modified)

**ARTICLE 1 – DEFINED TERMS**

1.01 Terms used in these Instructions to Bidders have the meanings indicated in the General Conditions and Supplementary Conditions. Additional terms used in these Instructions to Bidders have the meanings indicated below which are applicable to both the singular and plural thereof:

A. *Issuing Office* – The office from which the Bidding Documents are to be issued.

**ARTICLE 2 – COPIES OF BIDDING DOCUMENTS**

2.01 Complete sets of the Bidding Documents may be obtained from the City's website <http://cityofportsmouth.com/finance/purchasing.htm> as stated in the advertisement for bids.

2.02 Complete sets of Bidding Documents shall be used in preparing Bids; neither Owner nor Engineer assumes any responsibility for errors or misinterpretations resulting from the use of incomplete sets of Bidding Documents. Failure of Bidder to obtain authorized bidding documents from the City's website <http://cityofportsmouth.com/finance/purchasing.htm> may be cause for rejection of a bid.

2.03 Owner and Engineer, in making copies of Bidding Documents available on the above terms, do so only for the purpose of obtaining Bids for the Work and do not authorize or confer a license for any other use.

**ARTICLE 3 – QUALIFICATIONS OF BIDDERS**

3.01 To demonstrate Bidder's qualifications to perform the Work, after submitting its Bid and within five days of Owner's request, Bidder shall submit (a) written evidence establishing its qualifications such as financial data, previous experience, and present commitments, and (b) the following additional information:

- A. Two Bank References
- B. Present Project Commitments, with schedules for completion
- C. List of Proposed Subcontractors and the trades they will provide

3.02 A Bidder's failure to submit required qualification information within the times indicated may disqualify Bidder from receiving an award of the Contract.

3.03 No requirement in this Article 3 to submit information will prejudice the right of Owner to seek additional pertinent information regarding Bidder's qualifications.

3.04 Bidder is advised to carefully review those portions of the Bid Form requiring Bidder's representations and certifications.

**ARTICLE 4 – SITE AND OTHER AREAS; EXISTING SITE CONDITIONS; EXAMINATION OF SITE; OWNER’S SAFETY PROGRAM; OTHER WORK AT THE SITE**

4.01 *Site and Other Areas*

- A. The Site is identified in the Bidding Documents. By definition, the Site includes rights-of-way, easements, and other lands furnished by Owner for the use of the Contractor. Any additional lands required for temporary construction facilities, construction equipment, or storage of materials and equipment, and any access needed for such additional lands, are to be obtained and paid for by Contractor.

4.02 *Existing Site Conditions*

- A. Subsurface and Physical Conditions; Hazardous Environmental Conditions
  - 1. The Supplementary Conditions identify:
    - a. Those reports known to Owner of explorations and tests of subsurface conditions at or adjacent to the Site.
    - b. Those drawings known to Owner of physical conditions relating to existing surface or subsurface structures at the Site (except Underground Facilities).
    - c. Reports and drawings known to Owner relating to Hazardous Environmental Conditions that have been identified at or adjacent to the Site.
    - d. Technical Data contained in such reports and drawings.
  - 2. Owner will make copies of reports and drawings referenced above available to any Bidder on request. These reports and drawings are not part of the Contract Documents, but the Technical Data contained therein upon whose accuracy Bidder is entitled to rely, as provided in the General Conditions, has been identified and established in the Supplementary Conditions. Bidder is responsible for any interpretation or conclusion Bidder draws from any Technical Data or any other data, interpretations, opinions, or information contained in such reports or shown or indicated in such drawings.
  - 3. If the Supplementary Conditions do not identify Technical Data, the default definition of Technical Data set forth in Article 1 of the General Conditions will apply.
- B. Underground Facilities: Information and data shown or indicated in the Bidding Documents with respect to existing Underground Facilities at or contiguous to the Site are set forth in the Contract Documents and are based upon information and data furnished to Owner and Engineer by owners of such Underground Facilities, including Owner, or others.
- C. Adequacy of Data: Provisions concerning responsibilities for the adequacy of data furnished to prospective Bidders with respect to subsurface conditions, other physical conditions, and Underground Facilities, and possible changes in the Bidding Documents due to differing or unanticipated subsurface or physical conditions appear in Paragraphs 5.03, 5.04, and 5.05 of the General Conditions. Provisions concerning responsibilities for the adequacy of data furnished to prospective Bidders with respect to a Hazardous Environmental Condition at the Site, if any, and possible changes in the Contract Documents due to any Hazardous

Environmental Condition uncovered or revealed at the Site which was not shown or indicated in the Drawings or Specifications or identified in the Contract Documents to be within the scope of the Work, appear in Paragraph 5.06 of the General Conditions.

4.03 *Site Visit and Testing by Bidders*

- A. Bidder shall conduct the required Site visit during normal working hours, and shall not disturb any ongoing operations at the Site.
- B. On request, and to the extent Owner has control over the Site, and schedule permitting, the Owner will provide Bidder access to the Site to conduct such additional examinations, investigations, explorations, tests, and studies as Bidder deems necessary for preparing and submitting a successful Bid. Owner will not have any obligation to grant such access if doing so is not practical because of existing operations, security or safety concerns, or restraints on Owner's authority regarding the Site.
- C. Bidder shall comply with all applicable Laws and Regulations regarding excavation and location of utilities, obtain all permits, and comply with all terms and conditions established by Owner or by property owners or other entities controlling the Site with respect to schedule, access, existing operations, security, liability insurance, and applicable safety programs.
- D. Bidder shall fill all holes and clean up and restore the Site to its former condition upon completion of such explorations, investigations, tests, and studies.

4.04 *Owner's Safety Program*

- A. Site visits and work at the Site may be governed by an Owner safety program. As the General Conditions indicate, if an Owner safety program exists, it will be noted in the Supplementary Conditions.

4.05 *Other Work at the Site*

- A. Reference is made to Article 8 of the Standard General Conditions of the Construction Contract for the identification of the general nature of other work of which Owner is aware (if any) that is to be performed at the Site by Owner or others (such as utilities and other prime contractors) and relates to the Work contemplated by these Bidding Documents. If Owner is party to a written contract for such other work, then on request, Owner will provide to each Bidder access to examine such contracts (other than portions thereof related to price and other confidential matters), if any.

**ARTICLE 5 – BIDDER'S REPRESENTATIONS**

5.01 It is the responsibility of each Bidder before submitting a Bid to:

- A. examine and carefully study the Bidding Documents, and any data and reference items identified in the Bidding Documents;

- B. visit the Site, conduct a thorough, alert visual examination of the Site and adjacent areas, and become familiar with and satisfy itself as to the general, local, and Site conditions that may affect cost, progress, and performance of the Work;
- C. become familiar with and satisfy itself as to all Laws and Regulations that may affect cost, progress, and performance of the Work;
- D. carefully study all: (1) all drawings of physical conditions relating to existing surface or subsurface structures, if any, at the Site that have been identified in the Supplementary Conditions, especially with respect to Technical Data in such reports and drawings, and (2) reports and drawings relating to Hazardous Environmental Conditions, if any, at or adjacent to the Site that have been identified in the Supplementary Conditions, especially with respect to Technical Data in such reports and drawings;
- E. consider the information known to Bidder itself; information commonly known to contractors doing business in the locality of the Site; information and observations obtained from visits to the Site; the Bidding Documents; and the Site-related reports and drawings identified in the Bidding Documents, if any, with respect to the effect of such information, observations, and documents on (1) the cost, progress, and performance of the Work; (2) the means, methods, techniques, sequences, and procedures of construction to be employed by Bidder; and (3) Bidder's safety precautions and programs;
- F. agree, based on the information and observations referred to in the preceding paragraph, that at the time of submitting its Bid no further examinations, investigations, explorations, tests, studies, or data are necessary for the determination of its Bid for performance of the Work at the price bid and within the times required, and in accordance with the other terms and conditions of the Bidding Documents;
- G. become aware of the general nature of the work to be performed by Owner and others at the Site that relates to the Work as indicated in the Bidding Documents;
- H. correlate the information known to Bidder, information and observations obtained from visits to the Site, reports and drawings identified in the Bidding Documents, and all additional examinations, investigations, explorations, tests, studies, and data with the Bidding Documents;
- I. promptly give Engineer written notice of all conflicts, errors, ambiguities, or discrepancies that Bidder discovers in the Bidding Documents and confirm that the written resolution thereof by Engineer is acceptable to Bidder;
- J. determine that the Bidding Documents are generally sufficient to indicate and convey understanding of all terms and conditions for the performance and furnishing of the Work; and
- K. agree that the submission of a Bid will constitute an incontrovertible representation by Bidder that Bidder has complied with every requirement of this Article, that without

exception the Bid and all prices in the Bid are premised upon performing and furnishing the Work required by the Bidding Documents.

#### **ARTICLE 6 – PRE-BID CONFERENCE**

- 6.01 A non-mandatory Pre-Bid conference will be held at the time and location stated in the advertisement for bids. Representatives of Owner and Engineer will be present to discuss the Project. Bidders are highly encouraged to attend and participate in the conference. Engineer will transmit to all prospective Bidders of record such Addenda as Engineer considers necessary in response to questions arising at the conference. Oral statements may not be relied upon and will not be binding or legally effective.
- 6.02 A site visit to the renovation areas will be held following the Pre-Bid conference.

#### **ARTICLE 7 – INTERPRETATIONS AND ADDENDA**

- 7.01 All questions about the meaning or intent of the Bidding Documents are to be submitted to Engineer in writing to [kweston@oakpoint.com](mailto:kweston@oakpoint.com). Interpretations or clarifications considered necessary by Engineer in response to such questions will be issued by Addenda. Addenda to the Bidding Documents, if any, including written answers to questions, will be posted on the City of Portsmouth website <http://cityofportsmouth.com/finance/purchasing.htm> under the project heading. Questions received less than seven days prior to the date for opening of Bids may not be answered. Only questions answered by Addenda will be binding. Oral and other interpretations or clarifications will be without legal effect.

#### **ARTICLE 8 – BID SECURITY**

- 8.01 A Bid must be accompanied by Bid security made payable to Owner in an amount of 5% percent of Bidder's maximum Bid price (determined by adding the base bid and all alternates) and in the form of a certified check, bank money order, or a Bid bond (on the form included in the Bidding Documents) issued by a surety meeting the requirements of Paragraphs 6.01 and 6.02 of the General Conditions.
- 8.02 The Bid security of the apparent Successful Bidder will be retained until Owner awards the contract to such Bidder, and such Bidder has executed the Contract Documents, furnished the required contract security, and met the other conditions of the Notice of Award, whereupon the Bid security will be released. If the Successful Bidder fails to execute and deliver the Contract Documents and furnish the required contract security within 15 days after the Notice of Award, Owner may consider Bidder to be in default, annul the Notice of Award, and the Bid security of that Bidder will be forfeited. Such forfeiture shall be Owner's exclusive remedy if Bidder defaults.
- 8.03 The Bid security of other Bidders that Owner believes to have a reasonable chance of receiving the award may be retained by Owner until the earlier of seven days after the Effective Date of the Contract or 61 days after the Bid opening, whereupon Bid security furnished by such Bidders will be released upon Bidders request.

- 8.04 Bid security of other Bidders that Owner believes do not have a reasonable chance of receiving the award will be released within seven days after the Bid opening upon Bidders request.

**ARTICLE 9 – CONTRACT TIMES**

- 9.01 The number of days within which, or the dates by which, the Work is to be substantially completed and ready for final payment are set forth in the Agreement.

**ARTICLE 10 – LIQUIDATED DAMAGES**

- 10.01 Provisions for liquidated damages, if any, for failure to timely attain Substantial Completion, or Final Completion of the Work in readiness for final payment, are set forth in the Agreement.

**ARTICLE 11 – SUBSTITUTE AND “OR-EQUAL” ITEMS**

- 11.01 The Contract for the Work, as awarded, will be on the basis of materials and equipment specified or described in the Bidding Documents without consideration during the bidding and Contract award process of possible substitute or “or-equal” items. In cases in which the Contract allows the Contractor to request that Engineer authorize the use of a substitute or “or-equal” item of material or equipment, application for such acceptance may not be made to and will not be considered by Engineer until after the Effective Date of the Contract.
- 11.02 All prices that Bidder sets forth in its Bid shall be based on the presumption that the Contractor will furnish the materials and equipment specified or described in the Bidding Documents, as supplemented by Addenda. Any assumptions regarding the possibility of post-Bid approvals of “or-equal” or substitution requests are made at Bidder’s sole risk.

**ARTICLE 12 – SUBCONTRACTORS, SUPPLIERS, AND OTHERS**

- 12.01 A Bidder shall be prepared to retain specific Subcontractors, Suppliers, or other individuals or entities for the performance of the Work if required by the Bidding Documents (most commonly in the Specifications) to do so. If a prospective Bidder objects to retaining any such Subcontractor, Supplier, or other individual or entity, and the concern is not relieved by an Addendum, then the prospective Bidder should refrain from submitting a Bid.
- 12.02 Subsequent to the submittal of the Bid, Owner may not require the Successful Bidder or Contractor to retain any Subcontractor, Supplier, or other individual or entity against which Contractor has reasonable objection.
- 12.03 The apparent Successful Bidder, and any other Bidder so requested, shall within five days after Bid opening, submit to Owner a list of the Subcontractors or Suppliers proposed for portions of the Work for which such identification is required.

If requested by Owner, such list shall be accompanied by an experience statement with pertinent information regarding similar projects and other evidence of qualification for each such Subcontractor, Supplier, or other individual or entity. If Owner or Engineer, after due investigation, has reasonable objection to any proposed Subcontractor, Supplier, individual, or entity, Owner may, before the Notice of Award is given, request apparent Successful Bidder to

submit an acceptable substitute, in which case apparent Successful Bidder shall submit a substitute, Bidder's Bid price will be increased (or decreased) by the difference in cost occasioned by such substitution, and Owner may consider such price adjustment in evaluating Bids and making the Contract award.

- 12.04 If apparent Successful Bidder declines to make any such substitution, Owner may award the Contract to the next lowest Bidder that proposes to use acceptable Subcontractors, Suppliers, or other individuals or entities. Declining to make requested substitutions will constitute grounds for forfeiture of the Bid security of any Bidder. Any Subcontractor, Supplier, individual, or entity so listed and against which Owner or Engineer makes no written objection prior to the giving of the Notice of Award will be deemed acceptable to Owner and Engineer subject to subsequent revocation of such acceptance as provided in Paragraph 7.06 of the General Conditions.

### **ARTICLE 13 – PREPARATION OF BID**

- 13.01 The Bid Form is included with the Bidding Documents. Failure of Bidder to use the forms authorized from the Engineer will be cause for rejection of a bid. The bidder shall fill out the provided PDF form and print it for submission.
- A. All blanks on the Bid Form shall be completed and the Bid Form signed. Erasures or alterations shall be initialed by the person signing the Bid Form. A Bid price shall be indicated for each section, Bid item, alternate, adjustment unit price item, and unit price item listed therein.
- B. If the Bid Form expressly indicates that submitting pricing on a specific alternate item is optional, and Bidder elects to not furnish pricing for such optional alternate item, then Bidder may enter the words "No Bid" or "Not Applicable."
- 13.02 A Bid by a corporation shall be executed in the corporate name by a corporate officer (whose title must appear under the signature), accompanied by evidence of authority to sign. The corporate address and state of incorporation shall be shown.
- 13.03 A Bid by a limited liability company shall be executed in the name of the firm by a member or other authorized person and accompanied by evidence of authority to sign. The state of formation of the firm and the official address of the firm shall be shown.
- 13.04 A Bid by an individual shall show the Bidder's name and official address.
- 13.05 A Bid by a joint venture shall be executed by an authorized representative of each joint venturer in the manner indicated on the Bid Form. The official address of the joint venture shall be shown.
- 13.06 All names shall be printed in ink below the signatures.
- 13.07 The Bid shall contain an acknowledgment of receipt of all Addenda, the numbers of which shall be filled in on the Bid Form.
- 13.08 Postal and e-mail addresses and telephone number for communications regarding the Bid shall be shown.

- 13.09 The Bid shall contain evidence of Bidder's authority and qualification to do business in the state where the Project is located, or Bidder shall covenant in writing to obtain such authority and qualification prior to award of the Contract and attach such covenant to the Bid. Bidder's state contractor license number, if any, shall also be shown on the Bid Form.

**ARTICLE 14 – BASIS OF BID**

14.01 Stipulated Sum (Single-Prime Contract)

- A. Bidders shall submit a Bid on a stipulated sum basis for each item of Work listed on the Bid Form.

**ARTICLE 15 – SUBMITTAL OF BID**

- 15.01 The authorized Bid Form included in the Bidding Documents is to be completed and submitted with the Bid security and the other documents required to be submitted under the terms of Article 7 of the Bid Form.

- 15.02 A Bid shall be received no later than the date and time prescribed and at the place indicated in the advertisement or invitation to bid and shall be enclosed in a plainly marked package with the Project title (and, if applicable, the designated portion of the Project for which the Bid is submitted), the name and address of Bidder, and shall be accompanied by the Bid security and other required documents. If a Bid is sent by mail or other delivery system, the sealed envelope containing the Bid shall be enclosed in a separate package plainly marked on the outside with the notation "BID ENCLOSED." A mailed Bid shall be addressed to the party as indicated in the Advertisement for Bids. Bids received by electronic means will not be accepted.

- 15.03 Bids received after the date and time prescribed for the opening of bids, or not submitted at the correct location or in the designated manner, will not be accepted and will be returned to the Bidder unopened.

**ARTICLE 16 – MODIFICATION AND WITHDRAWAL OF BID**

- 16.01 A Bid may be withdrawn by an appropriate document duly executed in the same manner that a Bid must be executed and delivered to the place where Bids are to be submitted prior to the date and time for the opening of Bids. Upon receipt of such notice, the unopened Bid will be returned to the Bidder.

- 16.02 If a Bidder wishes to modify its Bid prior to Bid opening, Bidder must withdraw its initial Bid in the manner specified in Paragraph 16.01 and submit a new Bid prior to the date and time for the opening of Bids.

- 16.03 If within 24 hours after Bids are opened any Bidder files a duly signed written notice with Owner and promptly thereafter demonstrates to the reasonable satisfaction of Owner that there was a material and substantial mistake in the preparation of its Bid, that Bidder may withdraw its Bid, and the Bid security will be returned. Thereafter, if the Work is rebid, that Bidder will be disqualified from further bidding on the Work.

**ARTICLE 17 – OPENING OF BIDS**

17.01 Bids will be opened at the time and place indicated in the advertisement or invitation to bid and, unless obviously non-responsive, read aloud publicly. An abstract of the amounts of the base Bids and major alternates, if any, will be made available to Bidders after the opening of Bids.

**ARTICLE 18 – BIDS TO REMAIN SUBJECT TO ACCEPTANCE**

18.01 All Bids will remain subject to acceptance for the period of time stated in the Bid Form, but Owner may, in its sole discretion, release any Bid and return the Bid security prior to the end of this period.

**ARTICLE 19 – EVALUATION OF BIDS AND AWARD OF CONTRACT**

19.01 Owner reserves the right to reject any or all Bids, including without limitation, nonconforming, nonresponsive, unbalanced, or conditional Bids. Owner will reject the Bid of any Bidder that Owner finds, after reasonable inquiry and evaluation, to not be responsible. If Bidder purports to add terms or conditions to its Bid, takes exception to any provision of the Bidding Documents, or attempts to alter the contents of the Contract Documents for purposes of the Bid, then the Owner will reject the Bid as nonresponsive; provided that Owner also reserves the right to waive all minor informalities not involving price, time, or changes in the Work.

19.02 More than one Bid for the same Work from an individual or entity under the same or different names will not be considered. Reasonable grounds for believing that any Bidder has an interest in more than one Bid for the Work may be cause for disqualification of that Bidder and the rejection of all Bids in which that Bidder has an interest.

19.03 If Owner awards the contract for the Work, such award shall be to the responsible Bidder submitting the lowest responsive Bid.

19.04 Evaluation of Bids

A. In evaluating Bids, Owner will consider whether or not the Bids comply with the prescribed requirements, and such alternates, unit prices, and other data, as may be requested in the Bid Form or prior to the Notice of Award.

B. In the comparison of Bids, alternates will not be considered.

19.05 In evaluating whether a Bidder is responsible, Owner will consider the qualifications of the Bidder and may consider the qualifications and experience of Subcontractors and Suppliers proposed for those portions of the Work for which the identity of Subcontractors and Suppliers must be submitted as provided in the Bidding Documents.

19.06 Owner may conduct such investigations as Owner deems necessary to establish the responsibility, qualifications, and financial ability of Bidders and any proposed Subcontractors or Suppliers.

**ARTICLE 20 – BONDS AND INSURANCE**

20.01 Article 6 of the General Conditions, as may be modified by the Supplementary Conditions, sets forth Owner's requirements as to performance and payment bonds and insurance. When the Successful Bidder delivers the Agreement (executed by Successful Bidder) to Owner, it shall be accompanied by required bonds and insurance documentation.

**ARTICLE 21 – SIGNING OF AGREEMENT**

21.01 When Owner issues a Notice of Award to the Successful Bidder, it shall be accompanied by the unexecuted counterparts of the Agreement along with the other Contract Documents as identified in the Agreement. Within 10 days thereafter, Successful Bidder shall execute and deliver the required number of counterparts of the Agreement (and any bonds and insurance documentation required to be delivered by the Contract Documents) to Owner. Within 3 days thereafter, Owner shall deliver one fully executed counterpart of the Agreement to Successful Bidder, together with printed and electronic copies of the Contract Documents as stated in Paragraph 2.02 of the General Conditions.

**ARTICLE 22 – SALES AND USE TAXES**

22.01 NOT USED

**ARTICLE 23 – CONTRACTS TO BE ASSIGNED**

23.01 NOT USED

END OF SECTION

DOCUMENT 003126 - EXISTING HAZARDOUS MATERIAL INFORMATION

1.1 EXISTING HAZARDOUS MATERIAL INFORMATION

- A. This Document with its referenced attachments is part of the Procurement and Contracting Requirements for Project. They provide Owner's information for Bidders' convenience and are intended to supplement rather than serve in lieu of Bidders' own investigations. They are made available for Bidders' convenience and information, but are not a warranty of existing conditions. This Document and its attachments are not part of the Contract Documents.
- B. An existing asbestos, lead paint and polychlorinated biphenyl (PCB) report for the building, prepared by RFP Environmental, Testing and Consulting Services, in 2020.
- C. Related Requirements:
  - 1. Document 002113 "Instructions to Bidders" for the Bidder's responsibilities for examination of Project site and existing conditions.
  - 2. Section 024119 "Selective Demolition" for notification requirements if materials suspected of containing hazardous materials are encountered.

END OF SECTION



**SECTION 00410**

**BID FORM**  
(EJCDC Form C-410, Modified)

**PROJECT IDENTIFICATION:** City Hall Electrical Renovations

**CONTRACT IDENTIFICATION AND NUMBER:** City Hall Electrical Renovations  
Oak Point Associates Project No. 21904.15  
Client Project No. N/A

**ARTICLE 1 – BID RECIPIENT**

1.01 This Bid is submitted to: City of Portsmouth, Public Works Department  
680 Peverly Hill Road  
Portsmouth, NH 03801

1.02 Bid Delivery & Opening Location: City of Portsmouth – Finance/Purchasing Department  
City Hall, 1 Junkins Avenue  
Portsmouth, NH 03801

1.03 The undersigned Bidder proposes and agrees, if this Bid is accepted, to enter into an Agreement with Owner in the form included in the Bidding Documents to perform all Work as specified or indicated in the Bidding Documents for the prices and within the times indicated in this Bid and in accordance with the other terms and conditions of the Bidding Documents.

**ARTICLE 2 – BIDDER’S ACKNOWLEDGEMENTS**

2.01 Bidder accepts all of the terms and conditions of the Instructions to Bidders, including without limitation those dealing with the disposition of Bid security. This Bid will remain subject to acceptance for 60 days after the Bid opening, or for such longer period of time that Bidder may agree to in writing upon request of Owner.

**ARTICLE 3 – BIDDER’S REPRESENTATIONS**

3.01 In submitting this Bid, Bidder represents that:  
  
A. Bidder has examined and carefully studied the Bidding Documents, and any data and reference items identified in the Bidding Documents, and hereby acknowledges receipt of the following Addenda:

<u>Addendum No.</u>	<u>Addendum Date</u>
_____	_____
_____	_____
_____	_____

- B. Bidder has visited the Site, conducted a thorough, alert visual examination of the Site and adjacent areas, and become familiar with and satisfied itself as to the general, local, and Site conditions that may affect cost, progress, and performance of the Work.
- C. Bidder is familiar with and has satisfied itself as to all Laws and Regulations that may affect cost, progress, and performance of the Work.
- D. Bidder has carefully studied all: (1) reports of explorations and tests of subsurface conditions, if any, at or adjacent to the Site and all drawings of physical conditions relating to existing surface or subsurface structures, if any, at the Site that have been identified in the Supplementary Conditions, especially with respect to Technical Data in such reports and drawings, and (2) reports and drawings relating to Hazardous Environmental Conditions, if any, at or adjacent to the Site that have been identified in the Supplementary Conditions, especially with respect to Technical Data in such reports and drawings.
- E. Bidder has considered the information known to Bidder itself; information commonly known to contractors doing business in the locality of the Site; information and observations obtained from visits to the Site; the Bidding Documents; and any Site-related reports and drawings identified in the Bidding Documents, with respect to the effect of such information, observations, and documents on (1) the cost, progress, and performance of the Work; (2) the means, methods, techniques, sequences, and procedures of construction to be employed by Bidder; and (3) Bidder's safety precautions and programs.
- F. Bidder agrees, based on the information and observations referred to in the preceding paragraph, that no further examinations, investigations, explorations, tests, studies, or data are necessary for the determination of this Bid for performance of the Work at the price bid and within the times required, and in accordance with the other terms and conditions of the Bidding Documents.
- G. Bidder is aware of the general nature of work to be performed by Owner and others at the Site that relates to the Work as indicated in the Bidding Documents.
- H. Bidder has correlated the information known to Bidder, information and observations obtained from visits to the Site, reports and drawings identified in the Bidding Documents, and all additional examinations, investigations, explorations, tests, studies, and data with the Bidding Documents.
- I. Bidder has given Engineer written notice of all conflicts, errors, ambiguities, or discrepancies that Bidder has discovered in the Bidding Documents, and confirms that the written resolution thereof by Engineer is acceptable to Bidder.
- J. The Bidding Documents are generally sufficient to indicate and convey understanding of all terms and conditions for the performance and furnishing of the Work.
- K. The submission of this Bid constitutes an incontrovertible representation by Bidder that Bidder has complied with every requirement of this Article, and that without exception the

Bid and all prices in the Bid are premised upon performing and furnishing the Work required by the Bidding Documents.

**ARTICLE 4 – BIDDER’S CERTIFICATION**

4.01 Bidder certifies that:

- A. This Bid is genuine and not made in the interest of or on behalf of any undisclosed individual or entity and is not submitted in conformity with any collusive agreement or rules of any group, association, organization, or corporation;
- B. Bidder has not directly or indirectly induced or solicited any other Bidder to submit a false or sham Bid;
- C. Bidder has not solicited or induced any individual or entity to refrain from bidding; and
- D. Bidder has not engaged in corrupt, fraudulent, collusive, or coercive practices in competing for the Contract. For the purposes of this Paragraph 4.01.D:
  - 1. “corrupt practice” means the offering, giving, receiving, or soliciting of anything of value likely to influence the action of a public official in the bidding process;
  - 2. “fraudulent practice” means an intentional misrepresentation of facts made (a) to influence the bidding process to the detriment of Owner, (b) to establish bid prices at artificial non-competitive levels, or (c) to deprive Owner of the benefits of free and open competition;
  - 3. “collusive practice” means a scheme or arrangement between two or more Bidders, with or without the knowledge of Owner, a purpose of which is to establish bid prices at artificial, non-competitive levels; and
  - 4. “coercive practice” means harming or threatening to harm, directly or indirectly, persons or their property to influence their participation in the bidding process or affect the execution of the Contract.

**ARTICLE 5 – BASIS OF BID**

5.01 Bidder will complete the Work in accordance with the Contract Documents for the following price:

CITY HALL ELECTRICAL WORK  
PORTSMOUTH, NEW HAMPSHIRE

TOTAL CONTRACT PRICE BASIS OF AWARD:

\_\_\_\_\_ Dollars  
(words)

and \_\_\_\_\_ Cents.  
(\$ \_\_\_\_\_ )  
(numbers)

**Note:** The award of the Bid is based upon the lowest total bid price.

**ARTICLE 6 – TIME OF COMPLETION**

6.01 Bidder agrees that the Work will be substantially complete and will be completed and ready for final payment in accordance with Paragraph 15.06 of the General Conditions on or before the dates or within the number of calendar days indicated in the Agreement.

6.02 Bidder accepts the provisions of the Agreement as to liquidated damages.

**ARTICLE 7 – ATTACHMENTS TO THIS BID**

7.01 The following documents are submitted with and made a condition of this Bid:

- A. Required Bid security;
- B. Evidence of authority to do business in the state of the Project; or a written covenant to obtain such license within the time for acceptance of Bids;
- C. Contractor's License No.: \_\_\_\_\_ or Evidence of Bidder's ability to obtain a State Contractor's License and a covenant by Bidder to obtain said license within the time for acceptance of Bids;

**ARTICLE 8 – DEFINED TERMS**

8.01 The terms used in this Bid with initial capital letters have the meanings stated in the Instructions to Bidders, the General Conditions, and the Supplementary Conditions.

**ARTICLE 9 – BID SUBMITTAL**

9.01 This Bid submitted by:

If Bidder is:

An Individual

Name (typed or printed): \_\_\_\_\_

CITY HALL ELECTRICAL WORK  
PORTSMOUTH, NEW HAMPSHIRE

By: \_\_\_\_\_ (SEAL)  
*(Individual's signature)*

Doing business as: \_\_\_\_\_

A Partnership

Partnership Name: \_\_\_\_\_ (SEAL)

By: \_\_\_\_\_  
*(Signature of general partner -- attach evidence of authority to sign)*

Name (typed or printed): \_\_\_\_\_

A Corporation

Corporation Name: \_\_\_\_\_ (SEAL)

State of Incorporation: \_\_\_\_\_

Type (General Business, Professional, Service, Limited Liability): \_\_\_\_\_

By: \_\_\_\_\_  
*(Signature -- attach evidence of authority to sign)*

Name (typed or printed): \_\_\_\_\_

Title: \_\_\_\_\_

(CORPORATE SEAL)

Attest \_\_\_\_\_  
*(Signature of Corporate Secretary)*

Date of Qualification to do business in \_\_\_\_\_ is  
\_\_\_\_\_/\_\_\_\_\_/\_\_\_\_\_

*State of Territory where project is  
Located.*

A Joint Venture

Name of Joint Venturer: \_\_\_\_\_

First Joint Venturer Name: \_\_\_\_\_ (SEAL)

By: \_\_\_\_\_  
*(Signature of first joint venture partner -- attach evidence of authority to sign)*

Name (typed or printed): \_\_\_\_\_

Title: \_\_\_\_\_

Second Joint Venturer Name: \_\_\_\_\_ (SEAL)

By: \_\_\_\_\_  
*(Signature of second joint venturer partner - attach evidence of authority to sign)*

CITY HALL ELECTRICAL WORK  
PORTSMOUTH, NEW HAMPSHIRE

Name (typed or printed): \_\_\_\_\_  
Title: \_\_\_\_\_

(Each joint venturer must sign. The manner of signing for each individual, partnership, and corporation that is a party to the joint venture should be in the manner indicated above.)

Bidders Business address: \_\_\_\_\_

Phone No.: \_\_\_\_\_ FAX No.: \_\_\_\_\_

SUBMITTED on \_\_\_\_\_, 20\_\_\_\_\_.

State Contractor License No. \_\_\_\_\_. (If applicable)

END OF SECTION

CITY HALL ELECTRICAL WORK  
PORTSMOUTH, NEW HAMPSHIRE

**SECTION 00430**  
**BID BOND**  
(EJCDC Form C-430)

Any singular reference to Bidder, Surety, Owner or other party shall be considered plural where applicable.

\_\_\_\_\_  
BIDDER (*Name and Address*):

SURETY (*Name, and Address of Principal Place of Business*):

OWNER (*Name and Address*):

**BID**

Bid Due Date:

Description (*Project Name— Include Location*):

**BOND**

Bond Number:

Date:

Penal sum \_\_\_\_\_ \$ \_\_\_\_\_  
(Words) (Figures)

Surety and Bidder, intending to be legally bound hereby, subject to the terms set forth below, do each cause this Bid Bond to be duly executed by an authorized officer, agent, or representative.

**BIDDER**

**SURETY**

\_\_\_\_\_  
(Seal) \_\_\_\_\_ (Seal)

Bidder's Name and Corporate Seal

Surety's Name and Corporate Seal

By: \_\_\_\_\_ By: \_\_\_\_\_  
Signature Signature (Attach Power of Attorney)

\_\_\_\_\_  
Print Name Print Name

\_\_\_\_\_  
Title Title

Attest: \_\_\_\_\_ Attest: \_\_\_\_\_  
Signature Signature

\_\_\_\_\_  
Title Title

CITY HALL ELECTRICAL WORK  
PORTSMOUTH, NEW HAMPSHIRE

*Note: Addresses are to be used for giving any required notice.*

*Provide execution by any additional parties, such as joint venturers, if necessary.*

1. Bidder and Surety, jointly and severally, bind themselves, their heirs, executors, administrators, successors, and assigns to pay to Owner upon default of Bidder the penal sum set forth on the face of this Bond. Payment of the penal sum is the extent of Bidder's and Surety's liability. Recovery of such penal sum under the terms of this Bond shall be Owner's sole and exclusive remedy upon default of Bidder.
2. Default of Bidder shall occur upon the failure of Bidder to deliver within the time required by the Bidding Documents (or any extension thereof agreed to in writing by Owner) the executed Agreement required by the Bidding Documents and any performance and payment bonds required by the Bidding Documents.
3. This obligation shall be null and void if:
  - 3.1 Owner accepts Bidder's Bid and Bidder delivers within the time required by the Bidding Documents (or any extension thereof agreed to in writing by Owner) the executed Agreement required by the Bidding Documents and any performance and payment bonds required by the Bidding Documents, or
  - 3.2 All Bids are rejected by Owner, or
  - 3.3 Owner fails to issue a Notice of Award to Bidder within the time specified in the Bidding Documents (or any extension thereof agreed to in writing by Bidder and, if applicable, consented to by Surety when required by Paragraph 5 hereof).
4. Payment under this Bond will be due and payable upon default of Bidder and within 30 calendar days after receipt by Bidder and Surety of written notice of default from Owner, which notice will be given with reasonable promptness, identifying this Bond and the Project and including a statement of the amount due.
5. Surety waives notice of any and all defenses based on or arising out of any time extension to issue Notice of Award agreed to in writing by Owner and Bidder, provided that the total time for issuing Notice of Award including extensions shall not in the aggregate exceed 120 days from the Bid due date without Surety's written consent.
6. No suit or action shall be commenced under this Bond prior to 30 calendar days after the notice of default required in Paragraph 4 above is received by Bidder and Surety and in no case later than one year after the Bid due date.
7. Any suit or action under this Bond shall be commenced only in a court of competent jurisdiction located in the state in which the Project is located.
8. Notices required hereunder shall be in writing and sent to Bidder and Surety at their respective addresses shown on the face of this Bond. Such notices may be sent by personal delivery, commercial courier, or by United States Registered or Certified Mail, return receipt requested, postage pre-paid, and shall be deemed to be effective upon receipt by the party concerned.

CITY HALL ELECTRICAL WORK  
PORTSMOUTH, NEW HAMPSHIRE

9. Surety shall cause to be attached to this Bond a current and effective Power of Attorney evidencing the authority of the officer, agent, or representative who executed this Bond on behalf of Surety to execute, seal, and deliver such Bond and bind the Surety thereby.

10. This Bond is intended to conform to all applicable statutory requirements. Any applicable requirement of any applicable statute that has been omitted from this Bond shall be deemed to be included herein as if set forth at length. If any provision of this Bond conflicts with any applicable statute, then the provision of said statute shall govern and the remainder of this Bond that is not in conflict therewith shall continue in full force and effect.

11. The term "Bid" as used herein includes a Bid, offer, or proposal as applicable.

END OF SECTION



**SECTION 00510**  
**NOTICE OF INTENT TO AWARD**  
(EJCDC Form C-510, Modified)

**NOTICE OF AWARD**

---

Date:

TO:

IN AS MUCH as you were the low responsible bidder for work entitled:

City Hall Electrical Renovations
----------------------------------

You are hereby notified that the City intends to award the aforesaid project to you.

Immediately take the necessary steps to execute the Contract and to provide required bonds and proof of insurance within ten (10) calendar days from the date of this Notice.

The City reserves the right to revoke this Notice if you fail to take the necessary steps to execute this Contract.

City of Portsmouth  
Portsmouth, New Hampshire

Judie Belanger,  
Finance Director

Copy: Oak Point Associates.

END OF SECTION



**SECTION 00520**  
**AGREEMENT BETWEEN OWNER AND CONTRACTOR**  
**FOR CONSTRUCTION CONTRACT**  
(EJCDC FORM C-520, Modified)

THIS AGREEMENT is by and between City of Portsmouth, Public Works Department (“Owner”) and  
\_\_\_\_\_ (“Contractor”).

Owner and Contractor hereby agree as follows:

**ARTICLE 1 – WORK**

1.01 Contractor shall complete all Work as specified or indicated in the Contract Documents. The Work is generally described as follows: The project consists of demolition of architectural, mechanical, plumbing and electrical items, renovation of the electrical service, grading, excavation, landscaping and retaining wall work, electrical distribution equipment, and exterior generator and associated equipment, doors, walls, finishes, minor mechanical, plumbing and sprinkler work.

**ARTICLE 2 – THE PROJECT**

2.01 The Project, of which the Work under the Contract Documents is a part, is generally described as follows: City Hall Electrical Renovations

**ARTICLE 3 – ENGINEER**

3.01 The Project has been designed by Oak Point Associates.

3.02 The Owner has retained Oak Point Associates (“Engineer”) to act as Owner’s representative, assume all duties and responsibilities, and have the rights and authority assigned to Engineer in the Contract Documents in connection with the completion of the Work in accordance with the Contract Documents.

**ARTICLE 4 – CONTRACT TIMES**

4.01 Time of the Essence

A. All time limits for Milestones, if any, Substantial Completion, and Final Completion and readiness for final payment as stated in the Contract Documents are of the essence of the Contract.

4.02 Contract Times: Days

A. The Work will be substantially completed within 170 calendar days after the date when the Contract Times commence to run as provided in Paragraph 4.01 of the General Conditions, and finally completed and ready for final payment in accordance with Paragraph 15.06 of the General Conditions within 200 calendar days after the date when the Contract Times commence to run.

- B. It is anticipated that Notice to Proceed will be issued on or about September 15, 2020 at which time the Contractor will be allowed to take the site.

4.03 Liquidated Damages

- A. Contractor and Owner recognize that time is of the essence as stated in Paragraph 4.01 above and that Owner will suffer financial and other losses if the Work is not completed and Milestones not achieved within the times specified in Paragraph 4.02 above, plus any extensions thereof allowed in accordance with the Contract. The parties also recognize the delays, expense, and difficulties involved in proving in a legal or arbitration proceeding the actual loss suffered by Owner if the Work is not completed on time. Accordingly, instead of requiring any such proof, Owner and Contractor agree that as liquidated damages for delay (but not as a penalty):

1. Substantial Completion: Contractor shall pay Owner \$1,000.00 for each day that expires after the time (as duly adjusted pursuant to the Contract) specified in Paragraph 4.02.A above for Substantial Completion until the Work is substantially complete.
2. Final Completion of Remaining Work: After Substantial Completion, if Contractor shall neglect, refuse, or fail to complete the remaining Work within the Contract Time (as duly adjusted pursuant to the Contract) for completion and readiness for final payment, Contractor shall pay Owner \$1,000.00 for each day that expires after such time until the Work is completed and ready for final payment.
3. Liquidated damages for failing to timely attain Substantial Completion and final completion are not additive and will not be imposed concurrently.

- B. Not Used.

**ARTICLE 5 – CONTRACT PRICE**

- 5.01 Owner shall pay Contractor for completion of the Work in accordance with the Contract Documents the amounts that follow, subject to adjustment under the Contract.

TOTAL CONTRACT PRICE \_\_\_\_\_ \$ \_\_\_\_\_ (dollars)  
(use words)

**ARTICLE 6 – PAYMENT PROCEDURES**

- 6.01 Submittal and Processing of Payments

- A. Contractor shall submit Applications for Payment in accordance with Article 15 of the General Conditions. Applications for Payment will be processed by Engineer as provided in the General Conditions.

- 6.02 Progress Payments

- A. Owner shall make progress payments on account of the Contract Price on the basis of Contractor's Applications for Payment on or about the 7<sup>th</sup> day of each month during performance of the Work provided that such Applications for Payment have been submitted in a timely manner and otherwise meet the requirements of the Contract. All such payments will be measured by the Schedule of Values established as provided in the General Conditions (and in the case of Unit Price Work based on the number of units completed) or, in the event there is no Schedule of Values, as provided elsewhere in the Contract.

6.03 Retainage

- A. To ensure the proper performance of this Contract, the Owner shall retain ten percent of the monthly payments claimed by the Contractor until 50% of the original contract work is invoiced and approved by the City. Once the Contractor has invoiced more than 50% of the contract value, provided that the Contractor has satisfied the City regarding the quality and timeliness of the work and provided further that there is no specific cause for withholding additional retainage, no further amount will be withheld. Upon substantial completion of the work the amount of retainage shall be reduced to 2% of the total contract value plus any additional retainage amounts required by the City based on the City's estimate of the fair value of any remaining punch list items. Any additional retainage held for punch list items shall be held until such time as all items on the punch list are repaired or completed to the City's acceptance. The final 2% of retainage shall be held until the warranty period has expired.

**ARTICLE 7 – INTEREST**

- 7.01 All amounts not paid when due shall bear interest at the rate of 5 percent per annum.

**ARTICLE 8 – CONTRACTOR'S REPRESENTATIONS**

- 8.01 In order to induce Owner to enter into this Contract, Contractor makes the following representations:
- A. Contractor has examined and carefully studied the Contract Documents, and any data and reference items identified in the Contract Documents.
  - B. Contractor has visited the Site, conducted a thorough, alert visual examination of the Site and adjacent areas, and become familiar with and is satisfied as to the general, local, and Site conditions that may affect cost, progress, and performance of the Work.
  - C. Contractor is familiar with and is satisfied as to all Laws and Regulations that may affect cost, progress, and performance of the Work.
  - D. Contractor has carefully studied all: (1) all drawings of physical conditions relating to existing surface or subsurface structures, if any, at the Site that have been identified in the Supplementary Conditions, especially with respect to Technical Data in such reports and drawings, and (2) reports and drawings relating to Hazardous Environmental Conditions, if any, at or adjacent to the Site that have been identified in the Supplementary Conditions, especially with respect to Technical Data in such reports and drawings.

- E. Contractor has considered the information known to Contractor itself; information commonly known to contractors doing business in the locality of the Site; information and observations obtained from visits to the Site; the Contract Documents; and the Site-related reports and drawings identified in the Contract Documents, with respect to the effect of such information, observations, and documents on (1) the cost, progress, and performance of the Work; (2) the means, methods, techniques, sequences, and procedures of construction to be employed by Contractor; and (3) Contractor's safety precautions and programs.
- F. Based on the information and observations referred to in the preceding paragraph, Contractor agrees that no further examinations, investigations, explorations, tests, studies, or data are necessary for the performance of the Work at the Contract Price, within the Contract Times, and in accordance with the other terms and conditions of the Contract.
- G. Contractor is aware of the general nature of work to be performed by Owner and others at the Site that relates to the Work as indicated in the Contract Documents.
- H. Contractor has given Engineer written notice of all conflicts, errors, ambiguities, or discrepancies that Contractor has discovered in the Contract Documents, and the written resolution thereof by Engineer is acceptable to Contractor.
- I. The Contract Documents are generally sufficient to indicate and convey understanding of all terms and conditions for performance and furnishing of the Work.
- J. Contractor's entry into this Contract constitutes an incontrovertible representation by Contractor that without exception all prices in the Agreement are premised upon performing and furnishing the Work required by the Contract Documents.

#### **ARTICLE 9 – ACCOUNTING DOCUMENTS**

- 9.01 Contractor shall check all materials, equipment, and labor entering into the Work and shall keep such full and detailed accounts as may be necessary for proper financial management under this Agreement, and the accounting methods shall be satisfactory to Owner. Owner shall be afforded access to all Contractor's records, books, correspondence, instructions, drawings, receipts, vouchers, memoranda, and similar data relating to the Cost of the Work and Contractor's fee. Contractor shall preserve all such documents for a period of three years after the final payment by Owner.

#### **ARTICLE 10 – CONTRACT DOCUMENTS**

##### 10.01 Contents

- A. The Contract Documents consist of the following:
  - 1. This Agreement (pages 1 to 9, inclusive).
  - 2. Performance bond (pages 1 to 3 inclusive).

3. Payment bond (pages 1 to 3 inclusive).
  4. General Conditions (pages 1 to 60, inclusive).
  5. Supplementary Conditions (pages 1 to 19, inclusive).
  6. Specifications as listed in the table of contents of the Project Manual.
  7. Drawings (not attached but incorporated by reference) consisting of 33 sheets with each sheet bearing the following general title: "City Hall Electrical Renovations."
  8. Addenda (numbers \_\_\_ to \_\_\_, inclusive).
  9. Exhibits to this Agreement (enumerated as follows):
    - a. Contractor's Bid (pages \_\_\_ to \_\_\_, inclusive)
    - b. Documentation submitted by Contractor prior to Notice of Award (pages \_\_\_ to \_\_\_, inclusive)
    - c. (List here other documents, if any)
  10. The following which may be delivered or issued on or after the Effective Date of the Contract and are not attached hereto:
    - a. Notice to Proceed (pages \_\_\_ to \_\_\_, inclusive).
    - b. Work Change Directives.
    - c. Change Orders.
    - d. Field Orders.
- B. The documents listed in Paragraph 10.01.A are not attached to, but made part of, this Agreement (except as expressly noted otherwise above).
- C. There are no Contract Documents other than those listed above in this Article 10.
- D. The Contract Documents may only be amended, modified, or supplemented as provided in the General Conditions.

## **ARTICLE 11 – MISCELLANEOUS**

### 11.01 Terms

- A. Terms used in this Agreement will have the meanings stated in the General Conditions and the Supplementary Conditions.

11.02 Assignment of Contract

- A. Unless expressly agreed to elsewhere in the Contract, no assignment by a party hereto of any rights under or interests in the Contract will be binding on another party hereto without the written consent of the party sought to be bound; and, specifically but without limitation, money that may become due and money that is due may not be assigned without such consent (except to the extent that the effect of this restriction may be limited by law), and unless specifically stated to the contrary in any written consent to an assignment, no assignment will release or discharge the assignor from any duty or responsibility under the Contract Documents.

11.03 Successors and Assigns

- A. Owner and Contractor each binds itself, its successors, assigns, and legal representatives to the other party hereto, its successors, assigns, and legal representatives in respect to all covenants, agreements, and obligations contained in the Contract Documents.

11.04 Severability

- A. Any provision or part of the Contract Documents held to be void or unenforceable under any Law or Regulation shall be deemed stricken, and all remaining provisions shall continue to be valid and binding upon Owner and Contractor, who agree that the Contract Documents shall be reformed to replace such stricken provision or part thereof with a valid and enforceable provision that comes as close as possible to expressing the intention of the stricken provision.

11.05 Contractor's Certifications

- A. Contractor certifies that it has not engaged in corrupt, fraudulent, collusive, or coercive practices in competing for or in executing the Contract. For the purposes of this Paragraph 11.05:
  - 1. "corrupt practice" means the offering, giving, receiving, or soliciting of anything of value likely to influence the action of a public official in the bidding process or in the Contract execution;
  - 2. "fraudulent practice" means an intentional misrepresentation of facts made (a) to influence the bidding process or the execution of the Contract to the detriment of Owner, (b) to establish Bid or Contract prices at artificial non-competitive levels, or (c) to deprive Owner of the benefits of free and open competition;
  - 3. "collusive practice" means a scheme or arrangement between two or more Bidders, with or without the knowledge of Owner, a purpose of which is to establish Bid prices at artificial, non-competitive levels; and
  - 4. "coercive practice" means harming or threatening to harm, directly or indirectly, persons or their property to influence their participation in the bidding process or affect the execution of the Contract.

11.06 Other Provisions

- A. Owner stipulates that if the General Conditions that are made a part of this Contract are based on EJCDC® C-700, Standard General Conditions for the Construction Contract, published by the Engineers Joint Contract Documents Committee®, and if Owner is the party that has furnished said General Conditions, then Owner has plainly shown all modifications to the standard wording of such published document to the Contractor, through a process such as highlighting or “track changes” (redline/strikeout), or in the Supplementary Conditions.

IN WITNESS WHEREOF, Owner and Contractor have signed this Agreement.

This Agreement will be effective on \_\_\_\_\_ (which is the Effective Date of the Contract).

OWNER:

CONTRACTOR:

City of Portsmouth, Public Works Department

By: \_\_\_\_\_

By: \_\_\_\_\_

Title: \_\_\_\_\_

Title: \_\_\_\_\_

*(If Contractor is a corporation, a partnership, or a joint venture, attach evidence of authority to sign.)*

Attest: \_\_\_\_\_

Attest: \_\_\_\_\_

Title: \_\_\_\_\_

Title: \_\_\_\_\_

Address for giving notices:

Address for giving notices:

\_\_\_\_\_  
\_\_\_\_\_

\_\_\_\_\_  
\_\_\_\_\_

License No.: \_\_\_\_\_

*(where applicable)*

*(If Owner is a corporation, attach evidence of authority to sign. If Owner is a public body, attach evidence of authority to sign and resolution or other documents authorizing execution of this Agreement.)*

END OF SECTION



CITY HALL ELECTRICAL WORK  
PORTSMOUTH, NEW HAMPSHIRE

**SECTION 00550**  
**NOTICE TO PROCEED**  
(EJCDC Form C-550)

**NOTICE TO PROCEED**

---

Owner:	City of Portsmouth, Public Works Department	Owner's Contract No.:	N/A
Contractor:		Contractor's Project No.:	
Engineer:	Oak Point Associates	Engineer's Project No.:	21904.15
Project:	City Hall Electrical Renovations	Contract Name:	City Hall Electrical Renovations
		Effective Date of Contract:	

---

**TO CONTRACTOR:**

You are notified that the Contract Times under the above contract will commence to run on \_\_\_\_\_. On or before that date, you are to start performing your obligations under the Contract Documents. In accordance with Article 4 of the Agreement, the date of Substantial Completion is \_\_\_\_\_, and the date of readiness for final payment is \_\_\_\_\_.

Before starting any Work at the Site, Contractor must comply with the following:

Owner: City of Portsmouth, Public Works Department

---

Authorized Signature

By:

Title:

Date: Issued:

Copy: Oak Point Associates

END OF SECTION



CITY HALL ELECTRICAL WORK  
PORTSMOUTH, NEW HAMPSHIRE

**SECTION 00610**  
**PERFORMANCE BOND**  
(EJCDC Form C-610)

CONTRACTOR (*name and address*):

SURETY (*name and address of principal place of business*):

OWNER (*name and address*):

\_\_\_\_\_  
City of Portsmouth, Public Works Department  
\_\_\_\_\_  
680 Peverly Hill Road  
\_\_\_\_\_  
Portsmouth, NH 03801

**CONSTRUCTION CONTRACT**

Effective Date of the Agreement:  
Amount:  
Description: City Hall Electrical Renovations

**BOND**

Bond Number:  
Date (*Not Earlier Than the Effective Date of the Agreement of the Construction Contract*):  
Amount:  
Modifications to this Bond Form:     ne     Paragraph 16

Surety and Contractor, intending to be legally bound hereby, subject to the terms set forth below, do each cause this Performance Bond to be duly executed by an authorized officer, agent, or representative.

**CONTRACTOR AS PRINCIPAL**

**SURETY**

\_\_\_\_\_  
Contractor's Name and Corporate Seal

\_\_\_\_\_  
Surety's Name and Corporate Seal

**By:** \_\_\_\_\_  
Signature

**By:** \_\_\_\_\_  
Signature (*attach power of attorney*)

\_\_\_\_\_  
Print Name

\_\_\_\_\_  
Print Name

\_\_\_\_\_  
Title

\_\_\_\_\_  
Title

**Attest:** \_\_\_\_\_  
Signature

**Attest:** \_\_\_\_\_  
Signature

\_\_\_\_\_  
Title

\_\_\_\_\_  
Title

CITY HALL ELECTRICAL WORK  
PORTSMOUTH, NEW HAMPSHIRE

**Notes: (1) Provide supplemental execution by any additional parties, such as joint venturers. (2) Any singular reference to Contractor, Surety, Owner, or other party shall be considered plural where applicable.**

1. The Contractor and Surety, jointly and severally, bind themselves, their heirs, executors, administrators, successors, and assigns to the Owner for the performance of the Construction Contract, which is incorporated herein by reference.

2. If the Contractor performs the Construction Contract, the Surety and the Contractor shall have no obligation under this Bond, except when applicable to participate in a conference as provided in Paragraph 3.

3. If there is no Owner Default under the Construction Contract, the Surety's obligation under this Bond shall arise after:

3.1 The Owner first provides notice to the Contractor and the Surety that the Owner is considering declaring a Contractor Default. Such notice shall indicate whether the Owner is requesting a conference among the Owner, Contractor, and Surety to discuss the Contractor's performance. If the Owner does not request a conference, the Surety may, within five (5) business days after receipt of the Owner's notice, request such a conference. If the Surety timely requests a conference, the Owner shall attend. Unless the Owner agrees otherwise, any conference requested under this Paragraph 3.1 shall be held within ten (10) business days of the Surety's receipt of the Owner's notice. If the Owner, the Contractor, and the Surety agree, the Contractor shall be allowed a reasonable time to perform the Construction Contract, but such an agreement shall not waive the Owner's right, if any, subsequently to declare a Contractor Default;

3.2 The Owner declares a Contractor Default, terminates the Construction Contract and notifies the Surety; and

3.3 The Owner has agreed to pay the Balance of the Contract Price in accordance with the terms of the Construction Contract to the Surety or to a contractor selected to perform the Construction Contract.

4. Failure on the part of the Owner to comply with the notice requirement in Paragraph 3.1 shall not constitute a failure to comply with a condition precedent to the Surety's obligations, or release the Surety from its obligations, except to the extent the Surety demonstrates actual prejudice.

5. When the Owner has satisfied the conditions of Paragraph 3, the Surety shall promptly and at the Surety's expense take one of the following actions:

5.1 Arrange for the Contractor, with the consent of the Owner, to perform and complete the Construction

Contract;

5.2 Undertake to perform and complete the Construction Contract itself, through its agents or independent contractors;

5.3 Obtain bids or negotiated proposals from qualified contractors acceptable to the Owner for a contract for performance and completion of the Construction Contract, arrange for a contract to be prepared for execution by the Owner and a contractor selected with the Owners concurrence, to be secured with performance and payment bonds executed by a qualified surety equivalent to the bonds issued on the Construction Contract, and pay to the Owner the amount of damages as described in Paragraph 7 in excess of the Balance of the Contract Price incurred by the Owner as a result of the Contractor Default; or

5.4 Waive its right to perform and complete, arrange for completion, or obtain a new contractor, and with reasonable promptness under the circumstances:

5.4.1 After investigation, determine the amount for which it may be liable to the Owner and, as soon as practicable after the amount is determined, make payment to the Owner; or

5.4.2 Deny liability in whole or in part and notify the Owner, citing the reasons for denial.

6. If the Surety does not proceed as provided in Paragraph 5 with reasonable promptness, the Surety shall be deemed to be in default on this Bond seven days after receipt of an additional written notice from the Owner to the Surety demanding that the Surety perform its obligations under this Bond, and the Owner shall be entitled to enforce any remedy available to the Owner. If the Surety proceeds as provided in Paragraph 5.4, and the Owner refuses the payment or the Surety has denied liability, in whole or in part, without further notice the Owner shall be entitled to enforce any remedy available to the Owner.

7. If the Surety elects to act under Paragraph 5.1, 5.2, or 5.3, then the responsibilities of the Surety to the Owner shall not be greater than those of the Contractor under the Construction Contract, and the responsibilities of the Owner to the Surety shall not be greater than those of the Owner under the Construction Contract. Subject to the commitment by the Owner to pay the Balance of the Contract Price, the Surety is obligated, without duplication for:

7.1 the responsibilities of the Contractor for correction of defective work and completion of the Construction Contract;

CITY HALL ELECTRICAL WORK  
PORTSMOUTH, NEW HAMPSHIRE

7.2 additional legal, design professional, and delay costs resulting from the Contractor's Default, and resulting from the actions or failure to act of the Surety under Paragraph 5; and

7.3 liquidated damages, or if no liquidated damages are specified in the Construction Contract, actual damages caused by delayed performance or non-performance of the Contractor.

8. If the Surety elects to act under Paragraph 5.1, 5.3, or 5.4, the Surety's liability is limited to the amount of this Bond.

9. The Surety shall not be liable to the Owner or others for obligations of the Contractor that are unrelated to the Construction Contract, and the Balance of the Contract Price shall not be reduced or set off on account of any such unrelated obligations. No right of action shall accrue on this Bond to any person or entity other than the Owner or its heirs, executors, administrators, successors, and assigns.

10. The Surety hereby waives notice of any change, including changes of time, to the Construction Contract or to related subcontracts, purchase orders, and other obligations.

11. Any proceeding, legal or equitable, under this Bond may be instituted in any court of competent jurisdiction in the location in which the work or part of the work is located and shall be instituted within two years after a declaration of Contractor Default or within two years after the Contractor ceased working or within two years after the Surety refuses or fails to perform its obligations under this Bond, whichever occurs first. If the provisions of this paragraph are void or prohibited by law, the minimum periods of limitations available to sureties as a defense in the jurisdiction of the suit shall be applicable.

12. Notice to the Surety, the Owner, or the Contractor shall be mailed or delivered to the address shown on the page on which their signature appears.

13. When this Bond has been furnished to comply with a statutory or other legal requirement in the location where the construction was to be performed, any provision in this Bond conflicting with said statutory or legal requirement shall be

deemed deleted herefrom and provisions conforming to such statutory or other legal requirement shall be deemed incorporated herein. When so furnished, the intent is that this Bond shall be construed as a statutory bond and not as a common law bond.

14. Definitions

14.1 Balance of the Contract Price: The total amount payable by the Owner to the Contractor under the Construction Contract after all proper adjustments have been made including allowance for the Contractor for any amounts received or to be received by the Owner in settlement of insurance or other claims for damages to which the Contractor is entitled, reduced by all valid and proper payments made to or on behalf of the Contractor under the Construction Contract.

14.2 Construction Contract: The agreement between the Owner and Contractor identified on the cover page, including all Contract Documents and changes made to the agreement and the Contract Documents.

14.3 Contractor Default: Failure of the Contractor, which has not been remedied or waived, to perform or otherwise to comply with a material term of the Construction Contract.

14.4 Owner Default: Failure of the Owner, which has not been remedied or waived, to pay the Contractor as required under the Construction Contract or to perform and complete or comply with the other material terms of the Construction Contract.

14.5 Contract Documents: All the documents that comprise the agreement between the Owner and Contractor.

15. If this Bond is issued for an agreement between a contractor and subcontractor, the term Contractor in this Bond shall be deemed to be Subcontractor and the term Owner shall be deemed to be Contractor.

16. Modifications to this Bond are as follows:

END OF SECTION



CITY HALL ELECTRICAL WORK  
PORTSMOUTH, NEW HAMPSHIRE

**SECTION 00615**  
**PAYMENT BOND**  
(EJCDC Form C-615)

CONTRACTOR (name and address):

SURETY (name and address of principal place of business):

OWNER (name and address):

\_\_\_\_\_  
City of Portsmouth, Public Works Department  
\_\_\_\_\_  
680 Peverly Hill Road  
\_\_\_\_\_  
Portsmouth, NH 03801

**CONSTRUCTION CONTRACT**

Effective Date of the Agreement:  
Amount:  
Description: City Hall Electrical Renovations

**BOND**

Bond Number:  
Date (Not Earlier Than the Effective Date of the Agreement of the Construction Contract):  
Amount:  
Modifications to this Bond Form:  one  Paragraph 18

Surety and Contractor, intending to be legally bound hereby, subject to the terms set forth below, do each cause this Payment Bond to be duly executed by an authorized officer, agent, or representative.

**CONTRACTOR AS PRINCIPAL**

**SURETY**

\_\_\_\_\_  
Contractor's Name and Corporate Seal (seal)

\_\_\_\_\_  
Surety's Name and Corporate Seal (seal)

By: \_\_\_\_\_  
Signature

By: \_\_\_\_\_  
Signature (attach power of attorney)

\_\_\_\_\_  
Print Name

\_\_\_\_\_  
Print Name

\_\_\_\_\_  
Title

\_\_\_\_\_  
Title

Attest: \_\_\_\_\_  
Signature

Attest: \_\_\_\_\_  
Signature

\_\_\_\_\_  
Title

\_\_\_\_\_  
Title

**Notes: (1) Provide supplemental execution by any additional parties, such as joint venturers. (2) Any singular reference to Contractor, Surety, Owner, or other party shall be considered plural where applicable.**

CITY HALL ELECTRICAL WORK  
PORTSMOUTH, NEW HAMPSHIRE

1. The Contractor and Surety, jointly and severally, bind themselves, their heirs, executors, administrators, successors, and assigns to the Owner to pay for labor, materials, and equipment furnished for use in the performance of the Construction Contract, which is incorporated herein by reference, subject to the following terms.
2. If the Contractor promptly makes payment of all sums due to Claimants, and defends, indemnifies, and holds harmless the Owner from claims, demands, liens, or suits by any person or entity seeking payment for labor, materials, or equipment furnished for use in the performance of the Construction Contract, then the Surety and the Contractor shall have no obligation under this Bond.
3. If there is no Owner Default under the Construction Contract, the Surety's obligation to the Owner under this Bond shall arise after the Owner has promptly notified the Contractor and the Surety (at the address described in Paragraph 13) of claims, demands, liens, or suits against the Owner or the Owner's property by any person or entity seeking payment for labor, materials, or equipment furnished for use in the performance of the Construction Contract, and tendered defense of such claims, demands, liens, or suits to the Contractor and the Surety.
4. When the Owner has satisfied the conditions in Paragraph 3, the Surety shall promptly and at the Surety's expense defend, indemnify, and hold harmless the Owner against a duly tendered claim, demand, lien, or suit.
5. The Surety's obligations to a Claimant under this Bond shall arise after the following:
  - 5.1 Claimants who do not have a direct contract with the Contractor,
    - 5.1.1 have furnished a written notice of non-payment to the Contractor, stating with substantial accuracy the amount claimed and the name of the party to whom the materials were, or equipment was, furnished or supplied or for whom the labor was done or performed, within ninety (90) days after having last performed labor or last furnished materials or equipment included in the Claim; and
    - 5.1.2 have sent a Claim to the Surety (at the address described in Paragraph 13).
  - 5.2 Claimants who are employed by or have a direct contract with the Contractor have sent a Claim to the Surety (at the address described in Paragraph 13).
6. If a notice of non-payment required by Paragraph 5.1.1 is given by the Owner to the Contractor, that is sufficient to satisfy a Claimant's obligation to furnish a written notice of non-payment under Paragraph 5.1.1.
7. When a Claimant has satisfied the conditions of Paragraph 5.1 or 5.2, whichever is applicable, the Surety shall promptly and at the Surety's expense take the following actions:
  - 7.1 Send an answer to the Claimant, with a copy to the Owner, within sixty (60) days after receipt of the Claim, stating the amounts that are undisputed and the basis for challenging any amounts that are disputed; and
  - 7.2 Pay or arrange for payment of any undisputed amounts.
  - 7.3 The Surety's failure to discharge its obligations under Paragraph 7.1 or 7.2 shall not be deemed to constitute a waiver of defenses the Surety or Contractor may have or acquire as to a Claim, except as to undisputed amounts for which the Surety and Claimant have reached agreement. If, however, the Surety fails to discharge its obligations under Paragraph 7.1 or 7.2, the Surety shall indemnify the Claimant for the reasonable attorney's fees the Claimant incurs thereafter to recover any sums found to be due and owing to the Claimant.
8. The Surety's total obligation shall not exceed the amount of this Bond, plus the amount of reasonable attorney's fees provided under Paragraph 7.3, and the amount of this Bond shall be credited for any payments made in good faith by the Surety.
9. Amounts owed by the Owner to the Contractor under the Construction Contract shall be used for the performance of the Construction Contract and to satisfy claims, if any, under any construction performance bond. By the Contractor furnishing and the Owner accepting this Bond, they agree that all funds earned by the Contractor in the performance of the Construction Contract are dedicated to satisfy obligations of the Contractor and Surety under this Bond, subject to the Owner's priority to use the funds for the completion of the work.
10. The Surety shall not be liable to the Owner, Claimants, or others for obligations of the Contractor that are unrelated to the Construction Contract. The Owner shall not be liable for the payment of any costs or expenses of any Claimant under this Bond, and shall have under this Bond no obligation to make payments to or give notice on behalf of Claimants, or otherwise have any obligations to Claimants under this Bond.
11. The Surety hereby waives notice of any change, including

CITY HALL ELECTRICAL WORK  
PORTSMOUTH, NEW HAMPSHIRE

changes of time, to the Construction Contract or to related subcontracts, purchase orders, and other obligations.

12. No suit or action shall be commenced by a Claimant under this Bond other than in a court of competent jurisdiction in the state in which the project that is the subject of the Construction Contract is located or after the expiration of one year from the date (1) on which the Claimant sent a Claim to the Surety pursuant to Paragraph 5.1.2 or 5.2, or (2) on which the last labor or service was performed by anyone or the last materials or equipment were furnished by anyone under the Construction Contract, whichever of (1) or (2) first occurs. If the provisions of this paragraph are void or prohibited by law, the minimum period of limitation available to sureties as a defense in the jurisdiction of the suit shall be applicable.
13. Notice and Claims to the Surety, the Owner, or the Contractor shall be mailed or delivered to the address shown on the page on which their signature appears. Actual receipt of notice or Claims, however accomplished, shall be sufficient compliance as of the date received.
14. When this Bond has been furnished to comply with a statutory or other legal requirement in the location where the construction was to be performed, any provision in this Bond conflicting with said statutory or legal requirement shall be deemed deleted herefrom and provisions conforming to such statutory or other legal requirement shall be deemed incorporated herein. When so furnished, the intent is that this Bond shall be construed as a statutory bond and not as a common law bond.
15. Upon requests by any person or entity appearing to be a potential beneficiary of this Bond, the Contractor and Owner shall promptly furnish a copy of this Bond or shall permit a copy to be made.

16. **Definitions**

16.1 **Claim:** A written statement by the Claimant including at a minimum:

1. The name of the Claimant;
2. The name of the person for whom the labor was done, or materials or equipment furnished;
3. A copy of the agreement or purchase order pursuant to which labor, materials, or equipment was furnished for use in the performance of the Construction Contract;
4. A brief description of the labor, materials, or equipment furnished;
5. The date on which the Claimant last performed labor or last furnished materials or equipment

for use in the performance of the Construction Contract;

6. The total amount earned by the Claimant for labor, materials, or equipment furnished as of the date of the Claim;
7. The total amount of previous payments received by the Claimant; and
8. The total amount due and unpaid to the Claimant for labor, materials, or equipment furnished as of the date of the Claim.

16.2 **Claimant:** An individual or entity having a direct contract with the Contractor or with a subcontractor of the Contractor to furnish labor, materials, or equipment for use in the performance of the Construction Contract. The term Claimant also includes any individual or entity that has rightfully asserted a claim under an applicable mechanic's lien or similar statute against the real property upon which the Project is located. The intent of this Bond shall be to include without limitation in the terms of "labor, materials, or equipment" that part of the water, gas, power, light, heat, oil, gasoline, telephone service, or rental equipment used in the Construction Contract, architectural and engineering services required for performance of the work of the Contractor and the Contractor's subcontractors, and all other items for which a mechanic's lien may be asserted in the jurisdiction where the labor, materials, or equipment were furnished.

16.3 **Construction Contract:** The agreement between the Owner and Contractor identified on the cover page, including all Contract Documents and all changes made to the agreement and the Contract Documents.

16.4 **Owner Default:** Failure of the Owner, which has not been remedied or waived, to pay the Contractor as required under the Construction Contract or to perform and complete or comply with the other material terms of the Construction Contract.

16.5 **Contract Documents:** All the documents that comprise the agreement between the Owner and Contractor.

17. If this Bond is issued for an agreement between a contractor and subcontractor, the term Contractor in this Bond shall be deemed to be Subcontractor and the term Owner shall be deemed to be Contractor.

18. Modifications to this Bond are as follows:

END OF SECTION



**SECTION 00620  
APPLICATION FOR PAYMENT  
(EJCDC Form C-620, Modified)**

**Contractor's Application For Payment No. \_\_\_\_\_**

	Application Period:	Application Date:
To (Owner): City of Portsmouth, Public Works Department	From (Contractor):	Via (Engineer)
Project: City Hall Electrical Renovations	Contract: City Hall Electrical Renovations	
Owner's Contract No.: N/A	Contractor's Project No.:	Engineer's Project No.: 21904.15

**APPLICATION FOR PAYMENT**

**Change Order Summary**

Approved Change Orders		
Number	Additions	Deductions
TOTALS		
NET CHANGE BY CHANGE ORDERS		

<b>1. ORIGINAL CONTRACT PRICE</b> .....	\$ _____
<b>2. Net change by Change Orders</b> .....	\$ _____
<b>3. CURRENT CONTRACT PRICE (Line 1 ± 2)</b> .....	\$ _____
<b>4. TOTAL COMPLETED AND STORED TO DATE</b> (Column F on Progress Estimate) .....	\$ _____
<b>5. RETAINAGE:</b>	
a. ____ % x \$ _____ Work Completed .....	\$ _____
b. ____ % x \$ _____ Stored Material.....	\$ _____
c. Total Retainage (Line 5a + Line 5b) .....	\$ _____
<b>6. AMOUNT ELIGIBLE TO DATE (Line 4 - Line 5c)</b> .....	\$ _____
<b>7. LESS PREVIOUS PAYMENTS (Line 6 from prior Application)</b> .....	\$ _____
<b>8. AMOUNT DUE THIS APPLICATION</b> .....	\$ _____
<b>9. BALANCE TO FINISH, PLUS RETAINAGE</b> (Column G on Progress Estimate + Line 5 above) .....	\$ _____

**CONTRACTOR'S CERTIFICATION**

The undersigned Contractor certifies, to the best of its knowledge, the following:

- (1) All previous progress payments received from Owner on account of Work done under the Contract have been applied on account to discharge Contractor's legitimate obligations incurred in connection with the Work covered by prior Applications for Payment;
- (2) Title to all Work, materials and equipment incorporated in said Work, or otherwise listed in or covered by this Application for Payment, will pass to Owner at time of payment free and clear of all Liens, security interests, and encumbrances (except such as are covered by a bond acceptable to Owner indemnifying Owner against any such Liens, security interest, or encumbrances); and
- (3) All the Work covered by this Application for Payment is in accordance with the Contract Documents and is not defective.

By: \_\_\_\_\_ Date

Subscribed and sworn to before me this \_\_\_\_\_ day of \_\_\_\_\_

Notary Public:

My Commission expires:

Payment of: \$ \_\_\_\_\_  
(Line 8 or other - attach explanation of other amount)

is recommended by:           Oak Point Associates            
(Engineer) (Date)

Payment of: \$ \_\_\_\_\_  
(Line 8 or other - attach explanation of other amount)

is approved by: \_\_\_\_\_  
(Owner) (Date)

Approved by: \_\_\_\_\_  
Funding Agency (if applicable) (Date)







This document has important legal consequences; consultation with an attorney is encouraged with respect to its use or modification. This document should be adapted to the particular circumstances of the contemplated Project and the controlling Laws and Regulations.

## STANDARD GENERAL CONDITIONS OF THE CONSTRUCTION CONTRACT

Prepared by



Issued and Published Jointly by



These General Conditions have been prepared for use with the Agreement Between Owner and Contractor for Construction Contract (EJCDC® C-520, Stipulated Sum, or C-525, Cost-Plus, 2013 Editions). Their provisions are interrelated and a change in one may necessitate a change in the other.

To prepare supplementary conditions that are coordinated with the General Conditions, use EJCDC's Guide to the Preparation of Supplementary Conditions (EJCDC® C-800, 2013 Edition). The full EJCDC Construction series of documents is discussed in the Commentary on the 2013 EJCDC Construction Documents (EJCDC® C-001, 2013 Edition).

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## ARTICLE 1 – DEFINITIONS AND TERMINOLOGY

### 1.01 Defined Terms

- A. Wherever used in the Bidding Requirements or Contract Documents, a term printed with initial capital letters, including the term's singular and plural forms, will have the meaning indicated in the definitions below. In addition to terms specifically defined, terms with initial capital letters in the Contract Documents include references to identified articles and paragraphs, and the titles of other documents or forms.
1. *Addenda*—Written or graphic instruments issued prior to the opening of Bids which clarify, correct, or change the Bidding Requirements or the proposed Contract Documents.
  2. *Agreement*—The written instrument, executed by Owner and Contractor, that sets forth the Contract Price and Contract Times, identifies the parties and the Engineer, and designates the specific items that are Contract Documents.
  3. *Application for Payment*—The form acceptable to Engineer which is to be used by Contractor during the course of the Work in requesting progress or final payments and which is to be accompanied by such supporting documentation as is required by the Contract Documents.
  4. *Bid*—The offer of a Bidder submitted on the prescribed form setting forth the prices for the Work to be performed.
  5. *Bidder*—An individual or entity that submits a Bid to Owner.
  6. *Bidding Documents*—The Bidding Requirements, the proposed Contract Documents, and all Addenda.
  7. *Bidding Requirements*—The advertisement or invitation to bid, Instructions to Bidders, Bid Bond or other Bid security, if any, the Bid Form, and the Bid with any attachments.
  8. *Change Order*—A document which is signed by Contractor and Owner and authorizes an addition, deletion, or revision in the Work or an adjustment in the Contract Price or the Contract Times, or other revision to the Contract, issued on or after the Effective Date of the Contract.
  9. *Change Proposal*—A written request by Contractor, duly submitted in compliance with the procedural requirements set forth herein, seeking an adjustment in Contract Price or Contract Times, or both; contesting an initial decision by Engineer concerning the requirements of the Contract Documents or the acceptability of Work under the Contract Documents; challenging a set-off against payments due; or seeking other relief with respect to the terms of the Contract.
  10. *Claim*—(a) A demand or assertion by Owner directly to Contractor, duly submitted in compliance with the procedural requirements set forth herein: seeking an adjustment of Contract Price or Contract Times, or both; contesting an initial decision by Engineer concerning the requirements of the Contract Documents or the acceptability of Work under the Contract Documents; contesting Engineer's decision regarding a Change Proposal; seeking resolution of a contractual issue that Engineer has declined to address; or seeking other relief with respect to the terms of the Contract; or (b) a demand or assertion by Contractor directly to Owner, duly submitted in compliance with the procedural requirements set forth herein, contesting Engineer's decision regarding a Change Proposal; or seeking resolution of a contractual issue that Engineer has declined to address. A demand for money or services by a third party is not a Claim.
  11. *Constituent of Concern*—Asbestos, petroleum, radioactive materials, polychlorinated biphenyls (PCBs), hazardous waste, and any substance, product, waste, or other material of any nature whatsoever that is or becomes listed, regulated, or addressed pursuant to (a) the Comprehensive Environmental Response, Compensation and Liability Act, 42 U.S.C. §§9601 et

seq. (“CERCLA”); (b) the Hazardous Materials Transportation Act, 49 U.S.C. §§5501 et seq.; (c) the Resource Conservation and Recovery Act, 42 U.S.C. §§6901 et seq. (“RCRA”); (d) the Toxic Substances Control Act, 15 U.S.C. §§2601 et seq.; (e) the Clean Water Act, 33 U.S.C. §§1251 et seq.; (f) the Clean Air Act, 42 U.S.C. §§7401 et seq.; or (g) any other federal, state, or local statute, law, rule, regulation, ordinance, resolution, code, order, or decree regulating, relating to, or imposing liability or standards of conduct concerning, any hazardous, toxic, or dangerous waste, substance, or material.

12. *Contract*—The entire and integrated written contract between the Owner and Contractor concerning the Work.
13. *Contract Documents*—Those items so designated in the Agreement, and which together comprise the Contract.
14. *Contract Price*—The money that Owner has agreed to pay Contractor for completion of the Work in accordance with the Contract Documents. .
15. *Contract Times*—The number of days or the dates by which Contractor shall: (a) achieve Milestones, if any; (b) achieve Substantial Completion; and (c) complete the Work.
16. *Contractor*—The individual or entity with which Owner has contracted for performance of the Work.
17. *Cost of the Work*—See Paragraph 13.01 for definition.
18. *Drawings*—The part of the Contract that graphically shows the scope, extent, and character of the Work to be performed by Contractor.
19. *Effective Date of the Contract*—The date, indicated in the Agreement, on which the Contract becomes effective.
20. *Engineer*—The individual or entity named as such in the Agreement.
21. *Field Order*—A written order issued by Engineer which requires minor changes in the Work but does not change the Contract Price or the Contract Times.
22. *Hazardous Environmental Condition*—The presence at the Site of Constituents of Concern in such quantities or circumstances that may present a danger to persons or property exposed thereto. The presence at the Site of materials that are necessary for the execution of the Work, or that are to be incorporated in the Work, and that are controlled and contained pursuant to industry practices, Laws and Regulations, and the requirements of the Contract, does not establish a Hazardous Environmental Condition.
23. *Laws and Regulations; Laws or Regulations*—Any and all applicable laws, statutes, rules, regulations, ordinances, codes, and orders of any and all governmental bodies, agencies, authorities, and courts having jurisdiction.
24. *Liens*—Charges, security interests, or encumbrances upon Contract-related funds, real property, or personal property.
25. *Milestone*—A principal event in the performance of the Work that the Contract requires Contractor to achieve by an intermediate completion date or by a time prior to Substantial Completion of all the Work.
26. *Notice of Award*—The written notice by Owner to a Bidder of Owner’s acceptance of the Bid.
27. *Notice to Proceed*—A written notice by Owner to Contractor fixing the date on which the Contract Times will commence to run and on which Contractor shall start to perform the Work.

28. *Owner*—The individual or entity with which Contractor has contracted regarding the Work, and which has agreed to pay Contractor for the performance of the Work, pursuant to the terms of the Contract.
29. *Progress Schedule*—A schedule, prepared and maintained by Contractor, describing the sequence and duration of the activities comprising the Contractor’s plan to accomplish the Work within the Contract Times.
30. *Project*—The total undertaking to be accomplished for Owner by engineers, contractors, and others, including planning, study, design, construction, testing, commissioning, and start-up, and of which the Work to be performed under the Contract Documents is a part.
31. *Project Manual*—The written documents prepared for, or made available for, procuring and constructing the Work, including but not limited to the Bidding Documents or other construction procurement documents, geotechnical and existing conditions information, the Agreement, bond forms, General Conditions, Supplementary Conditions, and Specifications. The contents of the Project Manual may be bound in one or more volumes.
32. *Resident Project Representative*—The authorized representative of Engineer assigned to assist Engineer at the Site. As used herein, the term Resident Project Representative or “RPR” includes any assistants or field staff of Resident Project Representative.
33. *Samples*—Physical examples of materials, equipment, or workmanship that are representative of some portion of the Work and that establish the standards by which such portion of the Work will be judged.
34. *Schedule of Submittals*—A schedule, prepared and maintained by Contractor, of required submittals and the time requirements for Engineer’s review of the submittals and the performance of related construction activities.
35. *Schedule of Values*—A schedule, prepared and maintained by Contractor, allocating portions of the Contract Price to various portions of the Work and used as the basis for reviewing Contractor’s Applications for Payment.
36. *Shop Drawings*—All drawings, diagrams, illustrations, schedules, and other data or information that are specifically prepared or assembled by or for Contractor and submitted by Contractor to illustrate some portion of the Work. Shop Drawings, whether approved or not, are not Drawings and are not Contract Documents.
37. *Site*—Lands or areas indicated in the Contract Documents as being furnished by Owner upon which the Work is to be performed, including rights-of-way and easements, and such other lands furnished by Owner which are designated for the use of Contractor.
38. *Specifications*—The part of the Contract that consists of written requirements for materials, equipment, systems, standards, and workmanship as applied to the Work, and certain administrative requirements and procedural matters applicable to the Work.
39. *Subcontractor*—An individual or entity having a direct contract with Contractor or with any other Subcontractor for the performance of a part of the Work.
40. *Substantial Completion*—The time at which the Work (or a specified part thereof) has progressed to the point where, in the opinion of Engineer, the Work (or a specified part thereof) is sufficiently complete, in accordance with the Contract Documents, so that the Work (or a specified part thereof) can be utilized for the purposes for which it is intended. The terms “substantially complete” and “substantially completed” as applied to all or part of the Work refer to Substantial Completion thereof.

41. *Successful Bidder*—The Bidder whose Bid the Owner accepts, and to which the Owner makes an award of contract, subject to stated conditions.
42. *Supplementary Conditions*—The part of the Contract that amends or supplements these General Conditions.
43. *Supplier*—A manufacturer, fabricator, supplier, distributor, materialman, or vendor having a direct contract with Contractor or with any Subcontractor to furnish materials or equipment to be incorporated in the Work by Contractor or a Subcontractor.
44. *Technical Data*—Those items expressly identified as Technical Data in the Supplementary Conditions, with respect to either (a) subsurface conditions at the Site, or physical conditions relating to existing surface or subsurface structures at the Site (except Underground Facilities) or (b) Hazardous Environmental Conditions at the Site. If no such express identifications of Technical Data have been made with respect to conditions at the Site, then the data contained in boring logs, recorded measurements of subsurface water levels, laboratory test results, and other factual, objective information regarding conditions at the Site that are set forth in any geotechnical or environmental report prepared for the Project and made available to Contractor are hereby defined as Technical Data with respect to conditions at the Site under Paragraphs 5.03, 5.04, and 5.06.
45. *Underground Facilities*—All underground pipelines, conduits, ducts, cables, wires, manholes, vaults, tanks, tunnels, or other such facilities or attachments, and any encasements containing such facilities, including but not limited to those that convey electricity, gases, steam, liquid petroleum products, telephone or other communications, fiber optic transmissions, cable television, water, wastewater, storm water, other liquids or chemicals, or traffic or other control systems.
46. *Unit Price Work*—Work to be paid for on the basis of unit prices.
47. *Work*—The entire construction or the various separately identifiable parts thereof required to be provided under the Contract Documents. Work includes and is the result of performing or providing all labor, services, and documentation necessary to produce such construction; furnishing, installing, and incorporating all materials and equipment into such construction; and may include related services such as testing, start-up, and commissioning, all as required by the Contract Documents.
48. *Work Change Directive*—A written directive to Contractor issued on or after the Effective Date of the Contract, signed by Owner and recommended by Engineer, ordering an addition, deletion, or revision in the Work.

## 1.02 Terminology

- A. The words and terms discussed in the following paragraphs are not defined but, when used in the Bidding Requirements or Contract Documents, have the indicated meaning.
- B. *Intent of Certain Terms or Adjectives:*
  1. The Contract Documents include the terms “as allowed,” “as approved,” “as ordered,” “as directed” or terms of like effect or import to authorize an exercise of professional judgment by Engineer. In addition, the adjectives “reasonable,” “suitable,” “acceptable,” “proper,” “satisfactory,” or adjectives of like effect or import are used to describe an action or determination of Engineer as to the Work. It is intended that such exercise of professional judgment, action, or determination will be solely to evaluate, in general, the Work for compliance with the information in the Contract Documents and with the design concept of the Project as a functioning whole as shown or indicated in the Contract Documents (unless there is

a specific statement indicating otherwise). The use of any such term or adjective is not intended to and shall not be effective to assign to Engineer any duty or authority to supervise or direct the performance of the Work, or any duty or authority to undertake responsibility contrary to the provisions of Article 10 or any other provision of the Contract Documents.

C. *Day:*

1. The word “day” means a calendar day of 24 hours measured from midnight to the next midnight.

D. *Defective:*

1. The word “defective,” when modifying the word “Work,” refers to Work that is unsatisfactory, faulty, or deficient in that it:
  - a. does not conform to the Contract Documents; or
  - b. does not meet the requirements of any applicable inspection, reference standard, test, or approval referred to in the Contract Documents; or
  - c. has been damaged prior to Engineer’s recommendation of final payment (unless responsibility for the protection thereof has been assumed by Owner at Substantial Completion in accordance with Paragraph 15.03 or 15.04).

E. *Furnish, Install, Perform, Provide:*

1. The word “furnish,” when used in connection with services, materials, or equipment, shall mean to supply and deliver said services, materials, or equipment to the Site (or some other specified location) ready for use or installation and in usable or operable condition.
2. The word “install,” when used in connection with services, materials, or equipment, shall mean to put into use or place in final position said services, materials, or equipment complete and ready for intended use.
3. The words “perform” or “provide,” when used in connection with services, materials, or equipment, shall mean to furnish and install said services, materials, or equipment complete and ready for intended use.
4. If the Contract Documents establish an obligation of Contractor with respect to specific services, materials, or equipment, but do not expressly use any of the four words “furnish,” “install,” “perform,” or “provide,” then Contractor shall furnish and install said services, materials, or equipment complete and ready for intended use.

- F. Unless stated otherwise in the Contract Documents, words or phrases that have a well-known technical or construction industry or trade meaning are used in the Contract Documents in accordance with such recognized meaning.

## **ARTICLE 2 – PRELIMINARY MATTERS**

### **2.01 Delivery of Bonds and Evidence of Insurance**

- A. *Bonds:* When Contractor delivers the executed counterparts of the Agreement to Owner, Contractor shall also deliver to Owner such bonds as Contractor may be required to furnish.
- B. *Evidence of Contractor’s Insurance:* When Contractor delivers the executed counterparts of the Agreement to Owner, Contractor shall also deliver to Owner, with copies to each named insured and additional insured (as identified in the Supplementary Conditions or elsewhere in the Contract), the certificates and other evidence of insurance required to be provided by Contractor in accordance with Article 6.

- C. *Evidence of Owner's Insurance:* After receipt of the executed counterparts of the Agreement and all required bonds and insurance documentation, Owner shall promptly deliver to Contractor, with copies to each named insured and additional insured (as identified in the Supplementary Conditions or otherwise), the certificates and other evidence of insurance required to be provided by Owner under Article 6.

## 2.02 Copies of Documents

- A. Owner shall furnish to Contractor four printed copies of the Contract (including one fully executed counterpart of the Agreement), and one copy in electronic portable document format (PDF). Additional printed copies will be furnished upon request at the cost of reproduction.
- B. Owner shall maintain and safeguard at least one original printed record version of the Contract, including Drawings and Specifications signed and sealed by Engineer and other design professionals. Owner shall make such original printed record version of the Contract available to Contractor for review. Owner may delegate the responsibilities under this provision to Engineer.

## 2.03 Before Starting Construction

- A. *Preliminary Schedules:* Within 10 days after the Effective Date of the Contract (or as otherwise specifically required by the Contract Documents), Contractor shall submit to Engineer for timely review:
  - 1. a preliminary Progress Schedule indicating the times (numbers of days or dates) for starting and completing the various stages of the Work, including any Milestones specified in the Contract;
  - 2. a preliminary Schedule of Submittals; and
  - 3. a preliminary Schedule of Values for all of the Work which includes quantities and prices of items which when added together equal the Contract Price and subdivides the Work into component parts in sufficient detail to serve as the basis for progress payments during performance of the Work. Such prices will include an appropriate amount of overhead and profit applicable to each item of Work.

## 2.04 Preconstruction Conference; Designation of Authorized Representatives

- A. Before any Work at the Site is started, a conference attended by Owner, Contractor, Engineer, and others as appropriate will be held to establish a working understanding among the parties as to the Work and to discuss the schedules referred to in Paragraph 2.03.A, procedures for handling Shop Drawings, Samples, and other submittals, processing Applications for Payment, electronic or digital transmittals, and maintaining required records.
- B. At this conference Owner and Contractor each shall designate, in writing, a specific individual to act as its authorized representative with respect to the services and responsibilities under the Contract. Such individuals shall have the authority to transmit and receive information, render decisions relative to the Contract, and otherwise act on behalf of each respective party.

## 2.05 Initial Acceptance of Schedules

- A. At least 10 days before submission of the first Application for Payment a conference, attended by Contractor, Engineer, and others as appropriate, will be held to review for acceptability to Engineer as provided below the schedules submitted in accordance with Paragraph 2.03.A. Contractor shall have an additional 10 days to make corrections and adjustments and to complete and resubmit the schedules. No progress payment shall be made to Contractor until acceptable schedules are submitted to Engineer.
  - 1. The Progress Schedule will be acceptable to Engineer if it provides an orderly progression of the Work to completion within the Contract Times. Such acceptance will not impose on Engineer

responsibility for the Progress Schedule, for sequencing, scheduling, or progress of the Work, nor interfere with or relieve Contractor from Contractor's full responsibility therefor.

2. Contractor's Schedule of Submittals will be acceptable to Engineer if it provides a workable arrangement for reviewing and processing the required submittals.
3. Contractor's Schedule of Values will be acceptable to Engineer as to form and substance if it provides a reasonable allocation of the Contract Price to the component parts of the Work.

#### 2.06 Electronic Transmittals

- A. Except as otherwise stated elsewhere in the Contract, the Owner, Engineer, and Contractor may transmit, and shall accept, Project-related correspondence, text, data, documents, drawings, information, and graphics, including but not limited to Shop Drawings and other submittals, in electronic media or digital format, either directly, or through access to a secure Project website.
- B. If the Contract does not establish protocols for electronic or digital transmittals, then Owner, Engineer, and Contractor shall jointly develop such protocols.
- C. When transmitting items in electronic media or digital format, the transmitting party makes no representations as to long term compatibility, usability, or readability of the items resulting from the recipient's use of software application packages, operating systems, or computer hardware differing from those used in the drafting or transmittal of the items, or from those established in applicable transmittal protocols.

### **ARTICLE 3 – DOCUMENTS: INTENT, REQUIREMENTS, REUSE**

#### 3.01 Intent

- A. The Contract Documents are complementary; what is required by one is as binding as if required by all.
- B. It is the intent of the Contract Documents to describe a functionally complete project (or part thereof) to be constructed in accordance with the Contract Documents.
- C. Unless otherwise stated in the Contract Documents, if there is a discrepancy between the electronic or digital versions of the Contract Documents (including any printed copies derived from such electronic or digital versions) and the printed record version, the printed record version shall govern.
- D. The Contract supersedes prior negotiations, representations, and agreements, whether written or oral.
- E. Engineer will issue clarifications and interpretations of the Contract Documents as provided herein.

#### 3.02 Reference Standards

- A. Standards Specifications, Codes, Laws and Regulations
  1. Reference in the Contract Documents to standard specifications, manuals, reference standards, or codes of any technical society, organization, or association, or to Laws or Regulations, whether such reference be specific or by implication, shall mean the standard specification, manual, reference standard, code, or Laws or Regulations in effect at the time of opening of Bids (or on the Effective Date of the Contract if there were no Bids), except as may be otherwise specifically stated in the Contract Documents.
  2. No provision of any such standard specification, manual, reference standard, or code, or any instruction of a Supplier, shall be effective to change the duties or responsibilities of Owner, Contractor, or Engineer, or any of their subcontractors, consultants, agents, or employees, from those set forth in the part of the Contract Documents prepared by or for Engineer. No such

provision or instruction shall be effective to assign to Owner, Engineer, or any of their officers, directors, members, partners, employees, agents, consultants, or subcontractors, any duty or authority to supervise or direct the performance of the Work or any duty or authority to undertake responsibility inconsistent with the provisions of the part of the Contract Documents prepared by or for Engineer.

### 3.03 Reporting and Resolving Discrepancies

#### A. *Reporting Discrepancies:*

1. *Contractor's Verification of Figures and Field Measurements:* Before undertaking each part of the Work, Contractor shall carefully study the Contract Documents, and check and verify pertinent figures and dimensions therein, particularly with respect to applicable field measurements. Contractor shall promptly report in writing to Engineer any conflict, error, ambiguity, or discrepancy that Contractor discovers, or has actual knowledge of, and shall not proceed with any Work affected thereby until the conflict, error, ambiguity, or discrepancy is resolved, by a clarification or interpretation by Engineer, or by an amendment or supplement to the Contract Documents issued pursuant to Paragraph 11.01.
2. *Contractor's Review of Contract Documents:* If, before or during the performance of the Work, Contractor discovers any conflict, error, ambiguity, or discrepancy within the Contract Documents, or between the Contract Documents and (a) any applicable Law or Regulation, (b) actual field conditions, (c) any standard specification, manual, reference standard, or code, or (d) any instruction of any Supplier, then Contractor shall promptly report it to Engineer in writing. Contractor shall not proceed with the Work affected thereby (except in an emergency as required by Paragraph 7.15) until the conflict, error, ambiguity, or discrepancy is resolved, by a clarification or interpretation by Engineer, or by an amendment or supplement to the Contract Documents issued pursuant to Paragraph 11.01.
3. Contractor shall not be liable to Owner or Engineer for failure to report any conflict, error, ambiguity, or discrepancy in the Contract Documents unless Contractor had actual knowledge thereof.

#### B. *Resolving Discrepancies:*

1. Except as may be otherwise specifically stated in the Contract Documents, the provisions of the part of the Contract Documents prepared by or for Engineer shall take precedence in resolving any conflict, error, ambiguity, or discrepancy between such provisions of the Contract Documents and:
  - a. the provisions of any standard specification, manual, reference standard, or code, or the instruction of any Supplier (whether or not specifically incorporated by reference as a Contract Document); or
  - b. the provisions of any Laws or Regulations applicable to the performance of the Work (unless such an interpretation of the provisions of the Contract Documents would result in violation of such Law or Regulation).

### 3.04 Requirements of the Contract Documents

- A. During the performance of the Work and until final payment, Contractor and Owner shall submit to the Engineer all matters in question concerning the requirements of the Contract Documents (sometimes referred to as requests for information or interpretation—RFIs), or relating to the acceptability of the Work under the Contract Documents, as soon as possible after such matters arise. Engineer will be the initial interpreter of the requirements of the Contract Documents, and judge of the acceptability of the Work thereunder.

- B. Engineer will, with reasonable promptness, render a written clarification, interpretation, or decision on the issue submitted, or initiate an amendment or supplement to the Contract Documents. Engineer's written clarification, interpretation, or decision will be final and binding on Contractor, unless it appeals by submitting a Change Proposal, and on Owner, unless it appeals by filing a Claim.
- C. If a submitted matter in question concerns terms and conditions of the Contract Documents that do not involve (1) the performance or acceptability of the Work under the Contract Documents, (2) the design (as set forth in the Drawings, Specifications, or otherwise), or (3) other engineering or technical matters, then Engineer will promptly give written notice to Owner and Contractor that Engineer is unable to provide a decision or interpretation. If Owner and Contractor are unable to agree on resolution of such a matter in question, either party may pursue resolution as provided in Article 12.

### 3.05 Reuse of Documents

- A. Contractor and its Subcontractors and Suppliers shall not:
  - 1. have or acquire any title to or ownership rights in any of the Drawings, Specifications, or other documents (or copies of any thereof) prepared by or bearing the seal of Engineer or its consultants, including electronic media editions, or reuse any such Drawings, Specifications, other documents, or copies thereof on extensions of the Project or any other project without written consent of Owner and Engineer and specific written verification or adaptation by Engineer; or
  - 2. have or acquire any title or ownership rights in any other Contract Documents, reuse any such Contract Documents for any purpose without Owner's express written consent, or violate any copyrights pertaining to such Contract Documents.
- B. The prohibitions of this Paragraph 3.05 will survive final payment, or termination of the Contract. Nothing herein shall preclude Contractor from retaining copies of the Contract Documents for record purposes.

## ARTICLE 4 – COMMENCEMENT AND PROGRESS OF THE WORK

### 4.01 Commencement of Contract Times; Notice to Proceed

- A. The Contract Times will commence to run on the thirtieth day after the Effective Date of the Contract or, if a Notice to Proceed is given, on the day indicated in the Notice to Proceed. A Notice to Proceed may be given at any time within 30 days after the Effective Date of the Contract. In no event will the Contract Times commence to run later than the sixtieth day after the day of Bid opening or the thirtieth day after the Effective Date of the Contract, whichever date is earlier.

### 4.02 Starting the Work

- A. Contractor shall start to perform the Work on the date when the Contract Times commence to run. No Work shall be done at the Site prior to such date.

### 4.03 Reference Points

- A. Owner shall provide engineering surveys to establish reference points for construction which in Engineer's judgment are necessary to enable Contractor to proceed with the Work. Contractor shall be responsible for laying out the Work, shall protect and preserve the established reference points and property monuments, and shall make no changes or relocations without the prior written approval of Owner. Contractor shall report to Engineer whenever any reference point or property monument is lost or destroyed or requires relocation because of necessary changes in grades or

locations, and shall be responsible for the accurate replacement or relocation of such reference points or property monuments by professionally qualified personnel.

#### 4.04 Progress Schedule

- A. Contractor shall adhere to the Progress Schedule established in accordance with Paragraph 2.05 as it may be adjusted from time to time as provided below.
  - 1. Contractor shall submit to Engineer for acceptance (to the extent indicated in Paragraph 2.05) proposed adjustments in the Progress Schedule that will not result in changing the Contract Times.
  - 2. Proposed adjustments in the Progress Schedule that will change the Contract Times shall be submitted in accordance with the requirements of Article 11.
- B. Contractor shall carry on the Work and adhere to the Progress Schedule during all disputes or disagreements with Owner. No Work shall be delayed or postponed pending resolution of any disputes or disagreements, or during any appeal process, except as permitted by Paragraph 16.04, or as Owner and Contractor may otherwise agree in writing.

#### 4.05 Delays in Contractor's Progress

- A. If Owner, Engineer, or anyone for whom Owner is responsible, delays, disrupts, or interferes with the performance or progress of the Work, then Contractor shall be entitled to an equitable adjustment in the Contract Times and Contract Price. Contractor's entitlement to an adjustment of the Contract Times is conditioned on such adjustment being essential to Contractor's ability to complete the Work within the Contract Times.
- B. Contractor shall not be entitled to an adjustment in Contract Price or Contract Times for delay, disruption, or interference caused by or within the control of Contractor. Delay, disruption, and interference attributable to and within the control of a Subcontractor or Supplier shall be deemed to be within the control of Contractor.
- C. If Contractor's performance or progress is delayed, disrupted, or interfered with by unanticipated causes not the fault of and beyond the control of Owner, Contractor, and those for which they are responsible, then Contractor shall be entitled to an equitable adjustment in Contract Times. Contractor's entitlement to an adjustment of the Contract Times is conditioned on such adjustment being essential to Contractor's ability to complete the Work within the Contract Times. Such an adjustment shall be Contractor's sole and exclusive remedy for the delays, disruption, and interference described in this paragraph. Causes of delay, disruption, or interference that may give rise to an adjustment in Contract Times under this paragraph include but are not limited to the following:
  - 1. severe and unavoidable natural catastrophes such as fires, floods, epidemics, and earthquakes;
  - 2. abnormal weather conditions;
  - 3. acts or failures to act of utility owners (other than those performing other work at or adjacent to the Site by arrangement with the Owner, as contemplated in Article 8); and
  - 4. acts of war or terrorism.
- D. Delays, disruption, and interference to the performance or progress of the Work resulting from the existence of a differing subsurface or physical condition, an Underground Facility that was not shown or indicated by the Contract Documents, or not shown or indicated with reasonable accuracy, and those resulting from Hazardous Environmental Conditions, are governed by Article 5.

- E. Paragraph 8.03 governs delays, disruption, and interference to the performance or progress of the Work resulting from the performance of certain other work at or adjacent to the Site.
- F. Contractor shall not be entitled to an adjustment in Contract Price or Contract Times for any delay, disruption, or interference if such delay is concurrent with a delay, disruption, or interference caused by or within the control of Contractor.
- G. Contractor must submit any Change Proposal seeking an adjustment in Contract Price or Contract Times under this paragraph within 30 days of the commencement of the delaying, disrupting, or interfering event.

**ARTICLE 5 – AVAILABILITY OF LANDS; SUBSURFACE AND PHYSICAL CONDITIONS; HAZARDOUS ENVIRONMENTAL CONDITIONS**

5.01 Availability of Lands

- A. Owner shall furnish the Site. Owner shall notify Contractor of any encumbrances or restrictions not of general application but specifically related to use of the Site with which Contractor must comply in performing the Work.
- B. Upon reasonable written request, Owner shall furnish Contractor with a current statement of record legal title and legal description of the lands upon which permanent improvements are to be made and Owner’s interest therein as necessary for giving notice of or filing a mechanic’s or construction lien against such lands in accordance with applicable Laws and Regulations.
- C. Contractor shall provide for all additional lands and access thereto that may be required for temporary construction facilities or storage of materials and equipment.

5.02 Use of Site and Other Areas

- A. *Limitation on Use of Site and Other Areas:*
  - 1. Contractor shall confine construction equipment, temporary construction facilities, the storage of materials and equipment, and the operations of workers to the Site, adjacent areas that Contractor has arranged to use through construction easements or otherwise, and other adjacent areas permitted by Laws and Regulations, and shall not unreasonably encumber the Site and such other adjacent areas with construction equipment or other materials or equipment. Contractor shall assume full responsibility for (a) damage to the Site; (b) damage to any such other adjacent areas used for Contractor’s operations; (c) damage to any other adjacent land or areas; and (d) for injuries and losses sustained by the owners or occupants of any such land or areas; provided that such damage or injuries result from the performance of the Work or from other actions or conduct of the Contractor or those for which Contractor is responsible.
  - 2. If a damage or injury claim is made by the owner or occupant of any such land or area because of the performance of the Work, or because of other actions or conduct of the Contractor or those for which Contractor is responsible, Contractor shall (a) take immediate corrective or remedial action as required by Paragraph 7.12, or otherwise; (b) promptly attempt to settle the claim as to all parties through negotiations with such owner or occupant, or otherwise resolve the claim by arbitration or other dispute resolution proceeding, or at law; and (c) to the fullest extent permitted by Laws and Regulations, indemnify and hold harmless Owner and Engineer, and the officers, directors, members, partners, employees, agents, consultants and subcontractors of each and any of them from and against any such claim, and against all costs, losses, and damages (including but not limited to all fees and charges of engineers, architects, attorneys, and other professionals and all court or arbitration or other dispute resolution costs)

arising out of or relating to any claim or action, legal or equitable, brought by any such owner or occupant against Owner, Engineer, or any other party indemnified hereunder to the extent caused directly or indirectly, in whole or in part by, or based upon, Contractor's performance of the Work, or because of other actions or conduct of the Contractor or those for which Contractor is responsible.

- B. *Removal of Debris During Performance of the Work:* During the progress of the Work the Contractor shall keep the Site and other adjacent areas free from accumulations of waste materials, rubbish, and other debris. Removal and disposal of such waste materials, rubbish, and other debris shall conform to applicable Laws and Regulations.
- C. *Cleaning:* Prior to Substantial Completion of the Work Contractor shall clean the Site and the Work and make it ready for utilization by Owner. At the completion of the Work Contractor shall remove from the Site and adjacent areas all tools, appliances, construction equipment and machinery, and surplus materials and shall restore to original condition all property not designated for alteration by the Contract Documents.
- D. *Loading of Structures:* Contractor shall not load nor permit any part of any structure to be loaded in any manner that will endanger the structure, nor shall Contractor subject any part of the Work or adjacent structures or land to stresses or pressures that will endanger them.

### 5.03 Subsurface and Physical Conditions

- A. *Reports and Drawings:* The Supplementary Conditions identify:
  - 1. those reports known to Owner of explorations and tests of subsurface conditions at or adjacent to the Site;
  - 2. those drawings known to Owner of physical conditions relating to existing surface or subsurface structures at the Site (except Underground Facilities); and
  - 3. Technical Data contained in such reports and drawings.
- B. *Reliance by Contractor on Technical Data Authorized:* Contractor may rely upon the accuracy of the Technical Data expressly identified in the Supplementary Conditions with respect to such reports and drawings, but such reports and drawings are not Contract Documents. If no such express identification has been made, then Contractor may rely upon the accuracy of the Technical Data (as defined in Article 1) contained in any geotechnical or environmental report prepared for the Project and made available to Contractor. Except for such reliance on Technical Data, Contractor may not rely upon or make any claim against Owner or Engineer, or any of their officers, directors, members, partners, employees, agents, consultants, or subcontractors, with respect to:
  - 1. the completeness of such reports and drawings for Contractor's purposes, including, but not limited to, any aspects of the means, methods, techniques, sequences, and procedures of construction to be employed by Contractor, and safety precautions and programs incident thereto; or
  - 2. other data, interpretations, opinions, and information contained in such reports or shown or indicated in such drawings; or
  - 3. any Contractor interpretation of or conclusion drawn from any Technical Data or any such other data, interpretations, opinions, or information.

#### 5.04 Differing Subsurface or Physical Conditions

- A. *Notice by Contractor:* If Contractor believes that any subsurface or physical condition that is uncovered or revealed at the Site either:
1. is of such a nature as to establish that any Technical Data on which Contractor is entitled to rely as provided in Paragraph 5.03 is materially inaccurate; or
  2. is of such a nature as to require a change in the Drawings or Specifications; or
  3. differs materially from that shown or indicated in the Contract Documents; or
  4. is of an unusual nature, and differs materially from conditions ordinarily encountered and generally recognized as inherent in work of the character provided for in the Contract Documents;

then Contractor shall, promptly after becoming aware thereof and before further disturbing the subsurface or physical conditions or performing any Work in connection therewith (except in an emergency as required by Paragraph 7.15), notify Owner and Engineer in writing about such condition. Contractor shall not further disturb such condition or perform any Work in connection therewith (except with respect to an emergency) until receipt of a written statement permitting Contractor to do so.

- B. *Engineer's Review:* After receipt of written notice as required by the preceding paragraph, Engineer will promptly review the subsurface or physical condition in question; determine the necessity of Owner's obtaining additional exploration or tests with respect to the condition; conclude whether the condition falls within any one or more of the differing site condition categories in Paragraph 5.04.A above; obtain any pertinent cost or schedule information from Contractor; prepare recommendations to Owner regarding the Contractor's resumption of Work in connection with the subsurface or physical condition in question and the need for any change in the Drawings or Specifications; and advise Owner in writing of Engineer's findings, conclusions, and recommendations.
- C. *Owner's Statement to Contractor Regarding Site Condition:* After receipt of Engineer's written findings, conclusions, and recommendations, Owner shall issue a written statement to Contractor (with a copy to Engineer) regarding the subsurface or physical condition in question, addressing the resumption of Work in connection with such condition, indicating whether any change in the Drawings or Specifications will be made, and adopting or rejecting Engineer's written findings, conclusions, and recommendations, in whole or in part.
- D. *Possible Price and Times Adjustments:*
1. Contractor shall be entitled to an equitable adjustment in Contract Price or Contract Times, or both, to the extent that the existence of a differing subsurface or physical condition, or any related delay, disruption, or interference, causes an increase or decrease in Contractor's cost of, or time required for, performance of the Work; subject, however, to the following:
    - a. such condition must fall within any one or more of the categories described in Paragraph 5.04.A;
    - b. with respect to Work that is paid for on a unit price basis, any adjustment in Contract Price will be subject to the provisions of Paragraph 13.03; and,
    - c. Contractor's entitlement to an adjustment of the Contract Times is conditioned on such adjustment being essential to Contractor's ability to complete the Work within the Contract Times.

2. Contractor shall not be entitled to any adjustment in the Contract Price or Contract Times with respect to a subsurface or physical condition if:
  - a. Contractor knew of the existence of such condition at the time Contractor made a commitment to Owner with respect to Contract Price and Contract Times by the submission of a Bid or becoming bound under a negotiated contract, or otherwise; or
  - b. the existence of such condition reasonably could have been discovered or revealed as a result of any examination, investigation, exploration, test, or study of the Site and contiguous areas expressly required by the Bidding Requirements or Contract Documents to be conducted by or for Contractor prior to Contractor's making such commitment; or
  - c. Contractor failed to give the written notice as required by Paragraph 5.04.A.
3. If Owner and Contractor agree regarding Contractor's entitlement to and the amount or extent of any adjustment in the Contract Price or Contract Times, or both, then any such adjustment shall be set forth in a Change Order.
4. Contractor may submit a Change Proposal regarding its entitlement to or the amount or extent of any adjustment in the Contract Price or Contract Times, or both, no later than 30 days after Owner's issuance of the Owner's written statement to Contractor regarding the subsurface or physical condition in question.

#### 5.05 Underground Facilities

- A. *Contractor's Responsibilities:* The information and data shown or indicated in the Contract Documents with respect to existing Underground Facilities at or adjacent to the Site is based on information and data furnished to Owner or Engineer by the owners of such Underground Facilities, including Owner, or by others. Unless it is otherwise expressly provided in the Supplementary Conditions:
  1. Owner and Engineer do not warrant or guarantee the accuracy or completeness of any such information or data provided by others; and
  2. the cost of all of the following will be included in the Contract Price, and Contractor shall have full responsibility for:
    - a. reviewing and checking all information and data regarding existing Underground Facilities at the Site;
    - b. locating all Underground Facilities shown or indicated in the Contract Documents as being at the Site;
    - c. coordination of the Work with the owners (including Owner) of such Underground Facilities, during construction; and
    - d. the safety and protection of all existing Underground Facilities at the Site, and repairing any damage thereto resulting from the Work.
- B. *Notice by Contractor:* If Contractor believes that an Underground Facility that is uncovered or revealed at the Site was not shown or indicated in the Contract Documents, or was not shown or indicated with reasonable accuracy, then Contractor shall, promptly after becoming aware thereof and before further disturbing conditions affected thereby or performing any Work in connection therewith (except in an emergency as required by Paragraph 7.15), identify the owner of such Underground Facility and give written notice to that owner and to Owner and Engineer.
- C. *Engineer's Review:* Engineer will promptly review the Underground Facility and conclude whether such Underground Facility was not shown or indicated in the Contract Documents, or was not shown

or indicated with reasonable accuracy; obtain any pertinent cost or schedule information from Contractor; prepare recommendations to Owner regarding the Contractor's resumption of Work in connection with the Underground Facility in question; determine the extent, if any, to which a change is required in the Drawings or Specifications to reflect and document the consequences of the existence or location of the Underground Facility; and advise Owner in writing of Engineer's findings, conclusions, and recommendations. During such time, Contractor shall be responsible for the safety and protection of such Underground Facility.

D. *Owner's Statement to Contractor Regarding Underground Facility:* After receipt of Engineer's written findings, conclusions, and recommendations, Owner shall issue a written statement to Contractor (with a copy to Engineer) regarding the Underground Facility in question, addressing the resumption of Work in connection with such Underground Facility, indicating whether any change in the Drawings or Specifications will be made, and adopting or rejecting Engineer's written findings, conclusions, and recommendations in whole or in part.

E. *Possible Price and Times Adjustments:*

1. Contractor shall be entitled to an equitable adjustment in the Contract Price or Contract Times, or both, to the extent that any existing Underground Facility at the Site that was not shown or indicated in the Contract Documents, or was not shown or indicated with reasonable accuracy, or any related delay, disruption, or interference, causes an increase or decrease in Contractor's cost of, or time required for, performance of the Work; subject, however, to the following:
  - a. Contractor did not know of and could not reasonably have been expected to be aware of or to have anticipated the existence or actual location of the Underground Facility in question;
  - b. With respect to Work that is paid for on a unit price basis, any adjustment in Contract Price will be subject to the provisions of Paragraph 13.03;
  - c. Contractor's entitlement to an adjustment of the Contract Times is conditioned on such adjustment being essential to Contractor's ability to complete the Work within the Contract Times; and
  - d. Contractor gave the notice required in Paragraph 5.05.B.
2. If Owner and Contractor agree regarding Contractor's entitlement to and the amount or extent of any adjustment in the Contract Price or Contract Times, or both, then any such adjustment shall be set forth in a Change Order.
3. Contractor may submit a Change Proposal regarding its entitlement to or the amount or extent of any adjustment in the Contract Price or Contract Times, or both, no later than 30 days after Owner's issuance of the Owner's written statement to Contractor regarding the Underground Facility in question.

#### 5.06 Hazardous Environmental Conditions at Site

A. *Reports and Drawings:* The Supplementary Conditions identify:

1. those reports and drawings known to Owner relating to Hazardous Environmental Conditions that have been identified at or adjacent to the Site; and
2. Technical Data contained in such reports and drawings.

B. *Reliance by Contractor on Technical Data Authorized:* Contractor may rely upon the accuracy of the Technical Data expressly identified in the Supplementary Conditions with respect to such reports and drawings, but such reports and drawings are not Contract Documents. If no such express identification has been made, then Contractor may rely on the accuracy of the Technical Data (as

defined in Article 1) contained in any geotechnical or environmental report prepared for the Project and made available to Contractor. Except for such reliance on Technical Data, Contractor may not rely upon or make any claim against Owner or Engineer, or any of their officers, directors, members, partners, employees, agents, consultants, or subcontractors with respect to:

1. the completeness of such reports and drawings for Contractor's purposes, including, but not limited to, any aspects of the means, methods, techniques, sequences and procedures of construction to be employed by Contractor and safety precautions and programs incident thereto; or
  2. other data, interpretations, opinions and information contained in such reports or shown or indicated in such drawings; or
  3. any Contractor interpretation of or conclusion drawn from any Technical Data or any such other data, interpretations, opinions or information.
- C. Contractor shall not be responsible for removing or remediating any Hazardous Environmental Condition encountered, uncovered, or revealed at the Site unless such removal or remediation is expressly identified in the Contract Documents to be within the scope of the Work.
- D. Contractor shall be responsible for controlling, containing, and duly removing all Constituents of Concern brought to the Site by Contractor, Subcontractors, Suppliers, or anyone else for whom Contractor is responsible, and for any associated costs; and for the costs of removing and remediating any Hazardous Environmental Condition created by the presence of any such Constituents of Concern.
- E. If Contractor encounters, uncovers, or reveals a Hazardous Environmental Condition whose removal or remediation is not expressly identified in the Contract Documents as being within the scope of the Work, or if Contractor or anyone for whom Contractor is responsible creates a Hazardous Environmental Condition, then Contractor shall immediately: (1) secure or otherwise isolate such condition; (2) stop all Work in connection with such condition and in any area affected thereby (except in an emergency as required by Paragraph 7.15); and (3) notify Owner and Engineer (and promptly thereafter confirm such notice in writing). Owner shall promptly consult with Engineer concerning the necessity for Owner to retain a qualified expert to evaluate such condition or take corrective action, if any. Promptly after consulting with Engineer, Owner shall take such actions as are necessary to permit Owner to timely obtain required permits and provide Contractor the written notice required by Paragraph 5.06.F. If Contractor or anyone for whom Contractor is responsible created the Hazardous Environmental Condition in question, then Owner may remove and remediate the Hazardous Environmental Condition, and impose a set-off against payments to account for the associated costs.
- F. Contractor shall not resume Work in connection with such Hazardous Environmental Condition or in any affected area until after Owner has obtained any required permits related thereto, and delivered written notice to Contractor either (1) specifying that such condition and any affected area is or has been rendered safe for the resumption of Work, or (2) specifying any special conditions under which such Work may be resumed safely.
- G. If Owner and Contractor cannot agree as to entitlement to or on the amount or extent, if any, of any adjustment in Contract Price or Contract Times, or both, as a result of such Work stoppage or such special conditions under which Work is agreed to be resumed by Contractor, then within 30 days of Owner's written notice regarding the resumption of Work, Contractor may submit a Change Proposal, or Owner may impose a set-off.
- H. If after receipt of such written notice Contractor does not agree to resume such Work based on a reasonable belief it is unsafe, or does not agree to resume such Work under such special conditions,

then Owner may order the portion of the Work that is in the area affected by such condition to be deleted from the Work, following the contractual change procedures in Article 11. Owner may have such deleted portion of the Work performed by Owner's own forces or others in accordance with Article 8.

- I. To the fullest extent permitted by Laws and Regulations, Owner shall indemnify and hold harmless Contractor, Subcontractors, and Engineer, and the officers, directors, members, partners, employees, agents, consultants, and subcontractors of each and any of them from and against all claims, costs, losses, and damages (including but not limited to all fees and charges of engineers, architects, attorneys, and other professionals and all court or arbitration or other dispute resolution costs) arising out of or relating to a Hazardous Environmental Condition, provided that such Hazardous Environmental Condition (1) was not shown or indicated in the Drawings, Specifications, or other Contract Documents, identified as Technical Data entitled to limited reliance pursuant to Paragraph 5.06.B, or identified in the Contract Documents to be included within the scope of the Work, and (2) was not created by Contractor or by anyone for whom Contractor is responsible. Nothing in this Paragraph 5.06.H shall obligate Owner to indemnify any individual or entity from and against the consequences of that individual's or entity's own negligence.
- J. To the fullest extent permitted by Laws and Regulations, Contractor shall indemnify and hold harmless Owner and Engineer, and the officers, directors, members, partners, employees, agents, consultants, and subcontractors of each and any of them from and against all claims, costs, losses, and damages (including but not limited to all fees and charges of engineers, architects, attorneys, and other professionals and all court or arbitration or other dispute resolution costs) arising out of or relating to the failure to control, contain, or remove a Constituent of Concern brought to the Site by Contractor or by anyone for whom Contractor is responsible, or to a Hazardous Environmental Condition created by Contractor or by anyone for whom Contractor is responsible. Nothing in this Paragraph 5.06.J shall obligate Contractor to indemnify any individual or entity from and against the consequences of that individual's or entity's own negligence.
- K. The provisions of Paragraphs 5.03, 5.04, and 5.05 do not apply to the presence of Constituents of Concern or to a Hazardous Environmental Condition uncovered or revealed at the Site.

## **ARTICLE 6 – BONDS AND INSURANCE**

### **6.01 Performance, Payment, and Other Bonds**

- A. Contractor shall furnish a performance bond and a payment bond, each in an amount at least equal to the Contract Price, as security for the faithful performance and payment of all of Contractor's obligations under the Contract. These bonds shall remain in effect until one year after the date when final payment becomes due or until completion of the correction period specified in Paragraph 15.08, whichever is later, except as provided otherwise by Laws or Regulations, the Supplementary Conditions, or other specific provisions of the Contract. Contractor shall also furnish such other bonds as are required by the Supplementary Conditions or other specific provisions of the Contract.
- B. All bonds shall be in the form prescribed by the Contract except as provided otherwise by Laws or Regulations, and shall be executed by such sureties as are named in "Companies Holding Certificates of Authority as Acceptable Sureties on Federal Bonds and as Acceptable Reinsuring Companies" as published in Circular 570 (as amended and supplemented) by the Financial Management Service, Surety Bond Branch, U.S. Department of the Treasury. A bond signed by an agent or attorney-in-fact must be accompanied by a certified copy of that individual's authority to bind the surety. The evidence of authority shall show that it is effective on the date the agent or attorney-in-fact signed the accompanying bond.

- C. Contractor shall obtain the required bonds from surety companies that are duly licensed or authorized in the jurisdiction in which the Project is located to issue bonds in the required amounts.
- D. If the surety on a bond furnished by Contractor is declared bankrupt or becomes insolvent, or its right to do business is terminated in any state or jurisdiction where any part of the Project is located, or the surety ceases to meet the requirements above, then Contractor shall promptly notify Owner and Engineer and shall, within 20 days after the event giving rise to such notification, provide another bond and surety, both of which shall comply with the bond and surety requirements above.
- E. If Contractor has failed to obtain a required bond, Owner may exclude the Contractor from the Site and exercise Owner's termination rights under Article 16.
- F. Upon request, Owner shall provide a copy of the payment bond to any Subcontractor, Supplier, or other person or entity claiming to have furnished labor or materials used in the performance of the Work.

#### 6.02 Insurance—General Provisions

- A. Owner and Contractor shall obtain and maintain insurance as required in this Article and in the Supplementary Conditions.
- B. All insurance required by the Contract to be purchased and maintained by Owner or Contractor shall be obtained from insurance companies that are duly licensed or authorized, in the state or jurisdiction in which the Project is located, to issue insurance policies for the required limits and coverages. Unless a different standard is indicated in the Supplementary Conditions, all companies that provide insurance policies required under this Contract shall have an A.M. Best rating of A-VII or better.
- C. Contractor shall deliver to Owner, with copies to each named insured and additional insured (as identified in this Article, in the Supplementary Conditions, or elsewhere in the Contract), certificates of insurance establishing that Contractor has obtained and is maintaining the policies, coverages, and endorsements required by the Contract. Upon request by Owner or any other insured, Contractor shall also furnish other evidence of such required insurance, including but not limited to copies of policies and endorsements, and documentation of applicable self-insured retentions and deductibles. Contractor may block out (redact) any confidential premium or pricing information contained in any policy or endorsement furnished under this provision.
- D. Owner shall deliver to Contractor, with copies to each named insured and additional insured (as identified in this Article, the Supplementary Conditions, or elsewhere in the Contract), certificates of insurance establishing that Owner has obtained and is maintaining the policies, coverages, and endorsements required of Owner by the Contract (if any). Upon request by Contractor or any other insured, Owner shall also provide other evidence of such required insurance (if any), including but not limited to copies of policies and endorsements, and documentation of applicable self-insured retentions and deductibles. Owner may block out (redact) any confidential premium or pricing information contained in any policy or endorsement furnished under this provision.
- E. Failure of Owner or Contractor to demand such certificates or other evidence of the other party's full compliance with these insurance requirements, or failure of Owner or Contractor to identify a deficiency in compliance from the evidence provided, shall not be construed as a waiver of the other party's obligation to obtain and maintain such insurance.
- F. If either party does not purchase or maintain all of the insurance required of such party by the Contract, such party shall notify the other party in writing of such failure to purchase prior to the start of the Work, or of such failure to maintain prior to any change in the required coverage.

- G. If Contractor has failed to obtain and maintain required insurance, Owner may exclude the Contractor from the Site, impose an appropriate set-off against payment, and exercise Owner's termination rights under Article 16.
- H. Without prejudice to any other right or remedy, if a party has failed to obtain required insurance, the other party may elect to obtain equivalent insurance to protect such other party's interests at the expense of the party who was required to provide such coverage, and the Contract Price shall be adjusted accordingly.
- I. Owner does not represent that insurance coverage and limits established in this Contract necessarily will be adequate to protect Contractor or Contractor's interests.
- J. The insurance and insurance limits required herein shall not be deemed as a limitation on Contractor's liability under the indemnities granted to Owner and other individuals and entities in the Contract.

### 6.03 Contractor's Insurance

- A. *Workers' Compensation*: Contractor shall purchase and maintain workers' compensation and employer's liability insurance for:
  - 1. claims under workers' compensation, disability benefits, and other similar employee benefit acts.
  - 2. United States Longshoreman and Harbor Workers' Compensation Act and Jones Act coverage (if applicable).
  - 3. claims for damages because of bodily injury, occupational sickness or disease, or death of Contractor's employees (by stop-gap endorsement in monopolist worker's compensation states).
  - 4. Foreign voluntary worker compensation (if applicable).
- B. *Commercial General Liability—Claims Covered*: Contractor shall purchase and maintain commercial general liability insurance, covering all operations by or on behalf of Contractor, on an occurrence basis, against:
  - 1. claims for damages because of bodily injury, sickness or disease, or death of any person other than Contractor's employees.
  - 2. claims for damages insured by reasonably available personal injury liability coverage.
  - 3. claims for damages, other than to the Work itself, because of injury to or destruction of tangible property wherever located, including loss of use resulting therefrom.
- C. *Commercial General Liability—Form and Content*: Contractor's commercial liability policy shall be written on a 1996 (or later) ISO commercial general liability form (occurrence form) and include the following coverages and endorsements:
  - 1. Products and completed operations coverage:
    - a. Such insurance shall be maintained for three years after final payment.
    - b. Contractor shall furnish Owner and each other additional insured (as identified in the Supplementary Conditions or elsewhere in the Contract) evidence of continuation of such insurance at final payment and three years thereafter.
  - 2. Blanket contractual liability coverage, to the extent permitted by law, including but not limited to coverage of Contractor's contractual indemnity obligations in Paragraph 7.18.
  - 3. Broad form property damage coverage.

4. Severability of interest.
  5. Underground, explosion, and collapse coverage.
  6. Personal injury coverage.
  7. Additional insured endorsements that include both ongoing operations and products and completed operations coverage through ISO Endorsements CG 20 10 10 01 and CG 20 37 10 01 (together); or CG 20 10 07 04 and CG 20 37 07 04 (together); or their equivalent.
  8. For design professional additional insureds, ISO Endorsement CG 20 32 07 04, "Additional Insured—Engineers, Architects or Surveyors Not Engaged by the Named Insured" or its equivalent.
- D. *Automobile liability*: Contractor shall purchase and maintain automobile liability insurance against claims for damages because of bodily injury or death of any person or property damage arising out of the ownership, maintenance, or use of any motor vehicle. The automobile liability policy shall be written on an occurrence basis.
- E. *Umbrella or excess liability*: Contractor shall purchase and maintain umbrella or excess liability insurance written over the underlying employer's liability, commercial general liability, and automobile liability insurance described in the paragraphs above. Subject to industry-standard exclusions, the coverage afforded shall follow form as to each and every one of the underlying policies.
- F. *Contractor's pollution liability insurance*: Contractor shall purchase and maintain a policy covering third-party injury and property damage claims, including clean-up costs, as a result of pollution conditions arising from Contractor's operations and completed operations. This insurance shall be maintained for no less than three years after final completion.
- G. *Additional insureds*: The Contractor's commercial general liability, automobile liability, umbrella or excess, and pollution liability policies shall include and list as additional insureds Owner and Engineer, and any individuals or entities identified in the Supplementary Conditions; include coverage for the respective officers, directors, members, partners, employees, agents, consultants, and subcontractors of each and any of all such additional insureds; and the insurance afforded to these additional insureds shall provide primary coverage for all claims covered thereby (including as applicable those arising from both ongoing and completed operations) on a non-contributory basis. Contractor shall obtain all necessary endorsements to support these requirements.
- H. *Contractor's professional liability insurance*: If Contractor will provide or furnish professional services under this Contract, through a delegation of professional design services or otherwise, then Contractor shall be responsible for purchasing and maintaining applicable professional liability insurance. This insurance shall provide protection against claims arising out of performance of professional design or related services, and caused by a negligent error, omission, or act for which the insured party is legally liable. It shall be maintained throughout the duration of the Contract and for a minimum of two years after Substantial Completion. If such professional design services are performed by a Subcontractor, and not by Contractor itself, then the requirements of this paragraph may be satisfied through the purchasing and maintenance of such insurance by such Subcontractor.
- I. *General provisions*: The policies of insurance required by this Paragraph 6.03 shall:
1. include at least the specific coverages provided in this Article.
  2. be written for not less than the limits of liability provided in this Article and in the Supplementary Conditions, or required by Laws or Regulations, whichever is greater.

3. contain a provision or endorsement that the coverage afforded will not be canceled, materially changed, or renewal refused until at least 10 days prior written notice has been given to Contractor. Within three days of receipt of any such written notice, Contractor shall provide a copy of the notice to Owner, Engineer, and each other insured under the policy.
  4. remain in effect at least until final payment (and longer if expressly required in this Article) and at all times thereafter when Contractor may be correcting, removing, or replacing defective Work as a warranty or correction obligation, or otherwise, or returning to the Site to conduct other tasks arising from the Contract Documents.
  5. be appropriate for the Work being performed and provide protection from claims that may arise out of or result from Contractor's performance of the Work and Contractor's other obligations under the Contract Documents, whether it is to be performed by Contractor, any Subcontractor or Supplier, or by anyone directly or indirectly employed by any of them to perform any of the Work, or by anyone for whose acts any of them may be liable.
- J. The coverage requirements for specific policies of insurance must be met by such policies, and not by reference to excess or umbrella insurance provided in other policies.

#### 6.04 Owner's Liability Insurance

- A. In addition to the insurance required to be provided by Contractor under Paragraph 6.03, Owner, at Owner's option, may purchase and maintain at Owner's expense Owner's own liability insurance as will protect Owner against claims which may arise from operations under the Contract Documents.
- B. Owner's liability policies, if any, operate separately and independently from policies required to be provided by Contractor, and Contractor cannot rely upon Owner's liability policies for any of Contractor's obligations to the Owner, Engineer, or third parties.

#### 6.05 Property Insurance

- A. *Builder's Risk*: Unless otherwise provided in the Supplementary Conditions, Contractor shall purchase and maintain builder's risk insurance upon the Work on a completed value basis, in the amount of the full insurable replacement cost thereof (subject to such deductible amounts as may be provided in the Supplementary Conditions or required by Laws and Regulations). This insurance shall:
  1. include the Owner and Contractor as named insureds, and all Subcontractors, and any individuals or entities required by the Supplementary Conditions to be insured under such builder's risk policy, as insureds or named insureds. For purposes of the remainder of this Paragraph 6.05, Paragraphs 6.06 and 6.07, and any corresponding Supplementary Conditions, the parties required to be insured shall collectively be referred to as "insureds."
  2. be written on a builder's risk "all risk" policy form that shall at least include insurance for physical loss or damage to the Work, temporary buildings, falsework, and materials and equipment in transit, and shall insure against at least the following perils or causes of loss: fire; lightning; windstorm; riot; civil commotion; terrorism; vehicle impact; aircraft; smoke; theft; vandalism and malicious mischief; mechanical breakdown, boiler explosion, and artificially generated electric current; earthquake; volcanic activity, and other earth movement; flood; collapse; explosion; debris removal; demolition occasioned by enforcement of Laws and Regulations; water damage (other than that caused by flood); and such other perils or causes of loss as may be specifically required by the Supplementary Conditions. If insurance against mechanical breakdown, boiler explosion, and artificially generated electric current; earthquake; volcanic activity, and other earth movement; or flood, are not commercially available under builder's risk policies, by endorsement or otherwise, such insurance may be provided through other insurance policies acceptable to Owner and Contractor.

3. cover, as insured property, at least the following: (a) the Work and all materials, supplies, machinery, apparatus, equipment, fixtures, and other property of a similar nature that are to be incorporated into or used in the preparation, fabrication, construction, erection, or completion of the Work, including Owner-furnished or assigned property; (b) spare parts inventory required within the scope of the Contract; and (c) temporary works which are not intended to form part of the permanent constructed Work but which are intended to provide working access to the Site, or to the Work under construction, or which are intended to provide temporary support for the Work under construction, including scaffolding, form work, fences, shoring, falsework, and temporary structures.
  4. cover expenses incurred in the repair or replacement of any insured property (including but not limited to fees and charges of engineers and architects).
  5. extend to cover damage or loss to insured property while in temporary storage at the Site or in a storage location outside the Site (but not including property stored at the premises of a manufacturer or Supplier).
  6. extend to cover damage or loss to insured property while in transit.
  7. allow for partial occupation or use of the Work by Owner, such that those portions of the Work that are not yet occupied or used by Owner shall remain covered by the builder's risk insurance.
  8. allow for the waiver of the insurer's subrogation rights, as set forth below.
  9. provide primary coverage for all losses and damages caused by the perils or causes of loss covered.
  10. not include a co-insurance clause.
  11. include an exception for ensuing losses from physical damage or loss with respect to any defective workmanship, design, or materials exclusions.
  12. include performance/hot testing and start-up.
  13. be maintained in effect, subject to the provisions herein regarding Substantial Completion and partial occupancy or use of the Work by Owner, until the Work is complete.
- B. *Notice of Cancellation or Change:* All the policies of insurance (and the certificates or other evidence thereof) required to be purchased and maintained in accordance with this Paragraph 6.05 will contain a provision or endorsement that the coverage afforded will not be canceled or materially changed or renewal refused until at least 10 days prior written notice has been given to the purchasing policyholder. Within three days of receipt of any such written notice, the purchasing policyholder shall provide a copy of the notice to each other insured.
- C. *Deductibles:* The purchaser of any required builder's risk or property insurance shall pay for costs not covered because of the application of a policy deductible.
- D. *Partial Occupancy or Use by Owner:* If Owner will occupy or use a portion or portions of the Work prior to Substantial Completion of all the Work as provided in Paragraph 15.04, then Owner (directly, if it is the purchaser of the builder's risk policy, or through Contractor) will provide notice of such occupancy or use to the builder's risk insurer. The builder's risk insurance shall not be canceled or permitted to lapse on account of any such partial use or occupancy; rather, those portions of the Work that are occupied or used by Owner may come off the builder's risk policy, while those portions of the Work not yet occupied or used by Owner shall remain covered by the builder's risk insurance.
- E. *Additional Insurance:* If Contractor elects to obtain other special insurance to be included in or supplement the builder's risk or property insurance policies provided under this Paragraph 6.05, it may do so at Contractor's expense.

- F. *Insurance of Other Property*: If the express insurance provisions of the Contract do not require or address the insurance of a property item or interest, such as tools, construction equipment, or other personal property owned by Contractor, a Subcontractor, or an employee of Contractor or a Subcontractor, then the entity or individual owning such property item will be responsible for deciding whether to insure it, and if so in what amount.

#### 6.06 Waiver of Rights

- A. All policies purchased in accordance with Paragraph 6.05, expressly including the builder's risk policy, shall contain provisions to the effect that in the event of payment of any loss or damage the insurers will have no rights of recovery against any insureds thereunder, or against Engineer or its consultants, or their officers, directors, members, partners, employees, agents, consultants, or subcontractors. Owner and Contractor waive all rights against each other and the respective officers, directors, members, partners, employees, agents, consultants, and subcontractors of each and any of them, for all losses and damages caused by, arising out of, or resulting from any of the perils or causes of loss covered by such policies and any other property insurance applicable to the Work; and, in addition, waive all such rights against Engineer, its consultants, all Subcontractors, all individuals or entities identified in the Supplementary Conditions as insureds, and the officers, directors, members, partners, employees, agents, consultants, and subcontractors of each and any of them, under such policies for losses and damages so caused. None of the above waivers shall extend to the rights that any party making such waiver may have to the proceeds of insurance held by Owner or Contractor as trustee or fiduciary, or otherwise payable under any policy so issued.
- B. Owner waives all rights against Contractor, Subcontractors, and Engineer, and the officers, directors, members, partners, employees, agents, consultants and subcontractors of each and any of them, for:
  - 1. loss due to business interruption, loss of use, or other consequential loss extending beyond direct physical loss or damage to Owner's property or the Work caused by, arising out of, or resulting from fire or other perils whether or not insured by Owner; and
  - 2. loss or damage to the completed Project or part thereof caused by, arising out of, or resulting from fire or other insured peril or cause of loss covered by any property insurance maintained on the completed Project or part thereof by Owner during partial occupancy or use pursuant to Paragraph 15.04, after Substantial Completion pursuant to Paragraph 15.03, or after final payment pursuant to Paragraph 15.06.
- C. Any insurance policy maintained by Owner covering any loss, damage or consequential loss referred to in Paragraph 6.06.B shall contain provisions to the effect that in the event of payment of any such loss, damage, or consequential loss, the insurers will have no rights of recovery against Contractor, Subcontractors, or Engineer, or the officers, directors, members, partners, employees, agents, consultants, or subcontractors of each and any of them.
- D. Contractor shall be responsible for assuring that the agreement under which a Subcontractor performs a portion of the Work contains provisions whereby the Subcontractor waives all rights against Owner, Contractor, all individuals or entities identified in the Supplementary Conditions as insureds, the Engineer and its consultants, and the officers, directors, members, partners, employees, agents, consultants, and subcontractors of each and any of them, for all losses and damages caused by, arising out of, relating to, or resulting from any of the perils or causes of loss covered by builder's risk insurance and any other property insurance applicable to the Work.

#### 6.07 Receipt and Application of Property Insurance Proceeds

- A. Any insured loss under the builder's risk and other policies of insurance required by Paragraph 6.05 will be adjusted and settled with the named insured that purchased the policy. Such named insured shall act as fiduciary for the other insureds, and give notice to such other insureds that adjustment

and settlement of a claim is in progress. Any other insured may state its position regarding a claim for insured loss in writing within 15 days after notice of such claim.

- B. Proceeds for such insured losses may be made payable by the insurer either jointly to multiple insureds, or to the named insured that purchased the policy in its own right and as fiduciary for other insureds, subject to the requirements of any applicable mortgage clause. A named insured receiving insurance proceeds under the builder's risk and other policies of insurance required by Paragraph 6.05 shall distribute such proceeds in accordance with such agreement as the parties in interest may reach, or as otherwise required under the dispute resolution provisions of this Contract or applicable Laws and Regulations.
- C. If no other special agreement is reached, the damaged Work shall be repaired or replaced, the money so received applied on account thereof, and the Work and the cost thereof covered by Change Order, if needed.

## **ARTICLE 7 – CONTRACTOR'S RESPONSIBILITIES**

### **7.01 Supervision and Superintendence**

- A. Contractor shall supervise, inspect, and direct the Work competently and efficiently, devoting such attention thereto and applying such skills and expertise as may be necessary to perform the Work in accordance with the Contract Documents. Contractor shall be solely responsible for the means, methods, techniques, sequences, and procedures of construction.
- B. At all times during the progress of the Work, Contractor shall assign a competent resident superintendent who shall not be replaced without written notice to Owner and Engineer except under extraordinary circumstances.

### **7.02 Labor; Working Hours**

- A. Contractor shall provide competent, suitably qualified personnel to survey and lay out the Work and perform construction as required by the Contract Documents. Contractor shall at all times maintain good discipline and order at the Site.
- B. Except as otherwise required for the safety or protection of persons or the Work or property at the Site or adjacent thereto, and except as otherwise stated in the Contract Documents, all Work at the Site shall be performed during regular working hours, Monday through Friday. Contractor will not perform Work on a Saturday, Sunday, or any legal holiday. Contractor may perform Work outside regular working hours or on Saturdays, Sundays, or legal holidays only with Owner's written consent, which will not be unreasonably withheld.

### **7.03 Services, Materials, and Equipment**

- A. Unless otherwise specified in the Contract Documents, Contractor shall provide and assume full responsibility for all services, materials, equipment, labor, transportation, construction equipment and machinery, tools, appliances, fuel, power, light, heat, telephone, water, sanitary facilities, temporary facilities, and all other facilities and incidentals necessary for the performance, testing, start up, and completion of the Work, whether or not such items are specifically called for in the Contract Documents.
- B. All materials and equipment incorporated into the Work shall be of good quality and new, except as otherwise provided in the Contract Documents. All special warranties and guarantees required by the Specifications shall expressly run to the benefit of Owner. If required by Engineer, Contractor shall furnish satisfactory evidence (including reports of required tests) as to the source, kind, and quality of materials and equipment.

- C. All materials and equipment shall be stored, applied, installed, connected, erected, protected, used, cleaned, and conditioned in accordance with instructions of the applicable Supplier, except as otherwise may be provided in the Contract Documents.

#### 7.04 "Or Equals"

- A. Whenever an item of material or equipment is specified or described in the Contract Documents by using the name of a proprietary item or the name of a particular Supplier, the Contract Price has been based upon Contractor furnishing such item as specified. The specification or description of such an item is intended to establish the type, function, appearance, and quality required. Unless the specification or description contains or is followed by words reading that no like, equivalent, or "or equal" item is permitted, Contractor may request that Engineer authorize the use of other items of material or equipment, or items from other proposed suppliers under the circumstances described below.
  - 1. If Engineer in its sole discretion determines that an item of material or equipment proposed by Contractor is functionally equal to that named and sufficiently similar so that no change in related Work will be required, Engineer shall deem it an "or equal" item. For the purposes of this paragraph, a proposed item of material or equipment will be considered functionally equal to an item so named if:
    - a. in the exercise of reasonable judgment Engineer determines that:
      - 1) it is at least equal in materials of construction, quality, durability, appearance, strength, and design characteristics;
      - 2) it will reliably perform at least equally well the function and achieve the results imposed by the design concept of the completed Project as a functioning whole;
      - 3) it has a proven record of performance and availability of responsive service; and
      - 4) it is not objectionable to Owner.
    - b. Contractor certifies that, if approved and incorporated into the Work:
      - 1) there will be no increase in cost to the Owner or increase in Contract Times; and
      - 2) it will conform substantially to the detailed requirements of the item named in the Contract Documents.
- B. *Contractor's Expense:* Contractor shall provide all data in support of any proposed "or equal" item at Contractor's expense.
- C. *Engineer's Evaluation and Determination:* Engineer will be allowed a reasonable time to evaluate each "or-equal" request. Engineer may require Contractor to furnish additional data about the proposed "or-equal" item. Engineer will be the sole judge of acceptability. No "or-equal" item will be ordered, furnished, installed, or utilized until Engineer's review is complete and Engineer determines that the proposed item is an "or-equal", which will be evidenced by an approved Shop Drawing or other written communication. Engineer will advise Contractor in writing of any negative determination.
- D. *Effect of Engineer's Determination:* Neither approval nor denial of an "or-equal" request shall result in any change in Contract Price. The Engineer's denial of an "or-equal" request shall be final and binding, and may not be reversed through an appeal under any provision of the Contract Documents.
- E. *Treatment as a Substitution Request:* If Engineer determines that an item of material or equipment proposed by Contractor does not qualify as an "or-equal" item, Contractor may request that Engineer considered the proposed item as a substitute pursuant to Paragraph 7.05.

## 7.05 Substitutes

- A. Unless the specification or description of an item of material or equipment required to be furnished under the Contract Documents contains or is followed by words reading that no substitution is permitted, Contractor may request that Engineer authorize the use of other items of material or equipment under the circumstances described below. To the extent possible such requests shall be made before commencement of related construction at the Site.
1. Contractor shall submit sufficient information as provided below to allow Engineer to determine if the item of material or equipment proposed is functionally equivalent to that named and an acceptable substitute therefor. Engineer will not accept requests for review of proposed substitute items of material or equipment from anyone other than Contractor.
  2. The requirements for review by Engineer will be as set forth in Paragraph 7.05.B, as supplemented by the Specifications, and as Engineer may decide is appropriate under the circumstances.
  3. Contractor shall make written application to Engineer for review of a proposed substitute item of material or equipment that Contractor seeks to furnish or use. The application:
    - a. shall certify that the proposed substitute item will:
      - 1) perform adequately the functions and achieve the results called for by the general design,
      - 2) be similar in substance to that specified, and
      - 3) be suited to the same use as that specified.
    - b. will state:
      - 1) the extent, if any, to which the use of the proposed substitute item will necessitate a change in Contract Times,
      - 2) whether use of the proposed substitute item in the Work will require a change in any of the Contract Documents (or in the provisions of any other direct contract with Owner for other work on the Project) to adapt the design to the proposed substitute item, and
      - 3) whether incorporation or use of the proposed substitute item in connection with the Work is subject to payment of any license fee or royalty.
    - c. will identify:
      - 1) all variations of the proposed substitute item from that specified, and
      - 2) available engineering, sales, maintenance, repair, and replacement services.
    - d. shall contain an itemized estimate of all costs or credits that will result directly or indirectly from use of such substitute item, including but not limited to changes in Contract Price, shared savings, costs of redesign, and claims of other contractors affected by any resulting change.
- B. *Engineer's Evaluation and Determination:* Engineer will be allowed a reasonable time to evaluate each substitute request, and to obtain comments and direction from Owner. Engineer may require Contractor to furnish additional data about the proposed substitute item. Engineer will be the sole judge of acceptability. No substitute will be ordered, furnished, installed, or utilized until Engineer's review is complete and Engineer determines that the proposed item is an acceptable substitute. Engineer's determination will be evidenced by a Field Order or a proposed Change Order accounting

for the substitution itself and all related impacts, including changes in Contract Price or Contract Times. Engineer will advise Contractor in writing of any negative determination.

- C. *Special Guarantee*: Owner may require Contractor to furnish at Contractor's expense a special performance guarantee or other surety with respect to any substitute.
- D. *Reimbursement of Engineer's Cost*: Engineer will record Engineer's costs in evaluating a substitute proposed or submitted by Contractor. Whether or not Engineer approves a substitute so proposed or submitted by Contractor, Contractor shall reimburse Owner for the reasonable charges of Engineer for evaluating each such proposed substitute. Contractor shall also reimburse Owner for the reasonable charges of Engineer for making changes in the Contract Documents (or in the provisions of any other direct contract with Owner) resulting from the acceptance of each proposed substitute.
- E. *Contractor's Expense*: Contractor shall provide all data in support of any proposed substitute at Contractor's expense.
- F. *Effect of Engineer's Determination*: If Engineer approves the substitution request, Contractor shall execute the proposed Change Order and proceed with the substitution. The Engineer's denial of a substitution request shall be final and binding, and may not be reversed through an appeal under any provision of the Contract Documents. Contractor may challenge the scope of reimbursement costs imposed under Paragraph 7.05.D, by timely submittal of a Change Proposal.

#### 7.06 Concerning Subcontractors, Suppliers, and Others

- A. Contractor may retain Subcontractors and Suppliers for the performance of parts of the Work. Such Subcontractors and Suppliers must be acceptable to Owner.
- B. Contractor shall retain specific Subcontractors, Suppliers, or other individuals or entities for the performance of designated parts of the Work if required by the Contract to do so.
- C. Subsequent to the submittal of Contractor's Bid or final negotiation of the terms of the Contract, Owner may not require Contractor to retain any Subcontractor, Supplier, or other individual or entity to furnish or perform any of the Work against which Contractor has reasonable objection.
- D. Prior to entry into any binding subcontract or purchase order, Contractor shall submit to Owner the identity of the proposed Subcontractor or Supplier (unless Owner has already deemed such proposed Subcontractor or Supplier acceptable, during the bidding process or otherwise). Such proposed Subcontractor or Supplier shall be deemed acceptable to Owner unless Owner raises a substantive, reasonable objection within five days.
- E. Owner may require the replacement of any Subcontractor, Supplier, or other individual or entity retained by Contractor to perform any part of the Work. Owner also may require Contractor to retain specific replacements; provided, however, that Owner may not require a replacement to which Contractor has a reasonable objection. If Contractor has submitted the identity of certain Subcontractors, Suppliers, or other individuals or entities for acceptance by Owner, and Owner has accepted it (either in writing or by failing to make written objection thereto), then Owner may subsequently revoke the acceptance of any such Subcontractor, Supplier, or other individual or entity so identified solely on the basis of substantive, reasonable objection after due investigation. Contractor shall submit an acceptable replacement for the rejected Subcontractor, Supplier, or other individual or entity.
- F. If Owner requires the replacement of any Subcontractor, Supplier, or other individual or entity retained by Contractor to perform any part of the Work, then Contractor shall be entitled to an adjustment in Contract Price or Contract Times, or both, with respect to the replacement; and

Contractor shall initiate a Change Proposal for such adjustment within 30 days of Owner's requirement of replacement.

- G. No acceptance by Owner of any such Subcontractor, Supplier, or other individual or entity, whether initially or as a replacement, shall constitute a waiver of the right of Owner to the completion of the Work in accordance with the Contract Documents.
- H. On a monthly basis Contractor shall submit to Engineer a complete list of all Subcontractors and Suppliers having a direct contract with Contractor, and of all other Subcontractors and Suppliers known to Contractor at the time of submittal.
- I. Contractor shall be fully responsible to Owner and Engineer for all acts and omissions of the Subcontractors, Suppliers, and other individuals or entities performing or furnishing any of the Work just as Contractor is responsible for Contractor's own acts and omissions.
- J. Contractor shall be solely responsible for scheduling and coordinating the work of Subcontractors, Suppliers, and all other individuals or entities performing or furnishing any of the Work.
- K. Contractor shall restrict all Subcontractors, Suppliers, and such other individuals or entities performing or furnishing any of the Work from communicating with Engineer or Owner, except through Contractor or in case of an emergency, or as otherwise expressly allowed herein.
- L. The divisions and sections of the Specifications and the identifications of any Drawings shall not control Contractor in dividing the Work among Subcontractors or Suppliers or delineating the Work to be performed by any specific trade.
- M. All Work performed for Contractor by a Subcontractor or Supplier shall be pursuant to an appropriate contractual agreement that specifically binds the Subcontractor or Supplier to the applicable terms and conditions of the Contract Documents for the benefit of Owner and Engineer.
- N. Owner may furnish to any Subcontractor or Supplier, to the extent practicable, information about amounts paid to Contractor on account of Work performed for Contractor by the particular Subcontractor or Supplier.
- O. Nothing in the Contract Documents:
  - 1. shall create for the benefit of any such Subcontractor, Supplier, or other individual or entity any contractual relationship between Owner or Engineer and any such Subcontractor, Supplier, or other individual or entity; nor
  - 2. shall create any obligation on the part of Owner or Engineer to pay or to see to the payment of any money due any such Subcontractor, Supplier, or other individual or entity except as may otherwise be required by Laws and Regulations.

#### 7.07 Patent Fees and Royalties

- A. Contractor shall pay all license fees and royalties and assume all costs incident to the use in the performance of the Work or the incorporation in the Work of any invention, design, process, product, or device which is the subject of patent rights or copyrights held by others. If a particular invention, design, process, product, or device is specified in the Contract Documents for use in the performance of the Work and if, to the actual knowledge of Owner or Engineer, its use is subject to patent rights or copyrights calling for the payment of any license fee or royalty to others, the existence of such rights shall be disclosed by Owner in the Contract Documents.
- B. To the fullest extent permitted by Laws and Regulations, Owner shall indemnify and hold harmless Contractor, and its officers, directors, members, partners, employees, agents, consultants, and subcontractors from and against all claims, costs, losses, and damages (including but not limited to all fees and charges of engineers, architects, attorneys, and other professionals, and all court or

arbitration or other dispute resolution costs) arising out of or relating to any infringement of patent rights or copyrights incident to the use in the performance of the Work or resulting from the incorporation in the Work of any invention, design, process, product, or device specified in the Contract Documents, but not identified as being subject to payment of any license fee or royalty to others required by patent rights or copyrights.

- C. To the fullest extent permitted by Laws and Regulations, Contractor shall indemnify and hold harmless Owner and Engineer, and the officers, directors, members, partners, employees, agents, consultants and subcontractors of each and any of them from and against all claims, costs, losses, and damages (including but not limited to all fees and charges of engineers, architects, attorneys, and other professionals and all court or arbitration or other dispute resolution costs) arising out of or relating to any infringement of patent rights or copyrights incident to the use in the performance of the Work or resulting from the incorporation in the Work of any invention, design, process, product, or device not specified in the Contract Documents.

#### 7.08 Permits

- A. Unless otherwise provided in the Contract Documents, Contractor shall obtain and pay for all construction permits and licenses. Owner shall assist Contractor, when necessary, in obtaining such permits and licenses. Contractor shall pay all governmental charges and inspection fees necessary for the prosecution of the Work which are applicable at the time of the submission of Contractor's Bid (or when Contractor became bound under a negotiated contract). Owner shall pay all charges of utility owners for connections for providing permanent service to the Work

#### 7.09 Taxes

- A. Contractor shall pay all sales, consumer, use, and other similar taxes required to be paid by Contractor in accordance with the Laws and Regulations of the place of the Project which are applicable during the performance of the Work.

#### 7.10 Laws and Regulations

- A. Contractor shall give all notices required by and shall comply with all Laws and Regulations applicable to the performance of the Work. Except where otherwise expressly required by applicable Laws and Regulations, neither Owner nor Engineer shall be responsible for monitoring Contractor's compliance with any Laws or Regulations.
- B. If Contractor performs any Work or takes any other action knowing or having reason to know that it is contrary to Laws or Regulations, Contractor shall bear all resulting costs and losses, and shall indemnify and hold harmless Owner and Engineer, and the officers, directors, members, partners, employees, agents, consultants, and subcontractors of each and any of them from and against all claims, costs, losses, and damages (including but not limited to all fees and charges of engineers, architects, attorneys, and other professionals and all court or arbitration or other dispute resolution costs) arising out of or relating to such Work or other action. It shall not be Contractor's responsibility to make certain that the Work described in the Contract Documents is in accordance with Laws and Regulations, but this shall not relieve Contractor of Contractor's obligations under Paragraph 3.03.
- C. Owner or Contractor may give notice to the other party of any changes after the submission of Contractor's Bid (or after the date when Contractor became bound under a negotiated contract) in Laws or Regulations having an effect on the cost or time of performance of the Work, including but not limited to changes in Laws or Regulations having an effect on procuring permits and on sales, use, value-added, consumption, and other similar taxes. If Owner and Contractor are unable to agree on entitlement to or on the amount or extent, if any, of any adjustment in Contract Price or Contract Times resulting from such changes, then within 30 days of such notice Contractor may submit a Change Proposal, or Owner may initiate a Claim.

## 7.11 Record Documents

- A. Contractor shall maintain in a safe place at the Site one printed record copy of all Drawings, Specifications, Addenda, Change Orders, Work Change Directives, Field Orders, written interpretations and clarifications, and approved Shop Drawings. Contractor shall keep such record documents in good order and annotate them to show changes made during construction. These record documents, together with all approved Samples, will be available to Engineer for reference. Upon completion of the Work, Contractor shall deliver these record documents to Engineer.

## 7.12 Safety and Protection

- A. Contractor shall be solely responsible for initiating, maintaining, and supervising all safety precautions and programs in connection with the Work. Such responsibility does not relieve Subcontractors of their responsibility for the safety of persons or property in the performance of their work, nor for compliance with applicable safety Laws and Regulations. Contractor shall take all necessary precautions for the safety of, and shall provide the necessary protection to prevent damage, injury, or loss to:
  - 1. all persons on the Site or who may be affected by the Work;
  - 2. all the Work and materials and equipment to be incorporated therein, whether in storage on or off the Site; and
  - 3. other property at the Site or adjacent thereto, including trees, shrubs, lawns, walks, pavements, roadways, structures, other work in progress, utilities, and Underground Facilities not designated for removal, relocation, or replacement in the course of construction.
- B. Contractor shall comply with all applicable Laws and Regulations relating to the safety of persons or property, or to the protection of persons or property from damage, injury, or loss; and shall erect and maintain all necessary safeguards for such safety and protection. Contractor shall notify Owner; the owners of adjacent property, Underground Facilities, and other utilities; and other contractors and utility owners performing work at or adjacent to the Site, when prosecution of the Work may affect them, and shall cooperate with them in the protection, removal, relocation, and replacement of their property or work in progress.
- C. Contractor shall comply with the applicable requirements of Owner's safety programs, if any. The Supplementary Conditions identify any Owner's safety programs that are applicable to the Work.
- D. Contractor shall inform Owner and Engineer of the specific requirements of Contractor's safety program with which Owner's and Engineer's employees and representatives must comply while at the Site.
- E. All damage, injury, or loss to any property referred to in Paragraph 7.12.A.2 or 7.12.A.3 caused, directly or indirectly, in whole or in part, by Contractor, any Subcontractor, Supplier, or any other individual or entity directly or indirectly employed by any of them to perform any of the Work, or anyone for whose acts any of them may be liable, shall be remedied by Contractor at its expense (except damage or loss attributable to the fault of Drawings or Specifications or to the acts or omissions of Owner or Engineer or anyone employed by any of them, or anyone for whose acts any of them may be liable, and not attributable, directly or indirectly, in whole or in part, to the fault or negligence of Contractor or any Subcontractor, Supplier, or other individual or entity directly or indirectly employed by any of them).
- F. Contractor's duties and responsibilities for safety and protection shall continue until such time as all the Work is completed and Engineer has issued a notice to Owner and Contractor in accordance with Paragraph 15.06.B that the Work is acceptable (except as otherwise expressly provided in connection with Substantial Completion).

- G. Contractor's duties and responsibilities for safety and protection shall resume whenever Contractor or any Subcontractor or Supplier returns to the Site to fulfill warranty or correction obligations, or to conduct other tasks arising from the Contract Documents.

#### 7.13 Safety Representative

- A. Contractor shall designate a qualified and experienced safety representative at the Site whose duties and responsibilities shall be the prevention of accidents and the maintaining and supervising of safety precautions and programs.

#### 7.14 Hazard Communication Programs

- A. Contractor shall be responsible for coordinating any exchange of material safety data sheets or other hazard communication information required to be made available to or exchanged between or among employers at the Site in accordance with Laws or Regulations.

#### 7.15 Emergencies

- A. In emergencies affecting the safety or protection of persons or the Work or property at the Site or adjacent thereto, Contractor is obligated to act to prevent threatened damage, injury, or loss. Contractor shall give Engineer prompt written notice if Contractor believes that any significant changes in the Work or variations from the Contract Documents have been caused thereby or are required as a result thereof. If Engineer determines that a change in the Contract Documents is required because of the action taken by Contractor in response to such an emergency, a Work Change Directive or Change Order will be issued.

#### 7.16 Shop Drawings, Samples, and Other Submittals

##### A. *Shop Drawing and Sample Submittal Requirements:*

1. Before submitting a Shop Drawing or Sample, Contractor shall have:
  - a. reviewed and coordinated the Shop Drawing or Sample with other Shop Drawings and Samples and with the requirements of the Work and the Contract Documents;
  - b. determined and verified all field measurements, quantities, dimensions, specified performance and design criteria, installation requirements, materials, catalog numbers, and similar information with respect thereto;
  - c. determined and verified the suitability of all materials and equipment offered with respect to the indicated application, fabrication, shipping, handling, storage, assembly, and installation pertaining to the performance of the Work; and
  - d. determined and verified all information relative to Contractor's responsibilities for means, methods, techniques, sequences, and procedures of construction, and safety precautions and programs incident thereto.
2. Each submittal shall bear a stamp or specific written certification that Contractor has satisfied Contractor's obligations under the Contract Documents with respect to Contractor's review of that submittal, and that Contractor approves the submittal.
3. With each submittal, Contractor shall give Engineer specific written notice of any variations that the Shop Drawing or Sample may have from the requirements of the Contract Documents. This notice shall be set forth in a written communication separate from the Shop Drawings or Sample submittal; and, in addition, in the case of Shop Drawings by a specific notation made on each Shop Drawing submitted to Engineer for review and approval of each such variation.

- B. *Submittal Procedures for Shop Drawings and Samples:* Contractor shall submit Shop Drawings and Samples to Engineer for review and approval in accordance with the accepted Schedule of Submittals. Each submittal will be identified as Engineer may require.
1. *Shop Drawings:*
    - a. Contractor shall submit the number of copies required in the Specifications.
    - b. Data shown on the Shop Drawings will be complete with respect to quantities, dimensions, specified performance and design criteria, materials, and similar data to show Engineer the services, materials, and equipment Contractor proposes to provide and to enable Engineer to review the information for the limited purposes required by Paragraph 7.16.D.
  2. *Samples:*
    - a. Contractor shall submit the number of Samples required in the Specifications.
    - b. Contractor shall clearly identify each Sample as to material, Supplier, pertinent data such as catalog numbers, the use for which intended and other data as Engineer may require to enable Engineer to review the submittal for the limited purposes required by Paragraph 7.16.D.
  3. Where a Shop Drawing or Sample is required by the Contract Documents or the Schedule of Submittals, any related Work performed prior to Engineer's review and approval of the pertinent submittal will be at the sole expense and responsibility of Contractor.
- C. *Other Submittals:* Contractor shall submit other submittals to Engineer in accordance with the accepted Schedule of Submittals, and pursuant to the applicable terms of the Specifications.
- D. *Engineer's Review:*
1. Engineer will provide timely review of Shop Drawings and Samples in accordance with the Schedule of Submittals acceptable to Engineer. Engineer's review and approval will be only to determine if the items covered by the submittals will, after installation or incorporation in the Work, conform to the information given in the Contract Documents and be compatible with the design concept of the completed Project as a functioning whole as indicated by the Contract Documents.
  2. Engineer's review and approval will not extend to means, methods, techniques, sequences, or procedures of construction or to safety precautions or programs incident thereto.
  3. Engineer's review and approval of a separate item as such will not indicate approval of the assembly in which the item functions.
  4. Engineer's review and approval of a Shop Drawing or Sample shall not relieve Contractor from responsibility for any variation from the requirements of the Contract Documents unless Contractor has complied with the requirements of Paragraph 7.16.A.3 and Engineer has given written approval of each such variation by specific written notation thereof incorporated in or accompanying the Shop Drawing or Sample. Engineer will document any such approved variation from the requirements of the Contract Documents in a Field Order.
  5. Engineer's review and approval of a Shop Drawing or Sample shall not relieve Contractor from responsibility for complying with the requirements of Paragraph 7.16.A and B.
  6. Engineer's review and approval of a Shop Drawing or Sample, or of a variation from the requirements of the Contract Documents, shall not, under any circumstances, change the Contract Times or Contract Price, unless such changes are included in a Change Order.

7. Neither Engineer's receipt, review, acceptance or approval of a Shop Drawing, Sample, or other submittal shall result in such item becoming a Contract Document.
8. Contractor shall perform the Work in compliance with the requirements and commitments set forth in approved Shop Drawings and Samples, subject to the provisions of Paragraph 7.16.D.4.

E. *Resubmittal Procedures:*

1. Contractor shall make corrections required by Engineer and shall return the required number of corrected copies of Shop Drawings and submit, as required, new Samples for review and approval. Contractor shall direct specific attention in writing to revisions other than the corrections called for by Engineer on previous submittals.
2. Contractor shall furnish required submittals with sufficient information and accuracy to obtain required approval of an item with no more than three submittals. Engineer will record Engineer's time for reviewing a fourth or subsequent submittal of a Shop Drawings, sample, or other item requiring approval, and Contractor shall be responsible for Engineer's charges to Owner for such time. Owner may impose a set-off against payments due to Contractor to secure reimbursement for such charges.
3. If Contractor requests a change of a previously approved submittal item, Contractor shall be responsible for Engineer's charges to Owner for its review time, and Owner may impose a set-off against payments due to Contractor to secure reimbursement for such charges, unless the need for such change is beyond the control of Contractor.

7.17 Contractor's General Warranty and Guarantee

- A. Contractor warrants and guarantees to Owner that all Work will be in accordance with the Contract Documents and will not be defective. Engineer and its officers, directors, members, partners, employees, agents, consultants, and subcontractors shall be entitled to rely on Contractor's warranty and guarantee.
- B. Contractor's warranty and guarantee hereunder excludes defects or damage caused by:
  1. abuse, modification, or improper maintenance or operation by persons other than Contractor, Subcontractors, Suppliers, or any other individual or entity for whom Contractor is responsible;  
or
  2. normal wear and tear under normal usage.
- C. Contractor's obligation to perform and complete the Work in accordance with the Contract Documents shall be absolute. None of the following will constitute an acceptance of Work that is not in accordance with the Contract Documents or a release of Contractor's obligation to perform the Work in accordance with the Contract Documents:
  1. observations by Engineer;
  2. recommendation by Engineer or payment by Owner of any progress or final payment;
  3. the issuance of a certificate of Substantial Completion by Engineer or any payment related thereto by Owner;
  4. use or occupancy of the Work or any part thereof by Owner;
  5. any review and approval of a Shop Drawing or Sample submittal;
  6. the issuance of a notice of acceptability by Engineer;
  7. any inspection, test, or approval by others; or
  8. any correction of defective Work by Owner.

- D. If the Contract requires the Contractor to accept the assignment of a contract entered into by Owner, then the specific warranties, guarantees, and correction obligations contained in the assigned contract shall govern with respect to Contractor's performance obligations to Owner for the Work described in the assigned contract.

#### 7.18 Indemnification

- A. To the fullest extent permitted by Laws and Regulations, and in addition to any other obligations of Contractor under the Contract or otherwise, Contractor shall indemnify and hold harmless Owner and Engineer, and the officers, directors, members, partners, employees, agents, consultants and subcontractors of each and any of them from and against all claims, costs, losses, and damages (including but not limited to all fees and charges of engineers, architects, attorneys, and other professionals and all court or arbitration or other dispute resolution costs) arising out of or relating to the performance of the Work, provided that any such claim, cost, loss, or damage is attributable to bodily injury, sickness, disease, or death, or to injury to or destruction of tangible property (other than the Work itself), including the loss of use resulting therefrom but only to the extent caused by any negligent act or omission of Contractor, any Subcontractor, any Supplier, or any individual or entity directly or indirectly employed by any of them to perform any of the Work or anyone for whose acts any of them may be liable.
- B. In any and all claims against Owner or Engineer or any of their officers, directors, members, partners, employees, agents, consultants, or subcontractors by any employee (or the survivor or personal representative of such employee) of Contractor, any Subcontractor, any Supplier, or any individual or entity directly or indirectly employed by any of them to perform any of the Work, or anyone for whose acts any of them may be liable, the indemnification obligation under Paragraph 7.18.A shall not be limited in any way by any limitation on the amount or type of damages, compensation, or benefits payable by or for Contractor or any such Subcontractor, Supplier, or other individual or entity under workers' compensation acts, disability benefit acts, or other employee benefit acts.
- C. The indemnification obligations of Contractor under Paragraph 7.18.A shall not extend to the liability of Engineer and Engineer's officers, directors, members, partners, employees, agents, consultants and subcontractors arising out of:
  - 1. the preparation or approval of, or the failure to prepare or approve maps, Drawings, opinions, reports, surveys, Change Orders, designs, or Specifications; or
  - 2. giving directions or instructions, or failing to give them, if that is the primary cause of the injury or damage.

#### 7.19 Delegation of Professional Design Services

- A. Contractor will not be required to provide professional design services unless such services are specifically required by the Contract Documents for a portion of the Work or unless such services are required to carry out Contractor's responsibilities for construction means, methods, techniques, sequences and procedures. Contractor shall not be required to provide professional services in violation of applicable Laws and Regulations.
- B. If professional design services or certifications by a design professional related to systems, materials, or equipment are specifically required of Contractor by the Contract Documents, Owner and Engineer will specify all performance and design criteria that such services must satisfy. Contractor shall cause such services or certifications to be provided by a properly licensed professional, whose signature and seal shall appear on all drawings, calculations, specifications, certifications, and other submittals prepared by such professional. Shop Drawings and other submittals related to the Work designed or certified by such professional, if prepared by others, shall bear such professional's written approval when submitted to Engineer.

- C. Owner and Engineer shall be entitled to rely upon the adequacy, accuracy, and completeness of the services, certifications, or approvals performed by such design professionals, provided Owner and Engineer have specified to Contractor all performance and design criteria that such services must satisfy.
- D. Pursuant to this paragraph, Engineer's review and approval of design calculations and design drawings will be only for the limited purpose of checking for conformance with performance and design criteria given and the design concept expressed in the Contract Documents. Engineer's review and approval of Shop Drawings and other submittals (except design calculations and design drawings) will be only for the purpose stated in Paragraph 7.16.D.1.
- E. Contractor shall not be responsible for the adequacy of the performance or design criteria specified by Owner or Engineer.

## **ARTICLE 8 – OTHER WORK AT THE SITE**

### **8.01 Other Work**

- A. In addition to and apart from the Work under the Contract Documents, the Owner may perform other work at or adjacent to the Site. Such other work may be performed by Owner's employees, or through contracts between the Owner and third parties. Owner may also arrange to have third-party utility owners perform work on their utilities and facilities at or adjacent to the Site.
- B. If Owner performs other work at or adjacent to the Site with Owner's employees, or through contracts for such other work, then Owner shall give Contractor written notice thereof prior to starting any such other work. If Owner has advance information regarding the start of any utility work at or adjacent to the Site, Owner shall provide such information to Contractor.
- C. Contractor shall afford each other contractor that performs such other work, each utility owner performing other work, and Owner, if Owner is performing other work with Owner's employees, proper and safe access to the Site, and provide a reasonable opportunity for the introduction and storage of materials and equipment and the execution of such other work. Contractor shall do all cutting, fitting, and patching of the Work that may be required to properly connect or otherwise make its several parts come together and properly integrate with such other work. Contractor shall not endanger any work of others by cutting, excavating, or otherwise altering such work; provided, however, that Contractor may cut or alter others' work with the written consent of Engineer and the others whose work will be affected.
- D. If the proper execution or results of any part of Contractor's Work depends upon work performed by others under this Article 8, Contractor shall inspect such other work and promptly report to Engineer in writing any delays, defects, or deficiencies in such other work that render it unavailable or unsuitable for the proper execution and results of Contractor's Work. Contractor's failure to so report will constitute an acceptance of such other work as fit and proper for integration with Contractor's Work except for latent defects and deficiencies in such other work.

### **8.02 Coordination**

- A. If Owner intends to contract with others for the performance of other work at or adjacent to the Site, to perform other work at or adjacent to the Site with Owner's employees, or to arrange to have utility owners perform work at or adjacent to the Site, the following will be set forth in the Supplementary Conditions or provided to Contractor prior to the start of any such other work:
  - 1. the identity of the individual or entity that will have authority and responsibility for coordination of the activities among the various contractors;
  - 2. an itemization of the specific matters to be covered by such authority and responsibility; and

3. the extent of such authority and responsibilities.

- B. Unless otherwise provided in the Supplementary Conditions, Owner shall have sole authority and responsibility for such coordination.

### 8.03 Legal Relationships

- A. If, in the course of performing other work at or adjacent to the Site for Owner, the Owner's employees, any other contractor working for Owner, or any utility owner causes damage to the Work or to the property of Contractor or its Subcontractors, or delays, disrupts, interferes with, or increases the scope or cost of the performance of the Work, through actions or inaction, then Contractor shall be entitled to an equitable adjustment in the Contract Price or the Contract Times, or both. Contractor must submit any Change Proposal seeking an equitable adjustment in the Contract Price or the Contract Times under this paragraph within 30 days of the damaging, delaying, disrupting, or interfering event. The entitlement to, and extent of, any such equitable adjustment shall take into account information (if any) regarding such other work that was provided to Contractor in the Contract Documents prior to the submittal of the Bid or the final negotiation of the terms of the Contract. When applicable, any such equitable adjustment in Contract Price shall be conditioned on Contractor assigning to Owner all Contractor's rights against such other contractor or utility owner with respect to the damage, delay, disruption, or interference that is the subject of the adjustment. Contractor's entitlement to an adjustment of the Contract Times is conditioned on such adjustment being essential to Contractor's ability to complete the Work within the Contract Times.
- B. Contractor shall take reasonable and customary measures to avoid damaging, delaying, disrupting, or interfering with the work of Owner, any other contractor, or any utility owner performing other work at or adjacent to the Site. If Contractor fails to take such measures and as a result damages, delays, disrupts, or interferes with the work of any such other contractor or utility owner, then Owner may impose a set-off against payments due to Contractor, and assign to such other contractor or utility owner the Owner's contractual rights against Contractor with respect to the breach of the obligations set forth in this paragraph.
- C. When Owner is performing other work at or adjacent to the Site with Owner's employees, Contractor shall be liable to Owner for damage to such other work, and for the reasonable direct delay, disruption, and interference costs incurred by Owner as a result of Contractor's failure to take reasonable and customary measures with respect to Owner's other work. In response to such damage, delay, disruption, or interference, Owner may impose a set-off against payments due to Contractor.
- D. If Contractor damages, delays, disrupts, or interferes with the work of any other contractor, or any utility owner performing other work at or adjacent to the Site, through Contractor's failure to take reasonable and customary measures to avoid such impacts, or if any claim arising out of Contractor's actions, inactions, or negligence in performance of the Work at or adjacent to the Site is made by any such other contractor or utility owner against Contractor, Owner, or Engineer, then Contractor shall (1) promptly attempt to settle the claim as to all parties through negotiations with such other contractor or utility owner, or otherwise resolve the claim by arbitration or other dispute resolution proceeding or at law, and (2) indemnify and hold harmless Owner and Engineer, and the officers, directors, members, partners, employees, agents, consultants and subcontractors of each and any of them from and against any such claims, and against all costs, losses, and damages (including but not limited to all fees and charges of engineers, architects, attorneys, and other professionals and all court or arbitration or other dispute resolution costs) arising out of or relating to such damage, delay, disruption, or interference.

## ARTICLE 9 – OWNER’S RESPONSIBILITIES

### 9.01 Communications to Contractor

- A. Except as otherwise provided in these General Conditions, Owner shall issue all communications to Contractor through Engineer.

### 9.02 Replacement of Engineer

- A. Owner may at its discretion appoint an engineer to replace Engineer, provided Contractor makes no reasonable objection to the replacement engineer. The replacement engineer’s status under the Contract Documents shall be that of the former Engineer.

### 9.03 Furnish Data

- A. Owner shall promptly furnish the data required of Owner under the Contract Documents.

### 9.04 Pay When Due

- A. Owner shall make payments to Contractor when they are due as provided in the Agreement.

### 9.05 Lands and Easements; Reports, Tests, and Drawings

- A. Owner’s duties with respect to providing lands and easements are set forth in Paragraph 5.01.
- B. Owner’s duties with respect to providing engineering surveys to establish reference points are set forth in Paragraph 4.03.
- C. Article 5 refers to Owner’s identifying and making available to Contractor copies of reports of explorations and tests of conditions at the Site, and drawings of physical conditions relating to existing surface or subsurface structures at the Site.

### 9.06 Insurance

- A. Owner’s responsibilities, if any, with respect to purchasing and maintaining liability and property insurance are set forth in Article 6.

### 9.07 Change Orders

- A. Owner’s responsibilities with respect to Change Orders are set forth in Article 11.

### 9.08 Inspections, Tests, and Approvals

- A. Owner’s responsibility with respect to certain inspections, tests, and approvals is set forth in Paragraph 14.02.B.

### 9.09 Limitations on Owner’s Responsibilities

- A. The Owner shall not supervise, direct, or have control or authority over, nor be responsible for, Contractor’s means, methods, techniques, sequences, or procedures of construction, or the safety precautions and programs incident thereto, or for any failure of Contractor to comply with Laws and Regulations applicable to the performance of the Work. Owner will not be responsible for Contractor’s failure to perform the Work in accordance with the Contract Documents.

### 9.10 Undisclosed Hazardous Environmental Condition

- A. Owner’s responsibility in respect to an undisclosed Hazardous Environmental Condition is set forth in Paragraph 5.06.

9.11 Evidence of Financial Arrangements

- A. Upon request of Contractor, Owner shall furnish Contractor reasonable evidence that financial arrangements have been made to satisfy Owner's obligations under the Contract Documents (including obligations under proposed changes in the Work).

9.12 Safety Programs

- A. While at the Site, Owner's employees and representatives shall comply with the specific applicable requirements of Contractor's safety programs of which Owner has been informed.
- B. Owner shall furnish copies of any applicable Owner safety programs to Contractor.

**ARTICLE 10 – ENGINEER'S STATUS DURING CONSTRUCTION**

10.01 Owner's Representative

- A. Engineer will be Owner's representative during the construction period. The duties and responsibilities and the limitations of authority of Engineer as Owner's representative during construction are set forth in the Contract.

10.02 Visits to Site

- A. Engineer will make visits to the Site at intervals appropriate to the various stages of construction as Engineer deems necessary in order to observe as an experienced and qualified design professional the progress that has been made and the quality of the various aspects of Contractor's executed Work. Based on information obtained during such visits and observations, Engineer, for the benefit of Owner, will determine, in general, if the Work is proceeding in accordance with the Contract Documents. Engineer will not be required to make exhaustive or continuous inspections on the Site to check the quality or quantity of the Work. Engineer's efforts will be directed toward providing for Owner a greater degree of confidence that the completed Work will conform generally to the Contract Documents. On the basis of such visits and observations, Engineer will keep Owner informed of the progress of the Work and will endeavor to guard Owner against defective Work.
- B. Engineer's visits and observations are subject to all the limitations on Engineer's authority and responsibility set forth in Paragraph 10.08. Particularly, but without limitation, during or as a result of Engineer's visits or observations of Contractor's Work, Engineer will not supervise, direct, control, or have authority over or be responsible for Contractor's means, methods, techniques, sequences, or procedures of construction, or the safety precautions and programs incident thereto, or for any failure of Contractor to comply with Laws and Regulations applicable to the performance of the Work.

10.03 Project Representative

- A. If Owner and Engineer have agreed that Engineer will furnish a Resident Project Representative to represent Engineer at the Site and assist Engineer in observing the progress and quality of the Work, then the authority and responsibilities of any such Resident Project Representative will be as provided in the Supplementary Conditions, and limitations on the responsibilities thereof will be as provided in Paragraph 10.08. If Owner designates another representative or agent to represent Owner at the Site who is not Engineer's consultant, agent, or employee, the responsibilities and authority and limitations thereon of such other individual or entity will be as provided in the Supplementary Conditions.

10.04 Rejecting Defective Work

- A. Engineer has the authority to reject Work in accordance with Article 14.

10.05 Shop Drawings, Change Orders and Payments

- A. Engineer's authority, and limitations thereof, as to Shop Drawings and Samples, are set forth in Paragraph 7.16.
- B. Engineer's authority, and limitations thereof, as to design calculations and design drawings submitted in response to a delegation of professional design services, if any, are set forth in Paragraph 7.19.
- C. Engineer's authority as to Change Orders is set forth in Article 11.
- D. Engineer's authority as to Applications for Payment is set forth in Article 15.

10.06 Determinations for Unit Price Work

- A. Engineer will determine the actual quantities and classifications of Unit Price Work performed by Contractor as set forth in Paragraph 13.03.

10.07 Decisions on Requirements of Contract Documents and Acceptability of Work

- A. Engineer will render decisions regarding the requirements of the Contract Documents, and judge the acceptability of the Work, pursuant to the specific procedures set forth herein for initial interpretations, Change Proposals, and acceptance of the Work. In rendering such decisions and judgments, Engineer will not show partiality to Owner or Contractor, and will not be liable to Owner, Contractor, or others in connection with any proceedings, interpretations, decisions, or judgments conducted or rendered in good faith.

10.08 Limitations on Engineer's Authority and Responsibilities

- A. Neither Engineer's authority or responsibility under this Article 10 or under any other provision of the Contract, nor any decision made by Engineer in good faith either to exercise or not exercise such authority or responsibility or the undertaking, exercise, or performance of any authority or responsibility by Engineer, shall create, impose, or give rise to any duty in contract, tort, or otherwise owed by Engineer to Contractor, any Subcontractor, any Supplier, any other individual or entity, or to any surety for or employee or agent of any of them.
- B. Engineer will not supervise, direct, control, or have authority over or be responsible for Contractor's means, methods, techniques, sequences, or procedures of construction, or the safety precautions and programs incident thereto, or for any failure of Contractor to comply with Laws and Regulations applicable to the performance of the Work. Engineer will not be responsible for Contractor's failure to perform the Work in accordance with the Contract Documents.
- C. Engineer will not be responsible for the acts or omissions of Contractor or of any Subcontractor, any Supplier, or of any other individual or entity performing any of the Work.
- D. Engineer's review of the final Application for Payment and accompanying documentation and all maintenance and operating instructions, schedules, guarantees, bonds, certificates of inspection, tests and approvals, and other documentation required to be delivered by Paragraph 15.06.A will only be to determine generally that their content complies with the requirements of, and in the case of certificates of inspections, tests, and approvals, that the results certified indicate compliance with the Contract Documents.
- E. The limitations upon authority and responsibility set forth in this Paragraph 10.08 shall also apply to the Resident Project Representative, if any.

10.09 Compliance with Safety Program

- A. While at the Site, Engineer's employees and representatives will comply with the specific applicable requirements of Owner's and Contractor's safety programs (if any) of which Engineer has been informed.

## ARTICLE 11 – AMENDING THE CONTRACT DOCUMENTS; CHANGES IN THE WORK

### 11.01 Amending and Supplementing Contract Documents

- A. The Contract Documents may be amended or supplemented by a Change Order, a Work Change Directive, or a Field Order.
1. *Change Orders:*
    - a. If an amendment or supplement to the Contract Documents includes a change in the Contract Price or the Contract Times, such amendment or supplement must be set forth in a Change Order. A Change Order also may be used to establish amendments and supplements of the Contract Documents that do not affect the Contract Price or Contract Times.
    - b. Owner and Contractor may amend those terms and conditions of the Contract Documents that do not involve (1) the performance or acceptability of the Work, (2) the design (as set forth in the Drawings, Specifications, or otherwise), or (3) other engineering or technical matters, without the recommendation of the Engineer. Such an amendment shall be set forth in a Change Order.
  2. *Work Change Directives:* A Work Change Directive will not change the Contract Price or the Contract Times but is evidence that the parties expect that the modification ordered or documented by a Work Change Directive will be incorporated in a subsequently issued Change Order, following negotiations by the parties as to the Work Change Directive's effect, if any, on the Contract Price and Contract Times; or, if negotiations are unsuccessful, by a determination under the terms of the Contract Documents governing adjustments, expressly including Paragraph 11.04 regarding change of Contract Price. Contractor must submit any Change Proposal seeking an adjustment of the Contract Price or the Contract Times, or both, no later than 30 days after the completion of the Work set out in the Work Change Directive. Owner must submit any Claim seeking an adjustment of the Contract Price or the Contract Times, or both, no later than 60 days after issuance of the Work Change Directive.
  3. *Field Orders:* Engineer may authorize minor changes in the Work if the changes do not involve an adjustment in the Contract Price or the Contract Times and are compatible with the design concept of the completed Project as a functioning whole as indicated by the Contract Documents. Such changes will be accomplished by a Field Order and will be binding on Owner and also on Contractor, which shall perform the Work involved promptly. If Contractor believes that a Field Order justifies an adjustment in the Contract Price or Contract Times, or both, then before proceeding with the Work at issue, Contractor shall submit a Change Proposal as provided herein.

### 11.02 Owner-Authorized Changes in the Work

- A. Without invalidating the Contract and without notice to any surety, Owner may, at any time or from time to time, order additions, deletions, or revisions in the Work. Such changes shall be supported by Engineer's recommendation, to the extent the change involves the design (as set forth in the Drawings, Specifications, or otherwise), or other engineering or technical matters. Such changes may be accomplished by a Change Order, if Owner and Contractor have agreed as to the effect, if any, of the changes on Contract Times or Contract Price; or by a Work Change Directive. Upon receipt of any such document, Contractor shall promptly proceed with the Work involved; or, in the case of a deletion in the Work, promptly cease construction activities with respect to such deleted Work. Added or revised Work shall be performed under the applicable conditions of the Contract Documents. Nothing in this paragraph shall obligate Contractor to undertake work that Contractor

reasonably concludes cannot be performed in a manner consistent with Contractor's safety obligations under the Contract Documents or Laws and Regulations.

#### 11.03 Unauthorized Changes in the Work

- A. Contractor shall not be entitled to an increase in the Contract Price or an extension of the Contract Times with respect to any work performed that is not required by the Contract Documents, as amended, modified, or supplemented, except in the case of an emergency as provided in Paragraph 7.15 or in the case of uncovering Work as provided in Paragraph 14.05.

#### 11.04 Change of Contract Price

- A. The Contract Price may only be changed by a Change Order. Any Change Proposal for an adjustment in the Contract Price shall comply with the provisions of Paragraph 11.06. Any Claim for an adjustment of Contract Price shall comply with the provisions of Article 12.
- B. An adjustment in the Contract Price will be determined as follows:
  - 1. where the Work involved is covered by unit prices contained in the Contract Documents, then by application of such unit prices to the quantities of the items involved (subject to the provisions of Paragraph 13.03); or
  - 2. where the Work involved is not covered by unit prices contained in the Contract Documents, then by a mutually agreed lump sum (which may include an allowance for overhead and profit not necessarily in accordance with Paragraph 11.04.C.2); or
  - 3. where the Work involved is not covered by unit prices contained in the Contract Documents and the parties do not reach mutual agreement to a lump sum, then on the basis of the Cost of the Work (determined as provided in Paragraph 13.01) plus a Contractor's fee for overhead and profit (determined as provided in Paragraph 11.04.C).
- C. *Contractor's Fee:* When applicable, the Contractor's fee for overhead and profit shall be determined as follows:
  - 1. a mutually acceptable fixed fee; or
  - 2. if a fixed fee is not agreed upon, then a fee based on the following percentages of the various portions of the Cost of the Work:
    - a. for costs incurred under Paragraphs 13.01.B.1 and 13.01.B.2, the Contractor's fee shall be 15 percent;
    - b. for costs incurred under Paragraph 13.01.B.3, the Contractor's fee shall be five percent;
    - c. where one or more tiers of subcontracts are on the basis of Cost of the Work plus a fee and no fixed fee is agreed upon, the intent of Paragraphs 11.01.C.2.a and 11.01.C.2.b is that the Contractor's fee shall be based on: (1) a fee of 15 percent of the costs incurred under Paragraphs 13.01.A.1 and 13.01.A.2 by the Subcontractor that actually performs the Work, at whatever tier, and (2) with respect to Contractor itself and to any Subcontractors of a tier higher than that of the Subcontractor that actually performs the Work, a fee of five percent of the amount (fee plus underlying costs incurred) attributable to the next lower tier Subcontractor; provided, however, that for any such subcontracted work the maximum total fee to be paid by Owner shall be no greater than 27 percent of the costs incurred by the Subcontractor that actually performs the work;
    - d. no fee shall be payable on the basis of costs itemized under Paragraphs 13.01.B.4, 13.01.B.5, and 13.01.C;

- e. the amount of credit to be allowed by Contractor to Owner for any change which results in a net decrease in cost will be the amount of the actual net decrease in cost plus a deduction in Contractor's fee by an amount equal to five percent of such net decrease; and
- f. when both additions and credits are involved in any one change, the adjustment in Contractor's fee shall be computed on the basis of the net change in accordance with Paragraphs 11.04.C.2.a through 11.04.C.2.e, inclusive.

#### 11.05 Change of Contract Times

- A. The Contract Times may only be changed by a Change Order. Any Change Proposal for an adjustment in the Contract Times shall comply with the provisions of Paragraph 11.06. Any Claim for an adjustment in the Contract Times shall comply with the provisions of Article 12.
- B. An adjustment of the Contract Times shall be subject to the limitations set forth in Paragraph 4.05, concerning delays in Contractor's progress.

#### 11.06 Change Proposals

- A. Contractor shall submit a Change Proposal to Engineer to request an adjustment in the Contract Times or Contract Price; appeal an initial decision by Engineer concerning the requirements of the Contract Documents or relating to the acceptability of the Work under the Contract Documents; contest a set-off against payment due; or seek other relief under the Contract. The Change Proposal shall specify any proposed change in Contract Times or Contract Price, or both, or other proposed relief, and explain the reason for the proposed change, with citations to any governing or applicable provisions of the Contract Documents.
  - 1. *Procedures:* Contractor shall submit each Change Proposal to Engineer promptly (but in no event later than 30 days) after the start of the event giving rise thereto, or after such initial decision. The Contractor shall submit supporting data, including the proposed change in Contract Price or Contract Time (if any), to the Engineer and Owner within 15 days after the submittal of the Change Proposal. The supporting data shall be accompanied by a written statement that the supporting data are accurate and complete, and that any requested time or price adjustment is the entire adjustment to which Contractor believes it is entitled as a result of said event. Engineer will advise Owner regarding the Change Proposal, and consider any comments or response from Owner regarding the Change Proposal.
  - 2. *Engineer's Action:* Engineer will review each Change Proposal and, within 30 days after receipt of the Contractor's supporting data, either deny the Change Proposal in whole, approve it in whole, or deny it in part and approve it in part. Such actions shall be in writing, with a copy provided to Owner and Contractor. If Engineer does not take action on the Change Proposal within 30 days, then either Owner or Contractor may at any time thereafter submit a letter to the other party indicating that as a result of Engineer's inaction the Change Proposal is deemed denied, thereby commencing the time for appeal of the denial under Article 12.
  - 3. *Binding Decision:* Engineer's decision will be final and binding upon Owner and Contractor, unless Owner or Contractor appeals the decision by filing a Claim under Article 12.
- B. *Resolution of Certain Change Proposals:* If the Change Proposal does not involve the design (as set forth in the Drawings, Specifications, or otherwise), the acceptability of the Work, or other engineering or technical matters, then Engineer will notify the parties that the Engineer is unable to resolve the Change Proposal. For purposes of further resolution of such a Change Proposal, such notice shall be deemed a denial, and Contractor may choose to seek resolution under the terms of Article 12.

## 11.07 Execution of Change Orders

- A. Owner and Contractor shall execute appropriate Change Orders covering:
  - 1. changes in the Contract Price or Contract Times which are agreed to by the parties, including any undisputed sum or amount of time for Work actually performed in accordance with a Work Change Directive;
  - 2. changes in Contract Price resulting from an Owner set-off, unless Contractor has duly contested such set-off;
  - 3. changes in the Work which are: (a) ordered by Owner pursuant to Paragraph 11.02, (b) required because of Owner's acceptance of defective Work under Paragraph 14.04 or Owner's correction of defective Work under Paragraph 14.07, or (c) agreed to by the parties, subject to the need for Engineer's recommendation if the change in the Work involves the design (as set forth in the Drawings, Specifications, or otherwise), or other engineering or technical matters; and
  - 4. changes in the Contract Price or Contract Times, or other changes, which embody the substance of any final and binding results under Paragraph 11.06, or Article 12.
- B. If Owner or Contractor refuses to execute a Change Order that is required to be executed under the terms of this Paragraph 11.07, it shall be deemed to be of full force and effect, as if fully executed.

## 11.08 Notification to Surety

- A. If the provisions of any bond require notice to be given to a surety of any change affecting the general scope of the Work or the provisions of the Contract Documents (including, but not limited to, Contract Price or Contract Times), the giving of any such notice will be Contractor's responsibility. The amount of each applicable bond will be adjusted to reflect the effect of any such change.

## ARTICLE 12 – CLAIMS

### 12.01 Claims

- A. *Claims Process:* The following disputes between Owner and Contractor shall be submitted to the Claims process set forth in this Article:
  - 1. Appeals by Owner or Contractor of Engineer's decisions regarding Change Proposals;
  - 2. Owner demands for adjustments in the Contract Price or Contract Times, or other relief under the Contract Documents; and
  - 3. Disputes that Engineer has been unable to address because they do not involve the design (as set forth in the Drawings, Specifications, or otherwise), the acceptability of the Work, or other engineering or technical matters.
- B. *Submittal of Claim:* The party submitting a Claim shall deliver it directly to the other party to the Contract promptly (but in no event later than 30 days) after the start of the event giving rise thereto; in the case of appeals regarding Change Proposals within 30 days of the decision under appeal. The party submitting the Claim shall also furnish a copy to the Engineer, for its information only. The responsibility to substantiate a Claim shall rest with the party making the Claim. In the case of a Claim by Contractor seeking an increase in the Contract Times or Contract Price, or both, Contractor shall certify that the Claim is made in good faith, that the supporting data are accurate and complete, and that to the best of Contractor's knowledge and belief the amount of time or money requested accurately reflects the full amount to which Contractor is entitled.
- C. *Review and Resolution:* The party receiving a Claim shall review it thoroughly, giving full consideration to its merits. The two parties shall seek to resolve the Claim through the exchange of information

and direct negotiations. The parties may extend the time for resolving the Claim by mutual agreement. All actions taken on a Claim shall be stated in writing and submitted to the other party, with a copy to Engineer.

D. *Mediation:*

1. At any time after initiation of a Claim, Owner and Contractor may mutually agree to mediation of the underlying dispute. The agreement to mediate shall stay the Claim submittal and response process.
2. If Owner and Contractor agree to mediation, then after 60 days from such agreement, either Owner or Contractor may unilaterally terminate the mediation process, and the Claim submittal and decision process shall resume as of the date of the termination. If the mediation proceeds but is unsuccessful in resolving the dispute, the Claim submittal and decision process shall resume as of the date of the conclusion of the mediation, as determined by the mediator.
3. Owner and Contractor shall each pay one-half of the mediator's fees and costs.

E. *Partial Approval:* If the party receiving a Claim approves the Claim in part and denies it in part, such action shall be final and binding unless within 30 days of such action the other party invokes the procedure set forth in Article 17 for final resolution of disputes.

F. *Denial of Claim:* If efforts to resolve a Claim are not successful, the party receiving the Claim may deny it by giving written notice of denial to the other party. If the receiving party does not take action on the Claim within 90 days, then either Owner or Contractor may at any time thereafter submit a letter to the other party indicating that as a result of the inaction, the Claim is deemed denied, thereby commencing the time for appeal of the denial. A denial of the Claim shall be final and binding unless within 30 days of the denial the other party invokes the procedure set forth in Article 17 for the final resolution of disputes.

G. *Final and Binding Results:* If the parties reach a mutual agreement regarding a Claim, whether through approval of the Claim, direct negotiations, mediation, or otherwise; or if a Claim is approved in part and denied in part, or denied in full, and such actions become final and binding; then the results of the agreement or action on the Claim shall be incorporated in a Change Order to the extent they affect the Contract, including the Work, the Contract Times, or the Contract Price.

## **ARTICLE 13 – COST OF THE WORK; ALLOWANCES; UNIT PRICE WORK**

### 13.01 Cost of the Work

A. *Purposes for Determination of Cost of the Work:* The term Cost of the Work means the sum of all costs necessary for the proper performance of the Work at issue, as further defined below. The provisions of this Paragraph 13.01 are used for two distinct purposes:

1. To determine Cost of the Work when Cost of the Work is a component of the Contract Price, under cost-plus-fee, time-and-materials, or other cost-based terms; or
2. To determine the value of a Change Order, Change Proposal, Claim, set-off, or other adjustment in Contract Price. When the value of any such adjustment is determined on the basis of Cost of the Work, Contractor is entitled only to those additional or incremental costs required because of the change in the Work or because of the event giving rise to the adjustment.

B. *Costs Included:* Except as otherwise may be agreed to in writing by Owner, costs included in the Cost of the Work shall be in amounts no higher than those prevailing in the locality of the Project, shall not include any of the costs itemized in Paragraph 13.01.C, and shall include only the following items:

1. Payroll costs for employees in the direct employ of Contractor in the performance of the Work under schedules of job classifications agreed upon by Owner and Contractor. Such employees shall include, without limitation, superintendents, foremen, and other personnel employed full time on the Work. Payroll costs for employees not employed full time on the Work shall be apportioned on the basis of their time spent on the Work. Payroll costs shall include, but not be limited to, salaries and wages plus the cost of fringe benefits, which shall include social security contributions, unemployment, excise, and payroll taxes, workers' compensation, health and retirement benefits, bonuses, sick leave, and vacation and holiday pay applicable thereto. The expenses of performing Work outside of regular working hours, on Saturday, Sunday, or legal holidays, shall be included in the above to the extent authorized by Owner.
2. Cost of all materials and equipment furnished and incorporated in the Work, including costs of transportation and storage thereof, and Suppliers' field services required in connection therewith. All cash discounts shall accrue to Contractor unless Owner deposits funds with Contractor with which to make payments, in which case the cash discounts shall accrue to Owner. All trade discounts, rebates, and refunds and returns from sale of surplus materials and equipment shall accrue to Owner, and Contractor shall make provisions so that they may be obtained.
3. Payments made by Contractor to Subcontractors for Work performed by Subcontractors. If required by Owner, Contractor shall obtain competitive bids from subcontractors acceptable to Owner and Contractor and shall deliver such bids to Owner, who will then determine, with the advice of Engineer, which bids, if any, will be acceptable. If any subcontract provides that the Subcontractor is to be paid on the basis of Cost of the Work plus a fee, the Subcontractor's Cost of the Work and fee shall be determined in the same manner as Contractor's Cost of the Work and fee as provided in this Paragraph 13.01.
4. Costs of special consultants (including but not limited to engineers, architects, testing laboratories, surveyors, attorneys, and accountants) employed for services specifically related to the Work.
5. Supplemental costs including the following:
  - a. The proportion of necessary transportation, travel, and subsistence expenses of Contractor's employees incurred in discharge of duties connected with the Work.
  - b. Cost, including transportation and maintenance, of all materials, supplies, equipment, machinery, appliances, office, and temporary facilities at the Site, and hand tools not owned by the workers, which are consumed in the performance of the Work, and cost, less market value, of such items used but not consumed which remain the property of Contractor.
  - c. Rentals of all construction equipment and machinery, and the parts thereof, whether rented from Contractor or others in accordance with rental agreements approved by Owner with the advice of Engineer, and the costs of transportation, loading, unloading, assembly, dismantling, and removal thereof. All such costs shall be in accordance with the terms of said rental agreements. The rental of any such equipment, machinery, or parts shall cease when the use thereof is no longer necessary for the Work.
  - d. Sales, consumer, use, and other similar taxes related to the Work, and for which Contractor is liable, as imposed by Laws and Regulations.
  - e. Deposits lost for causes other than negligence of Contractor, any Subcontractor, or anyone directly or indirectly employed by any of them or for whose acts any of them may be liable, and royalty payments and fees for permits and licenses.

- f. Losses and damages (and related expenses) caused by damage to the Work, not compensated by insurance or otherwise, sustained by Contractor in connection with the performance of the Work (except losses and damages within the deductible amounts of property insurance established in accordance with Paragraph 6.05), provided such losses and damages have resulted from causes other than the negligence of Contractor, any Subcontractor, or anyone directly or indirectly employed by any of them or for whose acts any of them may be liable. Such losses shall include settlements made with the written consent and approval of Owner. No such losses, damages, and expenses shall be included in the Cost of the Work for the purpose of determining Contractor's fee.
  - g. The cost of utilities, fuel, and sanitary facilities at the Site.
  - h. Minor expenses such as communication service at the Site, express and courier services, and similar petty cash items in connection with the Work.
  - i. The costs of premiums for all bonds and insurance that Contractor is required by the Contract Documents to purchase and maintain.
- C. *Costs Excluded:* The term Cost of the Work shall not include any of the following items:
- 1. Payroll costs and other compensation of Contractor's officers, executives, principals (of partnerships and sole proprietorships), general managers, safety managers, engineers, architects, estimators, attorneys, auditors, accountants, purchasing and contracting agents, expeditors, timekeepers, clerks, and other personnel employed by Contractor, whether at the Site or in Contractor's principal or branch office for general administration of the Work and not specifically included in the agreed upon schedule of job classifications referred to in Paragraph 13.01.B.1 or specifically covered by Paragraph 13.01.B.4. The payroll costs and other compensation excluded here are to be considered administrative costs covered by the Contractor's fee.
  - 2. Expenses of Contractor's principal and branch offices other than Contractor's office at the Site.
  - 3. Any part of Contractor's capital expenses, including interest on Contractor's capital employed for the Work and charges against Contractor for delinquent payments.
  - 4. Costs due to the negligence of Contractor, any Subcontractor, or anyone directly or indirectly employed by any of them or for whose acts any of them may be liable, including but not limited to, the correction of defective Work, disposal of materials or equipment wrongly supplied, and making good any damage to property.
  - 5. Other overhead or general expense costs of any kind and the costs of any item not specifically and expressly included in Paragraph 13.01.B.
- D. *Contractor's Fee:* When the Work as a whole is performed on the basis of cost-plus, Contractor's fee shall be determined as set forth in the Agreement. When the value of any Work covered by a Change Order, Change Proposal, Claim, set-off, or other adjustment in Contract Price is determined on the basis of Cost of the Work, Contractor's fee shall be determined as set forth in Paragraph 11.04.C.
- E. *Documentation:* Whenever the Cost of the Work for any purpose is to be determined pursuant to this Article 13, Contractor will establish and maintain records thereof in accordance with generally accepted accounting practices and submit in a form acceptable to Engineer an itemized cost breakdown together with supporting data.

### 13.02 Allowances

- A. It is understood that Contractor has included in the Contract Price all allowances so named in the Contract Documents and shall cause the Work so covered to be performed for such sums and by such persons or entities as may be acceptable to Owner and Engineer.
- B. *Cash Allowances*: Contractor agrees that:
  - 1. the cash allowances include the cost to Contractor (less any applicable trade discounts) of materials and equipment required by the allowances to be delivered at the Site, and all applicable taxes; and
  - 2. Contractor's costs for unloading and handling on the Site, labor, installation, overhead, profit, and other expenses contemplated for the cash allowances have been included in the Contract Price and not in the allowances, and no demand for additional payment on account of any of the foregoing will be valid.
- C. *Contingency Allowance*: Contractor agrees that a contingency allowance, if any, is for the sole use of Owner to cover unanticipated costs.
- D. Prior to final payment, an appropriate Change Order will be issued as recommended by Engineer to reflect actual amounts due Contractor on account of Work covered by allowances, and the Contract Price shall be correspondingly adjusted.

### 13.03 Unit Price Work

- A. Where the Contract Documents provide that all or part of the Work is to be Unit Price Work, initially the Contract Price will be deemed to include for all Unit Price Work an amount equal to the sum of the unit price for each separately identified item of Unit Price Work times the estimated quantity of each item as indicated in the Agreement.
- B. The estimated quantities of items of Unit Price Work are not guaranteed and are solely for the purpose of comparison of Bids and determining an initial Contract Price. Payments to Contractor for Unit Price Work will be based on actual quantities.
- C. Each unit price will be deemed to include an amount considered by Contractor to be adequate to cover Contractor's overhead and profit for each separately identified item.
- D. Engineer will determine the actual quantities and classifications of Unit Price Work performed by Contractor. Engineer will review with Contractor the Engineer's preliminary determinations on such matters before rendering a written decision thereon (by recommendation of an Application for Payment or otherwise). Engineer's written decision thereon will be final and binding (except as modified by Engineer to reflect changed factual conditions or more accurate data) upon Owner and Contractor, subject to the provisions of the following paragraph.
- E. Within 30 days of Engineer's written decision under the preceding paragraph, Contractor may submit a Change Proposal, or Owner may file a Claim, seeking an adjustment in the Contract Price if:
  - 1. the quantity of any item of Unit Price Work performed by Contractor differs materially and significantly from the estimated quantity of such item indicated in the Agreement;
  - 2. there is no corresponding adjustment with respect to any other item of Work; and
  - 3. Contractor believes that it is entitled to an increase in Contract Price as a result of having incurred additional expense or Owner believes that Owner is entitled to a decrease in Contract Price, and the parties are unable to agree as to the amount of any such increase or decrease.

## ARTICLE 14 – TESTS AND INSPECTIONS; CORRECTION, REMOVAL OR ACCEPTANCE OF DEFECTIVE WORK

### 14.01 Access to Work

- A. Owner, Engineer, their consultants and other representatives and personnel of Owner, independent testing laboratories, and authorities having jurisdiction will have access to the Site and the Work at reasonable times for their observation, inspection, and testing. Contractor shall provide them proper and safe conditions for such access and advise them of Contractor's safety procedures and programs so that they may comply therewith as applicable.

### 14.02 Tests, Inspections, and Approvals

- A. Contractor shall give Engineer timely notice of readiness of the Work (or specific parts thereof) for all required inspections and tests, and shall cooperate with inspection and testing personnel to facilitate required inspections and tests.
- B. Owner shall retain and pay for the services of an independent inspector, testing laboratory, or other qualified individual or entity to perform all inspections and tests expressly required by the Contract Documents to be furnished and paid for by Owner, except that costs incurred in connection with tests or inspections of covered Work shall be governed by the provisions of Paragraph 14.05.
- C. If Laws or Regulations of any public body having jurisdiction require any Work (or part thereof) specifically to be inspected, tested, or approved by an employee or other representative of such public body, Contractor shall assume full responsibility for arranging and obtaining such inspections, tests, or approvals, pay all costs in connection therewith, and furnish Engineer the required certificates of inspection or approval.
- D. Contractor shall be responsible for arranging, obtaining, and paying for all inspections and tests required:
  - 1. by the Contract Documents, unless the Contract Documents expressly allocate responsibility for a specific inspection or test to Owner;
  - 2. to attain Owner's and Engineer's acceptance of materials or equipment to be incorporated in the Work;
  - 3. by manufacturers of equipment furnished under the Contract Documents;
  - 4. for testing, adjusting, and balancing of mechanical, electrical, and other equipment to be incorporated into the Work; and
  - 5. for acceptance of materials, mix designs, or equipment submitted for approval prior to Contractor's purchase thereof for incorporation in the Work.

Such inspections and tests shall be performed by independent inspectors, testing laboratories, or other qualified individuals or entities acceptable to Owner and Engineer.

- E. If the Contract Documents require the Work (or part thereof) to be approved by Owner, Engineer, or another designated individual or entity, then Contractor shall assume full responsibility for arranging and obtaining such approvals.
- F. If any Work (or the work of others) that is to be inspected, tested, or approved is covered by Contractor without written concurrence of Engineer, Contractor shall, if requested by Engineer, uncover such Work for observation. Such uncovering shall be at Contractor's expense unless Contractor had given Engineer timely notice of Contractor's intention to cover the same and Engineer had not acted with reasonable promptness in response to such notice.

#### 14.03 Defective Work

- A. *Contractor's Obligation:* It is Contractor's obligation to assure that the Work is not defective.
- B. *Engineer's Authority:* Engineer has the authority to determine whether Work is defective, and to reject defective Work.
- C. *Notice of Defects:* Prompt notice of all defective Work of which Owner or Engineer has actual knowledge will be given to Contractor.
- D. *Correction, or Removal and Replacement:* Promptly after receipt of written notice of defective Work, Contractor shall correct all such defective Work, whether or not fabricated, installed, or completed, or, if Engineer has rejected the defective Work, remove it from the Project and replace it with Work that is not defective.
- E. *Preservation of Warranties:* When correcting defective Work, Contractor shall take no action that would void or otherwise impair Owner's special warranty and guarantee, if any, on said Work.
- F. *Costs and Damages:* In addition to its correction, removal, and replacement obligations with respect to defective Work, Contractor shall pay all claims, costs, losses, and damages arising out of or relating to defective Work, including but not limited to the cost of the inspection, testing, correction, removal, replacement, or reconstruction of such defective Work, fines levied against Owner by governmental authorities because the Work is defective, and the costs of repair or replacement of work of others resulting from defective Work. Prior to final payment, if Owner and Contractor are unable to agree as to the measure of such claims, costs, losses, and damages resulting from defective Work, then Owner may impose a reasonable set-off against payments due under Article 15.

#### 14.04 Acceptance of Defective Work

- A. If, instead of requiring correction or removal and replacement of defective Work, Owner prefers to accept it, Owner may do so (subject, if such acceptance occurs prior to final payment, to Engineer's confirmation that such acceptance is in general accord with the design intent and applicable engineering principles, and will not endanger public safety). Contractor shall pay all claims, costs, losses, and damages attributable to Owner's evaluation of and determination to accept such defective Work (such costs to be approved by Engineer as to reasonableness), and for the diminished value of the Work to the extent not otherwise paid by Contractor. If any such acceptance occurs prior to final payment, the necessary revisions in the Contract Documents with respect to the Work shall be incorporated in a Change Order. If the parties are unable to agree as to the decrease in the Contract Price, reflecting the diminished value of Work so accepted, then Owner may impose a reasonable set-off against payments due under Article 15. If the acceptance of defective Work occurs after final payment, Contractor shall pay an appropriate amount to Owner.

#### 14.05 Uncovering Work

- A. Engineer has the authority to require special inspection or testing of the Work, whether or not the Work is fabricated, installed, or completed.
- B. If any Work is covered contrary to the written request of Engineer, then Contractor shall, if requested by Engineer, uncover such Work for Engineer's observation, and then replace the covering, all at Contractor's expense.
- C. If Engineer considers it necessary or advisable that covered Work be observed by Engineer or inspected or tested by others, then Contractor, at Engineer's request, shall uncover, expose, or otherwise make available for observation, inspection, or testing as Engineer may require, that portion of the Work in question, and provide all necessary labor, material, and equipment.

1. If it is found that the uncovered Work is defective, Contractor shall be responsible for all claims, costs, losses, and damages arising out of or relating to such uncovering, exposure, observation, inspection, and testing, and of satisfactory replacement or reconstruction (including but not limited to all costs of repair or replacement of work of others); and pending Contractor's full discharge of this responsibility the Owner shall be entitled to impose a reasonable set-off against payments due under Article 15.
2. If the uncovered Work is not found to be defective, Contractor shall be allowed an increase in the Contract Price or an extension of the Contract Times, or both, directly attributable to such uncovering, exposure, observation, inspection, testing, replacement, and reconstruction. If the parties are unable to agree as to the amount or extent thereof, then Contractor may submit a Change Proposal within 30 days of the determination that the Work is not defective.

#### 14.06 Owner May Stop the Work

- A. If the Work is defective, or Contractor fails to supply sufficient skilled workers or suitable materials or equipment, or fails to perform the Work in such a way that the completed Work will conform to the Contract Documents, then Owner may order Contractor to stop the Work, or any portion thereof, until the cause for such order has been eliminated; however, this right of Owner to stop the Work shall not give rise to any duty on the part of Owner to exercise this right for the benefit of Contractor, any Subcontractor, any Supplier, any other individual or entity, or any surety for, or employee or agent of any of them.

#### 14.07 Owner May Correct Defective Work

- A. If Contractor fails within a reasonable time after written notice from Engineer to correct defective Work, or to remove and replace rejected Work as required by Engineer, or if Contractor fails to perform the Work in accordance with the Contract Documents, or if Contractor fails to comply with any other provision of the Contract Documents, then Owner may, after seven days written notice to Contractor, correct or remedy any such deficiency.
- B. In exercising the rights and remedies under this Paragraph 14.07, Owner shall proceed expeditiously. In connection with such corrective or remedial action, Owner may exclude Contractor from all or part of the Site, take possession of all or part of the Work and suspend Contractor's services related thereto, and incorporate in the Work all materials and equipment stored at the Site or for which Owner has paid Contractor but which are stored elsewhere. Contractor shall allow Owner, Owner's representatives, agents and employees, Owner's other contractors, and Engineer and Engineer's consultants access to the Site to enable Owner to exercise the rights and remedies under this paragraph.
- C. All claims, costs, losses, and damages incurred or sustained by Owner in exercising the rights and remedies under this Paragraph 14.07 will be charged against Contractor as set-offs against payments due under Article 15. Such claims, costs, losses and damages will include but not be limited to all costs of repair, or replacement of work of others destroyed or damaged by correction, removal, or replacement of Contractor's defective Work.
- D. Contractor shall not be allowed an extension of the Contract Times because of any delay in the performance of the Work attributable to the exercise by Owner of Owner's rights and remedies under this Paragraph 14.07.

## ARTICLE 15 – PAYMENTS TO CONTRACTOR; SET-OFFS; COMPLETION; CORRECTION PERIOD

### 15.01 Progress Payments

- A. *Basis for Progress Payments:* The Schedule of Values established as provided in Article 2 will serve as the basis for progress payments and will be incorporated into a form of Application for Payment acceptable to Engineer. Progress payments on account of Unit Price Work will be based on the number of units completed during the pay period, as determined under the provisions of Paragraph 13.03. Progress payments for cost-based Work will be based on Cost of the Work completed by Contractor during the pay period.
- B. *Applications for Payments:*
1. At least 20 days before the date established in the Agreement for each progress payment (but not more often than once a month), Contractor shall submit to Engineer for review an Application for Payment filled out and signed by Contractor covering the Work completed as of the date of the Application and accompanied by such supporting documentation as is required by the Contract Documents. If payment is requested on the basis of materials and equipment not incorporated in the Work but delivered and suitably stored at the Site or at another location agreed to in writing, the Application for Payment shall also be accompanied by a bill of sale, invoice, or other documentation warranting that Owner has received the materials and equipment free and clear of all Liens, and evidence that the materials and equipment are covered by appropriate property insurance, a warehouse bond, or other arrangements to protect Owner's interest therein, all of which must be satisfactory to Owner.
  2. Beginning with the second Application for Payment, each Application shall include an affidavit of Contractor stating that all previous progress payments received on account of the Work have been applied on account to discharge Contractor's legitimate obligations associated with prior Applications for Payment.
  3. The amount of retainage with respect to progress payments will be as stipulated in the Agreement.
- C. *Review of Applications:*
1. Engineer will, within 10 days after receipt of each Application for Payment, including each resubmittal, either indicate in writing a recommendation of payment and present the Application to Owner, or return the Application to Contractor indicating in writing Engineer's reasons for refusing to recommend payment. In the latter case, Contractor may make the necessary corrections and resubmit the Application.
  2. Engineer's recommendation of any payment requested in an Application for Payment will constitute a representation by Engineer to Owner, based on Engineer's observations of the executed Work as an experienced and qualified design professional, and on Engineer's review of the Application for Payment and the accompanying data and schedules, that to the best of Engineer's knowledge, information and belief:
    - a. the Work has progressed to the point indicated;
    - b. the quality of the Work is generally in accordance with the Contract Documents (subject to an evaluation of the Work as a functioning whole prior to or upon Substantial Completion, the results of any subsequent tests called for in the Contract Documents, a final determination of quantities and classifications for Unit Price Work under Paragraph 13.03, and any other qualifications stated in the recommendation); and

- c. the conditions precedent to Contractor's being entitled to such payment appear to have been fulfilled in so far as it is Engineer's responsibility to observe the Work.
3. By recommending any such payment Engineer will not thereby be deemed to have represented that:
  - a. inspections made to check the quality or the quantity of the Work as it has been performed have been exhaustive, extended to every aspect of the Work in progress, or involved detailed inspections of the Work beyond the responsibilities specifically assigned to Engineer in the Contract; or
  - b. there may not be other matters or issues between the parties that might entitle Contractor to be paid additionally by Owner or entitle Owner to withhold payment to Contractor.
4. Neither Engineer's review of Contractor's Work for the purposes of recommending payments nor Engineer's recommendation of any payment, including final payment, will impose responsibility on Engineer:
  - a. to supervise, direct, or control the Work, or
  - b. for the means, methods, techniques, sequences, or procedures of construction, or the safety precautions and programs incident thereto, or
  - c. for Contractor's failure to comply with Laws and Regulations applicable to Contractor's performance of the Work, or
  - d. to make any examination to ascertain how or for what purposes Contractor has used the money paid on account of the Contract Price, or
  - e. to determine that title to any of the Work, materials, or equipment has passed to Owner free and clear of any Liens.
5. Engineer may refuse to recommend the whole or any part of any payment if, in Engineer's opinion, it would be incorrect to make the representations to Owner stated in Paragraph 15.01.C.2.
6. Engineer will recommend reductions in payment (set-offs) necessary in Engineer's opinion to protect Owner from loss because:
  - a. the Work is defective, requiring correction or replacement;
  - b. the Contract Price has been reduced by Change Orders;
  - c. Owner has been required to correct defective Work in accordance with Paragraph 14.07, or has accepted defective Work pursuant to Paragraph 14.04;
  - d. Owner has been required to remove or remediate a Hazardous Environmental Condition for which Contractor is responsible; or
  - e. Engineer has actual knowledge of the occurrence of any of the events that would constitute a default by Contractor and therefore justify termination for cause under the Contract Documents.

D. *Payment Becomes Due:*

1. Ten days after presentation of the Application for Payment to Owner with Engineer's recommendation, the amount recommended (subject to any Owner set-offs) will become due, and when due will be paid by Owner to Contractor.

E. *Reductions in Payment by Owner:*

1. In addition to any reductions in payment (set-offs) recommended by Engineer, Owner is entitled to impose a set-off against payment based on any of the following:
  - a. claims have been made against Owner on account of Contractor's conduct in the performance or furnishing of the Work, or Owner has incurred costs, losses, or damages on account of Contractor's conduct in the performance or furnishing of the Work, including but not limited to claims, costs, losses, or damages from workplace injuries, adjacent property damage, non-compliance with Laws and Regulations, and patent infringement;
  - b. Contractor has failed to take reasonable and customary measures to avoid damage, delay, disruption, and interference with other work at or adjacent to the Site;
  - c. Contractor has failed to provide and maintain required bonds or insurance;
  - d. Owner has been required to remove or remediate a Hazardous Environmental Condition for which Contractor is responsible;
  - e. Owner has incurred extra charges or engineering costs related to submittal reviews, evaluations of proposed substitutes, tests and inspections, or return visits to manufacturing or assembly facilities;
  - f. the Work is defective, requiring correction or replacement;
  - g. Owner has been required to correct defective Work in accordance with Paragraph 14.07, or has accepted defective Work pursuant to Paragraph 14.04;
  - h. the Contract Price has been reduced by Change Orders;
  - i. an event that would constitute a default by Contractor and therefore justify a termination for cause has occurred;
  - j. liquidated damages have accrued as a result of Contractor's failure to achieve Milestones, Substantial Completion, or final completion of the Work;
  - k. Liens have been filed in connection with the Work, except where Contractor has delivered a specific bond satisfactory to Owner to secure the satisfaction and discharge of such Liens;
  - l. there are other items entitling Owner to a set off against the amount recommended.
2. If Owner imposes any set-off against payment, whether based on its own knowledge or on the written recommendations of Engineer, Owner will give Contractor immediate written notice (with a copy to Engineer) stating the reasons for such action and the specific amount of the reduction, and promptly pay Contractor any amount remaining after deduction of the amount so withheld. Owner shall promptly pay Contractor the amount so withheld, or any adjustment thereto agreed to by Owner and Contractor, if Contractor remedies the reasons for such action. The reduction imposed shall be binding on Contractor unless it duly submits a Change Proposal contesting the reduction.
3. Upon a subsequent determination that Owner's refusal of payment was not justified, the amount wrongfully withheld shall be treated as an amount due as determined by Paragraph 15.01.C.1 and subject to interest as provided in the Agreement.

15.02 Contractor's Warranty of Title

- A. Contractor warrants and guarantees that title to all Work, materials, and equipment furnished under the Contract will pass to Owner free and clear of (1) all Liens and other title defects, and (2) all patent, licensing, copyright, or royalty obligations, no later than seven days after the time of payment by Owner.

### 15.03 Substantial Completion

- A. When Contractor considers the entire Work ready for its intended use Contractor shall notify Owner and Engineer in writing that the entire Work is substantially complete and request that Engineer issue a certificate of Substantial Completion. Contractor shall at the same time submit to Owner and Engineer an initial draft of punch list items to be completed or corrected before final payment.
- B. Promptly after Contractor's notification, Owner, Contractor, and Engineer shall make an inspection of the Work to determine the status of completion. If Engineer does not consider the Work substantially complete, Engineer will notify Contractor in writing giving the reasons therefor.
- C. If Engineer considers the Work substantially complete, Engineer will deliver to Owner a preliminary certificate of Substantial Completion which shall fix the date of Substantial Completion. Engineer shall attach to the certificate a punch list of items to be completed or corrected before final payment. Owner shall have seven days after receipt of the preliminary certificate during which to make written objection to Engineer as to any provisions of the certificate or attached punch list. If, after considering the objections to the provisions of the preliminary certificate, Engineer concludes that the Work is not substantially complete, Engineer will, within 14 days after submission of the preliminary certificate to Owner, notify Contractor in writing that the Work is not substantially complete, stating the reasons therefor. If Owner does not object to the provisions of the certificate, or if despite consideration of Owner's objections Engineer concludes that the Work is substantially complete, then Engineer will, within said 14 days, execute and deliver to Owner and Contractor a final certificate of Substantial Completion (with a revised punch list of items to be completed or corrected) reflecting such changes from the preliminary certificate as Engineer believes justified after consideration of any objections from Owner.
- D. At the time of receipt of the preliminary certificate of Substantial Completion, Owner and Contractor will confer regarding Owner's use or occupancy of the Work following Substantial Completion, review the builder's risk insurance policy with respect to the end of the builder's risk coverage, and confirm the transition to coverage of the Work under a permanent property insurance policy held by Owner. Unless Owner and Contractor agree otherwise in writing, Owner shall bear responsibility for security, operation, protection of the Work, property insurance, maintenance, heat, and utilities upon Owner's use or occupancy of the Work.
- E. After Substantial Completion the Contractor shall promptly begin work on the punch list of items to be completed or corrected prior to final payment. In appropriate cases Contractor may submit monthly Applications for Payment for completed punch list items, following the progress payment procedures set forth above.
- F. Owner shall have the right to exclude Contractor from the Site after the date of Substantial Completion subject to allowing Contractor reasonable access to remove its property and complete or correct items on the punch list.

### 15.04 Partial Use or Occupancy

- A. Prior to Substantial Completion of all the Work, Owner may use or occupy any substantially completed part of the Work which has specifically been identified in the Contract Documents, or which Owner, Engineer, and Contractor agree constitutes a separately functioning and usable part of the Work that can be used by Owner for its intended purpose without significant interference with Contractor's performance of the remainder of the Work, subject to the following conditions:
  - 1. At any time Owner may request in writing that Contractor permit Owner to use or occupy any such part of the Work that Owner believes to be substantially complete. If and when Contractor agrees that such part of the Work is substantially complete, Contractor, Owner, and Engineer will follow the procedures of Paragraph 15.03.A through E for that part of the Work.

2. At any time Contractor may notify Owner and Engineer in writing that Contractor considers any such part of the Work substantially complete and request Engineer to issue a certificate of Substantial Completion for that part of the Work.
3. Within a reasonable time after either such request, Owner, Contractor, and Engineer shall make an inspection of that part of the Work to determine its status of completion. If Engineer does not consider that part of the Work to be substantially complete, Engineer will notify Owner and Contractor in writing giving the reasons therefor. If Engineer considers that part of the Work to be substantially complete, the provisions of Paragraph 15.03 will apply with respect to certification of Substantial Completion of that part of the Work and the division of responsibility in respect thereof and access thereto.
4. No use or occupancy or separate operation of part of the Work may occur prior to compliance with the requirements of Paragraph 6.05 regarding builder's risk or other property insurance.

#### 15.05 Final Inspection

- A. Upon written notice from Contractor that the entire Work or an agreed portion thereof is complete, Engineer will promptly make a final inspection with Owner and Contractor and will notify Contractor in writing of all particulars in which this inspection reveals that the Work, or agreed portion thereof, is incomplete or defective. Contractor shall immediately take such measures as are necessary to complete such Work or remedy such deficiencies.

#### 15.06 Final Payment

##### A. *Application for Payment:*

1. After Contractor has, in the opinion of Engineer, satisfactorily completed all corrections identified during the final inspection and has delivered, in accordance with the Contract Documents, all maintenance and operating instructions, schedules, guarantees, bonds, certificates or other evidence of insurance, certificates of inspection, annotated record documents (as provided in Paragraph 7.11), and other documents, Contractor may make application for final payment.
2. The final Application for Payment shall be accompanied (except as previously delivered) by:
  - a. all documentation called for in the Contract Documents;
  - b. consent of the surety, if any, to final payment;
  - c. satisfactory evidence that all title issues have been resolved such that title to all Work, materials, and equipment has passed to Owner free and clear of any Liens or other title defects, or will so pass upon final payment.
  - d. a list of all disputes that Contractor believes are unsettled; and
  - e. complete and legally effective releases or waivers (satisfactory to Owner) of all Lien rights arising out of the Work, and of Liens filed in connection with the Work.
3. In lieu of the releases or waivers of Liens specified in Paragraph 15.06.A.2 and as approved by Owner, Contractor may furnish receipts or releases in full and an affidavit of Contractor that: (a) the releases and receipts include all labor, services, material, and equipment for which a Lien could be filed; and (b) all payrolls, material and equipment bills, and other indebtedness connected with the Work for which Owner might in any way be responsible, or which might in any way result in liens or other burdens on Owner's property, have been paid or otherwise satisfied. If any Subcontractor or Supplier fails to furnish such a release or receipt in full, Contractor may furnish a bond or other collateral satisfactory to Owner to indemnify Owner

against any Lien, or Owner at its option may issue joint checks payable to Contractor and specified Subcontractors and Suppliers.

B. *Engineer's Review of Application and Acceptance:*

1. If, on the basis of Engineer's observation of the Work during construction and final inspection, and Engineer's review of the final Application for Payment and accompanying documentation as required by the Contract Documents, Engineer is satisfied that the Work has been completed and Contractor's other obligations under the Contract have been fulfilled, Engineer will, within ten days after receipt of the final Application for Payment, indicate in writing Engineer's recommendation of final payment and present the Application for Payment to Owner for payment. Such recommendation shall account for any set-offs against payment that are necessary in Engineer's opinion to protect Owner from loss for the reasons stated above with respect to progress payments. At the same time Engineer will also give written notice to Owner and Contractor that the Work is acceptable, subject to the provisions of Paragraph 15.07. Otherwise, Engineer will return the Application for Payment to Contractor, indicating in writing the reasons for refusing to recommend final payment, in which case Contractor shall make the necessary corrections and resubmit the Application for Payment.

C. *Completion of Work:* The Work is complete (subject to surviving obligations) when it is ready for final payment as established by the Engineer's written recommendation of final payment.

D. *Payment Becomes Due:* Thirty days after the presentation to Owner of the final Application for Payment and accompanying documentation, the amount recommended by Engineer (less any further sum Owner is entitled to set off against Engineer's recommendation, including but not limited to set-offs for liquidated damages and set-offs allowed under the provisions above with respect to progress payments) will become due and shall be paid by Owner to Contractor.

15.07 Waiver of Claims

- A. The making of final payment will not constitute a waiver by Owner of claims or rights against Contractor. Owner expressly reserves claims and rights arising from unsettled Liens, from defective Work appearing after final inspection pursuant to Paragraph 15.05, from Contractor's failure to comply with the Contract Documents or the terms of any special guarantees specified therein, from outstanding Claims by Owner, or from Contractor's continuing obligations under the Contract Documents.
- B. The acceptance of final payment by Contractor will constitute a waiver by Contractor of all claims and rights against Owner other than those pending matters that have been duly submitted or appealed under the provisions of Article 17.

15.08 Correction Period

- A. If within one year after the date of Substantial Completion (or such longer period of time as may be prescribed by the terms of any applicable special guarantee required by the Contract Documents, or by any specific provision of the Contract Documents), any Work is found to be defective, or if the repair of any damages to the Site, adjacent areas that Contractor has arranged to use through construction easements or otherwise, and other adjacent areas used by Contractor as permitted by Laws and Regulations, is found to be defective, then Contractor shall promptly, without cost to Owner and in accordance with Owner's written instructions:
  1. correct the defective repairs to the Site or such other adjacent areas;
  2. correct such defective Work;
  3. if the defective Work has been rejected by Owner, remove it from the Project and replace it with Work that is not defective, and

4. satisfactorily correct or repair or remove and replace any damage to other Work, to the work of others, or to other land or areas resulting therefrom.
- B. If Contractor does not promptly comply with the terms of Owner's written instructions, or in an emergency where delay would cause serious risk of loss or damage, Owner may have the defective Work corrected or repaired or may have the rejected Work removed and replaced. Contractor shall pay all claims, costs, losses, and damages (including but not limited to all fees and charges of engineers, architects, attorneys, and other professionals and all court or arbitration or other dispute resolution costs) arising out of or relating to such correction or repair or such removal and replacement (including but not limited to all costs of repair or replacement of work of others).
- C. In special circumstances where a particular item of equipment is placed in continuous service before Substantial Completion of all the Work, the correction period for that item may start to run from an earlier date if so provided in the Specifications.
- D. Where defective Work (and damage to other Work resulting therefrom) has been corrected or removed and replaced under this paragraph, the correction period hereunder with respect to such Work will be extended for an additional period of one year after such correction or removal and replacement has been satisfactorily completed.
- E. Contractor's obligations under this paragraph are in addition to all other obligations and warranties. The provisions of this paragraph shall not be construed as a substitute for, or a waiver of, the provisions of any applicable statute of limitation or repose.

## **ARTICLE 16 – SUSPENSION OF WORK AND TERMINATION**

### **16.01 Owner May Suspend Work**

- A. At any time and without cause, Owner may suspend the Work or any portion thereof for a period of not more than 90 consecutive days by written notice to Contractor and Engineer. Such notice will fix the date on which Work will be resumed. Contractor shall resume the Work on the date so fixed. Contractor shall be entitled to an adjustment in the Contract Price or an extension of the Contract Times, or both, directly attributable to any such suspension. Any Change Proposal seeking such adjustments shall be submitted no later than 30 days after the date fixed for resumption of Work.

### **16.02 Owner May Terminate for Cause**

- A. The occurrence of any one or more of the following events will constitute a default by Contractor and justify termination for cause:
  1. Contractor's persistent failure to perform the Work in accordance with the Contract Documents (including, but not limited to, failure to supply sufficient skilled workers or suitable materials or equipment or failure to adhere to the Progress Schedule);
  2. Failure of Contractor to perform or otherwise to comply with a material term of the Contract Documents;
  3. Contractor's disregard of Laws or Regulations of any public body having jurisdiction; or
  4. Contractor's repeated disregard of the authority of Owner or Engineer.
- B. If one or more of the events identified in Paragraph 16.02.A occurs, then after giving Contractor (and any surety) ten days written notice that Owner is considering a declaration that Contractor is in default and termination of the contract, Owner may proceed to:
  1. declare Contractor to be in default, and give Contractor (and any surety) notice that the Contract is terminated; and

2. enforce the rights available to Owner under any applicable performance bond.
- C. Subject to the terms and operation of any applicable performance bond, if Owner has terminated the Contract for cause, Owner may exclude Contractor from the Site, take possession of the Work, incorporate in the Work all materials and equipment stored at the Site or for which Owner has paid Contractor but which are stored elsewhere, and complete the Work as Owner may deem expedient.
- D. Owner may not proceed with termination of the Contract under Paragraph 16.02.B if Contractor within seven days of receipt of notice of intent to terminate begins to correct its failure to perform and proceeds diligently to cure such failure.
- E. If Owner proceeds as provided in Paragraph 16.02.B, Contractor shall not be entitled to receive any further payment until the Work is completed. If the unpaid balance of the Contract Price exceeds the cost to complete the Work, including all related claims, costs, losses, and damages (including but not limited to all fees and charges of engineers, architects, attorneys, and other professionals) sustained by Owner, such excess will be paid to Contractor. If the cost to complete the Work including such related claims, costs, losses, and damages exceeds such unpaid balance, Contractor shall pay the difference to Owner. Such claims, costs, losses, and damages incurred by Owner will be reviewed by Engineer as to their reasonableness and, when so approved by Engineer, incorporated in a Change Order. When exercising any rights or remedies under this paragraph, Owner shall not be required to obtain the lowest price for the Work performed.
- F. Where Contractor's services have been so terminated by Owner, the termination will not affect any rights or remedies of Owner against Contractor then existing or which may thereafter accrue, or any rights or remedies of Owner against Contractor or any surety under any payment bond or performance bond. Any retention or payment of money due Contractor by Owner will not release Contractor from liability.
- G. If and to the extent that Contractor has provided a performance bond under the provisions of Paragraph 6.01.A, the provisions of that bond shall govern over any inconsistent provisions of Paragraphs 16.02.B and 16.02.D.

#### 16.03 Owner May Terminate For Convenience

- A. Upon seven days written notice to Contractor and Engineer, Owner may, without cause and without prejudice to any other right or remedy of Owner, terminate the Contract. In such case, Contractor shall be paid for (without duplication of any items):
  1. completed and acceptable Work executed in accordance with the Contract Documents prior to the effective date of termination, including fair and reasonable sums for overhead and profit on such Work;
  2. expenses sustained prior to the effective date of termination in performing services and furnishing labor, materials, or equipment as required by the Contract Documents in connection with uncompleted Work, plus fair and reasonable sums for overhead and profit on such expenses; and
  3. other reasonable expenses directly attributable to termination, including costs incurred to prepare a termination for convenience cost proposal.
- B. Contractor shall not be paid on account of loss of anticipated overhead, profits, or revenue, or other economic loss arising out of or resulting from such termination.

#### 16.04 Contractor May Stop Work or Terminate

- A. If, through no act or fault of Contractor, (1) the Work is suspended for more than 90 consecutive days by Owner or under an order of court or other public authority, or (2) Engineer fails to act on any

Application for Payment within 30 days after it is submitted, or (3) Owner fails for 30 days to pay Contractor any sum finally determined to be due, then Contractor may, upon seven days written notice to Owner and Engineer, and provided Owner or Engineer do not remedy such suspension or failure within that time, terminate the contract and recover from Owner payment on the same terms as provided in Paragraph 16.03.

- B. In lieu of terminating the Contract and without prejudice to any other right or remedy, if Engineer has failed to act on an Application for Payment within 30 days after it is submitted, or Owner has failed for 30 days to pay Contractor any sum finally determined to be due, Contractor may, seven days after written notice to Owner and Engineer, stop the Work until payment is made of all such amounts due Contractor, including interest thereon. The provisions of this paragraph are not intended to preclude Contractor from submitting a Change Proposal for an adjustment in Contract Price or Contract Times or otherwise for expenses or damage directly attributable to Contractor's stopping the Work as permitted by this paragraph.

## **ARTICLE 17 – FINAL RESOLUTION OF DISPUTES**

### 17.01 Methods and Procedures

- A. *Disputes Subject to Final Resolution:* The following disputed matters are subject to final resolution under the provisions of this Article:
  - 1. A timely appeal of an approval in part and denial in part of a Claim, or of a denial in full; and
  - 2. Disputes between Owner and Contractor concerning the Work or obligations under the Contract Documents, and arising after final payment has been made.
- B. *Final Resolution of Disputes:* For any dispute subject to resolution under this Article, Owner or Contractor may:
  - 1. elect in writing to invoke the dispute resolution process provided for in the Supplementary Conditions; or
  - 2. agree with the other party to submit the dispute to another dispute resolution process; or
  - 3. if no dispute resolution process is provided for in the Supplementary Conditions or mutually agreed to, give written notice to the other party of the intent to submit the dispute to a court of competent jurisdiction.

## **ARTICLE 18 – MISCELLANEOUS**

### 18.01 Giving Notice

- A. Whenever any provision of the Contract Documents requires the giving of written notice, it will be deemed to have been validly given if:
  - 1. delivered in person, by a commercial courier service or otherwise, to the individual or to a member of the firm or to an officer of the corporation for which it is intended; or
  - 2. delivered at or sent by registered or certified mail, postage prepaid, to the last business address known to the sender of the notice.

### 18.02 Computation of Times

- A. When any period of time is referred to in the Contract by days, it will be computed to exclude the first and include the last day of such period. If the last day of any such period falls on a Saturday or Sunday or on a day made a legal holiday by the law of the applicable jurisdiction, such day will be omitted from the computation.

### 18.03 Cumulative Remedies

- A. The duties and obligations imposed by these General Conditions and the rights and remedies available hereunder to the parties hereto are in addition to, and are not to be construed in any way as a limitation of, any rights and remedies available to any or all of them which are otherwise imposed or available by Laws or Regulations, by special warranty or guarantee, or by other provisions of the Contract. The provisions of this paragraph will be as effective as if repeated specifically in the Contract Documents in connection with each particular duty, obligation, right, and remedy to which they apply.

### 18.04 Limitation of Damages

- A. With respect to any and all Change Proposals, Claims, disputes subject to final resolution, and other matters at issue, neither Owner nor Engineer, nor any of their officers, directors, members, partners, employees, agents, consultants, or subcontractors, shall be liable to Contractor for any claims, costs, losses, or damages sustained by Contractor on or in connection with any other project or anticipated project.

### 18.05 No Waiver

- A. A party's non-enforcement of any provision shall not constitute a waiver of that provision, nor shall it affect the enforceability of that provision or of the remainder of this Contract.

### 18.06 Survival of Obligations

- A. All representations, indemnifications, warranties, and guarantees made in, required by, or given in accordance with the Contract, as well as all continuing obligations indicated in the Contract, will survive final payment, completion, and acceptance of the Work or termination or completion of the Contract or termination of the services of Contractor.

### 18.07 Controlling Law

- A. This Contract is to be governed by the law of the state in which the Project is located.

### 18.08 Headings

- A. Article and paragraph headings are inserted for convenience only and do not constitute parts of these General Conditions.

**SECTION 00800**  
**SUPPLEMENTARY CONDITIONS**  
(EJCDC Form C-800, Modified)

These Supplementary Conditions amend or supplement the Standard General Conditions of the Construction Contract, EJCDC® C-700 (2013 Edition). All provisions that are not so amended or supplemented remain in full force and effect.

The terms used in these Supplementary Conditions have the meanings stated in the General Conditions. Additional terms used in these Supplementary Conditions have the meanings stated below, which are applicable to both the singular and plural thereof.

The address system used in these Supplementary Conditions is the same as the address system used in the General Conditions, with the prefix "SC" added thereto.

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**ARTICLE 1– DEFINITIONS AND TERMINOLOGY**

SC-1.01.A.13 Amend the first line of the definition 1.01.A.13 “Contract Documents” to read as follows:

“Those items so designated in the Invitation to Bid, Instructions to Bidders, and Agreement.”

SC-1.01.A.20 Delete definition 1.01.A.19 entitled “Engineer” in its entirety and insert the following in its place:

“The individual or entity duly appointed by the Owner to undertake the duties and powers herein assigned to the Engineer, acting either directly or through duly appointed representatives.”

SC-1.01.A.40 Delete the definition 1.01A.40 “Substantial Completion” in its entirety and replace with the following:

“The Work (or a specified part thereof) required by the Contract has been completed except for work (or a specified part thereof) having a Contract Price of less than one percent of the then adjusted total contract price, or substantially all of the Work (or a specified part thereof) has been completed and opened to Owner’s use except for minor incomplete or unsatisfactory work items that do not materially impair the usefulness of the Work (or a specified part thereof) required by the Contract.”

**ARTICLE 2 – PRELIMINARY MATTERS**

SC-2.01.B Delete paragraph 2.01.B in its entirety and insert the following in its place:

B. Evidence of Insurance: Before any work at the site is started, Contractor shall deliver to Owner, with a copy to Engineer, certificates of insurance (and other evidence of insurance requested by Owner) which Contractor is required to purchase and maintain in accordance with the requirements of Article 6.

- SC-2.01.C Delete paragraph 2.01.C in its entirety.
- SC-2.02.A Delete paragraph 2.02.A in its entirety and replace with the following:
- A. Owner shall furnish Contractor 1 printed or hard copy of the Drawings and Project Manual and one set in electronic format. Additional printed copies will be furnished upon request at the cost of reproduction.
- SC-2.04.A. Amend the second line of paragraph 2.04.A to read as follows:
- "...others as appropriate MAY be held to establish a working....."
- SC-2.05 Add the following new paragraph immediately after paragraph 2.05 A.3.
- B. If the Contractor's submitted Progress Schedule, Schedule of Submittals and Schedule of Values are acceptable to the Engineer a Conference may not be required.

**ARTICLE 3 – DOCUMENTS: INTENT, AMENDING, REUSE**

- SC-3.01.A Add the following new paragraphs immediately after paragraph 3.01.A:
1. Each and every provision of law and clause required by law to be inserted in the Contract shall be deemed to be inserted herein, and the Contract shall be read and enforced as though they were included herein. If through mistake or otherwise any such provision is no inserted, or is not correctly inserted, then upon the application of either party, the Contract shall forthwith be physically amended to make such insertion.
- SC-3.04.A In the first sentence of paragraph 3.04.A, delete the words "and Owner".
- SC-3.04.B In the second sentence of paragraph 3.04.B delete the words "and on Owner, unless it appeals by filing a Claim".

**ARTICLE 4 – COMMENCEMENT AND PROGRESS OF THE WORK**

- SC-4.01.A Delete paragraph 4.01A in its entirety and replace with the following
- A. The Contract Times will commence to run on the tenth day following the effective date of the Agreement, or if a Notice to Proceed is issued, the Contract Time will commence to run on the date of the Notice to Proceed.
- SC-4.03 Add the following new paragraph immediately after paragraph 4.03.A:
- B. Contractor to employ a surveyor licensed in New Hampshire to provide reference points and monuments. Engineer may check the lines, elevations, reference marks, batter boards, etc., set by Contractor, and Contractor shall correct any errors disclosed by such check. Such a check shall not be considered as approval of Contractor's work and shall not relieve Contractor of the responsibility for accurate and satisfactory construction and completion of the entire Work. Contractor shall furnish personnel to assist Engineer in checking lines and grades.

SC-4.04 Add the following paragraph after paragraph 6.04A.2 of the General Conditions:

- B. The CONTRACTOR's resident superintendent shall attend weekly progress meetings at the site of the work with the ENGINEER and others as appropriate to review schedule status and such other pertinent subjects as may be listed on the agenda by the ENGINEER.

**ARTICLE 5 – AVAILABILITY OF LANDS; SUBSURFACE AND PHYSICAL CONDITIONS; HAZARDOUS ENVIRONMENTAL CONDITIONS**

SC-5.03 Add the following new paragraphs immediately after Paragraph 5.03.B:

- C. The following reports of explorations and tests of subsurface conditions at or adjacent to the Site are known to Owner:
  - 1. None.
- D. The following drawings of physical conditions relating to existing surface or subsurface structures at or adjacent to the Site (except Underground Facilities) are known to Owner:
  - 1. None.

SC-5.05.E.1 Delete the following words from lines 3 and 4 of paragraph 5.05.E.1:

“...or was not shown or indicated with reasonable accuracy...”

SC 5.06 Add the following paragraphs after paragraph 506 A.2:

- 3. Limited building survey findings dated June 11, 2020 by RFP Environmental Testing and Consulting Services.

Delete Paragraphs 5.06.B and 5.06 (I) in their entirety and insert the following:

- B. Not Used.
- (I). To the fullest extent permitted by Laws and Regulations, Owner shall release Contractor, Subcontractors, and Engineer, and officers, directors, members, partners, employees, agents, consultants and subcontractors of each and any of them from and against all claims, costs, losses and damages arising out of or relating to a Hazardous Environmental Condition, provided that such Hazardous Environmental Conditions: (i) was not shown or indicated in the Drawings or Specifications or identified in the Contract Documents to be included within the scope of work, and (ii) was not created by Contractor or by anyone for whom Contractor is responsible. Nothing in this Paragraph 4.06.G shall constitute an indemnity of obligation.

**ARTICLE 6 – BONDS AND INSURANCE**

SC-6.02.B Delete the last sentence of paragraph 6.02.B in its entirety.

SC-6.02.B Add the following new paragraphs immediately after paragraph 6.02.B:

- B. The insurance policies and surety bonds required to be provided by the Contractor shall be written by a company or companies licensed by the State of New Hampshire which company or companies shall have not less than an A rating and a Class XV financial status as reported in the latest edition of Best's Insurance Guide. In addition all carriers are subject to approval by the OWNER.
- C. The CONTRACTOR shall name the OWNER as an Additional Insured on a primary and non-contributory basis to all polices except Works Compensation and Professional Liability.

SC-6.02.D Delete paragraph 6.02.D in its entirety.

SC-6.02 Add the following new paragraph immediately after Paragraph 6.02 J.

- K. Should any of the required insurance policies be cancelled before the expiration date thereof, notice will be delivered in accordance with the policy provisions.

SC 6.03 Add the following new paragraph immediately after Paragraph 6.03.J:

- K. The limits of liability for the insurance required by Paragraph 6.03 of the General Conditions shall provide coverage for not less than the following amounts or greater where required by Laws and Regulations:

- 1. Workers' Compensation, and related coverages under Paragraphs 6.03.A.1 and A.2 of the General Conditions:

State:	<u>Statutory</u>
Federal, if applicable (e.g., Longshoreman's):	<u>Statutory</u>

Employer's Liability:

Bodily injury, each accident	\$ <u>1,000,000</u>
Bodily injury by disease, each employee	\$ <u>1,000,000</u>
Bodily injury/disease aggregate	\$ <u>1,000,000</u>

Foreign voluntary worker compensation	<u>Statutory</u>
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- 2. Contractor's Commercial General Liability under Paragraphs 6.03.B and 6.03.C of the General Conditions:

General Aggregate	\$ <u>2,000,000</u>
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Products - Completed Operations Aggregate	\$ <u>2,000,000</u>
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Personal and Advertising Injury	\$ <u>1,000,000</u>
Each Occurrence (Bodily Injury and Property Damage)	\$ <u>1,000,000</u>
3. Automobile Liability under Paragraph 6.03.D. of the General Conditions:	
Bodily Injury:	
Each person	\$ <u>2,000,000</u>
Each accident	\$ <u>2,000,000</u>
Property Damage:	
Combined Single Limit of	\$ <u>2,000,000</u>
4. Excess or Umbrella Liability:	
Per Occurrence	\$ <u>1,000,000</u>
General Aggregate	\$ <u>1,000,000</u>
5. Environmental Impairment Contractor's Pollution Liability:	
Each Occurrence	\$ <u>1,000,000</u>
General Aggregate	\$ <u>1,000,000</u>

- The name and address of the Contractor and the Owner must be shown on the Declarations page.
- A description of operations and location must appear on the Declarations page and must match the Project description.
- Authorized endorsements must include:
  - Pollution Exclusion Amendment - CG 28 31, unless using form CG 00 35 version 96 and later
- Authorized endorsements may include:
  - Broad Form Nuclear Exclusion - IL 00 21
  - Notice of Non-renewal or cancellation
  - Required State Cancellation Endorsement
  - Quick Reference or Index - CL/IL 240
- Authorized endorsements may not include:

- A Pollution Exclusion Endorsement except CG 28 31
- An Endorsement that limits or excludes Professional Liability coverage
- A Non-Cumulation of Liability or Pyramiding of Limits Endorsement
- A Known Injury Endorsement
- A Sole Agent Endorsement
- A Punitive or Exemplary Damages Exclusion
- A “Common Policy Conditions” Endorsement
- Policies that contain any type of deductible
- Any endorsement that is not named above that PAR deems unacceptable

7. Additional Insureds: In addition to Owner and Engineer, include as additional insureds the following:

- City of Portsmouth
- Oak Point Associates

SC-6.04 Delete Paragraph 6.05 in its entirety and insert the following in its place:

A. Owner will maintain Builders Risk for its interest in the Work. Owner’s policy is available for review. Contract and subcontractors shall be responsible for insuring their own interests in the event of loss.

SC-6.05 Delete Section 6.06 in its entirety.

SC-6.06 Delete Section 6.07 in its entirety.

#### **ARTICLE 7 – CONTRACTOR’S RESPONSIBILITIES**

SC-7.01.B. Delete paragraph 7.01.B in its entirety and replace with the following:

B. At the site of the Work, the CONTRACTOR shall employ a full-time construction superintendent or foreman who shall have full authority to act for the CONTRACTOR. It is understood that such representative shall be acceptable to the ENGINEER and shall be the one who will be continued in the capacity for the particular job involved unless the representative ceases to be on the CONTRACTOR's payroll. If at any time during the Work the representative is deemed by the ENGINEER to be no longer acceptable, the representative shall be promptly replaced by the CONTRACTOR. All communications to the superintendent or foreman shall be as binding as if given to the CONTRACTOR.

- SC-7.02.B. Add the following new subparagraphs immediately after Paragraph 7.02.B:
1. Regular working hours will be Monday 7:00 AM to 6:00 PM, Tuesday through Thursday from 7:00 AM to 4:30 PM, and Friday from 7:00 AM to 1:00 PM. Contractor will not perform work on a Saturday, Sunday or any legal holiday without permission of the Owner.
    - a. After hours and weekend work is required by this contract.
  2. Owner's legal holidays are those listed in Paragraph 101.56 of the NHDOT Standard Specifications for Road and Bridge Construction 2016 Edition.
  3. If there is a delay in Contractor's progress as described in Paragraph 4.05, the Contractor shall not include non-regular working days (i.e. Saturdays, Sundays or holidays) in the contract time equitable adjustment proposal.
- SC-7.05 Add the following new paragraphs immediately after paragraph 7.05.F:
1. When a substitute item of material or equipment is proposed by Contractor and accepted by Engineer, and the substitution will require a change in any of the Contract Documents to adapt the design to the proposed substitute, Contractor shall notify Engineer of the changes and be responsible for the costs involved to revise the design and to make modifications or changes to the construction, including the costs associated with the Work of other contractors due to such changes in design or space requirements.
    - a. Redesign and drawing revision will be prepared by Engineer and Contractor shall reimburse Owner for charges of Engineer for redesign and drawing preparation.
    - b. Reimbursement of Engineer shall be based on Engineers direct labor costs, indirect labor costs, profit on the total labor, and any direct non-labor expenses such as travel or per diem.
- SC-7.06.D Amend the last sentence of Paragraph 7.06.D to read as follows:
- “...objection within thirty days.”
- SC-7.07.B Delete paragraph 7.07.B in its entirety and insert the following in its place:
- B. Not Used.
- SC-7.08.A Delete the last sentence in paragraph 7.08.A and replace with the following:
- “Unless otherwise specified in the General Requirements or Specifications, Contractor shall pay all charges of utility owners for connections for providing permanent service to the Work.
- SC-7.08 Add the following new paragraphs immediately after paragraph 7.08.A
- B. The Owner has applied for or has obtained the following permits for this project, which

are listed below.

1. None.

- C. Copies of these permits are included in the Appendix. The Contractor is responsible for complying with the project specific conditions outlined in the permit(s). If the CONTRACTOR intends to proceed with construction means and methods that are outside the General Conditions and Specific Conditions of the permit(s), it is the Contractor's responsibility to obtain new permit(s) for these means and methods and to bear all costs associated with applying for and obtaining new permit(s).

SC 7.09 Add a new paragraph immediately after Paragraph 7.09.A:

- B. Owner is exempt from payment of sales and compensating use taxes of the State of New Hampshire and of cities and counties thereof on all materials to be incorporated into the Work.
1. Owner will furnish the required certificates of tax exemption to Contractor for use in the purchase of supplies and materials to be incorporated into the Work.
  2. Owner's exemption does not apply to construction tools, machinery, equipment, or other property purchased by or leased by Contractor, or to supplies or materials not incorporated into the Work.

SC-7.15.A Add the following new paragraph immediately after paragraph 7.15.A:

- B. In emergencies affecting the safety or protection of persons or property or maintenance of temporary construction at the Site or adjacent thereto, and Contractor cannot be reached, Owner may act to attempt to prevent threatened damage, injury, or loss. Owner will give Contractor and Engineer prompt written notice of such action and the cost of the correction or remedy shall be charged against Contractor. A Change Order will be issued to document the change in Contract Price.

SC-7.16.A Add the following new paragraph immediately after paragraph 7.16.A:

Contractor shall submit the number of copies which the Contractor requires, plus two copies (which will be retained by the Engineer) of shop drawings and other submittals to Engineer for review. If the submittal is made electronically, no paper copies will be provided to the Contractor.

SC-7.16.E Delete paragraph immediately after paragraph 7.16.E.2 in its entirety and insert the following in its place:

2. Engineer shall review a maximum of two submittals of shop drawings or samples for a particular submittal item at no cost to the Contractor. The Contractor shall reimburse the Owner for costs by the Engineer relative to the review of subsequent submittals of shop drawings or samples of the same item.

SC-7.16.E Add the following new paragraphs immediately after paragraph 7.16.E:

- F. Contractor shall furnish required submittals with sufficient information and accuracy in order to obtain required approval of an item with no more than three submittals. Engineer will record Engineer's time for reviewing subsequent submittals of Shop Drawings, samples, or other items requiring approval and Contractor shall reimburse Owner for Engineer's charges for such time.
- G. After Engineer has reviewed and approved a Shop Drawing or Sample, Contractor shall provide the material or equipment approved. Engineer will not review subsequent submittals of a different manufacturer or Supplier unless Contractor provides sufficient information to Engineer that the approved material or equipment is unavailable, time of delivery will delay the construction progress but not as a result of Contractors' failure to timely pursue the Work or to coordinate various activities properly, or Owner requests a different manufacturer or Supplier.

SC-7.17 Add the following new paragraph prior to 7.17.A:

- A. The Contractor warrants the Work for a period of one year from substantial completion of the entire project or a part thereof, unless a longer warranty is specified for a particular item or element of the project, in which case the longer warranty period shall govern.

#### **ARTICLE 9 – OWNER'S RESPONSIBILITIES**

SC-9.02.A Delete the phrase "provided Contractor makes no reasonable objection to the replacement engineer."

SC-9.06 Delete paragraph 9.06 in its entirety.

SC-9.09.A Insert the following after the first sentence:

However, the OWNER shall have the right to direct the CONTRACTOR to perform the Work according to any sequence schedule set forth in the Contract Documents or established pursuant thereto.

#### **ARTICLE 10 – ENGINEER'S STATUS DURING CONSTRUCTION**

SC-10.01 Add a new paragraph 10.01.B after paragraph 10.01.A, which is to read as follows:

- B. Nothing contained in the Contract Documents shall be construed to create a contractual relationship of any kind (1) between the ENGINEER and CONTRACTOR, (2) between the OWNER and a Subcontractor or Subcontractors, or (3) between any person or entities other than the OWNER and CONTRACTOR. The ENGINEER shall, however, be entitled to performance and enforcement of obligations under the CONTRACT DOCUMENTS intended to facilitate performance of the ENGINEER'S duties.

SC-10.03 Add the following new paragraphs immediately after Paragraph 10.03.A:

- B. The Resident Project Representative (RPR) will be Engineer's representative at the Site, will act as directed by and under the supervision of Engineer, and will confer with Engineer regarding RPR's actions. The duties and responsibilities of the RPR are limited to those of Engineer in the Agreement with the Owner and in the Contract Documents, and are further limited and described as follows:
1. General: RPR's dealings in matters pertaining to the Work in general shall be with Engineer and Contractor. RPR's dealings with Subcontractors shall only be through or with the full knowledge and approval of Contractor. RPR shall generally communicate with Owner only with the knowledge of and under the direction of Engineer.
  2. Schedules: Review the progress schedule, schedule of Shop Drawing and Sample submittals, and Schedule of Values prepared by Contractor and consult with Engineer concerning acceptability.
  3. Conferences and Meetings: Attend meetings with Contractor, such as preconstruction conferences, progress meetings, job conferences, and other Project-related meetings, and prepare and circulate copies of notesthereof.
  4. Liaison:
    - a. Serve as Engineer's liaison with Contractor. Working principally through Contractor's authorized representative or designee, assist in providing information regarding the provisions and intent of the Contract Documents.
    - b. Assist Engineer in serving as Owner's liaison with Contractor when Contractor's operations affect Owner's on-Site operations.
    - c. Assist in obtaining from Owner additional details or information, when required for proper execution of the Work.
  5. Interpretation of Contract Documents: Report to Engineer when clarifications and interpretations of the Contract Documents are needed and transmit to Contractor clarifications and interpretations as issued by Engineer.
  6. Shop Drawings and Samples:
    - a. Record date of receipt of Samples and Contractor-approved Shop Drawings.
    - b. Receive Samples which are furnished at the Site by Contractor, and notify Engineer of availability of Samples for examination.
    - c. Advise Engineer and Contractor of the commencement of any portion of the Work requiring a Shop Drawing or Sample submittal for which RPR believes that the submittal has not been approved by Engineer.
  7. Modifications: Consider and evaluate Contractor's suggestions for modifications in Drawings or Specifications and report such suggestions,

together with RPR's recommendations, if any, to Engineer. Transmit to Contractor in writing decisions as issued by Engineer.

8. Review of Work and Rejection of Defective Work:
  - a. Conduct on-Site observations of Contractor's work in progress to assist Engineer in determining if the Work is in general proceeding in accordance with the Contract Documents.
  - b. Report to Engineer whenever RPR believes that any part of Contractor's work in progress is defective, will not produce a completed Project that conforms generally to the Contract Documents, or will imperil the integrity of the design concept of the completed Project as a functioning whole as indicated in the Contract Documents, or has been damaged, or does not meet the requirements of any inspection, test or approval required to be made; and advise Engineer of that part of work in progress that RPR believes should be corrected or rejected or should be uncovered for observation, or requires special testing, inspection or approval.
9. Inspections, Tests, and System Start-ups:
  - a. Verify that tests, equipment, and systems start-ups and operating and maintenance training are conducted in the presence of appropriate Owner's personnel, and that Contractor maintains adequate records thereof.
  - b. Observe, record, and report to Engineer appropriate details relative to the test procedures and systems start-ups.
10. Records:
  - a. Prepare a daily report or keep a diary or log book, recording Contractor's hours on the Site, Subcontractors present at the Site, weather conditions, data relative to questions of Change Orders, Field Orders, Work Change Directives, or changed conditions, Site visitors, deliveries of equipment or materials, daily activities, decisions, observations in general, and specific observations in more detail as in the case of observing test procedures; and send copies to Engineer.
  - b. Record names, addresses, fax numbers, e-mail addresses, web site locations, and telephone numbers of all Contractors, Subcontractors, and major Suppliers of materials and equipment.
  - c. Maintain records for use in preparing Project documentation.
11. Reports:
  - a. Furnish to Engineer periodic reports as required of progress of the Work and of Contractor's compliance with the Progress Schedule and schedule of Shop Drawing and Sample submittals.
  - b. Draft and recommend to Engineer proposed Change Orders, Work Change Directives, and Field Orders. Obtain backup material from Contractor.

- c. Immediately notify Engineer of the occurrence of any Site accidents, emergencies, acts of God endangering the Work, force majeure or delay events, damage to property by fire or other causes, or the discovery of any Constituent of Concern or Hazardous Environmental Condition.
  12. Payment Requests: Review applications for payment with Contractor for compliance with the established procedure for their submission and forward with recommendations to Engineer, noting particularly the relationship of the payment requested to the Schedule of Values, Work completed, and materials and equipment delivered at the Site but not incorporated in the Work.
  13. Certificates, Operation and Maintenance Manuals: During the course of the Work, verify that materials and equipment certificates, operation and maintenance manuals and other data required by the Contract Documents to be assembled and furnished by Contractor are applicable to the items actually installed and in accordance with the Contract Documents, and have these documents delivered to Engineer for review and forwarding to Owner prior to payment for that part of the Work.
  14. Completion:
    - a. Participate in Engineer's visits to the Site to determine Substantial Completion, assist in the determination of Substantial Completion and the preparation of a punch list of items to be completed or corrected.
    - b. Participate in Engineer's final visit to the Site to determine completion of the Work, in the company of Owner and Contractor, and prepare a final punch list of items to be completed and deficiencies to be remedied.
    - c. Observe whether all items on the final list have been completed or corrected and make recommendations to Engineer concerning acceptance and issuance of the notice of acceptability of the work.
- C. The RPR shall not:
  1. Authorize any deviation from the Contract Documents or substitution of materials or equipment (including "or-equal" items).
  2. Exceed limitations of Engineer's authority as set forth in the Contract Documents.
  3. Undertake any of the responsibilities of Contractor, Subcontractors, or Suppliers.
  4. Advise on, issue directions relative to, or assume control over any aspect of the means, methods, techniques, sequences or procedures of Contractor's work.
  5. Advise on, issue directions regarding, or assume control over security or safety practices, precautions, and programs in connection with the activities or operations of Owner or Contractor.
  6. Participate in specialized field or laboratory tests or inspections conducted off-site by others except as specifically authorized by Engineer.

7. Accept Shop Drawing or Sample submittals from anyone other than Contractor.
8. Authorize Owner to occupy the Project in whole or in part.

SC-10.07.A In paragraph 10.07.A delete the second sentence in its entirety.

SC-10.10 Add the following new paragraph immediately after paragraph 10.10.A

- B. In the event Engineer and/or Owner determines that Contractor's safety plans, programs, and procedures do not provide adequate protection for Engineer and/or Owner, Engineer and/or Owner may direct its employees to leave the Project Site or implement additional safeguards for Engineer's protection. If taken, these actions will be in furtherance of Engineer and/or Owner's responsibility to its own employees only, and Engineer and/or Owner will not assume any responsibility for protection of any other persons affected by the Work. In the event Engineer and/or Owner observes situations which appear to have potential for immediate and serious injury to persons, Engineer may warn the persons who appear to be affected by such situations. Such warnings, if issued, shall be given based on general humanitarian concerns, and Engineer and/or Owner will not, by the issuance of any such warning, assume any responsibility to issue future warnings or any general responsibility for protection of persons affected by the Work.

#### **ARTICLE 11 – AMENDING THE CONTRACT DOCUMENTS; CHANGES IN THE WORK**

SC-11.01.A.2 In paragraph 11.01.A.2 delete the third sentence in its entirety.

SC-11.04.C.1 Delete paragraph 11.04.C.1 in its entirety.

SC-11.06.A Amend the first sentence of paragraph 11.06.A to read as follows:

“Contractor shall submit a Change Proposal to Engineer and Owner.....”

SC-11.06.A.1 Amend the first sentence of paragraph 11.06.A.1 to read as follows:

“Contractor shall submit each Change Proposal to Engineer and Owner.....”

SC-11.06.A.2 Delete paragraph 11.06.A.2 in its entirety and insert the following in its place:

2. *Engineer's Action:* Engineer will review each Change Proposal and, within 30 days after receipt of the Contractor's supporting data, make a recommendation to Owner as to approval or denial. Such actions shall be in writing, with a copy provided to Owner and Contractor. If Engineer does not make a recommendation on the Change Proposal within 30 days, then Contractor may at any time thereafter submit a letter to Owner indicating that as a result of Engineer's inaction the Change Proposal is deemed denied, thereby commencing the time for appeal of the denial under Article 12.

SC-11.06.A.3 Delete paragraph 11.06.A.3 in its entirety.

SC-11.07.A.4 Delete paragraph 11.07.A.4 in its entirety.

SC-11.07.B Delete paragraph 11.07.B in its entirety.

**ARTICLE 12 – CLAIMS**

SC-12.01 Delete paragraph 12.01 in its entirety and insert the following in its place:

If Contractor disputes any interpretation of determination of the Engineer or Owner regarding Change Proposals, Contractor shall, within 30 days, submit a written claim to Owner for Owner's conclusion. Owner shall respond within 90 days, or barring a response, claim shall be deemed denied.

- A. *Partial Approval*: If Owner approves the Claim in part and denies it in part, such action shall be final and binding unless within 30 days of such action Contractor invokes the procedure set forth in Article 17 for final resolution of disputes.
- B. *Denial of Claim*: If efforts to resolve a Claim are not successful, Owner may deny it by giving written notice of denial to Contractor. If Owner does not take action on the Claim within 90 days, then Contractor may at any time thereafter submit a letter to Owner indicating that as a result of the inaction, the Claim is deemed denied, thereby commencing the time for appeal of the denial. A denial of the Claim shall be final and binding unless within 30 days of the denial Contractor invokes the procedure set forth in Article 17 for the final resolution of disputes.
- C. *Final and Binding Results*: If the parties reach a mutual agreement regarding a Claim, whether through approval of the Claim, direct negotiations, mediation, or otherwise; or if a Claim is approved in part and denied in part, or denied in full, and such actions become final and binding; then the results of the agreement or action on the Claim shall be incorporated in a Change Order to the extent they affect the contract, including the Work, the Contract Times, or the Contract Price.

**ARTICLE 13 – COST OF THE WORK; ALLOWANCES; UNIT PRICE WORK**

SC-13.01.B.1 In the second sentence of paragraph 13.01.B.1, delete the word "superintendents".

SC 13.01.B.5.c Delete Paragraph 13.01.B.5.c in its entirety and insert the following in its place:

- c. Construction Equipment and Machinery:
  - 1) Rentals of all construction equipment and machinery, and the parts thereof, in accordance with rental agreements approved by Owner with the advice of Engineer, and the costs of transportation, loading, unloading, assembly, dismantling, and removal thereof. All such costs shall be in accordance with the terms of said rental agreements. The rental of any such equipment, machinery, or parts shall cease when the use thereof is no longer necessary for the Work.
  - 2) Costs for equipment and machinery owned by Contractor will be paid at a rate shown for such equipment in the "*Rental Rate Blue Book for Construction Equipment*" published by *Equipment Watch*. An hourly rate will be computed by dividing the monthly rates by 176. These computed rates will include all operating costs. Costs will include the time the equipment or machinery is in use on the changed Work and the costs of transportation, loading, unloading, assembly, dismantling, and removal when directly attributable to the changed

Work. The cost of any such equipment or machinery, or parts thereof, shall cease to accrue when the use thereof is no longer necessary for the changed Work. Equipment or machinery with a value of less than \$1,000 will be considered small tools.

SC-13.01.C.1 In paragraph 13.01.C.1 add “superintendents” after “engineers” in the first sentence.

SC 13.03.E Delete Paragraph 13.03.E in its entirety and insert the following in its place:

- E. The unit price of an item of Unit Price Work shall be subject to reevaluation and adjustment under the following conditions:
1. if the Bid price of a particular item of Unit Price Work amounts to 5 percent or more of the Contract Price and the variation in the quantity of that particular item of Unit Price Work performed by Contractor differs by more than 25 percent from the estimated quantity of such item indicated in the Agreement.
  2. if there is no corresponding adjustment with respect to any other item of Work; and
  3. if Contractor believes that Contractor has incurred additional expense as a result thereof or if Owner believes that the quantity variation entitles Owner to an adjustment in the unit price, either Owner or Contractor may make a claim for an adjustment in the Contract Price in accordance with Article 10 if the parties are unable to agree as to the effect of any such variations in the quantity of Unit Price Work performed.

#### **ARTICLE 14 – TEST AND INSPECTIONS; CORRECTION, REMOVAL OR ACCEPTANCE OF DEFECTIVE WORK**

SC-14.02 Add the following paragraph immediately after paragraph 14.02.F:

- G. Contractor shall pay all costs associated with any re-inspection and/or retesting of materials and equipment required by the Engineer as a result of failure of previous test or rejected work as determined by the Engineer. Contractor shall also pay all costs associated with any additional testing requested by the Contractor.

#### **ARTICLE 15 – PAYMENTS TO CONTRACTOR; SET-OFFS; COMPLETION; CORRECTION PERIOD**

SC-15.01.B. Delete paragraph 15.01.B.3 of the General Conditions in its entirety and replace with the following:

3. Partial payments will be made on a monthly basis during the contract period. From the total amount ascertained as payable, an amount equivalent to ten percent (10%) of the whole will be deducted and retained by the Owner up until fifty percent (50%) completion of the work. Five Percent (5%) of the whole will be deducted and retained by the Owner up until substantial completion. At which point the Contractor can request a reduction down to two percent (2%) in accordance with Final Payment.

- SC-15.01.B Add the following new paragraphs immediately after paragraph 15.01.B.3:
4. Equipment accepted for delivery at the site or at a local bonded warehouse and included in progress estimates in advance of actual requirement will be subject to all conditions stated below.
  5. Materials and equipment will not be included in progress estimates until the following requirements have been fulfilled.
    - a. The Contractor must present an invoice to the Engineer for each item of equipment he is requesting payment for. The invoice must be broken down to show the costs for the actual equipment, and reasonable costs for O&M Manuals, spare parts, start-up certification, training, testing, final acceptance testing, and any other services required by Contract.
    - b. Sufficient monies have been allocated in the payment requisition line items to cover all of the costs listed in "a" above, plus the costs of physically installing the equipment.
    - c. The equipment has been submitted and accepted for use in this Project.
    - d. The equipment is acceptably stored and protected. Storage in a bonded warehouse will require proof of bonding, and insurance coverage specifically for the item being stored.
    - e. The manufacturer's short and/or long term storage requirements have been received by the Engineer, prior to payment.
    - f. The Contractor has established a program to implement the manufacturer's required storage procedures. Said program to consist of at the very least a written schedule of daily, weekly, monthly, etc., routine maintenance requirements for each piece of equipment. A copy of this schedule to be presented to the Engineer prior to each requisition submittal, signed by the Contractor, stating that the required maintenance has been performed.
    - g. Signed, notarized Title Transfers, format to be furnished by the Engineer, must be furnished for each item of equipment.
  6. When the above have been complied with to the satisfaction of the Engineer, payment will be authorized for the full invoice values of the item of equipment, less normal retainage and less all costs for O&M Manuals, spare parts, start-up certification, training, testing, final acceptance testing, and installation.
- SC-15.01.D.1 Amend the first line of paragraph 15.01.D.1 to read as follows:  
"Thirty days after presentation of the..."
- SC-15.01.E.3 Delete paragraph 15.01.E.3 in its entirety.
- SC 15.03.B Add the following new subparagraph to Paragraph 15.03.B:
1. If some or all of the Work has been determined not to be at a point of Substantial Completion and will require re-inspection or re-testing by Engineer, the cost of such re-inspection or re-testing, including the cost of time, travel and living expenses, shall be paid by Contractor to Owner. If Contractor does not pay, or the parties are

unable to agree as to the amount owed, then Owner may impose a reasonable set-off against payments due under Article 15.

SC-15.03.C Delete paragraph 15.03.C in its entirety and insert the following in its place:

- C. If Engineer considers the Work substantially complete, Engineer will deliver to Owner a preliminary certificate of Substantial Completion which shall fix the date of Substantial Completion. Engineer shall attach to the certificate a punch list of items to be completed or corrected before final payment. If Owners does not object to the provisions of the certificate, then Engineer will, within 14 days, execute and deliver to Owner and Contractor a final certificate of Substantial Completion (with a revised punch list of items to be completed or corrected).

SC-15.03.E Delete the second sentence of paragraph 15.03.E in its entirety.

SC-15.08 Add new paragraph immediately after paragraph 15.08 to read as follows:

**Liquidated Damages**

- A. If the Contractor shall fail to achieve Substantial Completion and/or Final Completion within the times stipulated in the Contract, it shall be liable to pay the Owner the daily amount as stipulated in the Contract not as a penalty, but as fixed and agreed upon damages for breach of contract. The said amount is fixed and agreed upon because of the difficulty of ascertaining the Owner's actual damages. It is mutually understood that the amount is a reasonable approximation or estimate thereof as of the date of the Contract. The said amount may be withheld from periodic or final payments due to the Contractor, in addition to retainage and other back-charges.

**ARTICLE 17 – FINAL RESOLUTION OF DISPUTES**

SC-17.01 Add a new paragraph immediately after 17.01.B:

- C. Contractor shall carry on the Work and maintain the progress schedule during the dispute resolution proceedings unless otherwise agreed in writing by Owner and Contractor.

**ARTICLE 18 – MISCELLANEOUS**

SC-18.08 Delete paragraph 18.08 in its entirety and replace with the following:

**18.08 Headings**

- A. The headings or titles of any article, paragraph, subparagraph, section, subsection, or part of the Contract Documents shall not be deemed to limit or restrict the article, paragraph, section, or part.

SC-18.08 Add the following new paragraph immediately after paragraph 18.08:

**SC-18.09 Legal Address of Contractor**

- A. Contractor's business address and his office at or near the site of the Work are both hereby designated as places to which communications shall be delivered. The depositing of any letter, notice, or other communication in a postpaid wrapper directed to the Contractor's business address in a post office box regularly maintained by the Post Office Department or the delivery at either designated address of any letter, notice, or other communication by mail or otherwise shall be deemed sufficient service thereof upon Contractor, and the date of such service shall be the date of receipt. The first-named address may be changed at any time by an instrument in writing, executed and acknowledged by Contractor and delivered to Engineer. Service of any notice, letter, or other communication upon the Contractor personally shall likewise be deemed sufficient service.

SC-Article 19 Insert the following Article immediately after Article 18:

**ARTICLE 19 – OSHA CONSTRUCTION SAFETY PROGRAM**

- SC-19.01 Pursuant to NHRSA 277:5-a, the Contractor shall provide an Occupational Health and Safety Administration (OSHA) 10-hour construction safety program for its on-site employees. All employees are required to complete the program prior to beginning work. The training program shall utilize an OSHA-approved curriculum. Graduates shall receive a card from OSHA certifying the successful completion of the training program.
- SC-19.02 Any employee required to complete the OSHA 10-hour construction safety program, and who cannot within 15 days provide documentation of completion of such program, shall be subject to removal from the job site.
- SC-19.03 The following individuals are exempt from the requirements of the 10-hour construction safety program: law enforcement officers involved with traffic control or jobsite security; flagging personnel who have completed the training required by the Department of Transportation; all relevant federal, state and municipal government employees and inspectors; and all individuals who are not considered to be on the site of work under the federal Davis-Bacon Act, including, but not limited to, construction and non-construction delivery personnel and non-trade personnel.

**ARTICLE 20 COVID-19 SAFETY PLAN**

- SC-20-1 The Contractor shall provide a COVID-19 safety program for all its on-site employees. All employees are required to complete the program prior to beginning work.
- SC-20-2 The Contractors COVID-19 Safety Program shall at a minimum comply with City's COVID-19 protocols for the project site.
- SC-20-3 The Contractor shall have a designated COVID-19 Safety Officer responsible for program implementation and maintenance.

END OF SECTION

**SECTION 00850  
SPECIAL CONDITIONS**

The following Special Conditions apply and are hereby made part of the Agreement and Contract Documents.

**1.01 PERMITS**

- A. Permits applied for or obtained by the Owner, if any, are indicated in paragraph SC-7.08 of the Supplementary Conditions.

**1.02 CONSTRUCTION SCHEDULE**

- A. The Owner anticipates issuing a Notice to Proceed on this project on or about August 26, 2020 subject to the successful bidder satisfying the requirements indicated in the Notice of Award.

**1.03 RESIDENT PROJECT REPRESENTATIVE**

- A. The Owner will provide a part-time Resident Project Representative at no cost to the Contractor. The Resident Project Representative is being provided for the benefit of the Owner, not the Contractor. Duties and responsibilities of the Resident Project Representative are indicated in paragraph SC-10.03 of the Supplementary Conditions.
- B. The Contractor shall notify the Engineer a minimum of 7 calendar days in advance of key construction activities in order to have the Resident Project Representative present and observe the work. The Contractor shall provide this advance notice for the following construction activities:
  - 1. Installation of Best Management Practices.
  - 2. Installation of Traffic Management Practices.
  - 3. All excavation, backfilling, and compaction activities around, behind or over structures.
  - 4. Identifying concrete repair limits.
  - 5. Completion of constructing concrete formwork and placing reinforcing steel.
  - 6. All cast-in-place concrete placement operations.
  - 7. Placement of barrier membrane.
  - 8. Installation of new expansion joints.
  - 9. Installation of guardrail.
  - 10. All asphalt paving operations.

CITY HALL ELECTRICAL WORK  
PORTSMOUTH, NEW HAMPSHIRE

- B. Failure of the Contractor to provide the proper advanced notice of construction activities as indicated in paragraph 1.03-B may be cause for rejection of the work. Any work rejected shall be removed and replaced by the Contractor at no additional cost to the Owner.

**1.04 RELATED WORK**

- A. General: The Contractor shall cooperate fully with contractors performing other work around the project site so that work on those contracts may be carried out smoothly, without interfering with or delaying work under this Contract or other contracts. Coordinate the Work of the Contract with work performed under separate contracts.

END OF SECTION

CITY HALL ELECTRICAL WORK  
PORTSMOUTH, NEW HAMPSHIRE

**SECTION 00940**  
**WORK CHANGE DIRECTIVE**  
(EJCDC Form C-940)

**Work Change Directive No.**

Date of Issuance: \_\_\_\_\_ Effective Date: \_\_\_\_\_  
Owner: City of Portsmouth, Public Works Department Owner's Contract No.: N/A  
Contractor: \_\_\_\_\_ Contractor's Project No.: \_\_\_\_\_  
Engineer: Oak Point Associates Engineer's Project No.: 21904.15  
Project: City Hall Electrical Renovations Contract Name: City Hall Electrical Renovations

Contractor is directed to proceed promptly with the following change(s):

Description:

Attachments: *[List documents supporting change]*

**Purpose for Work Change Directive:**

Directive to proceed promptly with the Work described herein, prior to agreeing to changes on Contract Price and Contract Time, is issued due to: *[check one or both of the following]*

- Non-agreement on pricing of proposed change.
- Necessity to proceed for schedule or other Project reasons.

**Estimated Change in Contract Price and Contract Times (non-binding, preliminary):**

Contract Price \$ \_\_\_\_\_ [increase] [decrease].  
Contract Time \_\_\_\_\_ days [increase] [decrease].

**Basis of estimated change in Contract Price:**

- Lump Sum  Unit Price
- Cost of the Work  Other

RECOMMENDED:	AUTHORIZED BY:	RECEIVED:
By: _____ Engineer (Authorized Signature)	By: _____ Owner (Authorized Signature)	By: _____ Contractor (Authorized Signature)
Title: _____	Title: _____	Title: _____
Date: _____	Date: _____	Date: _____

Approved by Funding Agency (if applicable)

By: \_\_\_\_\_ Date: \_\_\_\_\_  
Title: \_\_\_\_\_

END OF SECTION



**SECTION 00941  
CHANGE ORDER**  
(EJCDC Form C-941)

City of Portsmouth Electrical Renovations

Change Order No. \_\_\_\_\_

Date of Issuance:	Effective Date:
Owner: City of Portsmouth, Public Works Department	Owner's Contract No.: N/A
Contractor:	Contractor's Project No.:
Engineer: Oak Point Associates.	Engineer's Project No.: 21904.15
Project: City Hall Electrical Renovations	Contract Name: City Hall Electrical Renovations

The Contract is modified as follows upon execution of this Change Order:

Description:

Attachments: *[List documents supporting change]*

CHANGE IN CONTRACT PRICE	CHANGE IN CONTRACT TIMES <i>[note changes in Milestones if applicable]</i>
Original Contract Price: \$ _____	Original Contract Times: _____ Substantial Completion: _____ Ready for Final Payment: _____ days or dates
[Increase] [Decrease] from previously approved Change Orders No. ___ to No. ___: \$ _____	[Increase] [Decrease] from previously approved Change Orders No. ___ to No. ___: Substantial Completion: _____ Ready for Final Payment: _____ days
Contract Price prior to this Change Order: \$ _____	Contract Times prior to this Change Order: Substantial Completion: _____ Ready for Final Payment: _____ days or dates
[Increase] [Decrease] of this Change Order: \$ _____	[Increase] [Decrease] of this Change Order: Substantial Completion: _____ Ready for Final Payment: _____ days or dates
Contract Price incorporating this Change Order: \$ _____	Contract Times with all approved Change Orders: Substantial Completion: _____ Ready for Final Payment: _____ days or dates

RECOMMENDED:	ACCEPTED:	ACCEPTED:
By: _____ Engineer (if required)	By: _____ Owner (Authorized Signature)	By: _____ Owner (Authorized Signature)
Title: _____	Title: Karen S. Conard, City Manager	Title: Peter Rice, Director of Public Works
Date: _____	Date: _____	Date: _____

Approved by Funding Agency (if applicable)

By: \_\_\_\_\_  
Title: \_\_\_\_\_  
Date: \_\_\_\_\_

ACCEPTED:

By: \_\_\_\_\_  
Contractor (Authorized Signature)  
Title: \_\_\_\_\_  
Date: \_\_\_\_\_

END OF SECTION







**SECTION 00943**  
**CERTIFICATE OF SUBSTANTIAL COMPLETION**  
(EJCDC Form C-625, Modified)

**CERTIFICATE OF SUBSTANTIAL COMPLETION**

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Owner:	City of Portsmouth, Public Works Department	Owner's Contract No.:	N/A
Contractor:		Contractor's Project No.:	
Engineer:	Oak Point Associates	Engineer's Project No.:	21904.158
Project:	City Hall Electrical Renovations	Contract Name:	City Hall Electrical Renovations

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**This [preliminary] [final] Certificate of Substantial Completion applies to:**

All Work  The following specified portions of the Work:

---

**Date of Substantial Completion**

The Work to which this Certificate applies has been inspected by authorized representatives of Owner, Contractor, and Engineer, and found to be substantially complete. The Date of Substantial Completion of the Work or portion thereof designated above is hereby established, subject to the provisions of the Contract pertaining to Substantial Completion. The date of Substantial Completion in the final Certificate of Substantial Completion marks the commencement of the contractual correction period and applicable warranties required by the Contract.

A punch list of items to be completed or corrected is attached to this Certificate. This list may not be all-inclusive, and the failure to include any items on such list does not alter the responsibility of the Contractor to complete all Work in accordance with the Contract.

The responsibilities between Owner and Contractor for security, operation, safety, maintenance, heat, utilities, insurance, and warranties upon Owner's use or occupancy of the Work shall be as provided in the Contract, except as amended as follows: *[Note: Amendments of contractual responsibilities recorded in this Certificate should be the product of mutual agreement of Owner and Contractor; see Paragraph 15.03.D of the General Conditions.]*

Amendments to Owner's responsibilities:  None  
 As follows:

Amendments to Contractor's responsibilities:  None  
 As follows:

The following documents are attached to and made a part of this Certificate:

This Certificate does not constitute an acceptance of Work not in accordance with the Contract Documents, nor is it a release of Contractor's obligation to complete the Work in accordance with the Contract.

---

EXECUTED BY ENGINEER:	RECEIVED:	RECEIVED:
By: _____ (Authorized signature)	By: _____ Owner (Authorized Signature)	By: _____ Contractor (Authorized Signature)
Title: _____	Title: _____	Title: _____
Date: _____	Date: _____	Date: _____

END OF SECTION



**SECTION 00950**  
**CONSENT OF SURETY COMPANY TO FINAL PAYMENT**

OWNER'S CONTRACT NO.:   N/A  

ENGINEER' PROJECT NO.:   21904.15  

AGREEMENT DATE: \_\_\_\_\_

BOND NUMBER: \_\_\_\_\_

CONTRACT TITLE:   City Hall Electrical Renovations  

To:   City of Portsmouth, Public Works Department   (Owner)  
  680 Peverly Hill Road    
  Portsmouth, NH 03801  

From: \_\_\_\_\_ (Contractor)

In accordance with the provisions of the Contract between the Owner and the Contractor as indicated above, the \_\_\_\_\_(Surety) on the bond of \_\_\_\_\_(Contractor) hereby approves of the final payment to the Contractor, and agrees that final payment to the Contractor shall not relieve the Surety Company of any of its obligations to the \_\_\_\_\_ City of Portsmouth, Public Works Department \_\_\_\_\_ (Owner) as set forth in the said Surety Company's Bond.

IN WITNESS WHEREOF, the Surety Company has hereunto set its hand this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_.

\_\_\_\_\_  
Surety Company

\_\_\_\_\_  
Signature of Authorized Representative

Attest: (Seal)

\_\_\_\_\_  
Name & Title

Note: Power of Attorney should be attached in instances where same applies.

END OF SECTION



**SECTION 00960**  
**CONTRACTOR'S FINAL LIEN WAIVER**  
(Page 1 of 2)

OWNER'S CONTRACT NO.: N/A

ENGINEER' PROJECT NO.: 21904.15

AGREEMENT DATE: \_\_\_\_\_

CONTRACT TITLE: City Hall Electrical Renovations

To: City of Portsmouth, Public Works Department (Owner)  
680 Peverly Hill Road  
Portsmouth, NH 03801  
\_\_\_\_\_

**APPLICATION FOR FINAL PAYMENT**

The undersigned hereby certifies that the amount owed set forth below constitutes the entire value of all work performed and services rendered by, through or under the undersigned with respect to the project not heretofore paid for up to and including the period covered by the above Application for Final Payment; that all work covered by such Application has been incorporated into the project and title thereto has passed to the Owner free and clear of all liens, claims, security, interests or encumbrances; and that no work covered by such Application has been acquired subject to an agreement under which any interest therein or an encumbrance thereon is retained by the seller or any other person. In consideration of payment of the requisition, the undersigned hereby releases the Owner from all claims of lien which the undersigned has regarding the Project.

The undersigned, in order to induce the Owner to pay the requisition, hereby represents that it has paid or will pay from the proceeds of the requisition all sums due to those parties who have performed work or provided materials to the undersigned in connection with the Project, and that it will on request of the Owner provide written evidence of the discharge by the undersigned of its obligations to such parties.

Executed under seal as of this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_.

Amount Owed to Contractor by Owner as Final Payment:  
\$ \_\_\_\_\_ (total value of project including change orders)

Amount Unpaid From Previous Application for Payment:  
\$ \_\_\_\_\_

**CONTRACTOR'S FINAL LIEN WAIVER**  
(Page 2 of 2)

From: \_\_\_\_\_ (Contractor)  
\_\_\_\_\_  
\_\_\_\_\_

\_\_\_\_\_  
Authorized Representative Signature

\_\_\_\_\_  
Name and Title (printed)

NOTARY:

Then personally appeared the above named \_\_\_\_\_ and  
acknowledged the foregoing to be the free act and deed of the above-named Contractor, before me.

Subscribed and sworn to on the \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_.

Notary Public: \_\_\_\_\_

My Commission Expires: \_\_\_\_\_

END OF SECTION

**SECTION 00970**  
**CERTIFICATE OF FINAL COMPLETION OF WORK**  
(Page 1 of 2)

OWNER'S CONTRACT NO.: N/A

ENGINEER' PROJECT NO.: 21904.15

AGREEMENT DATE: \_\_\_\_\_

CONTRACT TITLE: City Hall Electrical Renovations

FINAL COMPLETION DATE PER AGREEMENT AND CHANGE ORDERS: \_\_\_\_\_

ACTUAL DATE OF FINAL COMPLETION: \_\_\_\_\_

FINAL CERTIFICATION OF CONTRACTOR

I hereby certify that the Work as identified in the Final Payment Request dated \_\_\_\_\_  
\_\_\_\_\_ for the above-noted construction Contract represents full compensation for the actual value of  
work completed. Additionally, all work completed conforms to the terms of the Agreement and  
authorized changes.

\_\_\_\_\_  
CONTRACTOR

\_\_\_\_\_  
Date

\_\_\_\_\_  
Authorized Representative's Signature

\_\_\_\_\_  
Name & Title

FINAL CERTIFICATION OF ENGINEER

I have reviewed the Contractor's Final Payment Request dated \_ and hereby certify that to the best of my  
knowledge, the cost of the work identified on the Final Payment Request represents full compensation  
for the actual value of work completed and that the work has been completed in accordance with the  
terms of the Agreement and authorized changes.

Oak Point Associates  
ENGINEER

\_\_\_\_\_  
Date

\_\_\_\_\_  
Authorized Representative's Signature

\_\_\_\_\_  
Name & Title

**CERTIFICATE OF FINAL COMPLETION OF WORK**  
(Page 2 of 2)

FINAL ACCEPTANCE OF OWNER

I, as representative of the Owner, accept the above Final Certifications and authorize Final Payment in the amount of \$\_\_\_\_\_ and direct the Contractor's attention to the General Conditions. The guaranty for all Work completed subsequent to the date of Substantial Completion, expires \_\_\_\_\_ year from the date of this Final Acceptance.

City of Portsmouth, Public Works Department  
OWNER

\_\_\_\_\_  
Date

\_\_\_\_\_  
Authorized Representative's Signature

\_\_\_\_\_  
Name & Title

END OF SECTION

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SECTION 011000 - SUMMARY

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and other Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

A. Section Includes:

1. Project information.
2. Work covered by Contract Documents.
3. Access to site.
4. Coordination with occupants.
5. Work restrictions.
6. Specification and Drawing conventions.

B. Related Requirements:

1. Section 015000 "Temporary Facilities and Controls" for limitations and procedures governing temporary use of Owner's facilities.

1.3 PROJECT INFORMATION

A. Project Identification: Portsmouth City Hall Electrical Renovations

1. Project Location: 1 Junkins Avenue, Portsmouth, New Hampshire

B. Owner: Department of Public Works

1. Owner's Representative: Joseph Almeida, Facilities Manager (603) 766-3348

C. Architect: Oak Point Associates, 85 Middle Street, Portsmouth, New Hampshire

D. Web-Based Project Software: Project software administered by Contractor will be used for purposes of managing communication and documents during the construction stage.

1. See Section 013100 "Project Management and Coordination." for requirements for establishing, administering and using web-based Project software.

1.4 WORK COVERED BY CONTRACT DOCUMENTS

- A. The Work of Project is defined by the Contract Documents and consists of the following:

SUMMARY

011000 - 1

CITY HALL ELECTRICAL RENOVATIONS  
PORTSMOUTH, NEW HAMPSHIRE

1. The project consists of demolition of architectural, mechanical, plumbing and electrical items, renovation of the electrical service, grading, excavation, landscaping and retaining wall work, electrical distribution equipment, and exterior generator and associated equipment, doors, walls, finishes, minor mechanical, plumbing and sprinkler work.

B. Type of Contract:

1. Project will be constructed under a single prime contract.

1.5 CONSTRUCTION SEQUENCE

- A. The Work shall be sequenced to allow the existing electrical source and distribution system to remain operational until new source and distribution is installed, and ready for cut-over.
- B. Before commencing Work of each sequence, submit an updated copy of Contractor's construction schedule showing the sequence, commencement and completion dates for the Work.

1.6 WORK BY OWNER

- A. General: Cooperate fully with Owner so work may be carried out smoothly, without interfering with or delaying work under this Contract or work by Owner. Coordinate the Work of this Contract with work performed by Owner.
- B. Concurrent Work: Owner may or may not perform the following construction operations at Project site. Those operations will be conducted simultaneously with Work under this Contract.
  1. Utility work repairs to electrical source, transformer and utility poles.

1.7 ACCESS TO SITE

- A. General: Contractor shall have limited use of Project site for construction operations during construction period. The existing building shall be operational and occupied during the construction period. Access to the building shall be maintained. The area around the building shall be left in a neat and orderly manner. Contractor's use of Project site is limited by Owner's right to perform work or to retain other Contractors on portions of Project or additional Projects.
- B. Use of Site: Limit use of Project site to Work in areas indicated. Do not disturb portions of Project site beyond areas in which the Work is indicated.
  1. Limits: Confine construction operations to work areas indicated.
  2. Driveways, Walkways and Entrances: Keep driveways parking areas and entrances serving premises clear and available to Owner, Owner's employees, and emergency vehicles at all times. Do not use these areas for parking or for storage of materials.
    - a. Schedule deliveries to minimize use of driveways and entrances by construction operations.

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- b. Schedule deliveries to minimize space and time requirements for storage of materials and equipment on-site.
  - c. Provide temporary pedestrian protection and route as indicated.
- C. Condition of Existing Building: Maintain portions of existing building affected by construction operations in a weathertight condition throughout construction period. Repair damage caused by construction operations.
- D. Condition of Existing Grounds: Maintain portions of existing grounds, landscaping, and hardscaping affected by construction operations throughout construction period. Repair damage caused by construction operations to satisfaction of Owner.

1.8 COORDINATION WITH OCCUPANTS

- A. Full Owner Occupancy: Owner will occupy portions of the site and the building during entire construction period. Cooperate with Owner during construction operations to minimize conflicts and facilitate Owner usage. Perform the Work so as not to interfere with Owner's day-to-day operations.
- 1. Maintain access to existing walkways, corridors, and other adjacent occupied or used facilities. Do not close or obstruct walkways, corridors, or other occupied or used facilities without written permission from Owner and approval of authorities having jurisdiction.
  - 2. Notify Owner not less than 7 days in advance of activities that will affect Owner's operations.
- B. Owner Limited Occupancy of Completed Areas of Construction: Owner reserves the right to occupy and to place and install equipment in completed portions of the Work, prior to Substantial Completion of the Work, provided such occupancy does not interfere with completion of the Work. Such placement of equipment and limited occupancy shall not constitute acceptance of the total Work.
- 1. Architect will prepare a Certificate of Substantial Completion for each specific portion of the Work to be occupied prior to Owner acceptance of the completed Work.
  - 2. Obtain a Certificate of Occupancy from authorities having jurisdiction before limited Owner occupancy.
  - 3. Before limited Owner occupancy, mechanical and electrical systems shall be fully operational, and required tests and inspections shall be successfully completed. On occupancy, Owner will operate and maintain mechanical and electrical systems serving occupied portions of Work.
  - 4. On occupancy, Owner will assume responsibility for maintenance and custodial service for occupied portions of Work.

1.9 WORK RESTRICTIONS

- A. Work Restrictions, General: Comply with restrictions on construction operations.
- 1. Comply with limitations on use of public streets and with other requirements of authorities having jurisdiction.

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- B. On-Site Work Hours: Limit work in the existing building to normal business working hours published for City Hall, Monday through Friday, unless otherwise indicated.
  - 1. Weekend Hours: Weekend work is permitted with approval from Owner.
    - a. This contract requires off-hours and weekend work.
- C. Existing Utility Interruptions: Do not interrupt utilities serving facilities occupied by Owner or others unless permitted under the following conditions and then only after providing temporary utility services according to requirements indicated:
  - 1. Notify Owner not less than one week in advance of proposed utility interruptions.
  - 2. Obtain Owner's written permission before proceeding with utility interruptions.
- D. Noise, Vibration, and Odors: Coordinate operations that may result in high levels of noise and vibration, odors, or other disruption to Owner occupancy with Owner.
  - 1. Notify Owner not less than two days in advance of proposed disruptive operations.
  - 2. Obtain Owner's written permission before proceeding with disruptive operations.
- E. Restricted Substances: Use of tobacco products and other controlled substances on Project site is not permitted.
- F. Employee Screening: Comply with Owner's requirements for drug and background screening of Contractor personnel working on Project site.
  - 1. Maintain list of approved screened personnel with Owner's representative.

1.10 SPECIFICATION AND DRAWING CONVENTIONS

- A. Specification Content: The Specifications use certain conventions for the style of language and the intended meaning of certain terms, words, and phrases when used in particular situations. These conventions are as follows:
  - 1. Imperative mood and streamlined language are generally used in the Specifications. The words "shall," "shall be," or "shall comply with," depending on the context, are implied where a colon (:) is used within a sentence or phrase.
  - 2. Specification requirements are to be performed by Contractor unless specifically stated otherwise.
- B. Division 01 General Requirements: Requirements of Sections in Division 01 apply to the Work of all Sections in the Specifications.
- C. Drawing Coordination: Requirements for materials and products identified on Drawings are described in detail in the Specifications. One or more of the following are used on Drawings to identify materials and products:
  - 1. Terminology: Materials and products are identified by the typical generic terms used in the individual Specifications Sections.

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2. Abbreviations: Materials and products are identified by abbreviations scheduled on Drawings.

PART 2 - PRODUCTS (Not Used)

PART 3 - EXECUTION (Not Used)

END OF SECTION



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SECTION 012500 - SUBSTITUTION PROCEDURES

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and other Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

- A. Section includes administrative and procedural requirements for substitutions.
- B. Related Requirements:
  - 1. Section 016000 "Product Requirements" for requirements for submitting comparable product submittals for products by listed manufacturers.

1.3 DEFINITIONS

- A. Substitutions: Changes in products, materials, equipment, and methods of construction from those required by the Contract Documents and proposed by Contractor.
  - 1. Substitutions for Cause: Changes proposed by Contractor that are required due to changed Project conditions, such as unavailability of product, regulatory changes, or unavailability of required warranty terms.
  - 2. Substitutions for Convenience: Changes proposed by Contractor or Owner that are not required in order to meet other Project requirements but may offer advantage to Contractor or Owner.

1.4 ACTION SUBMITTALS

- A. Submittals shall comply with the requirements of Section 013300 "Submittal Procedures" and the individual sections specifying the work.
- B. Substitution Requests: Submit three copies of each request for consideration. Identify product or fabrication or installation method to be replaced. Include Specification Section number and title and Drawing numbers and titles.
  - 1. Substitution Request Form: Use facsimile of form provided in Project Manual.
  - 2. Documentation: Show compliance with requirements for substitutions and the following, as applicable:
    - a. Statement indicating why specified product or fabrication or installation method cannot be provided, if applicable.

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- b. Coordination of information, including a list of changes or revisions needed to other parts of the Work and to construction performed by Owner and separate contractors that will be necessary to accommodate proposed substitution.
  - c. Detailed comparison of significant qualities of proposed substitutions with those of the Work specified. Include annotated copy of applicable Specification Section. Significant qualities may include attributes, such as performance, weight, size, durability, visual effect, sustainable design characteristics, warranties, and specific features and requirements indicated. Indicate deviations, if any, from the Work specified.
  - d. Product Data, including drawings and descriptions of products and fabrication and installation procedures.
  - e. Samples, where applicable or requested.
  - f. Certificates and qualification data, where applicable or requested.
  - g. List of similar installations for completed projects, with project names and addresses as well as names and addresses of architects and owners.
  - h. Material test reports from a qualified testing agency, indicating and interpreting test results for compliance with requirements indicated.
  - i. Research reports evidencing compliance with building code in effect for Project, from ICC-ES.
  - j. Detailed comparison of Contractor's construction schedule using proposed substitutions with products specified for the Work, including effect on the overall Contract Time. If specified product or method of construction cannot be provided within the Contract Time, include letter from manufacturer, on manufacturer's letterhead, stating date of receipt of purchase order, lack of availability, or delays in delivery.
  - k. Cost information, including a proposal of change, if any, in the Contract Sum.
  - l. Contractor's certification that proposed substitution complies with requirements in the Contract Documents, except as indicated in substitution request, is compatible with related materials and is appropriate for applications indicated.
  - m. Contractor's waiver of rights to additional payment or time that may subsequently become necessary because of failure of proposed substitution to produce indicated results.
3. Architect's Action: If necessary, Architect will request additional information or documentation for evaluation within seven days of receipt of a request for substitution. Owner will notify Contractor of acceptance or rejection of proposed substitution within 15 days of receipt of request, or seven days of receipt of additional information or documentation, whichever is later.
    - a. Forms of Acceptance: Change Order, Construction Change Directive, or Architect's Supplemental Instructions for minor changes in the Work.
    - b. Use product specified if Owner does not issue a decision on use of a proposed substitution within time allocated.

## 1.5 QUALITY ASSURANCE

- A. Compatibility of Substitutions: Investigate and document compatibility of proposed substitution with related products and materials. Engage a qualified testing agency to perform compatibility tests recommended by manufacturers.

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1.6 PROCEDURES

- A. Coordination: Revise or adjust affected work as necessary to integrate work of the approved substitutions.

1.7 SUBSTITUTIONS

- A. Substitutions for Cause: Submit requests for substitution immediately on discovery of need for change, but not later than 15 days prior to time required for preparation and review of related submittals.

1. Conditions: Architect will consider Contractor's request for substitution when the following conditions are satisfied. If the following conditions are not satisfied, Architect will return requests without action, except to record noncompliance with these requirements:

- a. Requested substitution is consistent with the Contract Documents and will produce indicated results.
- b. Substitution request is fully documented and properly submitted.
- c. Requested substitution will not adversely affect Contractor's construction schedule.
- d. Requested substitution has received necessary approvals of authorities having jurisdiction.
- e. Requested substitution is compatible with other portions of the Work.
- f. Requested substitution has been coordinated with other portions of the Work.
- g. Requested substitution provides specified warranty.
- h. If requested substitution involves more than one contractor, requested substitution has been coordinated with other portions of the Work, is uniform and consistent, is compatible with other products, and is acceptable to all contractors involved.

- B. Substitutions for Convenience: Architect will consider requests for substitution if received within 60 days after commencement of the Work. Requests received after that time may be considered or rejected at discretion of Architect.

1. Conditions: Architect will consider Contractor's request for substitution when the following conditions are satisfied. If the following conditions are not satisfied, Architect will return requests without action, except to record noncompliance with these requirements:

- a. Requested substitution offers Owner a substantial advantage in cost, time, energy conservation, or other considerations, after deducting additional responsibilities Owner must assume. Owner's additional responsibilities may include compensation to Architect for redesign and evaluation services, increased cost of other construction by Owner, and similar considerations.
- b. Requested substitution does not require extensive revisions to the Contract Documents.
- c. Requested substitution is consistent with the Contract Documents and will produce indicated results.
- d. Substitution request is fully documented and properly submitted.
- e. Requested substitution will not adversely affect Contractor's construction schedule.

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- f. Requested substitution has received necessary approvals of authorities having jurisdiction.
- g. Requested substitution is compatible with other portions of the Work.
- h. Requested substitution has been coordinated with other portions of the Work.
- i. Requested substitution provides specified warranty.
- j. If requested substitution involves more than one contractor, requested substitution has been coordinated with other portions of the Work, is uniform and consistent, is compatible with other products, and is acceptable to all contractors involved.

PART 2 - PRODUCTS (Not Used)

PART 3 - EXECUTION (Not Used)

END OF SECTION

CITY HALL ELECTRICAL RENOVATIONS  
PORTSMOUTH, NEW HAMPSHIRE

SECTION 012600 - CONTRACT MODIFICATION PROCEDURES

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and other Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

- A. Section includes administrative and procedural requirements for handling and processing Contract modifications.
- B. Related Requirements:
  - 1. Section 012500 "Substitution Procedures" for administrative procedures for handling requests for substitutions made after the Contract award.

1.3 MINOR CHANGES IN THE WORK

- A. Architect will issue supplemental instructions authorizing minor changes in the Work, not involving adjustment to the Contract Sum or the Contract Time, on AIA Document G710.

1.4 PROPOSAL REQUESTS

- A. Owner-Initiated Proposal Requests: Architect will issue a detailed description of proposed changes in the Work that may require adjustment to the Contract Sum or the Contract Time. If necessary, the description will include supplemental or revised Drawings and Specifications.
  - 1. Work Change Proposal Requests issued by Architect are not instructions either to stop work in progress or to execute the proposed change.
  - 2. Within time specified in Proposal Request or 20 days, when not otherwise specified, after receipt of Proposal Request, submit a quotation estimating cost adjustments to the Contract Sum and the Contract Time necessary to execute the change.
    - a. Include a list of quantities of products required or eliminated and unit costs, with total amount of purchases and credits to be made. If requested, furnish survey data to substantiate quantities.
    - b. Indicate applicable taxes, delivery charges, equipment rental, and amounts of trade discounts.
    - c. Include costs of labor and supervision directly attributable to the change.
    - d. Include an updated Contractor's construction schedule that indicates the effect of the change, including, but not limited to, changes in activity duration, start and finish times, and activity relationship. Use available total float before requesting an extension of the Contract Time.

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- B. Contractor-Initiated Proposals: If latent or changed conditions require modifications to the Contract, Contractor may initiate a claim by submitting a request for a change to Architect.
1. Include a statement outlining reasons for the change and the effect of the change on the Work. Provide a complete description of the proposed change. Indicate the effect of the proposed change on the Contract Sum and the Contract Time.
  2. Include a list of quantities of products required or eliminated and unit costs, with total amount of purchases and credits to be made. If requested, furnish survey data to substantiate quantities.
  3. Indicate applicable taxes, delivery charges, equipment rental, and amounts of trade discounts.
  4. Include costs of labor and supervision directly attributable to the change.
  5. Include an updated Contractor's construction schedule that indicates the effect of the change, including, but not limited to, changes in activity duration, start and finish times, and activity relationship. Use available total float before requesting an extension of the Contract Time.
  6. Comply with requirements in Section 012500 "Substitution Procedures" if the proposed change requires substitution of one product or system for product or system specified.

1.5 CHANGE ORDER PROCEDURES

- A. On Owner's approval of a Work Change Proposal Request, Architect will issue a Change Order for signatures of Owner and Contractor on AIA Document G701.
- B. Profit and overhead for change orders shall be negotiated prior to the start of the work. Profit and overhead, combined, shall not exceed 20%.

1.6 CONSTRUCTION CHANGE DIRECTIVE

- A. Construction Change Directive: Architect may issue a Construction Change Directive on AIA Document G714. Construction Change Directive instructs Contractor to proceed with a change in the Work, for subsequent inclusion in a Change Order.
1. Construction Change Directive contains a complete description of change in the Work. It also designates method to be followed to determine change in the Contract Sum or the Contract Time.
- B. Documentation: Maintain detailed records on a time and material basis of work required by the Construction Change Directive.
1. After completion of change, submit an itemized account and supporting data necessary to substantiate cost and time adjustments to the Contract.

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PART 2 - PRODUCTS (Not Used)

PART 3 - EXECUTION (Not Used)

END OF SECTION



CITY HALL ELECTRICAL RENOVATIONS  
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SECTION 012900 - PAYMENT PROCEDURES

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and other Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

- A. Section includes administrative and procedural requirements necessary to prepare and process Applications for Payment.
- B. Related Requirements:
  - 1. Section 012600 "Contract Modification Procedures" for administrative procedures for handling changes to the Contract.
  - 2. Section 013200 "Construction Progress Documentation" for administrative requirements governing the preparation and submittal of the Contractor's construction schedule.

1.3 DEFINITIONS

- A. Schedule of Values: A statement furnished by Contractor allocating portions of the Contract Sum to various portions of the Work and used as the basis for reviewing Contractor's Applications for Payment.

1.4 SCHEDULE OF VALUES

- A. Coordination: Coordinate preparation of the schedule of values with preparation of Contractor's construction schedule.
  - 1. Coordinate line items in the schedule of values with items required to be indicated as separate activities in Contractor's construction schedule.
  - 2. Submit the schedule of values to Architect at earliest possible date, but no later than seven days before the date scheduled for submittal of initial Applications for Payment.
  - 3. Create a separate Schedule of Values for each Bid Item.
- B. Format and Content: Use Project Manual table of contents as a guide to establish line items for the schedule of values. Provide at least one line item for each Specification Section.
  - 1. Identification: Include the following Project identification on the schedule of values:
    - a. Project name and location.
    - b. Contractor's name and address.

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- c. Date of submittal.
  2. Arrange schedule of values consistent with format of AIA Document G703.
  3. Provide a breakdown of the Contract Sum in enough detail to facilitate continued evaluation of Applications for Payment and progress reports. Provide multiple line items for principal subcontract amounts in excess of five percent of the Contract Sum.
  4. Provide a separate line item in the schedule of values for each part of the Work where Applications for Payment may include materials or equipment purchased or fabricated and stored, but not yet installed.
    - a. Differentiate between items stored on-site and items stored off-site.
  5. Overhead Costs: Include total cost and proportionate share of general overhead and profit for each line item.
  6. Closeout Costs. Include separate line items under Contractor and principal subcontracts for Project closeout requirements in an amount totaling five percent of the Contract Sum and subcontract amount.
  7. Schedule of Values Revisions: Revise the schedule of values when Change Orders or Construction Change Directives result in a change in the Contract Sum. Include at least one separate line item for each Change Order and Construction Change Directive.

1.5 APPLICATIONS FOR PAYMENT

- A. Each Application for Payment following the initial Application for Payment shall be consistent with previous applications and payments as certified by Architect and paid for by Owner.
- B. Payment Application Times: The date for each progress payment is indicated in the Agreement between Owner and Contractor. The period of construction work covered by each Application for Payment is the period indicated in the Agreement.
  1. Submit draft copy of Application for Payment seven days prior to due date for review by Architect.
- C. Application for Payment Forms: Use AIA Document G702 and AIA Document G703 as form for Applications for Payment.
  1. Other Application for Payment forms proposed by the Contractor shall be reviewed by Architect and if deemed acceptable to Owner will be approved. Submit forms for approval with initial submittal of schedule of values.
- D. Application Preparation: Complete every entry on form. Notarize and execute by a person authorized to sign legal documents on behalf of Contractor. Architect will return incomplete applications without action.
  1. Entries shall match data on the schedule of values and Contractor's construction schedule. Use updated schedules if revisions were made.
  2. Include amounts for work completed following previous Application for Payment, whether or not payment has been received. Include only amounts for work completed at time of Application for Payment.

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3. Include amounts of Change Orders and Construction Change Directives issued before last day of construction period covered by application.
  4. Indicate separate amounts for work being carried out under Owner-requested project acceleration.
- E. Stored Materials: Include in Application for Payment amounts applied for materials or equipment purchased or fabricated and stored, but not yet installed. Differentiate between items stored on-site and items stored off-site.
1. Provide certificate of insurance, evidence of transfer of title to Architect, and consent of surety to payment for stored materials.
  2. Provide supporting documentation that verifies amount requested, such as paid invoices. Match amount requested with amounts indicated on documentation; do not include overhead and profit on stored materials.
  3. Provide summary documentation for stored materials indicating the following:
    - a. Value of materials previously stored and remaining stored as of date of previous Applications for Payment.
    - b. Value of previously stored materials put in place after date of previous Application for Payment and on or before date of current Application for Payment.
    - c. Value of materials stored since date of previous Application for Payment and remaining stored as of date of current Application for Payment.
- F. Transmittal: Submit three signed and notarized original copies of each Application for Payment to Architect by a method ensuring receipt within 24 hours. One copy shall include waivers of lien and similar attachments if required.
1. Transmit each copy with a transmittal form listing attachments and recording appropriate information about application.
- G. Waivers of Mechanic's Lien: With each Application for Payment, submit waivers of mechanic's lien from entities lawfully entitled to file a mechanic's lien arising out of the Contract and related to the Work covered by the payment.
1. Submit partial waivers on each item for amount requested in previous application, after deduction for retainage, on each item.
  2. When an application shows completion of an item, submit conditional final or full waivers.
  3. Owner reserves the right to designate which entities involved in the Work must submit waivers.
  4. Submit final Application for Payment with or preceded by conditional final waivers from every entity involved with performance of the Work covered by the application who is lawfully entitled to a lien.
  5. Waiver Forms: Submit executed waivers of lien on forms acceptable to Owner.
- H. Initial Application for Payment: Administrative actions and submittals that must precede or coincide with submittal of first Application for Payment include the following:
1. List of subcontractors.
  2. Schedule of values.
  3. Contractor's construction schedule (preliminary if not final).

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4. Products list (preliminary if not final).
  5. Schedule of unit prices.
  6. Submittal schedule (preliminary if not final).
  7. List of Contractor's staff assignments.
  8. List of Contractor's principal consultants.
  9. Copies of building permits.
  10. Copies of authorizations and licenses from authorities having jurisdiction for performance of the Work.
  11. Initial progress report.
  12. Report of preconstruction conference.
  13. Certificates of insurance and insurance policies.
  14. Performance and payment bonds.
  15. Data needed to acquire Owner's insurance.
- I. Application for Payment at Substantial Completion: After Architect issues the Certificate of Substantial Completion, submit an Application for Payment showing 100 percent completion for portion of the Work claimed as substantially complete.
1. Include documentation supporting claim that the Work is substantially complete and a statement showing an accounting of changes to the Contract Sum.
  2. This application shall reflect Certificate(s) of Substantial Completion issued previously for Owner occupancy of designated portions of the Work.
- J. Final Payment Application: After completing Project closeout requirements, submit final Application for Payment with releases and supporting documentation not previously submitted and accepted, including, but not limited, to the following:
1. Evidence of completion of Project closeout requirements.
  2. Insurance certificates for products and completed operations where required and proof that taxes, fees, and similar obligations were paid.
  3. Updated final statement, accounting for final changes to the Contract Sum.
  4. AIA Document G706.
  5. AIA Document G706A.
  6. AIA Document G707.
  7. Evidence that claims have been settled.
  8. Final meter readings for utilities, a measured record of stored fuel, and similar data as of date of Substantial Completion or when Owner took possession of and assumed responsibility for corresponding elements of the Work.
  9. Final liquidated damages settlement statement.

PART 2 - PRODUCTS (Not Used)

PART 3 - EXECUTION (Not Used)

END OF SECTION

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SECTION 013100 - PROJECT MANAGEMENT AND COORDINATION

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and other Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

- A. Section includes administrative provisions for coordinating construction operations on Project including, but not limited to, the following:
  - 1. General coordination procedures.
  - 2. Coordination drawings.
  - 3. RFIs.
  - 4. Digital project management procedures.
  - 5. Project meetings.
- B. Each contractor shall participate in coordination requirements. Certain areas of responsibility are assigned to a specific contractor.
- C. Related Requirements:
  - 1. Section 013200 "Construction Progress Documentation" for preparing and submitting Contractor's construction schedule.
  - 2. Section 017300 "Execution" for procedures for coordinating general installation and field-engineering services, including establishment of benchmarks and control points.
  - 3. Section 017700 "Closeout Procedures" for coordinating closeout of the Contract.

1.3 DEFINITIONS

- A. BIM: Building Information Modeling.
- B. RFI: Request for Information. Request from Owner, Architect, or Contractor seeking information required by or clarifications of the Contract Documents.

1.4 INFORMATIONAL SUBMITTALS

- A. Submittals shall comply with the requirements of Section 013300 "Submittal Procedures" and the individual sections specifying the work.
- B. Subcontract List: Prepare a written summary identifying individuals or firms proposed for each portion of the Work, including those who are to furnish products or equipment fabricated to a special design. Include the following information in tabular form:

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1. Name, address, telephone number, and email address of entity performing subcontract or supplying products.
  2. Number and title of related Specification Section(s) covered by subcontract.
  3. Drawing number and detail references, as appropriate, covered by subcontract.
- C. Key Personnel Names: Within 15 days of starting construction operations, submit a list of key personnel assignments, including superintendent and other personnel in attendance at Project site. Identify individuals and their duties and responsibilities; list addresses and cellular telephone numbers and e-mail addresses. Provide names, addresses, and telephone numbers of individuals assigned as alternates in the absence of individuals assigned to Project.
1. Post copies of list in temporary field office and in web-based Project software directory. Keep list current at all times.
  2. Contractors designated personnel shall be available to be contacted by the Owner 24 hours per day, 7 days per week.

1.5 GENERAL COORDINATION PROCEDURES

- A. Coordination: Coordinate construction operations included in different Sections of the Specifications to ensure efficient and orderly installation of each part of the Work. Coordinate construction operations included in different Sections that depend on each other for proper installation, connection, and operation.
1. Schedule construction operations in sequence required to obtain the best results where installation of one part of the Work depends on installation of other components, before or after its own installation.
  2. Coordinate installation of different components to ensure maximum performance and accessibility for required maintenance, service, and repair.
  3. Make adequate provisions to accommodate items scheduled for later installation.
- B. Prepare memoranda for distribution to each party involved, outlining special procedures required for coordination. Include such items as required notices, reports, and list of attendees at meetings.
1. Prepare similar memoranda for Owner and separate contractors if coordination of their Work is required.
- C. Administrative Procedures: Coordinate scheduling and timing of required administrative procedures with other construction activities to avoid conflicts and to ensure orderly progress of the Work. Such administrative activities include, but are not limited to, the following:
1. Preparation of Contractor's construction schedule.
  2. Preparation of the schedule of values.
  3. Installation and removal of temporary facilities and controls.
  4. Delivery and processing of submittals.
  5. Progress meetings.
  6. Preinstallation conferences.
  7. Project closeout activities.
  8. Startup and adjustment of systems.

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1.6 REQUEST FOR INFORMATION (RFI)

- A. General: Immediately on discovery of the need for additional information, clarification, or interpretation of the Contract Documents, Contractor shall prepare and submit an RFI in the form specified.
1. Architect will return without response those RFIs submitted to Architect by other entities controlled by Contractor.
  2. Coordinate and submit RFIs in a prompt manner so as to avoid delays in Contractor's work or work of subcontractors.
- B. Content of the RFI: Include a detailed, legible description of item needing information or interpretation and the following:
1. Project name.
  2. Project number.
  3. Date.
  4. Name of Contractor.
  5. RFI number, numbered sequentially.
  6. RFI subject.
  7. Specification Section number and title and related paragraphs, as appropriate.
  8. Drawing number and detail references, as appropriate.
  9. Field dimensions and conditions, as appropriate.
  10. Contractor's suggested resolution. If Contractor's suggested resolution impacts the Contract Time or the Contract Sum, Contractor shall state impact in the RFI.
  11. Contractor's signature.
  12. Attachments: Include sketches, descriptions, measurements, photos, Product Data, Shop Drawings, coordination drawings, and other information necessary to fully describe items needing interpretation.
    - a. Include dimensions, thicknesses, structural grid references, and details of affected materials, assemblies, and attachments on attached sketches.
- C. Architect's Action: Architect will review each RFI, determine action required, and respond. Allow ten working days for Architect's response for each RFI. RFIs received by Architect after 1:00 p.m. will be considered as received the following working day.
1. The following Contractor-generated RFIs will be returned without action:
    - a. Requests for approval of submittals.
    - b. Requests for approval of substitutions.
    - c. Requests for approval of Contractor's means and methods.
    - d. Requests for coordination information already indicated in the Contract Documents.
    - e. Requests for adjustments in the Contract Time or the Contract Sum.
    - f. Requests for interpretation of Owner's actions on submittals.
    - g. Incomplete RFIs or inaccurately prepared RFIs.
  2. Architect's action may include a request for additional information, in which case Architect's time for response will date from time of receipt by Architect of additional information.

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3. Architect's action on RFIs that may result in a change to the Contract Time or the Contract Sum may be eligible for Contractor to submit Change Proposal according to Section 012600 "Contract Modification Procedures."
  - a. If Contractor believes the RFI response warrants change in the Contract Time or the Contract Sum, notify Architect in writing within 10 days of receipt of the RFI response.
- D. RFI Log: Prepare, maintain, and submit a tabular log of RFIs organized by the RFI number. Submit log weekly. Use software log that is part of web-based Project software. Include the following:
  1. Project name.
  2. Name and address of Contractor.
  3. RFI number including RFIs that were returned without action or withdrawn.
  4. RFI description.
  5. Date the RFI was submitted.
  6. Date Architect's response was received.
  7. Identification of related Minor Change in the Work, Construction Change Directive, and Proposal Request, as appropriate.
  8. Identification of related Field Order, Work Change Directive, and Proposal Request, as appropriate.
- E. On receipt of Architect's action, update the RFI log and immediately distribute the RFI response to affected parties. Review response and notify Architect within seven days if Contractor disagrees with response.

1.7 DIGITAL PROJECT MANAGEMENT PROCEDURES

- A. Web-Based Project Software: Provide, administer, and use web-based Project software site for purposes of hosting and managing Project communication and documentation until Final Completion.
  1. Web-based Project software site includes, at a minimum, the following features:
    - a. Compilation of Project data, including Contractor, subcontractors, Architect, architect's consultants, Owner, and other entities involved in Project. Include names of individuals and contact information.
    - b. Access control for each entity for each workflow process, to determine entity's digital rights to create, modify, view, and print documents.
    - c. Document workflow planning, allowing customization of workflow between project entities.
    - d. Creation, logging, tracking, and notification for Project communications required in other Specification Sections, including, but not limited to, RFIs, submittals, Minor Changes in the Work, Construction Change Directives, and Change Orders.
    - e. Track status of each Project communication in real time, and log time and date when responses are provided.
    - f. Procedures for handling PDFs or similar file formats, allowing markups by each entity. Provide security features to lock markups against changes once submitted.
    - g. Processing and tracking of payment applications.

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- h. Processing and tracking of contract modifications.
    - i. Creating and distributing meeting minutes.
    - j. Document management for Drawings, Specifications, and coordination drawings, including revision control.
    - k. Management of construction progress photographs.
    - l. Mobile device compatibility, including smartphones and tablets.
  2. Provide up to seven web-based Project software user licenses for use of Owner and Architect. Provide eight hours of software training at Architect's office for web-based Project software users.
  3. At completion of Project, provide digital archive in format that is readable by common desktop software applications in format acceptable to Architect. Provide data in locked format to prevent further changes.
- B. PDF Document Preparation: Where PDFs are required to be submitted to Architect, prepare as follows:
  1. Assemble complete submittal package into a single indexed file incorporating submittal requirements of a single Specification Section and transmittal form with links enabling navigation to each item.
  2. Name file with submittal number or other unique identifier, including revision identifier.
  3. Certifications: Where digitally submitted certificates and certifications are required, provide a digital signature with digital certificate on where indicated.

1.8 PROJECT MEETINGS

- A. General: Schedule and conduct meetings and conferences at Project site unless otherwise indicated.
  1. Attendees: Inform participants and others involved, and individuals whose presence is required, of date and time of each meeting. Notify Owner and Architect of scheduled meeting dates and times a minimum of 10 working days prior to meeting.
  2. Minutes: Entity responsible for conducting meeting will record significant discussions and agreements achieved. Distribute the meeting minutes to everyone concerned, including Owner and Architect, within five days of the meeting.
- B. Preconstruction Conference: Schedule and conduct a preconstruction conference before starting construction, at a time convenient to Owner and Architect, but no later than 15 days after execution of the Agreement.
  1. Attendees: Authorized representatives of Owner, Architect, and their consultants; Contractor and its superintendent; major subcontractors; suppliers; and other concerned parties shall attend the conference. Participants at the conference shall be familiar with Project and authorized to conclude matters relating to the Work.
  2. Agenda: Discuss items of significance that could affect progress, including the following:
    - a. Responsibilities and personnel assignments.
    - b. Tentative construction schedule.
    - c. Phasing.
    - d. Critical work sequencing and long lead items.

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- e. Designation of key personnel and their duties.
  - f. Lines of communications.
  - g. Use of web-based Project software.
  - h. Procedures for processing field decisions and Change Orders.
  - i. Procedures for RFIs.
  - j. Procedures for testing and inspecting.
  - k. Procedures for processing Applications for Payment.
  - l. Distribution of the Contract Documents.
  - m. Submittal procedures.
  - n. Sustainable design requirements.
  - o. Preparation of Record Documents.
  - p. Use of the premises.
  - q. Work restrictions.
  - r. Working hours.
  - s. Owner's occupancy requirements.
  - t. Responsibility for temporary facilities and controls.
  - u. Procedures for moisture and mold control.
  - v. Procedures for disruptions and shutdowns.
  - w. Construction waste management and recycling.
  - x. Parking availability.
  - y. Office, work, and storage areas.
  - z. Equipment deliveries and priorities.
  - aa. First aid.
  - bb. Security.
  - cc. Progress cleaning.
3. Minutes: Architect will record and distribute meeting minutes.
- C. Preinstallation Conferences: Conduct a preinstallation conference at Project site before each construction activity when required by other sections and when required for coordination with other construction.
- 1. Attendees: Installer and representatives of manufacturers and fabricators involved in or affected by the installation and its coordination or integration with other materials and installations that have preceded or will follow, shall attend the meeting. Advise Owner and Architect of scheduled meeting dates.
  - 2. Agenda: Review progress of other construction activities and preparations for the particular activity under consideration, including requirements for the following:
    - a. Contract Documents.
    - b. Options.
    - c. Related RFIs.
    - d. Related Change Orders.
    - e. Purchases.
    - f. Deliveries.
    - g. Submittals.
    - h. Sustainable design requirements.
    - i. Review of mockups.
    - j. Possible conflicts.
    - k. Compatibility requirements.
    - l. Time schedules.

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- m. Weather limitations.
  - n. Manufacturer's written instructions.
  - o. Warranty requirements.
  - p. Compatibility of materials.
  - q. Acceptability of substrates.
  - r. Temporary facilities and controls.
  - s. Space and access limitations.
  - t. Regulations of authorities having jurisdiction.
  - u. Testing and inspecting requirements.
  - v. Installation procedures.
  - w. Coordination with other work.
  - x. Required performance results.
  - y. Protection of adjacent work.
  - z. Protection of construction and personnel.
- 3. Record significant conference discussions, agreements, and disagreements, including required corrective measures and actions.
  - 4. Minutes: Architect will record and distribute meeting minutes.
  - 5. Do not proceed with installation if the conference cannot be successfully concluded. Initiate whatever actions are necessary to resolve impediments to performance of the Work and reconvene the conference at earliest feasible date.
- D. Project Closeout Conference: Schedule and conduct a project closeout conference, at a time convenient to Owner and Architect, but no later than 15 days prior to the scheduled date of Substantial Completion.
- 1. Conduct the conference to review requirements and responsibilities related to Project closeout.
  - 2. Attendees: Authorized representatives of Owner, Architect, and their consultants; Contractor and its superintendent; major subcontractors; suppliers; and other concerned parties shall attend the meeting. Participants at the meeting shall be familiar with Project and authorized to conclude matters relating to the Work.
  - 3. Agenda: Discuss items of significance that could affect or delay Project closeout, including the following:
    - a. Preparation of Record Documents.
    - b. Procedures required prior to inspection for Substantial Completion and for final inspection for acceptance.
    - c. Procedures for completing and archiving web-based Project software site data files.
    - d. Submittal of written warranties.
    - e. Requirements for completing sustainable design documentation.
    - f. Requirements for preparing operations and maintenance data.
    - g. Requirements for delivery of material samples, attic stock, and spare parts.
    - h. Requirements for demonstration and training.
    - i. Preparation of Contractor's punch list.
    - j. Procedures for processing Applications for Payment at Substantial Completion and for final payment.
    - k. Submittal procedures.
    - l. Coordination of separate contracts.
    - m. Owner's partial occupancy requirements.

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- n. Installation of Owner's furniture, fixtures, and equipment.
  - o. Responsibility for removing temporary facilities and controls.
4. Minutes: Architect will record and distribute meeting minutes.
- E. Progress Meetings: Conduct progress meetings at weekly intervals.
- 1. Coordinate dates of meetings with preparation of payment requests.
  - 2. Attendees: In addition to representatives of Owner and Architect, each contractor, subcontractor, supplier, and other entity concerned with current progress or involved in planning, coordination, or performance of future activities shall be represented at these meetings. All participants at the meeting shall be familiar with Project and authorized to conclude matters relating to the Work.
  - 3. Agenda: Review and correct or approve minutes of previous progress meeting. Review other items of significance that could affect progress. Include topics for discussion as appropriate to status of Project.
    - a. Contractor's Construction Schedule: Review progress since the last meeting. Determine whether each activity is on time, ahead of schedule, or behind schedule, in relation to Contractor's construction schedule. Determine how construction behind schedule will be expedited; secure commitments from parties involved to do so. Discuss whether schedule revisions are required to ensure that current and subsequent activities will be completed within the Contract Time.
      - 1) Review schedule for next period.
    - b. Review present and future needs of each entity present, including the following:
      - 1) Interface requirements.
      - 2) Sequence of operations.
      - 3) Status of submittals.
      - 4) Deliveries.
      - 5) Off-site fabrication.
      - 6) Access.
      - 7) Site use.
      - 8) Temporary facilities and controls.
      - 9) Progress cleaning.
      - 10) Quality and work standards.
      - 11) Status of correction of deficient items.
      - 12) Field observations.
      - 13) Status of RFIs.
      - 14) Status of Proposal Requests.
      - 15) Pending changes.
      - 16) Status of Change Orders.
      - 17) Pending claims and disputes.
      - 18) Documentation of information for payment requests.
  - 4. Minutes: Architect will record and distribute the meeting minutes to each party present and to parties requiring information.

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- a. Schedule Updating: Revise Contractor's construction schedule after each progress meeting where revisions to the schedule have been made or recognized. Issue revised schedule concurrently with the report of each meeting.
- F. Coordination Meetings: Conduct Project coordination meetings at weekly intervals. Project coordination meetings are in addition to specific meetings held for other purposes, such as progress meetings and preinstallation conferences.
1. Attendees: Each contractor, subcontractor, supplier, and other entity concerned with current progress or involved in planning, coordination, or performance of future activities shall be represented at these meetings. All participants at the meetings shall be familiar with Project and authorized to conclude matters relating to the Work.
  2. Agenda: Review and correct or approve minutes of the previous coordination meeting. Review other items of significance that could affect progress. Include topics for discussion as appropriate to status of Project.
    - a. Combined Contractor's Construction Schedule: Review progress since the last coordination meeting. Determine whether each contract is on time, ahead of schedule, or behind schedule, in relation to combined Contractor's construction schedule. Determine how construction behind schedule will be expedited; secure commitments from parties involved to do so. Discuss whether schedule revisions are required to ensure that current and subsequent activities will be completed within the Contract Time.
    - b. Schedule Updating: Revise combined Contractor's construction schedule after each coordination meeting where revisions to the schedule have been made or recognized. Issue revised schedule concurrently with report of each meeting.
    - c. Review present and future needs of each contractor present, including the following:
      - 1) Interface requirements.
      - 2) Sequence of operations.
      - 3) Status of submittals.
      - 4) Deliveries.
      - 5) Off-site fabrication.
      - 6) Access.
      - 7) Site use.
      - 8) Temporary facilities and controls.
      - 9) Work hours.
      - 10) Hazards and risks.
      - 11) Progress cleaning.
      - 12) Quality and work standards.
      - 13) Status of RFIs.
      - 14) Proposal Requests.
      - 15) Change Orders.
      - 16) Pending changes.
  3. Reporting: Record meeting results and distribute copies to everyone in attendance and to others affected by decisions or actions resulting from each meeting.

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PART 2 - PRODUCTS (Not Used)

PART 3 - EXECUTION (Not Used)

END OF SECTION

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SECTION 013200 - CONSTRUCTION PROGRESS DOCUMENTATION

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and other Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

- A. Section includes administrative and procedural requirements for documenting the progress of construction during performance of the Work, including the following:
  - 1. Startup construction schedule.
  - 2. Contractor's Construction Schedule.
  - 3. Construction schedule updating reports.
  - 4. Daily construction reports.
  - 5. Material location reports.
  - 6. Site condition reports.
  - 7. Unusual event reports.

1.3 DEFINITIONS

- A. Activity: A discrete part of a project that can be identified for planning, scheduling, monitoring, and controlling the construction Project. Activities included in a construction schedule consume time and resources.
  - 1. Critical Activity: An activity on the critical path that must start and finish on the planned early start and finish times.
  - 2. Predecessor Activity: An activity that precedes another activity in the network.
  - 3. Successor Activity: An activity that follows another activity in the network.
- B. Event: The starting or ending point of an activity.
- C. Float: The measure of leeway in starting and completing an activity.
  - 1. Float time is not for the exclusive use or benefit of either Owner or Contractor, but is a jointly owned, expiring Project resource available to both parties as needed to meet schedule milestones and Contract completion date.
  - 2. Free float is the amount of time an activity can be delayed without adversely affecting the early start of the successor activity.
  - 3. Total float is the measure of leeway in starting or completing an activity without adversely affecting the planned Project completion date.

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- D. Resource Loading: The allocation of manpower and equipment necessary for completing an activity as scheduled.

1.4 INFORMATIONAL SUBMITTALS

- A. Submittals shall comply with the requirements of Section 013300 "Submittal Procedures" and the individual sections specifying the work.
- B. Format for Submittals: Submit required submittals in the following format:
  - 1. PDF file.
- C. Startup construction schedule.
  - 1. Submittal of cost-loaded, startup construction schedule will not constitute approval of schedule of values for cost-loaded activities.
- D. Contractor's Construction Schedule: Initial schedule, of size required to display entire schedule for entire construction period.
  - 1. Submit a working digital copy of schedule, using software indicated, and labeled to comply with requirements for submittals.
- E. Construction Schedule Updating Reports: Submit with Applications for Payment.
- F. Daily Construction Reports: Submit at weekly intervals.
- G. Material Location Reports: Submit at weekly intervals.
- H. Site Condition Reports: Submit at time of discovery of differing conditions.
- I. Unusual Event Reports: Submit at time of unusual event.

1.5 QUALITY ASSURANCE

- A. Prescheduling Conference: Conduct conference at Project site to comply with requirements in Section 013100 "Project Management and Coordination." Review methods and procedures related to the preliminary construction schedule and Contractor's Construction Schedule, including, but not limited to, the following:
  - 1. Review software limitations and content and format for reports.
  - 2. Verify availability of qualified personnel needed to develop and update schedule.
  - 3. Discuss constraints, including work stages and interim milestones.
  - 4. Review submittal requirements and procedures.
  - 5. Review time required for review of submittals and resubmittals.
  - 6. Review requirements for tests and inspections by independent testing and inspecting agencies.
  - 7. Review time required for Project closeout and Owner startup procedures.
  - 8. Review and finalize list of construction activities to be included in schedule.

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9. Review procedures for updating schedule.

1.6 COORDINATION

- A. Coordinate Contractor's Construction Schedule with the schedule of values, list of subcontracts, submittal schedule, progress reports, payment requests, and other required schedules and reports.
  1. Secure time commitments for performing critical elements of the Work from entities involved.
  2. Coordinate each construction activity in the network with other activities and schedule them in proper sequence.

1.7 CONTRACTOR'S CONSTRUCTION SCHEDULE, GENERAL

- A. Computer Scheduling Software: Prepare schedules using current version of a program that has been developed specifically to manage construction schedules. Coordinate compatibility with Owner's computer operating system.
- B. Time Frame: Extend schedule from date established for the Notice to Proceed to date of final completion.
  1. Contract completion date shall not be changed by submission of a schedule that shows an early completion date, unless specifically authorized by Change Order.
- C. Activities: Treat each floor or separate area as a separate numbered activity for each main element of the Work. Comply with the following:
  1. Activity Duration: Define activities so no activity is longer than 20 days, unless specifically allowed by Owner.
  2. Procurement Activities: Include procurement process activities for the following long lead items and major items, requiring a cycle of more than 60 days, as separate activities in schedule. Procurement cycle activities include, but are not limited to, submittals, approvals, purchasing, fabrication, and delivery.
  3. Submittal Review Time: Include review and resubmittal times indicated in Section 013300 "Submittal Procedures" in schedule. Coordinate submittal review times in Contractor's Construction Schedule with submittal schedule.
  4. Startup and Testing Time: Include no fewer than 15 days for startup and testing.
  5. Substantial Completion: Indicate completion in advance of date established for Substantial Completion, and allow time for Owner's administrative procedures necessary for certification of Substantial Completion.
  6. Punch List and Final Completion: Include not more than 30 days for completion of punch list items and final completion.
- D. Constraints: Include constraints and work restrictions indicated in the Contract Documents and as follows in schedule, and show how the sequence of the Work is affected.
  1. Work Restrictions: Show the effect of the following items on the schedule:

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- a. Project Sequencing: Arrange list of activities on schedule by project stage.
  - b. Use-of-premises restrictions.
  - c. Provisions for future construction.
  - d. Seasonal variations.
  - e. Environmental control.
2. Work Stages: Indicate important stages of construction for each major portion of the Work, including, but not limited to, the following:
    - a. Subcontract awards.
    - b. Submittals.
    - c. Installation.
    - d. Substantial Completion.
- E. Milestones: Include milestones indicated in the Contract Documents in schedule, including, but not limited to, the Notice to Proceed, Substantial Completion, and final completion.
- F. Upcoming Work Summary: Prepare summary report indicating activities scheduled to occur or commence prior to submittal of next schedule update. Summarize the following issues:
1. Unresolved issues.
  2. Unanswered Requests for Information.
  3. Rejected or unreturned submittals.
  4. Notations on returned submittals.
  5. Pending modifications affecting the Work and the Contract Time.
- G. Contractor's Construction Schedule Updating: At monthly intervals, update schedule to reflect actual construction progress and activities. Issue schedule one week before each regularly scheduled progress meeting.
1. Revise schedule immediately after each meeting or other activity where revisions have been recognized or made. Issue updated schedule concurrently with the report of each such meeting.
  2. Include a report with updated schedule that indicates every change, including, but not limited to, changes in logic, durations, actual starts and finishes, and activity durations.
  3. As the Work progresses, indicate final completion percentage for each activity.
- H. Recovery Schedule: When periodic update indicates the Work is 14 or more calendar days behind the current approved schedule, submit a separate recovery schedule indicating means by which Contractor intends to regain compliance with the schedule. Indicate changes to working hours, working days, crew sizes, equipment required to achieve compliance, and date by which recovery will be accomplished.
- I. Distribution: Distribute copies of approved schedule to Owner, separate contractors, testing and inspecting agencies, and other parties identified by Contractor with a need-to-know schedule responsibility.
1. Post copies in temporary field offices.
  2. When revisions are made, distribute updated schedules to the same parties and post in the same locations. Delete parties from distribution when they have completed their assigned portion of the Work and are no longer involved in performance of construction activities.

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1.8 STARTUP CONSTRUCTION SCHEDULE

- A. Gantt-Chart Schedule: Submit startup, horizontal, Gantt-chart-type construction schedule within seven days of date established for commencement of the Work.
- B. Preparation: Indicate each significant construction activity separately. Identify first workday of each week with a continuous vertical line. Outline significant construction activities for first 45 days of construction. Include skeleton diagram for the remainder of the Work and a cash requirement prediction based on indicated activities.

1.9 GANTT-CHART SCHEDULE REQUIREMENTS

- A. Gantt-Chart Schedule: Submit a comprehensive, fully developed, horizontal, Gantt-chart-type, Contractor's Construction Schedule within 14 days prior to date established for commencement of the Work.
  - 1. Base schedule on the startup construction schedule and additional information received since the start of Project.
- B. Preparation: Indicate each significant construction activity separately. Identify first workday of each week with a continuous vertical line.
  - 1. For construction activities that require three months or longer to complete, indicate an estimated completion percentage in 10 percent increments within time bar.

1.10 REPORTS

- A. Daily Construction Reports: Prepare a daily construction report recording the following information concerning events at Project site:
  - 1. List of subcontractors at Project site.
  - 2. Approximate count of personnel at Project site.
  - 3. Equipment at Project site.
  - 4. Material deliveries.
  - 5. High and low temperatures and general weather conditions, including presence of rain or snow.
  - 6. Testing and inspection.
  - 7. Accidents.
  - 8. Meetings and significant decisions.
  - 9. Unusual events.
  - 10. Stoppages, delays, shortages, and losses.
  - 11. Meter readings and similar recordings.
  - 12. Emergency procedures.
  - 13. Orders and requests of authorities having jurisdiction.
  - 14. Change Orders received and implemented.
  - 15. Construction or Work Change Directives received and implemented.
  - 16. Services connected and disconnected.
  - 17. Equipment or system tests and startups.
  - 18. Partial completions and occupancies.

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19. Substantial Completions authorized.

- B. Material Location Reports: At monthly intervals, prepare and submit a comprehensive list of materials delivered to and stored at Project site. List shall be cumulative, showing materials previously reported plus items recently delivered. Include with list a statement of progress on and delivery dates for materials or items of equipment fabricated or stored away from Project site. Indicate the following categories for stored materials:
1. Material stored prior to previous report and remaining in storage.
  2. Material stored prior to previous report and since removed from storage and installed.
  3. Material stored following previous report and remaining in storage.
- C. Site Condition Reports: Immediately on discovery of a difference between site conditions and the Contract Documents, prepare and submit a detailed report. Submit with a Request for Information. Include a detailed description of the differing conditions, together with recommendations for changing the Contract Documents.
- D. Unusual Event Reports: When an event of an unusual and significant nature occurs at Project site, whether or not related directly to the Work, prepare and submit a special report. List chain of events, persons participating, responses by Contractor's personnel, evaluation of results or effects, and similar pertinent information. Advise Owner in advance when these events are known or predictable.
1. Submit unusual event reports directly to Owner within one day(s) of an occurrence. Distribute copies of report to parties affected by the occurrence.

PART 2 - PRODUCTS (Not Used)

PART 3 - EXECUTION (Not Used)

END OF SECTION

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SECTION 013233 - PHOTOGRAPHIC DOCUMENTATION

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and other Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

- A. Section includes administrative and procedural requirements for the following:
  - 1. Preconstruction photographs.
  - 2. Periodic construction photographs.
  - 3. Final completion construction photographs.
- B. Related Requirements:
  - 1. Section 017700 "Closeout Procedures" for submitting photographic documentation as Project Record Documents at Project closeout.
  - 2. Section 311000 "Site Clearing" for photographic documentation before site clearing operations commence.

1.3 INFORMATIONAL SUBMITTALS

- A. Submittals shall comply with the requirements of Section 013300 "Submittal Procedures" and the individual sections specifying the work.
- B. Key Plan: Submit key plan of Project site and building with notation of vantage points marked for location and direction of each photograph. Indicate elevation or story of construction. Include same information as corresponding photographic documentation.
- C. Digital Photographs: Submit image files within five days of taking photographs.
  - 1. Submit photos by uploading to web-based project software site. Include copy of key plan indicating each photograph's location and direction.

1.4 FORMATS AND MEDIA

- A. Digital Photographs: Provide color images in JPG format, produced by a digital camera with minimum sensor size of 12 megapixels, and at an image resolution of not less than 3200 by 2400 pixels, and with vibration-reduction technology. Use flash in low light levels or backlit conditions.

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- B. Digital Images: Submit digital media as originally recorded in the digital camera, without alteration, manipulation, editing, or modifications using image-editing software.
- C. Metadata: Record accurate date and time from camera.
- D. File Names: Name media files with date and sequential numbering suffix.

1.5 CONSTRUCTION PHOTOGRAPHS

- A. General: Take photographs with maximum depth of field and in focus.
  - 1. Maintain key plan with each set of construction photographs that identifies each photographic location.
- B. Preconstruction Photographs: Before starting construction, take photographs of Project site and surrounding properties, including existing items to remain during construction, from different vantage points.
  - 1. Flag excavation areas before taking construction photographs.
  - 2. Take a minimum of 20 photographs to show existing conditions adjacent to property before starting the Work.
  - 3. Take a minimum of 20 photographs of existing buildings either on or adjoining property to accurately record physical conditions at start of construction.
  - 4. Take additional photographs as required to record settlement or cracking of adjacent structures, pavements, and improvements.
- C. Periodic Construction Photographs: Take a minimum of 20 photographs weekly. Select vantage points to show status of construction and progress since last photographs were taken.
- D. Final Completion Construction Photographs: Take a minimum of 50 photographs after date of Substantial Completion for submission as Project Record Documents.

PART 2 - PRODUCTS (Not Used)

PART 3 - EXECUTION (Not Used)

END OF SECTION

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SECTION 013300 - SUBMITTAL PROCEDURES

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and other Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

A. Section Includes:

1. Submittal schedule requirements.
2. Administrative and procedural requirements for submittals.

B. Related Requirements:

1. Section 012900 "Payment Procedures" for submitting Applications for Payment and the schedule of values.
2. Section 013100 "Project Management and Coordination" for submitting coordination drawings and subcontract list and for requirements for web-based Project software.
3. Section 013200 "Construction Progress Documentation" for submitting schedules and reports, including Contractor's construction schedule.
4. Section 013233 "Photographic Documentation" for submitting preconstruction photographs, periodic construction photographs, and final completion construction photographs.
5. Section 014000 "Quality Requirements" for submitting test and inspection reports, and schedule of tests and inspections.
6. Section 017700 "Closeout Procedures" for submitting closeout submittals and maintenance material submittals.
7. Section 017823 "Operation and Maintenance Data" for submitting operation and maintenance manuals.
8. Section 017839 "Project Record Documents" for submitting record Drawings, record Specifications, and record Product Data.

1.3 DEFINITIONS

- A. Action Submittals: Written and graphic information and physical samples that require Architect's responsive action. Action submittals are those submittals indicated in individual Specification Sections as "action submittals."
- B. Informational Submittals: Written and graphic information and physical samples that do not require Architect's responsive action. Submittals may be rejected for not complying with requirements. Informational submittals are those submittals indicated in individual Specification Sections as "informational submittals."

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1.4 SUBMITTAL FORMATS

- A. Submittal Information: Include the following information in each submittal:
1. Project name.
  2. Date.
  3. Name of Contractor.
  4. Name of firm or entity that prepared submittal.
  5. Names of subcontractor, manufacturer, and supplier.
  6. Unique submittal number, including revision identifier. Include Specification Section number with sequential alphanumeric identifier; and alphanumeric suffix for resubmittals.
  7. Category and type of submittal.
  8. Submittal purpose and description.
  9. Number and title of Specification Section, with paragraph number and generic name for each of multiple items.
  10. Drawing number and detail references, as appropriate.
  11. Indication of full or partial submittal.
  12. Location(s) where product is to be installed, as appropriate.
  13. Other necessary identification.
  14. Remarks.
  15. Signature of transmitter.
- B. Options: Identify options requiring selection by Architect.
- C. Deviations and Additional Information: On each submittal, clearly indicate deviations from requirements in the Contract Documents, including minor variations and limitations; include relevant additional information and revisions, other than those requested by Architect on previous submittals. Indicate by highlighting on each submittal or noting on attached separate sheet.
- D. PDF Submittals: Prepare submittals as PDF package, incorporating complete information into each PDF file. Name PDF file with submittal number.
- E. Submittals for Web-Based Project Software: Prepare submittals as PDF files, or other format indicated by Project software website.

1.5 SUBMITTAL PROCEDURES

- A. Prepare and submit submittals required by individual Specification Sections. Types of submittals are indicated in individual Specification Sections.
1. Email: Prepare submittals as PDF package, and transmit to Architect by sending via email. Include PDF transmittal form. Include information in email subject line as requested by Architect.
    - a. Architect will return annotated file. Annotate and retain one copy of file as a digital Project Record Document file.

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2. Web-Based Project Software: Prepare submittals in PDF form, and upload to web-based Project software website. Enter required data in web-based software site to fully identify submittal.
- B. Coordination: Coordinate preparation and processing of submittals with performance of construction activities.
1. Coordinate each submittal with fabrication, purchasing, testing, delivery, other submittals, and related activities that require sequential activity.
  2. Submit all submittal items required for each Specification Section concurrently.
  3. Submit action submittals and informational submittals required by the same Specification Section as separate packages under separate transmittals.
  4. Coordinate transmittal of submittals for related parts of the Work specified in different Sections so processing will not be delayed because of need to review submittals concurrently for coordination.
    - a. Architect reserves the right to withhold action on a submittal requiring coordination with other submittals until related submittals are received.
- C. Processing Time: Allow time for submittal review, including time for resubmittals, as follows. Time for review shall commence on Architect's receipt of submittal. No extension of the Contract Time will be authorized because of failure to transmit submittals enough in advance of the Work to permit processing, including resubmittals.
1. Initial Review: Allow 15 days for initial review of each submittal. Allow additional time if coordination with subsequent submittals is required. Architect will advise Contractor when a submittal being processed must be delayed for coordination.
  2. Intermediate Review: If intermediate submittal is necessary, process it in same manner as initial submittal.
  3. Resubmittal Review: Allow 15 days for review of each resubmittal.
- D. Resubmittals: Make resubmittals in same form and number of copies as initial submittal.
1. Note date and content of previous submittal.
  2. Note date and content of revision in label or title block and clearly indicate extent of revision.
  3. Resubmit submittals until they are approved by Architect.
- E. Distribution: Furnish copies of final submittals to manufacturers, subcontractors, suppliers, fabricators, installers, authorities having jurisdiction, and others as necessary for performance of construction activities. Show distribution on transmittal forms.
- F. Use for Construction: Retain complete copies of submittals on Project site. Use only final action submittals that are approved by Architect.

1.6 SUBMITTAL REQUIREMENTS

- A. Product Data: Collect information into a single submittal for each element of construction and type of product or equipment.

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1. If information must be specially prepared for submittal because standard published data are unsuitable for use, submit as Shop Drawings, not as Product Data.
  2. Mark each copy of each submittal to show which products and options are applicable.
  3. Include the following information, as applicable:
    - a. Manufacturer's catalog cuts.
    - b. Manufacturer's product specifications.
    - c. Standard color charts.
    - d. Statement of compliance with specified referenced standards.
    - e. Testing by recognized testing agency.
    - f. Application of testing agency labels and seals.
    - g. Notation of coordination requirements.
  4. For equipment, include the following in addition to the above, as applicable:
    - a. Wiring diagrams that show factory-installed wiring.
    - b. Printed performance curves.
    - c. Operational range diagrams.
    - d. Clearances required to other construction, if not indicated on accompanying Shop Drawings.
  5. Submit Product Data before or concurrent with Shop Drawings Samples.
- B. Shop Drawings: Prepare Project-specific information, drawn accurately to scale. Do not base Shop Drawings on reproductions of the Contract Documents or standard printed data.
1. Preparation: Fully illustrate requirements in the Contract Documents. Include the following information, as applicable:
    - a. Identification of products.
    - b. Schedules.
    - c. Compliance with specified standards.
    - d. Notation of coordination requirements.
    - e. Notation of dimensions established by field measurement.
    - f. Relationship and attachment to adjoining construction clearly indicated.
    - g. Seal and signature of professional engineer if specified.
- C. Samples: Submit Samples for review of kind, color, pattern, and texture for a check of these characteristics with other materials.
1. Transmit Samples that contain multiple, related components such as accessories together in one submittal package.
  2. Identification: Permanently attach label on unexposed side of Samples that includes the following:
    - a. Project name and submittal number.
    - b. Generic description of Sample.
    - c. Product name and name of manufacturer.
    - d. Sample source.
    - e. Number and title of applicable Specification Section.
    - f. Specification paragraph number and generic name of each item.

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3. Email Transmittal: Provide PDF transmittal. Include digital image file illustrating Sample characteristics, and identification information for record.
  4. Web-Based Project Software: Prepare submittals in PDF form, and upload to web-based Project software website. Enter required data in web-based software site to fully identify submittal.
  5. Disposition: Maintain sets of approved Samples at Project site, available for quality-control comparisons throughout the course of construction activity. Sample sets may be used to determine final acceptance of construction associated with each set.
    - a. Samples that may be incorporated into the Work are indicated in individual Specification Sections. Such Samples must be in an undamaged condition at time of use.
    - b. Samples not incorporated into the Work, or otherwise designated as Architect's property, are the property of Contractor.
  6. Samples for Initial Selection: Submit manufacturer's color charts consisting of units or sections of units showing the full range of colors, textures, and patterns available.
    - a. Number of Samples: Submit one full set(s) of available choices where color, pattern, texture, or similar characteristics are required to be selected from manufacturer's product line. Architect will return submittal with options selected.
  7. Samples for Verification: Submit full-size units or Samples of size indicated, prepared from same material to be used for the Work, cured and finished in manner specified, and physically identical with material or product proposed for use, and that show full range of color and texture variations expected. Samples include, but are not limited to, the following: partial sections of manufactured or fabricated components; small cuts or containers of materials; complete units of repetitively used materials; swatches showing color, texture, and pattern; color range sets; and components used for independent testing and inspection.
    - a. Number of Samples: Submit three sets of Samples. Architect will retain two Sample sets; remainder will be returned. Mark up and retain one returned Sample set as a project record Sample.
      - 1) Submit a single Sample where assembly details, workmanship, fabrication techniques, connections, operation, and other similar characteristics are to be demonstrated.
      - 2) If variation in color, pattern, texture, or other characteristic is inherent in material or product represented by a Sample, submit at least three sets of paired units that show approximate limits of variations.
- D. Product Schedule: As required in individual Specification Sections, prepare a written summary indicating types of products required for the Work and their intended location. Include the following information in tabular form:
1. Type of product. Include unique identifier for each product indicated in the Contract Documents or assigned by Contractor if none is indicated.
  2. Manufacturer and product name, and model number if applicable.
  3. Number and name of room or space.
  4. Location within room or space.

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- E. Qualification Data: Prepare written information that demonstrates capabilities and experience of firm or person. Include lists of completed projects with project names and addresses, contact information of architects and owners, and other information specified.
- F. Design Data: Prepare and submit written and graphic information indicating compliance with indicated performance and design criteria in individual Specification Sections. Include list of assumptions and summary of loads. Include load diagrams if applicable. Provide name and version of software, if any, used for calculations. Number each page of submittal.
- G. Certificates:
  - 1. Certificates and Certifications Submittals: Submit a statement that includes signature of entity responsible for preparing certification. Certificates and certifications shall be signed by an officer or other individual authorized to sign documents on behalf of that entity. Provide a notarized signature where indicated.
  - 2. Installer Certificates: Submit written statements on manufacturer's letterhead certifying that Installer complies with requirements in the Contract Documents and, where required, is authorized by manufacturer for this specific Project.
  - 3. Manufacturer Certificates: Submit written statements on manufacturer's letterhead certifying that manufacturer complies with requirements in the Contract Documents. Include evidence of manufacturing experience where required.
  - 4. Material Certificates: Submit written statements on manufacturer's letterhead certifying that material complies with requirements in the Contract Documents.
  - 5. Product Certificates: Submit written statements on manufacturer's letterhead certifying that product complies with requirements in the Contract Documents.
  - 6. Welding Certificates: Prepare written certification that welding procedures and personnel comply with requirements in the Contract Documents. Submit record of Welding Procedure Specification and Procedure Qualification Record on AWS forms. Include names of firms and personnel certified.
- H. Test and Research Reports:
  - 1. Compatibility Test Reports: Submit reports written by a qualified testing agency, on testing agency's standard form, indicating and interpreting results of compatibility tests performed before installation of product. Include written recommendations for primers and substrate preparation needed for adhesion.
  - 2. Field Test Reports: Submit written reports indicating and interpreting results of field tests performed either during installation of product or after product is installed in its final location, for compliance with requirements in the Contract Documents.
  - 3. Material Test Reports: Submit reports written by a qualified testing agency, on testing agency's standard form, indicating and interpreting test results of material for compliance with requirements in the Contract Documents.
  - 4. Preconstruction Test Reports: Submit reports written by a qualified testing agency, on testing agency's standard form, indicating and interpreting results of tests performed before installation of product, for compliance with performance requirements in the Contract Documents.
  - 5. Product Test Reports: Submit written reports indicating that current product produced by manufacturer complies with requirements in the Contract Documents. Base reports on evaluation of tests performed by manufacturer and witnessed by a qualified testing agency, or on comprehensive tests performed by a qualified testing agency.

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6. Research Reports: Submit written evidence, from a model code organization acceptable to authorities having jurisdiction, that product complies with building code in effect for Project. Include the following information:
  - a. Name of evaluation organization.
  - b. Date of evaluation.
  - c. Time period when report is in effect.
  - d. Product and manufacturers' names.
  - e. Description of product.
  - f. Test procedures and results.
  - g. Limitations of use.

1.7 DELEGATED-DESIGN SERVICES

- A. Performance and Design Criteria: Where professional design services or certifications by a design professional are specifically required of Contractor by the Contract Documents, provide products and systems complying with specific performance and design criteria indicated.
  1. If criteria indicated are insufficient to perform services or certification required, submit a written request for additional information to Architect.
- B. Delegated-Design Services Certification: In addition to Shop Drawings, Product Data, and other required submittals, submit digitally signed PDF file paper copies of certificate, signed and sealed by the responsible design professional, for each product and system specifically assigned to Contractor to be designed or certified by a design professional.
  1. Indicate that products and systems comply with performance and design criteria in the Contract Documents. Include list of codes, loads, and other factors used in performing these services.

1.8 CONTRACTOR'S REVIEW

- A. Action Submittals and Informational Submittals: Review each submittal and check for coordination with other Work of the Contract and for compliance with the Contract Documents. Note corrections and field dimensions. Mark with approval stamp before submitting to Architect.
- B. Contractor's Approval: Indicate Contractor's approval for each submittal with a uniform approval stamp. Include name of reviewer, date of Contractor's approval, and statement certifying that submittal has been reviewed, checked, and approved for compliance with the Contract Documents.
  1. Architect will not review submittals received from Contractor that do not have Contractor's review and approval.

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1.9 ARCHITECT'S REVIEW

- A. Action Submittals: Architect will review each submittal, indicate corrections or revisions required, and return it.
1. Actions taken by indication on Project software website have the following meanings:
    - a. Reviewed: Where submittals are marked "Reviewed," that part of the Work covered by the submittal may proceed provided it complies with requirements of the Contract Documents; final acceptance will depend upon that compliance.
    - b. Furnish as Corrected: When submittals are marked "Furnish as Corrected," that part of the Work covered by the submittal may proceed provided it complies with notations or corrections on the submittal and requirements of the Contract Documents; final acceptance will depend on that compliance.
    - c. Revise and Resubmit: When submittal is marked "Revise and Resubmit," do not proceed with that part of the Work covered by the submittal, including purchasing, fabrication, delivery, or other activity. Revise or prepare a new submittal in accordance with the notations; resubmit without delay. Proceed with that part of the work only upon receipt from Architect of submittals marked "Reviewed" or "Furnish as Corrected".
    - d. Rejected: When submittal is marked "Rejected", do not proceed with that part of the Work covered by the submittal, including purchasing, fabrication, delivery, or other activity. Prepare an entirely new submittal; resubmit without delay. Proceed with that part of the work only upon receipt from Architect of submittals marked "Reviewed" or "Furnish as Corrected".
- B. Informational Submittals: Architect will review each submittal and will not return it, or will return it if it does not comply with requirements. Architect will forward each submittal to appropriate party.
- C. Partial submittals prepared for a portion of the Work will be reviewed when use of partial submittals has received prior approval from Architect.
- D. Incomplete submittals are unacceptable, will be considered nonresponsive, and will be returned for resubmittal without review.
- E. Architect will return without review submittals received from sources other than Contractor.
- F. Submittals not required by the Contract Documents will be returned by Architect without action.

PART 2 - PRODUCTS (Not Used)

PART 3 - EXECUTION (Not Used)

END OF SECTION





















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SECTION 014000 - QUALITY REQUIREMENTS

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and other Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

- A. Section includes administrative and procedural requirements for quality assurance and quality control.
- B. Testing and inspection services are required to verify compliance with requirements specified or indicated. These services do not relieve Contractor of responsibility for compliance with the Contract Document requirements.
  - 1. Specific quality-assurance and quality-control requirements for individual work results are specified in their respective Specification Sections. Requirements in individual Sections may also cover production of standard products.
  - 2. Specified tests, inspections, and related actions do not limit Contractor's other quality-assurance and quality-control procedures that facilitate compliance with the Contract Document requirements.
  - 3. Requirements for Contractor to provide quality-assurance and quality-control services required by Architect, Owner or authorities having jurisdiction are not limited by provisions of this Section.
  - 4. Specific test and inspection requirements are not specified in this Section.

1.3 DEFINITIONS

- A. Experienced: When used with an entity or individual, "experienced" unless otherwise further described means having successfully completed a minimum of five previous projects similar in nature, size, and extent to this Project; being familiar with special requirements indicated; and having complied with requirements of authorities having jurisdiction.
- B. Field Quality-Control Tests: Tests and inspections that are performed on-site for installation of the Work and for completed Work.
- C. Installer/Applicator/Erector: Contractor or another entity engaged by Contractor as an employee, Subcontractor, or Sub-subcontractor, to perform a particular construction operation, including installation, erection, application, assembly, and similar operations.
  - 1. Use of trade-specific terminology in referring to a trade or entity does not require that certain construction activities be performed by accredited or unionized individuals, or that requirements specified apply exclusively to specific trade(s).

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- D. Mockups: Full-size physical assemblies that are constructed on-site either as freestanding temporary built elements or as part of permanent construction. Mockups are constructed to verify selections made under Sample submittals; to demonstrate aesthetic effects and qualities of materials and execution; to review coordination, testing, or operation; to show interface between dissimilar materials; and to demonstrate compliance with specified installation tolerances. Mockups are not Samples. Unless otherwise indicated, approved mockups establish the standard by which the Work will be judged.
- E. Preconstruction Testing: Tests and inspections performed specifically for Project before products and materials are incorporated into the Work, to verify performance or compliance with specified criteria.
- F. Product Tests: Tests and inspections that are performed by a nationally recognized testing laboratory (NRTL) according to 29 CFR 1910.7, by a testing agency accredited according to NIST's National Voluntary Laboratory Accreditation Program (NVLAP), or by a testing agency qualified to conduct product testing and acceptable to authorities having jurisdiction, to establish product performance and compliance with specified requirements.
- G. Source Quality-Control Tests: Tests and inspections that are performed at the source; for example, plant, mill, factory, or shop.
- H. Testing Agency: An entity engaged to perform specific tests, inspections, or both. Testing laboratory shall mean the same as testing agency.
- I. Quality-Assurance Services: Activities, actions, and procedures performed before and during execution of the Work to guard against defects and deficiencies and substantiate that proposed construction will comply with requirements.
- J. Quality-Control Services: Tests, inspections, procedures, and related actions during and after execution of the Work to evaluate that actual products incorporated into the Work and completed construction comply with requirements. Contractor's quality-control services do not include contract administration activities performed by Architect.

1.4 DELEGATED-DESIGN SERVICES

- A. Performance and Design Criteria: Where professional design services or certifications by a design professional are specifically required of Contractor by the Contract Documents, provide products and systems complying with specific performance and design criteria indicated.
  - 1. If criteria indicated are not sufficient to perform services or certification required, submit a written request for additional information to Architect.

1.5 CONFLICTING REQUIREMENTS

- A. Conflicting Standards and Other Requirements: If compliance with two or more standards or requirements are specified and the standards or requirements establish different or conflicting requirements for minimum quantities or quality levels, comply with the most stringent requirement. Refer conflicting requirements that are different, but apparently equal, to Architect for direction before proceeding.

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- B. Minimum Quantity or Quality Levels: The quantity or quality level shown or specified shall be the minimum provided or performed. The actual installation may comply exactly with the minimum quantity or quality specified, or it may exceed the minimum within reasonable limits. To comply with these requirements, indicated numeric values are minimum or maximum, as appropriate, for the context of requirements. Refer uncertainties to Architect for a decision before proceeding.

1.6 ACTION SUBMITTALS

- A. Submittals shall comply with the requirements of Section 013300 "Submittal Procedures" and the individual sections specifying the work.
- B. Shop Drawings: For mockups.
  - 1. Include plans, sections, and elevations, indicating materials and size of mockup construction.
  - 2. Indicate manufacturer and model number of individual components.
  - 3. Provide axonometric drawings for conditions difficult to illustrate in two dimensions.
- C. Delegated-Design Services Submittal: In addition to Shop Drawings, Product Data, and other required submittals, submit a statement signed and sealed by the responsible design professional, for each product and system specifically assigned to Contractor to be designed or certified by a design professional, indicating that the products and systems are in compliance with performance and design criteria indicated. Include list of codes, loads, and other factors used in performing these services.

1.7 INFORMATIONAL SUBMITTALS

- A. Submittals shall comply with the requirements of Section 013300 "Submittal Procedures" and the individual sections specifying the work.
- B. Contractor's Quality-Control Plan: For quality-assurance and quality-control activities and responsibilities.
- C. Qualification Data: For Contractor's quality-control personnel.
- D. Contractor's Statement of Responsibility: When required by authorities having jurisdiction, submit copy of written statement of responsibility submitted to authorities having jurisdiction before starting work on the following systems:
  - 1. Seismic-force-resisting system, designated seismic system, or component listed in the Statement of Special Inspections.
  - 2. Main wind-force-resisting system or a wind-resisting component listed in the Statement of Special Inspections.
- E. Testing Agency Qualifications: For testing agencies specified in "Quality Assurance" Article to demonstrate their capabilities and experience. Include proof of qualifications in the form of a recent report on the inspection of the testing agency by a recognized authority.

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- F. Schedule of Tests and Inspections: Prepare in tabular form and include the following:
1. Specification Section number and title.
  2. Entity responsible for performing tests and inspections.
  3. Description of test and inspection.
  4. Identification of applicable standards.
  5. Identification of test and inspection methods.
  6. Number of tests and inspections required.
  7. Time schedule or time span for tests and inspections.
  8. Requirements for obtaining samples.
  9. Unique characteristics of each quality-control service.
- G. Reports: Prepare and submit certified written reports and documents as specified.
- H. Permits, Licenses, and Certificates: For Owner's record, submit copies of permits, licenses, certifications, inspection reports, releases, jurisdictional settlements, notices, receipts for fee payments, judgments, correspondence, records, and similar documents established for compliance with standards and regulations bearing on performance of the Work.

1.8 CONTRACTOR'S QUALITY-CONTROL PLAN

- A. Quality-Control Plan, General: Submit quality-control plan within 10 days of Notice to Proceed, and not less than five days prior to preconstruction conference. Submit in format acceptable to Architect. Identify personnel, procedures, controls, instructions, tests, records, and forms to be used to carry out Contractor's quality-assurance and quality-control responsibilities. Coordinate with Contractor's Construction Schedule.
- B. Quality-Control Personnel Qualifications: Engage qualified personnel trained and experienced in managing and executing quality-assurance and quality-control procedures similar in nature and extent to those required for Project.
1. Project quality-control manager may also serve as Project superintendent.
- C. Submittal Procedure: Describe procedures for ensuring compliance with requirements through review and management of submittal process. Indicate qualifications of personnel responsible for submittal review.
- D. Testing and Inspection: In quality-control plan, include a comprehensive schedule of Work requiring testing or inspection, including the following:
1. Contractor-performed tests and inspections including Subcontractor-performed tests and inspections. Include required tests and inspections and Contractor-elected tests and inspections. Distinguish source quality-control tests and inspections from field quality-control tests and inspections.
  2. Special inspections required by authorities having jurisdiction and indicated on the Statement of Special Inspections.
  3. Owner-performed tests and inspections indicated in the Contract Documents.
- E. Continuous Inspection of Workmanship: Describe process for continuous inspection during construction to identify and correct deficiencies in workmanship in addition to testing and

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inspection specified. Indicate types of corrective actions to be required to bring work into compliance with standards of workmanship established by Contract requirements and approved mockups.

- F. Monitoring and Documentation: Maintain testing and inspection reports including log of approved and rejected results. Include work Architect has indicated as nonconforming or defective. Indicate corrective actions taken to bring nonconforming work into compliance with requirements. Comply with requirements of authorities having jurisdiction.

1.9 REPORTS AND DOCUMENTS

- A. Test and Inspection Reports: Prepare and submit certified written reports specified in other Sections. Include the following:

1. Date of issue.
2. Project title and number.
3. Name, address, telephone number, and email address of testing agency.
4. Dates and locations of samples and tests or inspections.
5. Names of individuals making tests and inspections.
6. Description of the Work and test and inspection method.
7. Identification of product and Specification Section.
8. Complete test or inspection data.
9. Test and inspection results and an interpretation of test results.
10. Record of temperature and weather conditions at time of sample taking and testing and inspection.
11. Comments or professional opinion on whether tested or inspected Work complies with the Contract Document requirements.
12. Name and signature of laboratory inspector.
13. Recommendations on retesting and reinspecting.

- B. Manufacturer's Technical Representative's Field Reports: Prepare written information documenting manufacturer's technical representative's tests and inspections specified in other Sections. Include the following:

1. Name, address, telephone number, and email address of technical representative making report.
2. Statement on condition of substrates and their acceptability for installation of product.
3. Statement that products at Project site comply with requirements.
4. Summary of installation procedures being followed, whether they comply with requirements and, if not, what corrective action was taken.
5. Results of operational and other tests and a statement of whether observed performance complies with requirements.
6. Statement whether conditions, products, and installation will affect warranty.
7. Other required items indicated in individual Specification Sections.

- C. Factory-Authorized Service Representative's Reports: Prepare written information documenting manufacturer's factory-authorized service representative's tests and inspections specified in other Sections. Include the following:

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1. Name, address, telephone number, and email address of factory-authorized service representative making report.
2. Statement that equipment complies with requirements.
3. Results of operational and other tests and a statement of whether observed performance complies with requirements.
4. Statement whether conditions, products, and installation will affect warranty.
5. Other required items indicated in individual Specification Sections.

1.10 QUALITY ASSURANCE

- A. General: Qualifications paragraphs in this article establish the minimum qualification levels required; individual Specification Sections specify additional requirements.
- B. Manufacturer Qualifications: A firm experienced in manufacturing products or systems similar to those indicated for this Project and with a record of successful in-service performance, as well as sufficient production capacity to produce required units. As applicable, procure products from manufacturers able to meet qualification requirements, warranty requirements, and technical or factory-authorized service representative requirements.
- C. Fabricator Qualifications: A firm experienced in producing products similar to those indicated for this Project and with a record of successful in-service performance, as well as sufficient production capacity to produce required units.
- D. Installer Qualifications: A firm or individual experienced in installing, erecting, applying, or assembling work similar in material, design, and extent to that indicated for this Project, whose work has resulted in construction with a record of successful in-service performance.
- E. Professional Engineer Qualifications: A professional engineer who is legally qualified to practice in jurisdiction where Project is located and who is experienced in providing engineering services of the kind indicated. Engineering services are defined as those performed for installations of the system, assembly, or product that are similar in material, design, and extent to those indicated for this Project.
- F. Specialists: Certain Specification Sections require that specific construction activities shall be performed by entities who are recognized experts in those operations. Specialists shall satisfy qualification requirements indicated and shall be engaged for the activities indicated.
  1. Requirements of authorities having jurisdiction shall supersede requirements for specialists.
- G. Testing Agency Qualifications: An NRTL, an NVLAP, or an independent agency with the experience and capability to conduct testing and inspection indicated, as documented according to ASTM E 329; and with additional qualifications specified in individual Sections; and, where required by authorities having jurisdiction, that is acceptable to authorities.
- H. Manufacturer's Technical Representative Qualifications: An authorized representative of manufacturer who is trained and approved by manufacturer to observe and inspect installation of manufacturer's products that are similar in material, design, and extent to those indicated for this Project.

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- I. Factory-Authorized Service Representative Qualifications: An authorized representative of manufacturer who is trained and approved by manufacturer to inspect installation of manufacturer's products that are similar in material, design, and extent to those indicated for this Project.
- J. Preconstruction Testing: Where testing agency is indicated to perform preconstruction testing for compliance with specified requirements for performance and test methods, comply with the following:
  - 1. Contractor responsibilities include the following:
    - a. Provide test specimens representative of proposed products and construction.
    - b. Submit specimens in a timely manner with sufficient time for testing and analyzing results to prevent delaying the Work.
    - c. Provide sizes and configurations of test assemblies, mockups, and laboratory mockups to adequately demonstrate capability of products to comply with performance requirements.
    - d. Build site-assembled test assemblies and mockups using installers who will perform same tasks for Project.
    - e. Build laboratory mockups at testing facility using personnel, products, and methods of construction indicated for the completed Work.
    - f. When testing is complete, remove test specimens and test assemblies, and mockups; do not reuse products on Project.
  - 2. Testing Agency Responsibilities: Submit a certified written report of each test, inspection, and similar quality-assurance service to Architect, with copy to Contractor. Interpret tests and inspections and state in each report whether tested and inspected work complies with or deviates from the Contract Documents.
- K. Mockups: Before installing portions of the Work requiring mockups, build mockups for each form of construction and finish required to comply with the following requirements, using materials indicated for the completed Work:
  - 1. Build mockups of size indicated.
  - 2. Build mockups in location indicated or, if not indicated, as directed by Architect.
  - 3. Notify Architect seven days in advance of dates and times when mockups will be constructed.
  - 4. Employ supervisory personnel who will oversee mockup construction. Employ workers that will be employed to perform same tasks during the construction at Project.
  - 5. Demonstrate the proposed range of aesthetic effects and workmanship.
  - 6. Obtain Architect's approval of mockups before starting corresponding work, fabrication, or construction.
    - a. Allow seven days for initial review and each re-review of each mockup.
  - 7. Maintain mockups during construction in an undisturbed condition as a standard for judging the completed Work.
  - 8. Demolish and remove mockups when directed unless otherwise indicated.

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1.11 QUALITY CONTROL

- A. Owner Responsibilities: Where quality-control services are indicated as Owner's responsibility, Owner will engage a qualified testing agency to perform these services.
1. Owner will furnish Contractor with names, addresses, and telephone numbers of testing agencies engaged and a description of types of testing and inspection they are engaged to perform.
  2. Payment for these services will be made from testing and inspection allowances, as authorized by Change Orders.
  3. Costs for retesting and reinspecting construction that replaces or is necessitated by work that failed to comply with the Contract Documents will be charged to Contractor, and the Contract Sum will be adjusted by Change Order.
- B. Contractor Responsibilities: Tests and inspections not explicitly assigned to Owner are Contractor's responsibility. Perform additional quality-control activities, whether specified or not, to verify and document that the Work complies with requirements.
1. Unless otherwise indicated, provide quality-control services specified and those required by authorities having jurisdiction. Perform quality-control services required of Contractor by authorities having jurisdiction, whether specified or not.
  2. Engage a qualified testing agency to perform quality-control services.
    - a. Contractor shall not employ same entity engaged by Owner, unless agreed to in writing by Owner.
  3. Notify testing agencies at least 24 hours in advance of time when Work that requires testing or inspection will be performed.
  4. Where quality-control services are indicated as Contractor's responsibility, submit a certified written report, in duplicate, of each quality-control service.
  5. Testing and inspection requested by Contractor and not required by the Contract Documents are Contractor's responsibility.
  6. Submit additional copies of each written report directly to authorities having jurisdiction, when they so direct.
- C. Retesting/Reinspecting: Regardless of whether original tests or inspections were Contractor's responsibility, provide quality-control services, including retesting and reinspecting, for construction that replaced Work that failed to comply with the Contract Documents.
- D. Testing Agency Responsibilities: Cooperate with Architect and Contractor in performance of duties. Provide qualified personnel to perform required tests and inspections.
1. Notify Architect and Contractor promptly of irregularities or deficiencies observed in the Work during performance of its services.
  2. Determine the locations from which test samples will be taken and in which in-situ tests are conducted.
  3. Conduct and interpret tests and inspections and state in each report whether tested and inspected work complies with or deviates from requirements.
  4. Submit a certified written report, in duplicate, of each test, inspection, and similar quality-control service through Contractor.

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5. Do not release, revoke, alter, or increase the Contract Document requirements or approve or accept any portion of the Work.
  6. Do not perform duties of Contractor.
- E. **Manufacturer's Field Services:** Where indicated, engage a factory-authorized service representative to inspect field-assembled components and equipment installation, including service connections. Report results in writing as specified in Section 013300 "Submittal Procedures."
- F. **Manufacturer's Technical Services:** Where indicated, engage a manufacturer's technical representative to observe and inspect the Work. Manufacturer's technical representative's services include participation in preinstallation conferences, examination of substrates and conditions, verification of materials, observation of Installer activities, inspection of completed portions of the Work, and submittal of written reports.
- G. **Associated Contractor Services:** Cooperate with agencies and representatives performing required tests, inspections, and similar quality-control services, and provide reasonable auxiliary services as requested. Notify agency sufficiently in advance of operations to permit assignment of personnel. Provide the following:
1. Access to the Work.
  2. Incidental labor and facilities necessary to facilitate tests and inspections.
  3. Adequate quantities of representative samples of materials that require testing and inspection. Assist agency in obtaining samples.
  4. Facilities for storage and field curing of test samples.
  5. Delivery of samples to testing agencies.
  6. Preliminary design mix proposed for use for material mixes that require control by testing agency.
  7. Security and protection for samples and for testing and inspection equipment at Project site.
- H. **Coordination:** Coordinate sequence of activities to accommodate required quality-assurance and quality-control services with a minimum of delay and to avoid necessity of removing and replacing construction to accommodate testing and inspection.
1. Schedule times for tests, inspections, obtaining samples, and similar activities.
- I. **Schedule of Tests and Inspections:** Prepare a schedule of tests, inspections, and similar quality-control services required by the Contract Documents as a component of Contractor's quality-control plan. Coordinate and submit concurrently with Contractor's Construction Schedule. Update as the Work progresses.
1. **Distribution:** Distribute schedule to Owner, Architect, testing agencies, and each party involved in performance of portions of the Work where tests and inspections are required.

1.12 SPECIAL TESTS AND INSPECTIONS

- A. **Special Tests and Inspections:** Engage a qualified testing agency or special inspector to conduct special tests and inspections required by authorities having jurisdiction as the responsibility of Owner, and as follows:

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1. Verifying that manufacturer maintains detailed fabrication and quality-control procedures and reviewing the completeness and adequacy of those procedures to perform the Work.
  2. Notifying Architect and Contractor promptly of irregularities and deficiencies observed in the Work during performance of its services.
  3. Submitting a certified written report of each test, inspection, and similar quality-control service to Architect with copy to Contractor and to authorities having jurisdiction.
  4. Submitting a final report of special tests and inspections at Substantial Completion, which includes a list of unresolved deficiencies.
  5. Interpreting tests and inspections and stating in each report whether tested and inspected work complies with or deviates from the Contract Documents.
  6. Retesting and reinspecting corrected work.
- B. Special Tests and Inspections: Conducted by a qualified testing agency or special inspector as required by authorities having jurisdiction, as indicated in individual Specification Sections, and as follows:
1. Verifying that manufacturer maintains detailed fabrication and quality-control procedures and reviewing the completeness and adequacy of those procedures to perform the Work.
  2. Notifying Architect and Contractor promptly of irregularities and deficiencies observed in the Work during performance of its services.
  3. Submitting a certified written report of each test, inspection, and similar quality-control service to Architect with copy to Contractor and to authorities having jurisdiction.
  4. Submitting a final report of special tests and inspections at Substantial Completion, which includes a list of unresolved deficiencies.
  5. Interpreting tests and inspections and stating in each report whether tested and inspected work complies with or deviates from the Contract Documents.
  6. Retesting and reinspecting corrected work.

PART 2 - PRODUCTS (Not Used)

PART 3 - EXECUTION

3.1 TEST AND INSPECTION LOG

- A. Test and Inspection Log: Prepare a record of tests and inspections. Include the following:
1. Date test or inspection was conducted.
  2. Description of the Work tested or inspected.
  3. Date test or inspection results were transmitted to Architect.
  4. Identification of testing agency or special inspector conducting test or inspection.
- B. Maintain log at Project site. Post changes and revisions as they occur. Provide access to test and inspection log for Architect's reference during normal working hours.
1. Submit log at Project closeout as part of Project Record Documents.

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3.2 REPAIR AND PROTECTION

- A. General: On completion of testing, inspection, sample taking, and similar services, repair damaged construction and restore substrates and finishes.
  - 1. Provide materials and comply with installation requirements specified in other Specification Sections or matching existing substrates and finishes. Restore patched areas and extend restoration into adjoining areas with durable seams that are as invisible as possible. Comply with the Contract Document requirements for cutting and patching in Section 017300 "Execution."
- B. Protect construction exposed by or for quality-control service activities.
- C. Repair and protection are Contractor's responsibility, regardless of the assignment of responsibility for quality-control services.

END OF SECTION



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SECTION 014200 - REFERENCES

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and other Division 01 Specification Sections, apply to this Section.

1.2 DEFINITIONS

- A. General: Basic Contract definitions are included in the Conditions of the Contract.
- B. "Directed": A command or instruction by Architect. Other terms including "requested," "authorized," "selected," "required," and "permitted" have the same meaning as "directed."
- C. "Indicated": Requirements expressed by graphic representations or in written form on Drawings, in Specifications, and in other Contract Documents. Other terms including "shown," "noted," "scheduled," and "specified" have the same meaning as "indicated."
- D. "Regulations": Laws, ordinances, statutes, and lawful orders issued by authorities having jurisdiction, and rules, conventions, and agreements within the construction industry that control performance of the Work.
- E. "Furnish": Supply and deliver to Project site, ready for unloading, unpacking, assembly, installation, and similar operations.
- F. "Install": Unload, temporarily store, unpack, assemble, erect, place, anchor, apply, work to dimension, finish, cure, protect, clean, and similar operations at Project site.
- G. "Provide": Furnish and install, complete and ready for the intended use.
- H. "Project Site": Space available for performing construction activities. The extent of Project site is shown on Drawings and may or may not be identical with the description of the land on which Project is to be built.

1.3 INDUSTRY STANDARDS

- A. Applicability of Standards: Unless the Contract Documents include more stringent requirements, applicable construction industry standards have the same force and effect as if bound or copied directly into the Contract Documents to the extent referenced. Such standards are made a part of the Contract Documents by reference.
- B. Publication Dates: Comply with standards in effect as of date of the Contract Documents unless otherwise indicated.

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- C. Copies of Standards: Each entity engaged in construction on Project should be familiar with industry standards applicable to its construction activity. Copies of applicable standards are not bound with the Contract Documents.
1. Where copies of standards are needed to perform a required construction activity, obtain copies directly from publication source.

1.4 ABBREVIATIONS AND ACRONYMS

- A. Industry Organizations: Where abbreviations and acronyms are used in Specifications or other Contract Documents, they shall mean the recognized name of the entities indicated in Gale's "Encyclopedia of Associations: National Organizations of the U.S." or in Columbia Books' "National Trade & Professional Associations of the United States."
- B. Industry Organizations: Where abbreviations and acronyms are used in Specifications or other Contract Documents, they shall mean the recognized name of the entities in the following list. This information is subject to change and is believed to be accurate as of the date of the Contract Documents.

1. AABC - Associated Air Balance Council; [www.aabc.com](http://www.aabc.com).
2. AAMA - American Architectural Manufacturers Association; [www.aamanet.org](http://www.aamanet.org).
3. AASHTO - American Association of State Highway and Transportation Officials; [www.transportation.org](http://www.transportation.org).
4. AATCC - American Association of Textile Chemists and Colorists; [www.aatcc.org](http://www.aatcc.org).
5. ABMA - American Bearing Manufacturers Association; [www.americanbearings.org](http://www.americanbearings.org).
6. ABMA - American Boiler Manufacturers Association; [www.abma.com](http://www.abma.com).
7. ACI - American Concrete Institute; (Formerly: ACI International); [www.abma.com](http://www.abma.com).
8. ACPA - American Concrete Pipe Association; [www.concrete-pipe.org](http://www.concrete-pipe.org).
9. AEIC - Association of Edison Illuminating Companies, Inc. (The); [www.aeic.org](http://www.aeic.org).
10. AF&PA - American Forest & Paper Association; [www.afandpa.org](http://www.afandpa.org).
11. AGA - American Gas Association; [www.aga.org](http://www.aga.org).
12. AHAM - Association of Home Appliance Manufacturers; [www.aham.org](http://www.aham.org).
13. AHRI - Air-Conditioning, Heating, and Refrigeration Institute (The); [www.ahrinet.org](http://www.ahrinet.org).
14. AI - Asphalt Institute; [www.asphaltinstitute.org](http://www.asphaltinstitute.org).
15. AIA - American Institute of Architects (The); [www.aia.org](http://www.aia.org).
16. AISC - American Institute of Steel Construction; [www.aisc.org](http://www.aisc.org).
17. AISI - American Iron and Steel Institute; [www.steel.org](http://www.steel.org).
18. AITC - American Institute of Timber Construction; [www.aitc-glulam.org](http://www.aitc-glulam.org).
19. AMCA - Air Movement and Control Association International, Inc.; [www.amca.org](http://www.amca.org).
20. ANSI - American National Standards Institute; [www.ansi.org](http://www.ansi.org).
21. AOSA - Association of Official Seed Analysts, Inc.; [www.aosaseed.com](http://www.aosaseed.com).
22. APA - APA - The Engineered Wood Association; [www.apawood.org](http://www.apawood.org).
23. APA - Architectural Precast Association; [www.archprecast.org](http://www.archprecast.org).
24. API - American Petroleum Institute; [www.api.org](http://www.api.org).
25. ARI - Air-Conditioning & Refrigeration Institute; (See AHRI).
26. ARI - American Refrigeration Institute; (See AHRI).
27. ARMA - Asphalt Roofing Manufacturers Association; [www.asphaltroofing.org](http://www.asphaltroofing.org).
28. ASCE - American Society of Civil Engineers; [www.asce.org](http://www.asce.org).
29. ASCE/SEI - American Society of Civil Engineers/Structural Engineering Institute; (See ASCE).

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30. ASHRAE - American Society of Heating, Refrigerating and Air-Conditioning Engineers; [www.ashrae.org](http://www.ashrae.org).
31. ASME - ASME International; (American Society of Mechanical Engineers); [www.asme.org](http://www.asme.org).
32. ASSE - American Society of Safety Engineers (The); [www.asse.org](http://www.asse.org).
33. ASSE - American Society of Sanitary Engineering; [www.asse-plumbing.org](http://www.asse-plumbing.org).
34. ASTM - ASTM International; [www.astm.org](http://www.astm.org).
35. ATIS - Alliance for Telecommunications Industry Solutions; [www.atis.org](http://www.atis.org).
36. AWEA - American Wind Energy Association; [www.awea.org](http://www.awea.org).
37. AWI - Architectural Woodwork Institute; [www.awinet.org](http://www.awinet.org).
38. AWMAC - Architectural Woodwork Manufacturers Association of Canada; [www.awmac.com](http://www.awmac.com).
39. AWPA - American Wood Protection Association; [www.awpa.com](http://www.awpa.com).
40. AWS - American Welding Society; [www.aws.org](http://www.aws.org).
41. AWWA - American Water Works Association; [www.awwa.org](http://www.awwa.org).
42. BHMA - Builders Hardware Manufacturers Association; [www.buildershardware.com](http://www.buildershardware.com).
43. BIA - Brick Industry Association (The); [www.gobrick.com](http://www.gobrick.com).
44. BICSI - BICSI, Inc.; [www.bicsi.org](http://www.bicsi.org).
45. BIFMA - BIFMA International; (Business and Institutional Furniture Manufacturer's Association); [www.bifma.org](http://www.bifma.org).
46. BISSC - Baking Industry Sanitation Standards Committee; [www.bissc.org](http://www.bissc.org).
47. BWF - Badminton World Federation; (Formerly: International Badminton Federation); [www.bissc.org](http://www.bissc.org).
48. CDA - Copper Development Association; [www.copper.org](http://www.copper.org).
49. CEA - Canadian Electricity Association; [www.electricity.ca](http://www.electricity.ca).
50. CEA - Consumer Electronics Association; [www.ce.org](http://www.ce.org).
51. CFFA - Chemical Fabrics and Film Association, Inc.; [www.chemicalfabricsandfilm.com](http://www.chemicalfabricsandfilm.com).
52. CFSEI - Cold-Formed Steel Engineers Institute; [www.cfsei.org](http://www.cfsei.org).
53. CGA - Compressed Gas Association; [www.cganet.com](http://www.cganet.com).
54. CIMA - Cellulose Insulation Manufacturers Association; [www.cellulose.org](http://www.cellulose.org).
55. CISCA - Ceilings & Interior Systems Construction Association; [www.cisca.org](http://www.cisca.org).
56. CISPI - Cast Iron Soil Pipe Institute; [www.cispi.org](http://www.cispi.org).
57. CLFMI - Chain Link Fence Manufacturers Institute; [www.chainlinkinfo.org](http://www.chainlinkinfo.org).
58. CPA - Composite Panel Association; [www.pbmdf.com](http://www.pbmdf.com).
59. CRI - Carpet and Rug Institute (The); [www.carpet-rug.org](http://www.carpet-rug.org).
60. CRRC - Cool Roof Rating Council; [www.coolroofs.org](http://www.coolroofs.org).
61. CRSI - Concrete Reinforcing Steel Institute; [www.crsi.org](http://www.crsi.org).
62. CSA - Canadian Standards Association; [www.csa.ca](http://www.csa.ca).
63. CSA - CSA International; (Formerly: IAS - International Approval Services); [www.csa-international.org](http://www.csa-international.org).
64. CSI - Construction Specifications Institute (The); [www.csinet.org](http://www.csinet.org).
65. CSSB - Cedar Shake & Shingle Bureau; [www.cedarbureau.org](http://www.cedarbureau.org).
66. CTI - Cooling Technology Institute; (Formerly: Cooling Tower Institute); [www.cti.org](http://www.cti.org).
67. CWC - Composite Wood Council; (See CPA).
68. DASMA - Door and Access Systems Manufacturers Association; [www.dasma.com](http://www.dasma.com).
69. DHI - Door and Hardware Institute; [www.dhi.org](http://www.dhi.org).
70. ECA - Electronic Components Association; (See ECIA).
71. ECAMA - Electronic Components Assemblies & Materials Association; (See ECIA).
72. ECIA - Electronic Components Industry Association; [www.eciaonline.org](http://www.eciaonline.org).
73. EIA - Electronic Industries Alliance; (See TIA).
74. EIMA - EIFS Industry Members Association; [www.eima.com](http://www.eima.com).

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75. EJMA - Expansion Joint Manufacturers Association, Inc.; [www.ejma.org](http://www.ejma.org).
76. ESD - ESD Association; (Electrostatic Discharge Association); [www.esda.org](http://www.esda.org).
77. ESTA - Entertainment Services and Technology Association; (See PLASA).
78. EVO - Efficiency Valuation Organization; [www.evo-world.org](http://www.evo-world.org).
79. FCI - Fluid Controls Institute; [www.fluidcontrolsinstitute.org](http://www.fluidcontrolsinstitute.org).
80. FM Approvals - FM Approvals LLC; [www.fmglobal.com](http://www.fmglobal.com).
81. FM Global - FM Global; (Formerly: FMG - FM Global); [www.fmglobal.com](http://www.fmglobal.com).
82. FRSA - Florida Roofing, Sheet Metal & Air Conditioning Contractors Association, Inc.; [www.floridarooft.com](http://www.floridarooft.com).
83. FSA - Fluid Sealing Association; [www.fluidsealing.com](http://www.fluidsealing.com).
84. FSC - Forest Stewardship Council U.S.; [www.fscus.org](http://www.fscus.org).
85. GA - Gypsum Association; [www.gypsum.org](http://www.gypsum.org).
86. GANA - Glass Association of North America; [www.glasswebsite.com](http://www.glasswebsite.com).
87. GS - Green Seal; [www.greenseal.org](http://www.greenseal.org).
88. HI - Hydraulic Institute; [www.pumps.org](http://www.pumps.org).
89. HI/GAMA - Hydronics Institute/Gas Appliance Manufacturers Association; (See AHRI).
90. HMMA - Hollow Metal Manufacturers Association; (See NAAMM).
91. HPVA - Hardwood Plywood & Veneer Association; [www.hpva.org](http://www.hpva.org).
92. HPW - H. P. White Laboratory, Inc.; [www.hpwhite.com](http://www.hpwhite.com).
93. IAPSC - International Association of Professional Security Consultants; [www.iapsc.org](http://www.iapsc.org).
94. IAS - International Accreditation Service; [www.iasonline.org](http://www.iasonline.org).
95. IAS - International Approval Services; (See CSA).
96. ICBO - International Conference of Building Officials; (See ICC).
97. ICC - International Code Council; [www.iccsafe.org](http://www.iccsafe.org).
98. ICEA - Insulated Cable Engineers Association, Inc.; [www.icea.net](http://www.icea.net).
99. ICPA - International Cast Polymer Alliance; [www.icpa-hq.org](http://www.icpa-hq.org).
100. ICRI - International Concrete Repair Institute, Inc.; [www.icri.org](http://www.icri.org).
101. IEC - International Electrotechnical Commission; [www.iec.ch](http://www.iec.ch).
102. IEEE - Institute of Electrical and Electronics Engineers, Inc. (The); [www.ieee.org](http://www.ieee.org).
103. IES - Illuminating Engineering Society; (Formerly: Illuminating Engineering Society of North America); [www.ies.org](http://www.ies.org).
104. IESNA - Illuminating Engineering Society of North America; (See IES).
105. IEST - Institute of Environmental Sciences and Technology; [www.iest.org](http://www.iest.org).
106. IGMA - Insulating Glass Manufacturers Alliance; [www.igmaonline.org](http://www.igmaonline.org).
107. IGSHPA - International Ground Source Heat Pump Association; [www.igshpa.okstate.edu](http://www.igshpa.okstate.edu).
108. ILI - Indiana Limestone Institute of America, Inc.; [www.iliai.com](http://www.iliai.com).
109. Intertek - Intertek Group; (Formerly: ETL SEMCO; Intertek Testing Service NA); [www.intertek.com](http://www.intertek.com).
110. ISA - International Society of Automation (The); (Formerly: Instrumentation, Systems, and Automation Society); [www.isa.org](http://www.isa.org).
111. ISAS - Instrumentation, Systems, and Automation Society (The); (See ISA).
112. ISFA - International Surface Fabricators Association; (Formerly: International Solid Surface Fabricators Association); [www.isfanow.org](http://www.isfanow.org).
113. ISO - International Organization for Standardization; [www.iso.org](http://www.iso.org).
114. ISSFA - International Solid Surface Fabricators Association; (See ISFA).
115. ITU - International Telecommunication Union; [www.itu.int/home](http://www.itu.int/home).
116. KCMA - Kitchen Cabinet Manufacturers Association; [www.kcma.org](http://www.kcma.org).
117. LMA - Laminating Materials Association; (See CPA).
118. LPI - Lightning Protection Institute; [www.lightning.org](http://www.lightning.org).
119. MBMA - Metal Building Manufacturers Association; [www.mbma.com](http://www.mbma.com).

REFERENCES

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120. MCA - Metal Construction Association; [www.metalconstruction.org](http://www.metalconstruction.org).
121. MFMA - Maple Flooring Manufacturers Association, Inc.; [www.maplefloor.org](http://www.maplefloor.org).
122. MFMA - Metal Framing Manufacturers Association, Inc.; [www.metalframingmfg.org](http://www.metalframingmfg.org).
123. MHIA - Material Handling Industry of America; [www.mhia.org](http://www.mhia.org).
124. MIA - Marble Institute of America; [www.marble-institute.com](http://www.marble-institute.com).
125. MMPA - Moulding & Millwork Producers Association; [www.wmmpa.com](http://www.wmmpa.com).
126. MPI - Master Painters Institute; [www.paintinfo.com](http://www.paintinfo.com).
127. MSS - Manufacturers Standardization Society of The Valve and Fittings Industry Inc.; [www.mss-hq.org](http://www.mss-hq.org).
128. NAAMM - National Association of Architectural Metal Manufacturers; [www.naamm.org](http://www.naamm.org).
129. NACE - NACE International; (National Association of Corrosion Engineers International); [www.nace.org](http://www.nace.org).
130. NADCA - National Air Duct Cleaners Association; [www.nadca.com](http://www.nadca.com).
131. NAIMA - North American Insulation Manufacturers Association; [www.naima.org](http://www.naima.org).
132. NBGQA - National Building Granite Quarries Association, Inc.; [www.nbgqa.com](http://www.nbgqa.com).
133. NBI - New Buildings Institute; [www.newbuildings.org](http://www.newbuildings.org).
134. NCAA - National Collegiate Athletic Association (The); [www.ncaa.org](http://www.ncaa.org).
135. NCMA - National Concrete Masonry Association; [www.ncma.org](http://www.ncma.org).
136. NEBB - National Environmental Balancing Bureau; [www.nebb.org](http://www.nebb.org).
137. NECA - National Electrical Contractors Association; [www.necanet.org](http://www.necanet.org).
138. NeLMA - Northeastern Lumber Manufacturers Association; [www.nelma.org](http://www.nelma.org).
139. NEMA - National Electrical Manufacturers Association; [www.nema.org](http://www.nema.org).
140. NETA - InterNational Electrical Testing Association; [www.netaworld.org](http://www.netaworld.org).
141. NFHS - National Federation of State High School Associations; [www.nfhs.org](http://www.nfhs.org).
142. NFPA - National Fire Protection Association; [www.nfpa.org](http://www.nfpa.org).
143. NFPA - NFPA International; (See NFPA).
144. NFRC - National Fenestration Rating Council; [www.nfrc.org](http://www.nfrc.org).
145. NHLA - National Hardwood Lumber Association; [www.nhla.com](http://www.nhla.com).
146. NLGA - National Lumber Grades Authority; [www.nlga.org](http://www.nlga.org).
147. NOFMA - National Oak Flooring Manufacturers Association; (See NWFA).
148. NOMMA - National Ornamental & Miscellaneous Metals Association; [www.nomma.org](http://www.nomma.org).
149. NRCA - National Roofing Contractors Association; [www.nrca.net](http://www.nrca.net).
150. NRMCA - National Ready Mixed Concrete Association; [www.nrmca.org](http://www.nrmca.org).
151. NSF - NSF International; [www.nsf.org](http://www.nsf.org).
152. NSPE - National Society of Professional Engineers; [www.nspe.org](http://www.nspe.org).
153. NSSGA - National Stone, Sand & Gravel Association; [www.nssga.org](http://www.nssga.org).
154. NTMA - National Terrazzo & Mosaic Association, Inc. (The); [www.ntma.com](http://www.ntma.com).
155. NWFA - National Wood Flooring Association; [www.nwfa.org](http://www.nwfa.org).
156. PCI - Precast/Prestressed Concrete Institute; [www.pci.org](http://www.pci.org).
157. PDI - Plumbing & Drainage Institute; [www.pdionline.org](http://www.pdionline.org).
158. PLASA - PLASA; (Formerly: ESTA - Entertainment Services and Technology Association); [www.plasa.org](http://www.plasa.org).
159. RCSC - Research Council on Structural Connections; [www.boltcouncil.org](http://www.boltcouncil.org).
160. RFCI - Resilient Floor Covering Institute; [www.rfci.com](http://www.rfci.com).
161. RIS - Redwood Inspection Service; [www.redwoodinspection.com](http://www.redwoodinspection.com).
162. SAE - SAE International; [www.sae.org](http://www.sae.org).
163. SCTE - Society of Cable Telecommunications Engineers; [www.scte.org](http://www.scte.org).
164. SDI - Steel Deck Institute; [www.sdi.org](http://www.sdi.org).
165. SDI - Steel Door Institute; [www.steeldoor.org](http://www.steeldoor.org).
166. SEFA - Scientific Equipment and Furniture Association (The); [www.sefalabs.com](http://www.sefalabs.com).

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167. SEI/ASCE - Structural Engineering Institute/American Society of Civil Engineers; (See ASCE).
168. SIA - Security Industry Association; [www.siaonline.org](http://www.siaonline.org).
169. SJI - Steel Joist Institute; [www.steeljoist.org](http://www.steeljoist.org).
170. SMA - Screen Manufacturers Association; [www.smainfo.org](http://www.smainfo.org).
171. SMACNA - Sheet Metal and Air Conditioning Contractors' National Association; [www.smacna.org](http://www.smacna.org).
172. SMPTE - Society of Motion Picture and Television Engineers; [www.smpte.org](http://www.smpte.org).
173. SPFA - Spray Polyurethane Foam Alliance; [www.sprayfoam.org](http://www.sprayfoam.org).
174. SPIB - Southern Pine Inspection Bureau; [www.spib.org](http://www.spib.org).
175. SPRI - Single Ply Roofing Industry; [www.spri.org](http://www.spri.org).
176. SRCC - Solar Rating & Certification Corporation; [www.solar-rating.org](http://www.solar-rating.org).
177. SSINA - Specialty Steel Industry of North America; [www.ssina.com](http://www.ssina.com).
178. SSPC - SSPC: The Society for Protective Coatings; [www.sspc.org](http://www.sspc.org).
179. STI - Steel Tank Institute; [www.steeltank.com](http://www.steeltank.com).
180. SWI - Steel Window Institute; [www.steelwindows.com](http://www.steelwindows.com).
181. SWPA - Submersible Wastewater Pump Association; [www.swpa.org](http://www.swpa.org).
182. TCA - Tilt-Up Concrete Association; [www.tilt-up.org](http://www.tilt-up.org).
183. TCNA - Tile Council of North America, Inc.; [www.tileusa.com](http://www.tileusa.com).
184. TEMA - Tubular Exchanger Manufacturers Association, Inc.; [www.tema.org](http://www.tema.org).
185. TIA - Telecommunications Industry Association (The); (Formerly: TIA/EIA - Telecommunications Industry Association/Electronic Industries Alliance); [www.tiaonline.org](http://www.tiaonline.org).
186. TIA/EIA - Telecommunications Industry Association/Electronic Industries Alliance; (See TIA).
187. TMS - The Masonry Society; [www.masonrysociety.org](http://www.masonrysociety.org).
188. TPI - Truss Plate Institute; [www.tpinst.org](http://www.tpinst.org).
189. TPI - Turfgrass Producers International; [www.turfgrasssod.org](http://www.turfgrasssod.org).
190. TRI - Tile Roofing Institute; [www.tilerroofing.org](http://www.tilerroofing.org).
191. UL - Underwriters Laboratories Inc.; [www.ul.com](http://www.ul.com).
192. UNI - Uni-Bell PVC Pipe Association; [www.uni-bell.org](http://www.uni-bell.org).
193. USAV - USA Volleyball; [www.usavolleyball.org](http://www.usavolleyball.org).
194. USGBC - U.S. Green Building Council; [www.usgbc.org](http://www.usgbc.org).
195. USITT - United States Institute for Theatre Technology, Inc.; [www.usitt.org](http://www.usitt.org).
196. WASTEC - Waste Equipment Technology Association; [www.wastec.org](http://www.wastec.org).
197. WCLIB - West Coast Lumber Inspection Bureau; [www.wclib.org](http://www.wclib.org).
198. WCMA - Window Covering Manufacturers Association; [www.wcmanet.org](http://www.wcmanet.org).
199. WDMA - Window & Door Manufacturers Association; [www.wdma.com](http://www.wdma.com).
200. WI - Woodwork Institute; [www.wicnet.org](http://www.wicnet.org).
201. WSRCA - Western States Roofing Contractors Association; [www.wsrca.com](http://www.wsrca.com).
202. WWPA - Western Wood Products Association; [www.wwpa.org](http://www.wwpa.org).

C. Code Agencies: Where abbreviations and acronyms are used in Specifications or other Contract Documents, they shall mean the recognized name of the entities in the following list. This information is believed to be accurate as of the date of the Contract Documents.

1. IAPMO - International Association of Plumbing and Mechanical Officials; [www.iapmo.org](http://www.iapmo.org).
2. ICC - International Code Council; [www.iccsafe.org](http://www.iccsafe.org).
3. ICC-ES - ICC Evaluation Service, LLC; [www.icc-es.org](http://www.icc-es.org).

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D. Federal Government Agencies: Where abbreviations and acronyms are used in Specifications or other Contract Documents, they shall mean the recognized name of the entities in the following list. Information is subject to change and is up to date as of the date of the Contract Documents.

1. COE - Army Corps of Engineers; [www.usace.army.mil](http://www.usace.army.mil).
2. CPSC - Consumer Product Safety Commission; [www.cpsc.gov](http://www.cpsc.gov).
3. DOC - Department of Commerce; National Institute of Standards and Technology; [www.nist.gov](http://www.nist.gov).
4. DOD - Department of Defense; [www.quicksearch.dla.mil](http://www.quicksearch.dla.mil).
5. DOE - Department of Energy; [www.energy.gov](http://www.energy.gov).
6. EPA - Environmental Protection Agency; [www.epa.gov](http://www.epa.gov).
7. FAA - Federal Aviation Administration; [www.faa.gov](http://www.faa.gov).
8. FG - Federal Government Publications; [www.gpo.gov](http://www.gpo.gov).
9. GSA - General Services Administration; [www.gsa.gov](http://www.gsa.gov).
10. HUD - Department of Housing and Urban Development; [www.hud.gov](http://www.hud.gov).
11. LBL - Lawrence Berkeley National Laboratory; Environmental Energy Technologies Division; [www.eetd.lbl.gov](http://www.eetd.lbl.gov).
12. OSHA - Occupational Safety & Health Administration; [www.osha.gov](http://www.osha.gov).
13. SD - Department of State; [www.state.gov](http://www.state.gov).
14. TRB - Transportation Research Board; National Cooperative Highway Research Program; The National Academies; [www.trb.org](http://www.trb.org).
15. USDA - Department of Agriculture; Agriculture Research Service; U.S. Salinity Laboratory; [www.ars.usda.gov](http://www.ars.usda.gov).
16. USDA - Department of Agriculture; Rural Utilities Service; [www.usda.gov](http://www.usda.gov).
17. USDJ - Department of Justice; Office of Justice Programs; National Institute of Justice; [www.ojp.usdoj.gov](http://www.ojp.usdoj.gov).
18. USP - U.S. Pharmacopeial Convention; [www.usp.org](http://www.usp.org).
19. USPS - United States Postal Service; [www.usps.com](http://www.usps.com).

E. Standards and Regulations: Where abbreviations and acronyms are used in Specifications or other Contract Documents, they shall mean the recognized name of the standards and regulations in the following list. This information is subject to change and is believed to be accurate as of the date of the Contract Documents.

1. CFR - Code of Federal Regulations; Available from Government Printing Office; [www.gpo.gov/fdsys](http://www.gpo.gov/fdsys).
2. DOD - Department of Defense; Military Specifications and Standards; Available from DLA Document Services; [www.quicksearch.dla.mil](http://www.quicksearch.dla.mil).
3. DSCC - Defense Supply Center Columbus; (See FS).
4. FED-STD - Federal Standard; (See FS).
5. FS - Federal Specification; Available from DLA Document Services; [www.quicksearch.dla.mil](http://www.quicksearch.dla.mil).
  - a. Available from Defense Standardization Program; [www.dsp.dla.mil](http://www.dsp.dla.mil).
  - b. Available from General Services Administration; [www.gsa.gov](http://www.gsa.gov).
  - c. Available from National Institute of Building Sciences/Whole Building Design Guide; [www.wbdg.org/ccb](http://www.wbdg.org/ccb).
6. MILSPEC - Military Specification and Standards; (See DOD).
7. USAB - United States Access Board; [www.access-board.gov](http://www.access-board.gov).

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8. USATBCB - U.S. Architectural & Transportation Barriers Compliance Board; (See USAB).
- F. State Government Agencies: Where abbreviations and acronyms are used in Specifications or other Contract Documents, they shall mean the recognized name of the entities in the following list. This information is subject to change and is believed to be accurate as of the date of the Contract Documents.
1. CBHF; State of California; Department of Consumer Affairs; Bureau of Electronic and Appliance Repair, Home Furnishings and Thermal Insulation; [www.bearhfti.ca.gov](http://www.bearhfti.ca.gov).
  2. CCR; California Code of Regulations; Office of Administrative Law; California Title 24 Energy Code; [www.calregs.com](http://www.calregs.com).
  3. CDHS; California Department of Health Services; (See CDPH).
  4. CDPH; California Department of Public Health; Indoor Air Quality Program; [www.cal-iaq.org](http://www.cal-iaq.org).
  5. CPUC; California Public Utilities Commission; [www.cpuc.ca.gov](http://www.cpuc.ca.gov).
  6. SCAQMD; South Coast Air Quality Management District; [www.aqmd.gov](http://www.aqmd.gov).
  7. TFS; Texas A&M Forest Service; Sustainable Forestry and Economic Development; [www.txforestservation.tamu.edu](http://www.txforestservation.tamu.edu).

PART 2 - PRODUCTS (Not Used)

PART 3 - EXECUTION (Not Used)

END OF SECTION

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SECTION 015000 - TEMPORARY FACILITIES AND CONTROLS

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and other Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

- A. Section includes requirements for temporary utilities, support facilities, and security and protection facilities.
- B. Related Requirements:
  - 1. Section 011000 "Summary" for work restrictions and limitations on utility interruptions.
  - 2. Section 312000 "Earth Moving" for disposal of surface water at Project site.

1.3 USE CHARGES

- A. General: Installation and removal of and use charges for temporary facilities shall be included in the Contract Sum unless otherwise indicated. Allow other entities engaged in the Project to use temporary services and facilities without cost, including, but not limited to, Architect, testing agencies, and authorities having jurisdiction.
- B. Water and Sewer Service from Existing System: Water from Owner's existing water system is available for use without metering and payment of use charges. Provide connections and extensions of services as required for construction operations.
  - 1. Excessive use of the Owner's water shall be prohibited. Determination of excessive use shall be at the Owner's discretion.
- C. Electric Power Service from Existing System: Electric power from Owner's existing system is available for use without metering and payment of use charges. Provide connections and extensions of services as required for construction operations.

1.4 INFORMATIONAL SUBMITTALS

- A. Submittals shall comply with the requirements of Section 013300 "Submittal Procedures" and the individual sections specifying the work.
- B. Site Utilization Plan: Show temporary facilities, temporary utility lines and connections, staging areas, construction site entrances, vehicle circulation, and parking areas for construction personnel.

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- C. Implementation and Termination Schedule: Within 15 days of date established for commencement of the Work, submit schedule indicating implementation and termination dates of each temporary utility.
- D. Project Identification and Temporary Signs: Show fabrication and installation details, including plans, elevations, details, layouts, typestyles, graphic elements, and message content.
- E. Fire-Safety Program: Show compliance with requirements of NFPA 241 and authorities having jurisdiction. Indicate Contractor personnel responsible for management of fire-prevention program.
- F. Moisture- and Mold-Protection Plan: Describe procedures and controls for protecting materials and construction from water absorption and damage and mold.
- G. Dust- and HVAC-Control Plan: Submit coordination drawing and narrative that indicates the dust- and HVAC-control measures proposed for use, proposed locations, and proposed time frame for their operation. Include the following:
  - 1. Locations of dust-control partitions at each phase of work.
  - 2. HVAC system isolation schematic drawing.
  - 3. Location of proposed air-filtration system discharge.
  - 4. Waste-handling procedures.
  - 5. Other dust-control measures.

1.5 QUALITY ASSURANCE

- A. Electric Service: Comply with NECA, NEMA, and UL standards and regulations for temporary electric service. Install service to comply with NFPA 70.
- B. Tests and Inspections: Arrange for authorities having jurisdiction to test and inspect each temporary utility before use. Obtain required certifications and permits.
- C. Accessible Temporary Egress: Comply with applicable provisions in the United States Access Board's ADA-ABA Accessibility Guidelines.

1.6 PROJECT CONDITIONS

- A. Temporary Use of Permanent Facilities: Engage Installer of each permanent service to assume responsibility for operation, maintenance, and protection of each permanent service during its use as a construction facility before Owner's acceptance, regardless of previously assigned responsibilities.

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PART 2 - PRODUCTS

2.1 MATERIALS

- A. Portable Chain-Link Fencing: Minimum 2-inch, 0.148-inch- thick, galvanized-steel, chain-link fabric fencing; minimum 6 feet high with galvanized-steel pipe posts; minimum 2-3/8-inch- OD line posts and 2-7/8-inch- OD corner and pull posts, with 1-5/8-inch- OD top and bottom rails. Provide concrete or polyethylene bases for supporting posts.
- B. Fencing Windscreen Privacy Screen: Polyester fabric scrim with grommets for attachment to chain link fence, sized to height of fence, in color selected by Architect from manufacturer's standard colors.
- C. Polyethylene Sheet: Reinforced, fire-resistive sheet, 10-mil minimum thickness, with flame-spread rating of 15 or less per ASTM E 84 and passing NFPA 701 Test Method 2.
- D. Dust-Control Adhesive-Surface Walk-Off Mats: Provide mats minimum 36 by 60 inches.
- E. Insulation: Unfaced mineral-fiber blanket, manufactured from glass, slag wool, or rock wool; with maximum flame-spread and smoke-developed indexes of 25 and 50, respectively.

2.2 TEMPORARY FACILITIES

- A. Field Offices, General: Prefabricated or mobile units with serviceable finishes, temperature controls, and foundations adequate for normal loading.
- B. Storage and Fabrication Sheds: Provide sheds sized, furnished, and equipped to accommodate materials and equipment for construction operations.
  - 1. Store combustible materials apart from building.

2.3 EQUIPMENT

- A. Fire Extinguishers: Portable, UL rated; with class and extinguishing agent as required by locations and classes of fire exposures.
- B. HVAC Equipment: Unless Owner authorizes use of permanent HVAC system, provide vented, self-contained, liquid-propane-gas or fuel-oil heaters with individual space thermostatic control.
  - 1. Use of gasoline-burning space heaters, open-flame heaters, or salamander-type heating units is prohibited.
  - 2. Heating Units: Listed and labeled for type of fuel being consumed, by a qualified testing agency acceptable to authorities having jurisdiction, and marked for intended location and application.
  - 3. Permanent HVAC System: If Owner authorizes use of permanent HVAC system for temporary use during construction, provide filter with MERV of 8 at each return-air grille in system and remove at end of construction and clean HVAC system as required in Section 017700 "Closeout Procedures."

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- C. Air-Filtration Units: Primary and secondary HEPA-filter-equipped portable units with four-stage filtration. Provide single switch for emergency shutoff. Configure to run continuously.

PART 3 - EXECUTION

3.1 TEMPORARY FACILITIES, GENERAL

- A. Conservation: Coordinate construction and use of temporary facilities with consideration given to conservation of energy, water, and materials. Coordinate use of temporary utilities to minimize waste.
  - 1. Salvage materials and equipment involved in performance of, but not actually incorporated into, the Work. See other Sections for disposition of salvaged materials that are designated as Owner's property.

3.2 INSTALLATION, GENERAL

- A. Locate facilities where they will serve Project adequately and result in minimum interference with performance of the Work. Relocate and modify facilities as required by progress of the Work.
  - 1. Locate facilities to limit site disturbance as specified in Section 011000 "Summary."
- B. Provide each facility ready for use when needed to avoid delay. Do not remove until facilities are no longer needed or are replaced by authorized use of completed permanent facilities.

3.3 TEMPORARY UTILITY INSTALLATION

- A. General: Install temporary service or connect to existing service.
  - 1. Arrange with utility company, Owner, and existing users for time when service can be interrupted, if necessary, to make connections for temporary services.
- B. Sewers and Drainage: Provide temporary utilities to remove effluent lawfully.
  - 1. Connect temporary sewers to municipal system as directed by Owner.
- C. Provide a plan to connect to Owner's existing water system and municipal system as indicated on Drawings. Install water service and distribution piping in sizes and pressures adequate for construction.
  - 1. Clean and maintain water service facilities in a condition acceptable to Owner. At Substantial Completion, restore these facilities to condition existing before initial use.
- D. Sanitary Facilities: Provide temporary toilets, wash facilities, and drinking water for use of construction personnel. Comply with requirements of authorities having jurisdiction for type, number, location, operation, and maintenance of fixtures and facilities.

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- E. Temporary Heating and Cooling: Provide temporary heating and cooling required by construction activities for curing or drying of completed installations or for protecting installed construction from adverse effects of low temperatures or high humidity. Select equipment that will not have a harmful effect on completed installations or elements being installed.
1. Provide temporary dehumidification systems when required to reduce ambient and substrate moisture levels to level required to allow installation or application of finishes and their proper curing or drying.
- F. Electric Power Service: Provide electric power service and distribution system of sufficient size, capacity, and power characteristics required for construction operations.
1. Install electric power service overhead unless otherwise indicated.
  2. Connect temporary service to Owner's existing power source, as directed by Owner. Provide meter.
- G. Lighting: Provide temporary lighting with local switching that provides adequate illumination for construction operations, observations, inspections, and traffic conditions.
1. Install and operate temporary lighting that fulfills security and protection requirements without operating entire system.
- H. Telephone Service: Provide temporary telephone service in common-use facilities for use by all construction personnel. Install one land-based telephone line for field office.
1. At telephone, post a list of important telephone numbers.
    - a. Police and fire departments.
    - b. Ambulance service.
    - c. Contractor's home office.
    - d. Contractor's emergency after-hours telephone number.
    - e. Architect's office.
    - f. Engineers' offices.
    - g. Owner's office.
    - h. Principal subcontractors' field and home offices.

3.4 SUPPORT FACILITIES INSTALLATION

- A. General: Comply with the following:
1. Provide construction for temporary offices, shops, and sheds located within construction area or within 30 feet of building lines that is noncombustible according to ASTM E 136. Comply with NFPA 241.
  2. Maintain support facilities until Owner schedules Substantial Completion inspection. Remove before Substantial Completion. Personnel remaining after Substantial Completion will be permitted to use permanent facilities, under conditions acceptable to Owner.
- B. Temporary Use of Planned Permanent Roads and Paved Areas: Locate temporary roads and paved areas in same location as permanent roads and paved areas. Construct and maintain

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temporary roads and paved areas adequate for construction operations. Extend temporary roads and paved areas, within construction limits indicated, as necessary for construction operations.

1. Coordinate elevations of temporary roads and paved areas with permanent roads and paved areas.
  2. Prepare subgrade and install subbase and base for temporary roads and paved areas according to Section 312000 "Earth Moving."
  3. Recondition base after temporary use, including removing contaminated material, regrading, proofrolling, compacting, and testing.
  4. Delay installation of final course of permanent hot-mix asphalt pavement until immediately before Substantial Completion. Repair hot-mix asphalt base-course pavement before installation of final course according to Section 321216 "Asphalt Paving."
- C. Traffic Controls: Comply with requirements of authorities having jurisdiction.
1. Protect existing site improvements to remain including curbs, pavement, and utilities.
  2. Maintain access for fire-fighting equipment and access to fire hydrants.
- D. Parking: Use designated areas of Owner's existing parking areas for construction personnel.
- E. Dewatering Facilities and Drains: Comply with requirements of authorities having jurisdiction. Maintain Project site, excavations, and construction free of water.
1. Dispose of rainwater in a lawful manner that will not result in flooding Project or adjoining properties or endanger permanent Work or temporary facilities.
  2. Remove snow and ice as required to minimize accumulations.
- F. Project Signs: Provide Project signs as indicated. Unauthorized signs are not permitted.
1. Identification Signs: Provide Project identification signs as indicated on Drawings.
  2. Temporary Signs: Provide other signs as indicated and as required to inform public and individuals seeking entrance to Project.
    - a. Provide temporary, directional signs for construction personnel and visitors.
  3. Maintain and touch up signs so they are legible at all times.
- G. Waste Disposal Facilities: Comply with requirements specified in Section 017419 "Construction Waste Management and Disposal."
- H. Waste Disposal Facilities: Provide waste-collection containers in sizes adequate to handle waste from construction operations. Comply with requirements of authorities having jurisdiction. Comply with progress cleaning requirements in Section 017300 "Execution."
- I. Lifts and Hoists: Provide facilities necessary for hoisting materials and personnel.
1. Truck cranes and similar devices used for hoisting materials are considered "tools and equipment" and not temporary facilities.

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3.5 SECURITY AND PROTECTION FACILITIES INSTALLATION

- A. Protection of Existing Facilities: Protect existing vegetation, equipment, structures, utilities, and other improvements at Project site and on adjacent properties, except those indicated to be removed or altered. Repair damage to existing facilities.
  - 1. Where access to adjacent properties is required in order to affect protection of existing facilities, obtain written permission from Owner to access property for that purpose.
- B. Environmental Protection: Provide protection, operate temporary facilities, and conduct construction as required to comply with environmental regulations and that minimize possible air, waterway, and subsoil contamination or pollution or other undesirable effects.
  - 1. Comply with work restrictions specified in Section 011000 "Summary."
- C. Temporary Erosion and Sedimentation Control: Comply with requirements specified in Section 311000 "Site Clearing."
- D. Temporary Erosion and Sedimentation Control: Provide measures to prevent soil erosion and discharge of soil-bearing water runoff and airborne dust to undisturbed areas and to adjacent properties and walkways, according to requirements of the EPA Construction General Permit or authorities having jurisdiction, whichever is more stringent.
  - 1. Verify that flows of water redirected from construction areas or generated by construction activity do not enter or cross tree- or plant-protection zones.
  - 2. Inspect, repair, and maintain erosion- and sedimentation-control measures during construction until permanent vegetation has been established.
  - 3. Clean, repair, and restore adjoining properties and roads affected by erosion and sedimentation from Project site during the course of Project.
  - 4. Remove erosion and sedimentation controls and restore and stabilize areas disturbed during removal.
- E. Stormwater Control: Comply with requirements of Owner. Provide barriers in and around excavations and subgrade construction to prevent flooding by runoff of stormwater from heavy rains.
- F. Tree and Plant Protection: Comply with requirements specified in Section 015639 "Temporary Tree and Plant Protection."
- G. Site Enclosure Fence: Before construction operations begin and Prior to commencing earthwork, furnish and install site enclosure fence in a manner that will prevent people from easily entering site except by entrance gates.
  - 1. Extent of Fence: As required to enclose entire Project site or portion determined sufficient to accommodate construction operations and approved by Owner.
  - 2. Maintain security by limiting number of keys and restricting distribution to authorized personnel. Furnish one set of keys to Owner.
- H. Security Enclosure and Lockup: Install temporary enclosure around partially completed areas of construction. Provide lockable entrances to prevent unauthorized entrance, vandalism, theft, and similar violations of security. Lock entrances at end of each workday.

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- I. Barricades, Warning Signs, and Lights: Comply with requirements of authorities having jurisdiction for erecting structurally adequate barricades, including warning signs and lighting.
- J. Temporary Egress: Maintain temporary egress from existing occupied facilities as indicated and as required by authorities having jurisdiction.
- K. Covered Walkway: Erect protective, covered walkway for passage of individuals through or adjacent to Project site. Coordinate with entrance gates, other facilities, and obstructions. Comply with regulations of authorities having jurisdiction.
  - 1. Provide overhead decking, protective enclosure walls, handrails, barricades, warning signs, exit signs, lights, safe and well-drained walkways, and similar provisions for protection and safe passage.
  - 2. Paint and maintain appearance of walkway for duration of the Work.
- L. Temporary Enclosures: Provide temporary enclosures for protection of construction, in progress and completed, from exposure, foul weather, other construction operations, and similar activities. Provide temporary weathertight enclosure for building exterior.
  - 1. Where heating or cooling is needed and permanent enclosure is incomplete, insulate temporary enclosures.
- M. Temporary Fire Protection: Install and maintain temporary fire-protection facilities of types needed to protect against reasonably predictable and controllable fire losses. Comply with NFPA 241; manage fire-prevention program.
  - 1. Prohibit smoking in construction areas. Comply with additional limits on smoking specified in other Sections.
  - 2. Supervise welding operations, combustion-type temporary heating units, and similar sources of fire ignition according to requirements of authorities having jurisdiction.
  - 3. Develop and supervise an overall fire-prevention and -protection program for personnel at Project site. Review needs with local fire department and establish procedures to be followed. Instruct personnel in methods and procedures. Post warnings and information.

3.6 MOISTURE AND MOLD CONTROL

- A. Contractor's Moisture-Protection Plan: Describe delivery, handling, storage, installation, and protection provisions for materials subject to water absorption or water damage.
  - 1. Indicate procedures for discarding water-damaged materials, protocols for mitigating water intrusion into completed Work, and replacing water-damaged Work.
  - 2. Indicate sequencing of work that requires water, such as sprayed fire-resistive materials, plastering, and terrazzo grinding, and describe plans for dealing with water from these operations. Show procedures for verifying that wet construction has dried sufficiently to permit installation of finish materials.
  - 3. Indicate methods to be used to avoid trapping water in finished work.
- B. Exposed Construction Period: Before installation of weather barriers, when materials are subject to wetting and exposure and to airborne mold spores, protect as follows:

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1. Protect porous materials from water damage.
  2. Protect stored and installed material from flowing or standing water.
  3. Keep porous and organic materials from coming into prolonged contact with concrete.
  4. Remove standing water from decks.
  5. Keep deck openings covered or dammed.
- C. Partially Enclosed Construction Period: After installation of weather barriers but before full conditioning of building, when installed materials are still subject to infiltration of moisture and ambient mold spores, protect as follows:
1. Do not load or install drywall or other porous materials or components, or items with high organic content, into partially enclosed building.
  2. Keep interior spaces reasonably clean and protected from water damage.
  3. Periodically collect and remove waste containing cellulose or other organic matter.
  4. Discard or replace water-damaged material.
  5. Do not install material that is wet.
  6. Discard and replace stored or installed material that begins to grow mold.
  7. Perform work in a sequence that allows wet materials adequate time to dry before enclosing the material in gypsum board or other interior finishes.
- D. Controlled Construction Period: After completing and sealing of the building enclosure but prior to the full operation of permanent HVAC systems, maintain as follows:
1. Control moisture and humidity inside building by maintaining effective dry-in conditions.
  2. Use temporary or permanent HVAC system to control humidity within ranges specified for installed and stored materials.
  3. Comply with manufacturer's written instructions for temperature, relative humidity, and exposure to water limits.
    - a. Hygroscopic materials that may support mold growth, including wood and gypsum-based products, that become wet during the course of construction and remain wet for 48 hours are considered defective and require replacing.
    - b. Measure moisture content of materials that have been exposed to moisture during construction operations or after installation. Record readings beginning at time of exposure and continuing daily for 48 hours. Identify materials containing moisture levels higher than allowed. Report findings in writing to Architect.
    - c. Remove and replace materials that cannot be completely restored to their manufactured moisture level within 48 hours.

### 3.7 OPERATION, TERMINATION, AND REMOVAL

- A. Supervision: Enforce strict discipline in use of temporary facilities. To minimize waste and abuse, limit availability of temporary facilities to essential and intended uses.
- B. Maintenance: Maintain facilities in good operating condition until removal.
1. Maintain operation of temporary enclosures, heating, cooling, humidity control, ventilation, and similar facilities on a 24-hour basis where required to achieve indicated results and to avoid possibility of damage.

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- C. Temporary Facility Changeover: Do not change over from using temporary security and protection facilities to permanent facilities until Substantial Completion.
- D. Termination and Removal: Remove each temporary facility when need for its service has ended, when it has been replaced by authorized use of a permanent facility, or no later than Substantial Completion. Complete or, if necessary, restore permanent construction that may have been delayed because of interference with temporary facility. Repair damaged Work, clean exposed surfaces, and replace construction that cannot be satisfactorily repaired.
  - 1. Materials and facilities that constitute temporary facilities are property of Contractor. Owner reserves right to take possession of Project identification signs.
  - 2. Remove temporary roads and paved areas not intended for or acceptable for integration into permanent construction. Where area is intended for landscape development, remove soil and aggregate fill that do not comply with requirements for fill or subsoil. Remove materials contaminated with road oil, asphalt and other petrochemical compounds, and other substances that might impair growth of plant materials or lawns. Repair or replace street paving, curbs, and sidewalks at temporary entrances, as required by authorities having jurisdiction.
  - 3. At Substantial Completion, repair, renovate, and clean permanent facilities used during construction period. Comply with final cleaning requirements specified in Section 017700 "Closeout Procedures."

END OF SECTION

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SECTION 015639 - TEMPORARY TREE AND PLANT PROTECTION

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

- A. Section includes general protection and pruning of existing trees and plants that are affected by execution of the Work, whether temporary or permanent construction.
- B. Related Requirements:
  - 1. Section 015000 "Temporary Facilities and Controls" for temporary site fencing.
  - 2. Section 311000 "Site Clearing" for removing existing trees and shrubs.

1.3 DEFINITIONS

- A. Caliper: Diameter of a trunk measured by a diameter tape at a height 6 inches above the ground for trees up to and including 4-inch size at this height and as measured at a height of 12 inches above the ground for trees larger than 4-inch size.
- B. Plant-Protection Zone: Area surrounding individual trees, groups of trees, shrubs, or other vegetation to be protected to drip edge during construction and indicated on Drawings.
- C. Tree-Protection Zone: Area surrounding individual trees or groups of trees to be protected during construction and indicated on Drawings defined by a circle concentric with each tree with a radius 1.5 times the diameter of the drip line unless otherwise indicated.
- D. Vegetation: Trees, shrubs, groundcovers, grass, and other plants.

1.4 PREINSTALLATION MEETINGS

- A. Preinstallation Conference: Conduct conference at Project site.
  - 1. Review methods and procedures related to temporary tree and plant protection including, but not limited to, the following:
    - a. Tree-service firm's personnel, and equipment needed to make progress and avoid delays.
    - b. Arborist's responsibilities.
    - c. Quality-control program.

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- d. Coordination of Work and equipment movement with the locations of protection zones.
- e. Trenching by hand or with air spade within protection zones.
- f. Field quality control.
- g. Work on abutting property within temporary construction easement.

1.5 ACTION SUBMITTALS

- A. Submittals shall comply with the requirements of Section 013300 "Submittal Procedures" and the individual sections specifying the work.
- B. Product Data: For each type of product.
- C. Shop Drawings:
  1. Include plans, elevations, sections, and locations of protection-zone fencing and signage, showing relation of equipment-movement routes and material storage locations with protection zones.
  2. Detail fabrication and assembly of protection-zone fencing and signage.
  3. Indicate extent of trenching by hand or with air spade within protection zones.
- D. Samples: For each type of the following:
  1. Organic Mulch: 1-quart volume of organic mulch; in sealed plastic bags labeled with composition of materials by percentage of weight and source of mulch.
  2. Protection-Zone Fencing: Assembled Samples of manufacturer's standard size made from full-size components.
  3. Protection-Zone Signage: Full-size Samples of each size and text, ready for installation.
- E. Tree Pruning Schedule: Written schedule detailing scope and extent of pruning of trees to remain that interfere with or are affected by construction.
  1. Species and size of tree.
  2. Location on site plan. Include unique identifier for each.
  3. Reason for pruning.
  4. Description of pruning to be performed.
  5. Description of maintenance following pruning.

1.6 INFORMATIONAL SUBMITTALS

- A. Submittals shall comply with the requirements of Section 013300 "Submittal Procedures" and the individual sections specifying the work.
- B. Qualification Data: For arborist and tree service firm.
- C. Certification: From arborist, certifying that trees indicated to remain have been protected during construction according to recognized standards and that trees were promptly and properly treated and repaired when damaged.

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- D. Maintenance Recommendations: From arborist, for care and protection of trees affected by construction during and after completing the Work.
- E. Existing Conditions: Documentation of existing trees and plantings indicated to remain, which establishes preconstruction conditions that might be misconstrued as damage caused by construction activities.
  - 1. Use sufficiently detailed photographs or video recordings.
  - 2. Include plans and notations to indicate specific wounds and damage conditions of each tree or other plants designated to remain.
- F. Quality-control program.

1.7 QUALITY ASSURANCE

- A. Arborist Qualifications: Licensed arborist in jurisdiction where Project is located.
- B. Tree Service Firm Qualifications: An experienced tree service firm that has successfully completed temporary tree and plant protection work similar to that required for this Project and that will assign an experienced, qualified arborist to Project site during execution of the Work.
- C. Quality-Control Program: Prepare a written program to systematically demonstrate the ability of personnel to properly follow procedures and handle materials and equipment during the Work without damaging trees and plantings. Include dimensioned diagrams for placement of protection zone fencing and signage, the arborist's and tree-service firm's responsibilities, instructions given to workers on the use and care of protection zones, and enforcement of requirements for protection zones.

1.8 FIELD CONDITIONS

- A. The following practices are prohibited within protection zones:
  - 1. Storage of construction materials, debris, or excavated material.
  - 2. Moving or parking vehicles or equipment.
  - 3. Foot traffic.
  - 4. Erection of sheds or structures.
  - 5. Impoundment of water.
  - 6. Excavation or other digging unless otherwise indicated.
  - 7. Attachment of signs to or wrapping materials around trees or plants unless otherwise indicated.
- B. Do not direct vehicle or equipment exhaust toward protection zones.
- C. Prohibit heat sources, flames, ignition sources, and smoking within or near protection zones and organic mulch.

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PART 2 - PRODUCTS

2.1 MATERIALS

- A. Backfill Soil: Planting soil of suitable moisture content and granular texture for placing around tree; free of stones, roots, plants, sod, clods, clay lumps, pockets of coarse sand, concrete slurry, concrete layers or chunks, cement, plaster, building debris, and other extraneous materials harmful to plant growth.
  - 1. Planting Soil: Planting soil as specified in Section 329115 "Soil Preparation (Performance Specification)."
- B. Organic Mulch: Free from deleterious materials and suitable as a top dressing for trees and shrubs, consisting of one of the following:
  - 1. Type: Ground or shredded bark.
  - 2. Size Range: 3 inches maximum, 1/2 inch minimum.
  - 3. Color: Natural.
- C. Protection-Zone Fencing: Fencing fixed in position and meeting the following requirements:
  - 1. Plastic Protection-Zone Fencing: Plastic construction fencing constructed of high-density extruded and stretched polyethylene fabric with 2-inch maximum opening in pattern and weighing a minimum of 0.4 lb/ft.; remaining flexible from minus 60 to plus 200 deg F; inert to most chemicals and acids; minimum tensile yield strength of 2000 psi and ultimate tensile strength of 2680 psi; secured with plastic bands or galvanized-steel or stainless-steel wire ties; and supported by tubular or T-shape galvanized-steel posts spaced not more than 96 inches apart.
    - a. Height: 48 inches.
    - b. Color: High-visibility orange, nonfading.
  - 2. Gates: Single- swing access gates matching material and appearance of fencing, to allow for maintenance activities within protection zones; leaf width 24 inches minimum.

PART 3 - EXECUTION

3.1 EXAMINATION

- A. Erosion and Sedimentation Control: Examine the site to verify that temporary erosion- and sedimentation-control measures are in place. Verify that flows of water redirected from construction areas or generated by construction activity do not enter or cross protection zones.
- B. Prepare written report, endorsed by arborist, listing conditions detrimental to tree and plant protection.

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3.2 PREPARATION

- A. Locate and clearly identify trees, shrubs, and other vegetation to remain. Tie a 1-inch orange vinyl tape around each tree trunk at 54 inches above the ground.
- B. Protect tree root systems from damage caused by runoff or spillage of noxious materials while mixing, placing, or storing construction materials. Protect root systems from ponding, eroding, or excessive wetting caused by dewatering operations.
- C. Tree-Protection Zones: Mulch areas inside tree-protection zones and other areas indicated. Do not exceed indicated thickness of mulch.
  - 1. Apply 4-inch uniform thickness of organic mulch unless otherwise indicated. Do not place mulch within 6 inches of tree trunks.

3.3 PROTECTION ZONES

- A. Protection-Zone Fencing: Install protection-zone fencing along edges of protection zones before materials or equipment are brought on the site and construction operations begin in a manner that will prevent people from easily entering protected areas except by entrance gates. Construct fencing so as not to obstruct safe passage or visibility at vehicle intersections where fencing is located adjacent to pedestrian walkways or in close proximity to street intersections, drives, or other vehicular circulation.
  - 1. Posts: Set or drive posts into ground one-third the total height of the fence without concrete footings. Where a post is located on existing paving or concrete to remain, provide appropriate means of post support acceptable to Owner.
  - 2. Access Gates: Install at approved locations; adjust to operate smoothly, easily, and quietly; free of binding, warp, excessive deflection, distortion, nonalignment, misplacement, disruption, or malfunction throughout entire operational range. Confirm that latches and locks engage accurately and securely without forcing or binding.
- B. Maintain protection zones free of weeds and trash.
- C. Maintain protection-zone fencing in good condition as acceptable to Owner and remove when construction operations are complete and equipment has been removed from the site.
  - 1. Do not remove protection-zone fencing, even temporarily, to allow deliveries or equipment access through the protection zone.
  - 2. Temporary access is permitted subject to preapproval in writing by arborist if a root buffer effective against soil compaction is constructed as directed by arborist. Maintain root buffer so long as access is permitted.

3.4 EXCAVATION

- A. General: Excavate at edge of protection zones and for trenches indicated within protection zones according to requirements in Section 312000 "Earth Moving" unless otherwise indicated.

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- B. Trenching within Protection Zones: Where utility trenches are required within protection zones, excavate under or around tree roots by hand or with air spade, or tunnel under the roots by drilling, auger boring, or pipe jacking. Do not cut main lateral tree roots or taproots; cut only smaller roots that interfere with installation of utilities. Cut roots as required for root pruning. If excavating by hand, use narrow-tine spading forks to comb soil and expose roots.
- C. Redirect roots in backfill areas where possible. If encountering large, main lateral roots, expose roots beyond excavation limits as required to bend and redirect them without breaking. If encountered immediately adjacent to location of new construction and redirection is not practical, cut roots approximately 3 inches back from new construction and as required for root pruning.
- D. Do not allow exposed roots to dry out before placing permanent backfill. Provide temporary earth cover or pack with peat moss and wrap with burlap. Water and maintain in a moist condition. Temporarily support and protect roots from damage until they are permanently relocated and covered with soil.

3.5 ROOT PRUNING

- A. Prune tree roots that are affected by temporary and permanent construction. Prune roots as follows:
  - 1. Cut roots manually by digging a trench and cutting exposed roots with sharp pruning instruments; do not break, tear, chop, or slant the cuts. Do not use a backhoe or other equipment that rips, tears, or pulls roots.
  - 2. Temporarily support and protect roots from damage until they are permanently redirected and covered with soil.
  - 3. Cover exposed roots with burlap and water regularly.
  - 4. Backfill as soon as possible according to requirements in Section 312000 "Earth Moving."

3.6 CROWN PRUNING

- A. Prune branches that are affected by temporary and permanent construction. Prune branches within 15 feet of the building and/or as directed by arborist.
  - 1. Prune to remove only injured, broken, dying, or dead branches unless otherwise indicated. Do not prune for shape unless otherwise indicated.
  - 2. Do not remove or reduce living branches to compensate for root loss caused by damaging or cutting root system.
  - 3. Pruning Standards: Prune trees according to ANSI A300 (Part 1).
    - a. Type of Pruning: Remove branches within 15 feet of Annex building designated by Arborist.
- B. Unless otherwise directed by arborist and acceptable to Owner, do not cut tree leaders.
- C. Cut branches with sharp pruning instruments; do not break or chop.

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- D. Do not paint or apply sealants to wounds.
- E. Provide subsequent maintenance pruning during Contract period as recommended by arborist.
- F. Chip removed branches and dispose of off-site.

3.7 REGRADING

- A. Lowering Grade: Where new finish grade is indicated below existing grade around trees, slope grade beyond the protection zone. Maintain existing grades within the protection zone.
- B. Lowering Grade within Protection Zone: Where new finish grade is indicated below existing grade around trees, slope grade away from trees as recommended by arborist unless otherwise indicated.
  - 1. Root Pruning: Prune tree roots exposed by lowering the grade. Do not cut main lateral roots or taproots; cut only smaller roots. Cut roots as required for root pruning.
- C. Raising Grade: Where new finish grade is indicated above existing grade around trees, slope grade beyond the protection zone. Maintain existing grades within the protection zone.
- D. Minor Fill within Protection Zone: Where existing grade is 2 inches or less below elevation of finish grade, fill with backfill soil. Place backfill soil in a single uncompacted layer and hand grade to required finish elevations.

3.8 FIELD QUALITY CONTROL

- A. Inspections: Engage a qualified arborist to direct plant-protection measures in the vicinity of trees, shrubs, and other vegetation indicated to remain and to prepare inspection reports.

3.9 REPAIR AND REPLACEMENT

- A. General: Repair or replace trees, shrubs, and other vegetation indicated to remain or to be relocated that are damaged by construction operations, in a manner approved by Owner.
  - 1. Submit details of proposed pruning and repairs.
  - 2. Perform repairs of damaged trunks, branches, and roots within 24 hours according to arborist's written instructions.
  - 3. Replace trees and other plants that cannot be repaired and restored to full-growth status, as determined by Owner.
- B. Trees: Remove and replace trees indicated to remain that are more than 25 percent dead or in an unhealthy condition or are damaged during construction operations that Owner determines are incapable of restoring to normal growth pattern.
- C. Excess Mulch: Rake mulched area within protection zones, being careful not to injure roots. Rake to loosen and remove mulch that exceeds a 4-inch uniform thickness to remain.

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3.10 DISPOSAL OF SURPLUS AND WASTE MATERIALS

- A. Disposal: Remove excess excavated material, displaced trees, trash, and debris and legally dispose of them off Owner's property.

END OF SECTION

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SECTION 016000 - PRODUCT REQUIREMENTS

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and other Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

- A. Section includes administrative and procedural requirements for selection of products for use in Project; product delivery, storage, and handling; manufacturers' standard warranties on products; special warranties; and comparable products.
- B. Related Requirements:
  - 1. Section 012500 "Substitution Procedures" for requests for substitutions.

1.3 DEFINITIONS

- A. Products: Items obtained for incorporating into the Work, whether purchased for Project or taken from previously purchased stock. The term "product" includes the terms "material," "equipment," "system," and terms of similar intent.
  - 1. Named Products: Items identified by manufacturer's product name, including make or model number or other designation shown or listed in manufacturer's published product literature that is current as of date of the Contract Documents.
  - 2. New Products: Items that have not previously been incorporated into another project or facility. Products salvaged or recycled from other projects are not considered new products.
  - 3. Equivalent or comparable Product: Product that is demonstrated and approved by Architect through submittal process to have the indicated qualities related to type, function, dimension, in-service performance, physical properties, appearance, and other characteristics that equal or exceed those of specified product.
- B. Basis-of-Design Product Specification: A specification in which a single manufacturer's product is named and accompanied by the words "basis-of-design product," including make or model number or other designation. In addition to the basis-of-design product description, product attributes and characteristics may be listed to establish the significant qualities related to type, function, in-service performance and physical properties, weight, dimension, durability, visual characteristics, and other special features and requirements for purposes of evaluating comparable products of additional manufacturers named in the specification.
- C. Subject to Compliance with Requirements: Where the phrase "Subject to compliance with requirements" introduces a product selection procedure in an individual Specification Section, provide products qualified under the specified product procedure. In the event that a named

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product or product by a named manufacturer does not meet the other requirements of the specifications, select another named product or product from another named manufacturer that does meet the requirements of the specifications. Submit a comparable product request, if applicable.

1.4 ACTION SUBMITTALS

- A. Submittals shall comply with the requirements of Section 013300 "Submittal Procedures" and the individual sections specifying the work.
- B. Equivalent or comparable Product Request Submittal: Submit request for consideration of each comparable product. Identify basis-of-design product or fabrication or installation method to be replaced. Include Specification Section number and title and Drawing numbers and titles.
  - 1. Include data to indicate compliance with the requirements specified in "Equivalent or Comparable Products" Article.
  - 2. Architect's Action: If necessary, Architect will request additional information or documentation for evaluation within seven days of receipt of a comparable product request. Architect will notify Contractor of approval or rejection of proposed equivalent or comparable product request within 15 days of receipt of request, or seven days of receipt of additional information or documentation, whichever is later.
    - a. Form of Architect's Approval of Submittal: As specified in Section 013300 "Submittal Procedures."
- C. Basis-of-Design Product Specification Submittal: Comply with requirements in Section 013300 "Submittal Procedures." Show compliance with requirements.

1.5 QUALITY ASSURANCE

- A. Compatibility of Options: If Contractor is given option of selecting between two or more products for use on Project, select product compatible with products previously selected, even if previously selected products were also options.
  - 1. Each contractor is responsible for providing products and construction methods compatible with products and construction methods of other contractors.
  - 2. If a dispute arises between contractors over concurrently selectable but incompatible products, Architect will determine which products shall be used.
- B. Identification of Products: Except for required labels and operating data, do not attach or imprint manufacturer or product names or trademarks on exposed surfaces of products or equipment that will be exposed to view in occupied spaces or on the exterior.
  - 1. Labels: Locate required product labels and stamps on a concealed surface, or, where required for observation following installation, on a visually accessible surface that is not conspicuous.
  - 2. Equipment Nameplates: Provide a permanent nameplate on each item of service-connected or power-operated equipment. Locate on a visually accessible but

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inconspicuous surface. Include information essential for operation, including the following:

- a. Name of product and manufacturer.
  - b. Model and serial number.
  - c. Capacity.
  - d. Speed.
  - e. Ratings.
3. See individual identification sections in Divisions 21, 22, 23, and 26 for additional identification requirements.

1.6 PRODUCT DELIVERY, STORAGE, AND HANDLING

- A. Deliver, store, and handle products using means and methods that will prevent damage, deterioration, and loss, including theft and vandalism. Comply with manufacturer's written instructions.
- B. Delivery and Handling:
1. Schedule delivery to minimize long-term storage at Project site and to prevent overcrowding of construction spaces.
  2. Coordinate delivery with installation time to ensure minimum holding time for items that are flammable, hazardous, easily damaged, or sensitive to deterioration, theft, and other losses.
  3. Deliver products to Project site in an undamaged condition in manufacturer's original sealed container or other packaging system, complete with labels and instructions for handling, storing, unpacking, protecting, and installing.
  4. Inspect products on delivery to determine compliance with the Contract Documents and to determine that products are undamaged and properly protected.
- C. Storage:
1. Store products to allow for inspection and measurement of quantity or counting of units.
  2. Store materials in a manner that will not endanger Project structure.
  3. Store products that are subject to damage by the elements, under cover in a weathertight enclosure above ground, with ventilation adequate to prevent condensation.
  4. Protect foam plastic from exposure to sunlight, except to extent necessary for period of installation and concealment.
  5. Comply with product manufacturer's written instructions for temperature, humidity, ventilation, and weather-protection requirements for storage.
  6. Protect stored products from damage and liquids from freezing.
  7. Provide a secure location and enclosure at Project site for storage of materials and equipment by Owner's construction forces. Coordinate location with Owner.

1.7 PRODUCT WARRANTIES

- A. Warranties specified in other Sections shall be in addition to, and run concurrent with, other warranties required by the Contract Documents. Manufacturer's disclaimers and limitations on

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product warranties do not relieve Contractor of obligations under requirements of the Contract Documents.

1. Manufacturer's Warranty: Written warranty furnished by individual manufacturer for a particular product and specifically endorsed by manufacturer to Owner.
  2. Special Warranty: Written warranty required by the Contract Documents to provide specific rights for Owner.
- B. Special Warranties: Prepare a written document that contains appropriate terms and identification, ready for execution.
1. Manufacturer's Standard Form: Modified to include Project-specific information and properly executed.
  2. Specified Form: When specified forms are included with the Specifications, prepare a written document using indicated form properly executed.
  3. See other Sections for specific content requirements and particular requirements for submitting special warranties.
- C. Submittal Time: Comply with requirements in Section 017700 "Closeout Procedures."

## PART 2 - PRODUCTS

### 2.1 PRODUCT SELECTION PROCEDURES

- A. General Product Requirements: Provide products that comply with the Contract Documents, are undamaged and, unless otherwise indicated, are new at time of installation.
1. Provide products complete with accessories, trim, finish, fasteners, and other items needed for a complete installation and indicated use and effect.
  2. Standard Products: If available, and unless custom products or nonstandard options are specified, provide standard products of types that have been produced and used successfully in similar situations on other projects.
  3. Owner reserves the right to limit selection to products with warranties meeting requirements of the Contract Documents.
  4. Where products are accompanied by the term "as selected," Owner will make selection.
  5. Descriptive, performance, and reference standard requirements in the Specifications establish salient characteristics of products.
  6. Or Equal: For products specified by name and accompanied by the term "or equal," or "or approved equal," or "or approved," comply with requirements in "Equivalent or Comparable Products" Article to obtain approval for use of an unnamed product.
    - a. Submit additional documentation required by Owner in order to establish equivalency of proposed products. Evaluation of "or equal" product status is by the Owner, whose determination is final.
- B. Product Selection Procedures:
1. Sole Product: Where Specifications name a single manufacturer and product, provide the named product that complies with requirements or approved equal.

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- a. Sole product may be indicated by the phrase: "Subject to compliance with requirements, provide the following: ..."
2. Sole Manufacturer/Source: Where Specifications name a single manufacturer or source, provide a product by the named manufacturer or source that complies with requirements, or approved equal.
  - a. Sole manufacturer/source may be indicated by the phrase: "Subject to compliance with requirements, provide products by the following: ..."
3. Limited List of Products: Where Specifications include a list of names of both manufacturers and products, provide one of the products listed that complies with requirements. Comparable products or substitutions for Contractor's convenience will not be considered unless otherwise indicated.
  - a. Limited list of products may be indicated by the phrase: "Subject to compliance with requirements, provide one of the following: ..."
4. Non-Limited List of Products: Where Specifications include a list of names of both available manufacturers and products, provide one of the products listed, or an unnamed product, which complies with requirements.
  - a. Non-limited list of products is indicated by the phrase: "Subject to compliance with requirements, available products that may be incorporated in the Work include, but are not limited to, the following: ..."
5. Limited List of Manufacturers: Where Specifications include a list of manufacturers' names, provide a product by one of the manufacturers listed that complies with requirements. Comparable products or substitutions for Contractor's convenience will not be considered unless otherwise indicated.
  - a. Limited list of manufacturers is indicated by the phrase: "Subject to compliance with requirements, provide products by one of the following: ..."
6. Non-Limited List of Manufacturers: Where Specifications include a list of available manufacturers, provide a product by one of the manufacturers listed, or a product by an unnamed manufacturer, which complies with requirements.
  - a. Non-limited list of manufacturers is indicated by the phrase: "Subject to compliance with requirements, available manufacturers whose products may be incorporated in the Work include, but are not limited to, the following: ..."
7. Basis-of-Design Product: Where Specifications name a product, or refer to a product indicated on Drawings, and include a list of manufacturers, provide the specified or indicated product or a comparable product by one of the other named manufacturers. Drawings and Specifications indicate sizes, profiles, dimensions, and other characteristics that are based on the product named. Comply with requirements in "Comparable Products" Article for consideration of an unnamed product by one of the other named manufacturers.

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- a. For approval of products by unnamed manufacturers, comply with requirements in Section 012500 "Substitution Procedures" for substitutions for convenience.
- C. Visual Selection Specification: Where Specifications include the phrase "as selected by Architect from manufacturer's full range" or similar phrase, select a product that complies with requirements. Architect will select color, gloss, pattern, density, or texture from manufacturer's product line that includes both standard and premium items.

## 2.2 EQUIVALENT OR COMPARABLE PRODUCTS

- A. Conditions for Consideration of Equivalent or Comparable Products: Architect will consider Contractor's request for equivalent or comparable product when the following conditions are satisfied. If the following conditions are not satisfied, Architect may return requests without action, except to record noncompliance with these requirements:
  1. Evidence that proposed product does not require revisions to the Contract Documents, is consistent with the Contract Documents, will produce the indicated results, and is compatible with other portions of the Work. Detailed comparison of significant qualities of proposed product with those named in the Specifications. Significant product qualities include attributes such as type, function, in-service performance and physical properties, weight, dimension, durability, visual characteristics, and other specific features and requirements.
  2. Evidence that proposed product provides specified warranty.
  3. List of similar installations for completed projects with project names and addresses and names and addresses of architects and owners, if requested.
  4. Samples, if requested.
- B. Submittal Requirements: Approval by the Architect of Contractor's request for use of equivalent or comparable product is not intended to satisfy other submittal requirements. Comply with specified submittal requirements.

## PART 3 - EXECUTION (Not Used)

END OF SECTION

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SECTION 017300 - EXECUTION

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and other Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

- A. Section includes general administrative and procedural requirements governing execution of the Work including, but not limited to, the following:

1. Construction layout.
2. Installation of the Work.
3. Cutting and patching.
4. Progress cleaning.
5. Starting and adjusting.
6. Protection of installed construction.

- B. Related Requirements:

1. Section 011000 "Summary" for limits on use of Project site.
2. Section 013300 "Submittal Procedures" for submitting surveys.
3. Section 017700 "Closeout Procedures" for submitting final property survey with Project Record Documents, recording of Owner-accepted deviations from indicated lines and levels, replacing defective work, and final cleaning.
4. Section 078413 "Penetration Firestopping" for patching penetrations in fire-rated construction.
5. Section 311000 "Site Clearing" for disconnecting existing utilities.

1.3 DEFINITIONS

- A. Cutting: Removal of in-place construction necessary to permit installation or performance of subsequent work.
- B. Patching: Fitting and repair work required to restore construction to original conditions after installation of subsequent work.

1.4 QUALITY ASSURANCE

- A. Land Surveyor Qualifications: A professional land surveyor who is legally qualified to practice in jurisdiction where Project is located and who is experienced in providing land-surveying services of the kind indicated.

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- B. Cutting and Patching: Comply with requirements for and limitations on cutting and patching of construction elements.
1. Structural Elements: When cutting and patching structural elements, notify Architect of locations and details of cutting and await directions from Architect before proceeding. Shore, brace, and support structural elements during cutting and patching. Do not cut and patch structural elements in a manner that could change their load-carrying capacity or increase deflection.
  2. Operational Elements: Do not cut and patch operating elements and related components in a manner that results in reducing their capacity to perform as intended or that results in increased maintenance or decreased operational life or safety. Operational elements include the following:
    - a. Primary operational systems and equipment.
    - b. Fire separation assemblies.
    - c. Air or smoke barriers.
    - d. Fire-suppression systems.
    - e. Plumbing piping systems.
    - f. Mechanical systems piping and ducts.
    - g. Control systems.
    - h. Communication systems.
    - i. Fire-detection and -alarm systems.
    - j. Conveying systems.
    - k. Electrical wiring systems.
    - l. Operating systems of special construction.
  3. Other Construction Elements: Do not cut and patch other construction elements or components in a manner that could change their load-carrying capacity, that results in reducing their capacity to perform as intended, or that results in increased maintenance or decreased operational life or safety. Other construction elements include but are not limited to the following:
    - a. Water, moisture, or vapor barriers.
    - b. Membranes and flashings.
    - c. Equipment supports.
    - d. Piping, ductwork, vessels, and equipment.
    - e. Noise- and vibration-control elements and systems.
  4. Visual Elements: Do not cut and patch construction in a manner that results in visual evidence of cutting and patching. Do not cut and patch exposed construction in a manner that would, in Architect's opinion, reduce the building's aesthetic qualities. Remove and replace construction that has been cut and patched in a visually unsatisfactory manner.
- C. Manufacturer's Installation Instructions: Obtain and maintain on-site manufacturer's written recommendations and instructions for installation of products and equipment.

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PART 2 - PRODUCTS

2.1 MATERIALS

- A. General: Comply with requirements specified in other Sections.
  - 1. For projects requiring compliance with sustainable design and construction practices and procedures, use products for patching that comply with sustainable design requirements.
- B. In-Place Materials: Use materials for patching identical to in-place materials. For exposed surfaces, use materials that visually match in-place adjacent surfaces to the fullest extent possible.
  - 1. If identical materials are unavailable or cannot be used, use materials that, when installed, will provide a match acceptable to Architect for the visual and functional performance of in-place materials.

PART 3 - EXECUTION

3.1 EXAMINATION

- A. Existing Conditions: The existence and location of underground and other utilities and construction indicated as existing are not guaranteed. Before beginning sitework, investigate and verify the existence and location of underground utilities, mechanical and electrical systems, and other construction affecting the Work.
  - 1. Before construction, verify the location and invert elevation at points of connection of sanitary sewer, storm sewer, and water-service piping; underground electrical services; and other utilities.
  - 2. Furnish location data for work related to Project that must be performed by public utilities serving Project site.
- B. Examination and Acceptance of Conditions: Before proceeding with each component of the Work, examine substrates, areas, and conditions, with Installer or Applicator present where indicated, for compliance with requirements for installation tolerances and other conditions affecting performance. Record observations.
  - 1. Examine roughing-in for mechanical and electrical systems to verify actual locations of connections before equipment and fixture installation.
  - 2. Examine walls, floors, and roofs for suitable conditions where products and systems are to be installed.
  - 3. Verify compatibility with and suitability of substrates, including compatibility with existing finishes or primers.
- C. Written Report: Where a written report listing conditions detrimental to performance of the Work is required by other Sections, include the following:
  - 1. Description of the Work.

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2. List of detrimental conditions, including substrates.
  3. List of unacceptable installation tolerances.
  4. Recommended corrections.
- D. Proceed with installation only after unsatisfactory conditions have been corrected. Proceeding with the Work indicates acceptance of surfaces and conditions.

3.2 PREPARATION

- A. Existing Utility Information: Furnish information to the local utility and Owner that is necessary to adjust, move, or relocate existing utility structures, utility poles, lines, services, or other utility appurtenances located in or affected by construction. Coordinate with authorities having jurisdiction.
- B. Field Measurements: Take field measurements as required to fit the Work properly. Recheck measurements before installing each product. Where portions of the Work are indicated to fit to other construction, verify dimensions of other construction by field measurements before fabrication. Coordinate fabrication schedule with construction progress to avoid delaying the Work.
- C. Space Requirements: Verify space requirements and dimensions of items shown diagrammatically on Drawings.
- D. Review of Contract Documents and Field Conditions: Immediately on discovery of the need for clarification of the Contract Documents caused by differing field conditions outside the control of Contractor, submit a request for information to Architect according to requirements in Section 013100 "Project Management and Coordination."

3.3 CONSTRUCTION LAYOUT

- A. Verification: Before proceeding to lay out the Work, verify layout information shown on Drawings, in relation to the property survey and existing benchmarks. If discrepancies are discovered, notify Architect and Owner promptly.
- B. General: Engage a land surveyor to lay out the Work using accepted surveying practices.
1. Establish benchmarks and control points to set lines and levels at each story of construction and elsewhere as needed to locate each element of Project.
  2. Establish limits on use of Project site.
  3. Establish dimensions within tolerances indicated. Do not scale Drawings to obtain required dimensions.
  4. Inform installers of lines and levels to which they must comply.
  5. Check the location, level and plumb, of every major element as the Work progresses.
  6. Notify Architect when deviations from required lines and levels exceed allowable tolerances.
  7. Close site surveys with an error of closure equal to or less than the standard established by authorities having jurisdiction.

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- C. Site Improvements: Locate and lay out site improvements, including pavements, grading, fill and topsoil placement, utility slopes, and rim and invert elevations.
- D. Building Lines and Levels: Locate and lay out control lines and levels for structures, building foundations, column grids, and floor levels, including those required for mechanical and electrical work. Transfer survey markings and elevations for use with control lines and levels. Level foundations and piers from two or more locations.
- E. Record Log: Maintain a log of layout control work. Record deviations from required lines and levels. Include beginning and ending dates and times of surveys, weather conditions, name and duty of each survey party member, and types of instruments and tapes used. Make the log available for reference by Architect.

3.4 FIELD ENGINEERING

- A. Identification: Owner will identify existing benchmarks, control points, and property corners.
- B. Reference Points: Locate existing permanent benchmarks, control points, and similar reference points before beginning the Work. Preserve and protect permanent benchmarks and control points during construction operations.
  - 1. Do not change or relocate existing benchmarks or control points without prior written approval of Architect. Report lost or destroyed permanent benchmarks or control points promptly. Report the need to relocate permanent benchmarks or control points to Owner before proceeding.
  - 2. Replace lost or destroyed permanent benchmarks and control points promptly. Base replacements on the original survey control points.
- C. Benchmarks: Establish and maintain a minimum of two permanent benchmarks on Project site, referenced to data established by survey control points. Comply with authorities having jurisdiction for type and size of benchmark.
  - 1. Record benchmark locations, with horizontal and vertical data, on Project Record Documents.
  - 2. Where the actual location or elevation of layout points cannot be marked, provide temporary reference points sufficient to locate the Work.
  - 3. Remove temporary reference points when no longer needed. Restore marked construction to its original condition.
- D. Certified Survey: On completion of foundation walls, major site improvements, and other work requiring field-engineering services, prepare a certified survey showing dimensions, locations, angles, and elevations of construction and sitework.

3.5 INSTALLATION

- A. General: Locate the Work and components of the Work accurately, in correct alignment and elevation, as indicated.
  - 1. Make vertical work plumb and make horizontal work level.

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2. Where space is limited, install components to maximize space available for maintenance and ease of removal for replacement.
  3. Conceal pipes, ducts, and wiring in finished areas unless otherwise indicated.
  4. Maintain minimum headroom clearance of 96 inches in occupied spaces and 90 inches in unoccupied spaces.
- B. Comply with manufacturer's written instructions and recommendations for installing products in applications indicated.
- C. Install products at the time and under conditions that will ensure the best possible results. Maintain conditions required for product performance until Substantial Completion.
- D. Conduct construction operations so no part of the Work is subjected to damaging operations or loading in excess of that expected during normal conditions of occupancy.
- E. Sequence the Work and allow adequate clearances to accommodate movement of construction items on site and placement in permanent locations.
- F. Tools and Equipment: Where possible, select tools or equipment that minimize production of excessive noise levels.
- G. Templates: Obtain and distribute to the parties involved templates for work specified to be factory prepared and field installed. Check Shop Drawings of other portions of the Work to confirm that adequate provisions are made for locating and installing products to comply with indicated requirements.
- H. Attachment: Provide blocking and attachment plates and anchors and fasteners of adequate size and number to securely anchor each component in place, accurately located and aligned with other portions of the Work. Where size and type of attachments are not indicated, verify size and type required for load conditions.
1. Mounting Heights: Where mounting heights are not indicated, mount components at heights directed by Architect.
  2. Allow for building movement, including thermal expansion and contraction.
  3. Coordinate installation of anchorages. Furnish setting drawings, templates, and directions for installing anchorages, including sleeves, concrete inserts, anchor bolts, and items with integral anchors, that are to be embedded in concrete or masonry. Deliver such items to Project site in time for installation.
- I. Joints: Make joints of uniform width. Where joint locations in exposed work are not indicated, arrange joints for the best visual effect. Fit exposed connections together to form hairline joints.
- J. Repair or remove and replace damaged, defective, or nonconforming Work.
1. Comply with Section 017700 "Closeout Procedures" for repairing or removing and replacing defective Work.

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3.6 CUTTING AND PATCHING

- A. Cutting and Patching, General: Employ skilled workers to perform cutting and patching. Proceed with cutting and patching at the earliest feasible time, and complete without delay.
  - 1. Cut in-place construction to provide for installation of other components or performance of other construction, and subsequently patch as required to restore surfaces to their original condition.
- B. Existing Warranties: Remove, replace, patch, and repair materials and surfaces cut or damaged during installation or cutting and patching operations, by methods and with materials so as not to void existing warranties.
- C. Temporary Support: Provide temporary support of work to be cut.
- D. Protection: Protect in-place construction during cutting and patching to prevent damage. Provide protection from adverse weather conditions for portions of Project that might be exposed during cutting and patching operations.
- E. Adjacent Occupied Areas: Where interference with use of adjoining areas or interruption of free passage to adjoining areas is unavoidable, coordinate cutting and patching according to requirements in Section 011000 "Summary."
- F. Existing Utility Services and Mechanical/Electrical Systems: Where existing services/systems are required to be removed, relocated, or abandoned, bypass such services/systems before cutting to minimize interruption to occupied areas.
- G. Cutting: Cut in-place construction by sawing, drilling, breaking, chipping, grinding, and similar operations, including excavation, using methods least likely to damage elements retained or adjoining construction. If possible, review proposed procedures with original Installer; comply with original Installer's written recommendations.
  - 1. In general, use hand or small power tools designed for sawing and grinding, not hammering and chopping. Cut holes and slots neatly to minimum size required, and with minimum disturbance of adjacent surfaces. Temporarily cover openings when not in use.
  - 2. Finished Surfaces: Cut or drill from the exposed or finished side into concealed surfaces.
  - 3. Concrete and Masonry: Cut using a cutting machine, such as an abrasive saw or a diamond-core drill.
  - 4. Excavating and Backfilling: Comply with requirements in applicable Sections where required by cutting and patching operations.
  - 5. Mechanical and Electrical Services: Cut off pipe or conduit in walls or partitions to be removed. Cap, valve, or plug and seal remaining portion of pipe or conduit to prevent entrance of moisture or other foreign matter after cutting.
  - 6. Proceed with patching after construction operations requiring cutting are complete.
- H. Patching: Patch construction by filling, repairing, refinishing, closing up, and similar operations following performance of other work. Patch with durable seams that are as invisible as practicable. Provide materials and comply with installation requirements specified in other Sections, where applicable.

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1. Inspection: Where feasible, test and inspect patched areas after completion to demonstrate physical integrity of installation.
  2. Exposed Finishes: Restore exposed finishes of patched areas and extend finish restoration into retained adjoining construction in a manner that will minimize evidence of patching and refinishing.
    - a. Clean piping, conduit, and similar features before applying paint or other finishing materials.
    - b. Restore damaged pipe covering to its original condition.
  3. Floors and Walls: Where walls or partitions that are removed extend one finished area into another, patch and repair floor and wall surfaces in the new space. Provide an even surface of uniform finish, color, texture, and appearance. Remove in-place floor and wall coverings and replace with new materials, if necessary, to achieve uniform color and appearance.
    - a. Where patching occurs in a painted surface, prepare substrate and apply primer and intermediate paint coats appropriate for substrate over the patch, and apply final paint coat over entire unbroken surface containing the patch. Provide additional coats until patch blends with adjacent surfaces.
  4. Ceilings: Patch, repair, or rehang in-place ceilings as necessary to provide an even-plane surface of uniform appearance.
  5. Exterior Building Enclosure: Patch components in a manner that restores enclosure to a weathertight condition and ensures thermal and moisture integrity of building enclosure.
- I. Cleaning: Clean areas and spaces where cutting and patching are performed. Remove paint, mortar, oils, putty, and similar materials from adjacent finished surfaces.

3.7 OWNER-INSTALLED PRODUCTS

- A. Coordination: Coordinate construction and operations of the Work with work performed by Owner's construction personnel.
1. Construction Schedule: Inform Owner of Contractor's preferred construction schedule for Owner's portion of the Work. Adjust construction schedule based on a mutually agreeable timetable. Notify Owner if changes to schedule are required due to differences in actual construction progress.
  2. Preinstallation Conferences: Include Owner's construction personnel at preinstallation conferences covering portions of the Work that are to receive Owner's work. Attend preinstallation conferences conducted by Owner's construction personnel if portions of the Work depend on Owner's construction.

3.8 PROGRESS CLEANING

- A. General: Clean Project site and work areas daily, including common areas. Enforce requirements strictly. Dispose of materials lawfully.

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1. Comply with requirements in NFPA 241 for removal of combustible waste materials and debris.
  2. Do not hold waste materials more than seven days during normal weather or three days if the temperature is expected to rise above 80 deg F.
  3. Containerize hazardous and unsanitary waste materials separately from other waste. Mark containers appropriately and dispose of legally, according to regulations.
    - a. Use containers intended for holding waste materials of type to be stored.
  4. Coordinate progress cleaning for joint-use areas where Contractor and other contractors are working concurrently.
- B. Site: Maintain Project site free of waste materials and debris.
- C. Work Areas: Clean areas where work is in progress to the level of cleanliness necessary for proper execution of the Work.
1. Remove liquid spills promptly.
  2. Where dust would impair proper execution of the Work, broom-clean or vacuum the entire work area, as appropriate.
- D. Installed Work: Keep installed work clean. Clean installed surfaces according to written instructions of manufacturer or fabricator of product installed, using only cleaning materials specifically recommended. If specific cleaning materials are not recommended, use cleaning materials that are not hazardous to health or property and that will not damage exposed surfaces.
- E. Concealed Spaces: Remove debris from concealed spaces before enclosing the space.
- F. Exposed Surfaces in Finished Areas: Clean exposed surfaces and protect as necessary to ensure freedom from damage and deterioration at time of Substantial Completion.
- G. Waste Disposal: Do not bury or burn waste materials on-site. Do not wash waste materials down sewers or into waterways. Comply with waste disposal requirements in Section 015000 "Temporary Facilities and Controls."
- H. During handling and installation, clean and protect construction in progress and adjoining materials already in place. Apply protective covering where required to ensure protection from damage or deterioration at Substantial Completion.
- I. Clean and provide maintenance on completed construction as frequently as necessary through the remainder of the construction period. Adjust and lubricate operable components to ensure operability without damaging effects.
- J. Limiting Exposures: Supervise construction operations to ensure that no part of the construction, completed or in progress, is subject to harmful, dangerous, damaging, or otherwise deleterious exposure during the construction period.

### 3.9 STARTING AND ADJUSTING

- A. Start equipment and operating components to confirm proper operation. Remove malfunctioning units, replace with new units, and retest.

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- B. Adjust equipment for proper operation. Adjust operating components for proper operation without binding.
- C. Test each piece of equipment to verify proper operation. Test and adjust controls and safeties. Replace damaged and malfunctioning controls and equipment.
- D. Manufacturer's Field Service: Comply with qualification requirements in Section 014000 "Quality Requirements."

3.10 PROTECTION OF INSTALLED CONSTRUCTION

- A. Provide final protection and maintain conditions that ensure installed Work is without damage or deterioration at time of Substantial Completion.
- B. Protection of Existing Items: Provide protection and ensure that existing items to remain undisturbed by construction are maintained in condition that existed at commencement of the Work.
- C. Comply with manufacturer's written instructions for temperature and relative humidity.

END OF SECTION

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SECTION 017419 - CONSTRUCTION WASTE MANAGEMENT AND DISPOSAL

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and other Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

- A. Section includes administrative and procedural requirements for the following:
  - 1. Salvaging nonhazardous demolition and construction waste.
  - 2. Recycling nonhazardous demolition and construction waste.
  - 3. Disposing of nonhazardous demolition and construction waste.
- B. Related Requirements:
  - 1. Section 311000 "Site Clearing" for disposition of waste resulting from site clearing and removal of above- and below-grade improvements.

1.3 DEFINITIONS

- A. Construction Waste: Building and site improvement materials and other solid waste resulting from construction, remodeling, renovation, or repair operations. Construction waste includes packaging.
- B. Demolition Waste: Building and site improvement materials resulting from demolition or selective demolition operations.
- C. Disposal: Removal off-site of demolition and construction waste and subsequent sale, recycling, reuse, or deposit in landfill or incinerator acceptable to authorities having jurisdiction.
- D. Recycle: Recovery of demolition or construction waste for subsequent processing in preparation for reuse.
- E. Salvage: Recovery of demolition or construction waste and subsequent sale or reuse in another facility.
- F. Salvage and Reuse: Recovery of demolition or construction waste and subsequent incorporation into the Work.

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1.4 PERFORMANCE REQUIREMENTS

- A. General: Achieve end-of-Project rates for salvage/recycling of 50 percent by weight of total non-hazardous solid waste generated by the Work. Practice efficient waste management in the use of materials in the course of the Work. Use all reasonable means to divert construction and demolition waste from landfills and incinerators. Facilitate recycling and salvage of materials.

1.5 ACTION SUBMITTALS

- A. Submittals shall comply with the requirements of Section 013300 “Submittal Procedures” and the individual sections specifying the work.
- B. Waste Management Plan: Submit plan within 30 days of date established for the Notice of Award.

1.6 INFORMATIONAL SUBMITTALS

- A. Submittals shall comply with the requirements of Section 013300 “Submittal Procedures” and the individual sections specifying the work.
- B. Waste Reduction Progress Reports: Concurrent with each Application for Payment, submit report. Use Form CWM-7 for construction waste and Form CWM-8 for demolition waste. Include the following information:
  - 1. Material category.
  - 2. Generation point of waste.
  - 3. Total quantity of waste in tons.
  - 4. Quantity of waste salvaged, both estimated and actual in tons.
  - 5. Quantity of waste recycled, both estimated and actual in tons.
  - 6. Total quantity of waste recovered (salvaged plus recycled) in tons.
  - 7. Total quantity of waste recovered (salvaged plus recycled) as a percentage of total waste.
- C. Waste Reduction Calculations: Before request for Substantial Completion, submit calculated end-of-Project rates for salvage, recycling, and disposal as a percentage of total waste generated by the Work.
- D. Recycling and Processing Facility Records: Indicate receipt and acceptance of recyclable waste by recycling and processing facilities licensed to accept them. Include manifests, weight tickets, receipts, and invoices.
- E. Landfill and Incinerator Disposal Records: Indicate receipt and acceptance of waste by landfills and incinerator facilities licensed to accept them. Include manifests, weight tickets, receipts, and invoices.
- F. Qualification Data: For waste management coordinator.

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1.7 QUALITY ASSURANCE

- A. Regulatory Requirements: Comply with hauling and disposal regulations of authorities having jurisdiction.
- B. Waste Management Conference: Conduct conference at Project site to comply with requirements in Section 013100 "Project Management and Coordination." Review methods and procedures related to waste management including, but not limited to, the following:
  - 1. Review and discuss waste management plan.
  - 2. Review requirements for documenting quantities of each type of waste and its disposition.
  - 3. Review and finalize procedures for materials separation and verify availability of containers and bins needed to avoid delays.
  - 4. Review procedures for periodic waste collection and transportation to recycling and disposal facilities.
  - 5. Review waste management requirements for each trade.

1.8 WASTE MANAGEMENT PLAN

- A. General: Develop a waste management plan according to ASTM E 1609 and requirements in this Section. Plan shall consist of waste identification AND waste reduction work plan. Indicate quantities by weight or volume, but use same units of measure throughout waste management plan.
- B. Waste Identification: Indicate anticipated types and quantities of demolition site-clearing and construction waste generated by the Work. Use Form CWM-1 for construction waste and Form CWM-2 for demolition waste. Include estimated quantities and assumptions for estimates.
- C. Waste Reduction Work Plan: List each type of waste and whether it will be salvaged, recycled, or disposed of in landfill or incinerator. Use Form CWM-3 for construction waste and Form CWM-4 for demolition waste. Include points of waste generation, total quantity of each type of waste, quantity for each means of recovery, and handling and transportation procedures.
  - 1. Recycled Materials: Include list of local receivers and processors and type of recycled materials each will accept. Include names, addresses, and telephone numbers.
  - 2. Disposed Materials: Indicate how and where materials will be disposed of. Include name, address, and telephone number of each landfill and incinerator facility.
  - 3. Handling and Transportation Procedures: Include method that will be used for separating recyclable waste including sizes of containers, container labeling, and designated location where materials separation will be performed.
  - 4. Total quantity of waste.
  - 5. Estimated cost of disposal (cost per unit). Include hauling and tipping fees and cost of collection containers for each type of waste.
  - 6. Total cost of disposal (with no waste management).
  - 7. Revenue from salvaged materials.
  - 8. Revenue from recycled materials.
  - 9. Savings in hauling and tipping fees by donating materials.
  - 10. Savings in hauling and tipping fees that are avoided.

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11. Handling and transportation costs. Include cost of collection containers for each type of waste.
12. Net additional cost or net savings from waste management plan.

PART 2 - PRODUCTS (Not Used)

PART 3 - EXECUTION

3.1 PLAN IMPLEMENTATION

- A. General: Implement approved waste management plan. Provide handling, containers, storage, signage, transportation, and other items as required to implement waste management plan during the entire duration of the Contract.
  1. Comply with operation, termination, and removal requirements in Section 015000 "Temporary Facilities and Controls."
- B. Training: Train workers, subcontractors, and suppliers on proper waste management procedures, as appropriate for the Work.
  1. Distribute waste management plan to everyone concerned within three days of submittal return.
  2. Distribute waste management plan to entities when they first begin work on-site. Review plan procedures and locations established for salvage, recycling, and disposal.
- C. Site Access and Temporary Controls: Conduct waste management operations to ensure minimum interference with roads, streets, walks, walkways, and other adjacent occupied and used facilities.
  1. Designate and label specific areas on Project site necessary for separating materials that are to be salvaged, recycled, reused, donated, and sold.
  2. Comply with Section 015000 "Temporary Facilities and Controls" for controlling dust and dirt, environmental protection, and noise control.

3.2 RECYCLING CONSTRUCTION WASTE, GENERAL

- A. General: Recycle paper and beverage containers used by on-site workers.
- B. Recycling Incentives: Revenues, savings, rebates, tax credits, and other incentives received for recycling waste materials shall accrue to Contractor.
- C. Preparation of Waste: Prepare and maintain recyclable waste materials according to recycling or reuse facility requirements. Maintain materials free of dirt, adhesives, solvents, petroleum contamination, and other substances deleterious to the recycling process.

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- D. Procedures: Separate recyclable waste from other waste materials, trash, and debris. Separate recyclable waste by type at Project site to the maximum extent practical according to approved construction waste management plan.
1. Provide appropriately marked containers or bins for controlling recyclable waste until removed from Project site. Include list of acceptable and unacceptable materials at each container and bin.
    - a. Inspect containers and bins for contamination and remove contaminated materials if found.
  2. Stockpile processed materials on-site without intermixing with other materials. Place, grade, and shape stockpiles to drain surface water. Cover to prevent windblown dust.
  3. Stockpile materials away from construction area. Do not store within drip line of remaining trees.
  4. Store components off the ground and protect from the weather.
  5. Remove recyclable waste from Owner's property and transport to recycling receiver or processor.

### 3.3 RECYCLING CONSTRUCTION WASTE

A. Packaging:

1. Cardboard and Boxes: Break down packaging into flat sheets. Bundle and store in a dry location.
2. Polystyrene Packaging: Separate and bag materials.
3. Pallets: As much as possible, require deliveries using pallets to remove pallets from Project site. For pallets that remain on-site, break down pallets into component wood pieces and comply with requirements for recycling wood.
4. Crates: Break down crates into component wood pieces and comply with requirements for recycling wood.

B. Wood Materials:

1. Clean Cut-Offs of Lumber: Grind or chip into small pieces.
2. Clean Sawdust: Bag sawdust that does not contain painted or treated wood.

C. Gypsum Board: Stack large clean pieces on wood pallets or in container and store in a dry location.

### 3.4 DISPOSAL OF WASTE

A. General: Except for items or materials to be salvaged, recycled, or otherwise reused, remove waste materials from Project site and legally dispose of them in a landfill or incinerator acceptable to authorities having jurisdiction.

1. Except as otherwise specified, do not allow waste materials that are to be disposed of accumulate on-site.

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2. Remove and transport debris in a manner that will prevent spillage on adjacent surfaces and areas.
- B. Burning: Do not burn waste materials.
  - C. Disposal: Remove waste materials from Owner's property and legally dispose of them.

END OF SECTION

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SECTION 017700 - CLOSEOUT PROCEDURES

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and other Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

- A. Section includes administrative and procedural requirements for contract closeout, including, but not limited to, the following:
  - 1. Substantial Completion procedures.
  - 2. Final completion procedures.
  - 3. Warranties.
  - 4. Final cleaning.
  - 5. Repair of the Work.
- B. Related Requirements:
  - 1. Section 013233 "Photographic Documentation" for submitting final completion construction photographic documentation.
  - 2. Section 017823 "Operation and Maintenance Data" for additional operation and maintenance manual requirements.
  - 3. Section 017839 "Project Record Documents" for submitting Record Drawings, Record Specifications, and Record Product Data.

1.3 ACTION SUBMITTALS

- A. Submittals shall comply with the requirements of Section 013300 "Submittal Procedures" and the individual sections specifying the work.
- B. Product Data: For each type of cleaning agent.
- C. Contractor's List of Incomplete Items: Initial submittal at Substantial Completion.
- D. Certified List of Incomplete Items: Final submittal at final completion.

1.4 CLOSEOUT SUBMITTALS

- A. Submittals shall comply with the requirements of Section 013300 "Submittal Procedures" and the individual sections specifying the work.
- B. Certificates of Release: From authorities having jurisdiction.

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- C. Certificate of Insurance: For continuing coverage.
- D. Field Report: For pest control inspection.

1.5 MAINTENANCE MATERIAL SUBMITTALS

- A. Submittals shall comply with the requirements of Section 013300 "Submittal Procedures" and the individual sections specifying the work.
- B. Schedule of Maintenance Material Items: For maintenance material submittal items specified in other Sections.

1.6 SUBSTANTIAL COMPLETION PROCEDURES

- A. Contractor's List of Incomplete Items: Prepare and submit a list of items to be completed and corrected (Contractor's punch list), indicating the value of each item on the list and reasons why the Work is incomplete.
- B. Submittals Prior to Substantial Completion: Complete the following a minimum of 10 days prior to requesting inspection for determining date of Substantial Completion. List items below that are incomplete at time of request.
  - 1. Certificates of Release: Obtain and submit releases from authorities having jurisdiction permitting Owner unrestricted use of the Work and access to services and utilities. Include occupancy permits, operating certificates, and similar releases.
  - 2. Submit closeout submittals specified in other Division 01 Sections, including project record documents, operation and maintenance manuals, damage or settlement surveys, property surveys, and similar final record information.
  - 3. Submit closeout submittals specified in individual Sections, including specific warranties, workmanship bonds, maintenance service agreements, final certifications, and similar documents.
  - 4. Submit maintenance material submittals specified in individual Sections, including tools, spare parts, extra materials, and similar items, and deliver to location designated by Architect and Owner. Label with manufacturer's name and model number.
    - a. Schedule of Maintenance Material Items: Prepare and submit schedule of maintenance material submittal items, including name and quantity of each item and name and number of related Specification Section. Obtain Owner's signature for receipt of submittals.
  - 5. Submit testing, adjusting, and balancing records.
  - 6. Submit changeover information related to Owner's occupancy, use, operation, and maintenance.
- C. Procedures Prior to Substantial Completion: Complete the following a minimum of 10 days prior to requesting inspection for determining date of Substantial Completion. List items below that are incomplete at time of request.
  - 1. Advise Owner of pending insurance changeover requirements.

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2. Make final changeover of permanent locks and deliver keys to Owner. Advise Owner's personnel of changeover in security provisions.
3. Complete startup and testing of systems and equipment.
4. Perform preventive maintenance on equipment used prior to Substantial Completion.
5. Advise Owner of changeover in utility services.
6. Participate with Owner in conducting inspection and walkthrough with local emergency responders.
7. Terminate and remove temporary facilities from Project site, along with mockups, construction tools, and similar elements.
8. Complete final cleaning requirements.
9. Touch up paint and otherwise repair and restore marred exposed finishes to eliminate visual defects.

D. Inspection: Submit a written request for inspection to determine Substantial Completion a minimum of 10 days prior to date the Work will be completed and ready for final inspection and tests. On receipt of request, Architect will either proceed with inspection or notify Contractor of unfulfilled requirements. Architect will prepare the Certificate of Substantial Completion after inspection or will notify Contractor of items, either on Contractor's list or additional items identified by Architect, that must be completed or corrected before certificate will be issued.

1. Request reinspection when the Work identified in previous inspections as incomplete is completed or corrected.
2. Results of completed inspection will form the basis of requirements for final completion.

#### 1.7 FINAL COMPLETION PROCEDURES

A. Submittals Prior to Final Completion: Before requesting final inspection for determining final completion, complete the following:

1. Submit a final Application for Payment according to Section 012900 "Payment Procedures."
2. Certified List of Incomplete Items: Submit certified copy of Owner's Substantial Completion inspection list of items to be completed or corrected (punch list), endorsed and dated by Architect. Certified copy of the list shall state that each item has been completed or otherwise resolved for acceptance.
3. Certificate of Insurance: Submit evidence of final, continuing insurance coverage complying with insurance requirements.
4. Submit pest-control final inspection report.
5. Submit final completion photographic documentation.

B. Inspection: Submit a written request for final inspection to determine acceptance a minimum of 10 days prior to date the work will be completed and ready for final inspection and tests. On receipt of request, Architect will either proceed with inspection or notify Contractor of unfulfilled requirements. Architect will prepare a final Certificate for Payment after inspection or will notify Contractor of construction that must be completed or corrected before certificate will be issued.

1. Request reinspection when the Work identified in previous inspections as incomplete is completed or corrected.

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1.8 LIST OF INCOMPLETE ITEMS (PUNCH LIST)

- A. Organization of List: Include name and identification of each space and area affected by construction operations for incomplete items and items needing correction including, if necessary, areas disturbed by Contractor that are outside the limits of construction.
1. Organize list of spaces in sequential order, starting with exterior areas first and proceeding from lowest floor to highest floor.
  2. Organize items applying to each space by major element, including categories for ceiling, individual walls, floors, equipment, and building systems.
  3. Include the following information at the top of each page:
    - a. Project name.
    - b. Date.
    - c. Name of Architect.
    - d. Name of Contractor.
    - e. Page number.
  4. Submit list of incomplete items in the following format:
    - a. PDF electronic file. Architect will return annotated file.
    - b. Web-based project software upload. Utilize software feature for creating and updating list of incomplete items (punch list).

1.9 SUBMITTAL OF PROJECT WARRANTIES

- A. Time of Submittal: Submit written warranties on request of Architect for designated portions of the Work where warranties are indicated to commence on dates other than date of Substantial Completion, or when delay in submittal of warranties might limit Owner's rights under warranty.
- B. Partial Occupancy: Submit properly executed warranties within 15 days of completion of designated portions of the Work that are completed and occupied or used by Owner during construction period by separate agreement with Contractor.
- C. Organize warranty documents into an orderly sequence based on the table of contents of Project Manual.
- D. Warranty Electronic File: Provide warranties and bonds in PDF format. Assemble complete warranty and bond submittal package into a single electronic PDF file with bookmarks enabling navigation to each item. Provide bookmarked table of contents at beginning of document.
1. Submit by uploading to web-based project software site.
- E. Provide additional copies of each warranty to include in operation and maintenance manuals.

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PART 2 - PRODUCTS

2.1 MATERIALS

- A. Cleaning Agents: Use cleaning materials and agents recommended by manufacturer or fabricator of the surface to be cleaned. Do not use cleaning agents that are potentially hazardous to health or property or that might damage finished surfaces.
  - 1. Use cleaning products that comply with Green Seal's GS-37, or if GS-37 is not applicable, use products that comply with the California Code of Regulations maximum allowable VOC levels.

PART 3 - EXECUTION

3.1 FINAL CLEANING

- A. General: Perform final cleaning. Conduct cleaning and waste-removal operations to comply with local laws and ordinances and Federal and local environmental and antipollution regulations.
- B. Cleaning: Employ experienced workers or professional cleaners for final cleaning. Clean each surface or unit to condition expected in an average commercial building cleaning and maintenance program. Comply with manufacturer's written instructions.
  - 1. Complete the following cleaning operations before requesting inspection for certification of Substantial Completion for entire Project or for a designated portion of Project:
    - a. Clean Project site, yard, and grounds, in areas disturbed by construction activities, including landscape development areas, of rubbish, waste material, litter, and other foreign substances.
    - b. Sweep paved areas broom clean. Remove petrochemical spills, stains, and other foreign deposits.
    - c. Rake grounds that are not planted, mulched, or paved to a smooth, even-textured surface.
    - d. Remove tools, construction equipment, machinery, and surplus material from Project site.
    - e. Remove snow and ice to provide safe access to building.
    - f. Clean exposed exterior and interior hard-surfaced finishes to a dirt-free condition, free of stains, films, and similar foreign substances. Avoid disturbing natural weathering of exterior surfaces. Restore reflective surfaces to their original condition.
    - g. Remove debris and surface dust from limited access spaces, including roofs, plenums, shafts, trenches, equipment vaults, manholes, attics, and similar spaces.
    - h. Sweep concrete floors broom clean in unoccupied spaces.
    - i. Vacuum carpet and similar soft surfaces, removing debris and excess nap; clean according to manufacturer's recommendations if visible soil or stains remain.

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- j. Clean transparent materials, including mirrors and glass in doors and windows. Remove glazing compounds and other noticeable, vision-obscuring materials. Polish mirrors and glass, taking care not to scratch surfaces.
  - k. Remove labels that are not permanent.
  - l. Wipe surfaces of mechanical and electrical equipment, elevator equipment, and similar equipment. Remove excess lubrication, paint and mortar droppings, and other foreign substances.
  - m. Clean plumbing fixtures to a sanitary condition, free of stains, including stains resulting from water exposure.
  - n. Replace disposable air filters and clean permanent air filters. Clean exposed surfaces of diffusers, registers, and grills.
  - o. Clean ducts, blowers, and coils if units were operated without filters during construction or that display contamination with particulate matter on inspection.
    - 1) Clean HVAC system in compliance with NADCA ACR. Provide written report on completion of cleaning.
  - p. Clean light fixtures, lamps, globes, and reflectors to function with full efficiency.
  - q. Leave Project clean and ready for occupancy.
- C. Pest Control: Comply with pest control requirements in Section 015000 "Temporary Facilities and Controls." Prepare written report.
- D. Construction Waste Disposal: Comply with waste disposal requirements in Section 015000 "Temporary Facilities and Controls."

3.2 REPAIR OF THE WORK

- A. Complete repair and restoration operations before requesting inspection for determination of Substantial Completion.
- B. Repair, or remove and replace, defective construction. Repairing includes replacing defective parts, refinishing damaged surfaces, touching up with matching materials, and properly adjusting operating equipment. Where damaged or worn items cannot be repaired or restored, provide replacements. Remove and replace operating components that cannot be repaired. Restore damaged construction and permanent facilities used during construction to specified condition.
  - 1. Remove and replace chipped, scratched, and broken glass, reflective surfaces, and other damaged transparent materials.
  - 2. Touch up and otherwise repair and restore marred or exposed finishes and surfaces. Replace finishes and surfaces that already show evidence of repair or restoration.
    - a. Do not paint over "UL" and other required labels and identification, including mechanical and electrical nameplates. Remove paint applied to required labels and identification.
  - 3. Replace parts subject to operating conditions during construction that may impede operation or reduce longevity.

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4. Replace burned-out bulbs, bulbs noticeably dimmed by hours of use, and defective and noisy starters in fluorescent and mercury vapor fixtures to comply with requirements for new fixtures.

END OF SECTION



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SECTION 017823 - OPERATION AND MAINTENANCE DATA

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and other Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

- A. Section includes administrative and procedural requirements for preparing operation and maintenance manuals, including the following:
  - 1. Operation and maintenance documentation directory manuals.
  - 2. Emergency manuals.
  - 3. Systems and equipment operation manuals.
  - 4. Systems and equipment maintenance manuals.
  - 5. Product maintenance manuals.
- B. Related Requirements:
  - 1. Section 013300 "Submittal Procedures" for submitting copies of submittals for operation and maintenance manuals.

1.3 DEFINITIONS

- A. System: An organized collection of parts, equipment, or subsystems united by regular interaction.
- B. Subsystem: A portion of a system with characteristics similar to a system.

1.4 CLOSEOUT SUBMITTALS

- A. Submittals shall comply with the requirements of Section 013300 "Submittal Procedures" and the individual sections specifying the work.
- B. Submit operation and maintenance manuals indicated. Provide content for each manual as specified in individual Specification Sections, and as reviewed and approved at the time of Section submittals. Submit reviewed manual content formatted and organized as required by this Section.
  - 1. Architect will comment on whether content of operation and maintenance submittals is acceptable.
  - 2. Where applicable, clarify and update reviewed manual content to correspond to revisions and field conditions.

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- C. Format: Submit operation and maintenance manuals in the following format:
  - 1. Submit three paper copies. Architect will return two copies.
- D. Initial Manual Submittal: Submit draft copy of each manual at least 30 days before commencing demonstration and training. Architect will comment on whether general scope and content of manual are acceptable.
- E. Final Manual Submittal: Submit each manual in final form prior to requesting inspection for Substantial Completion and at least 15 days before commencing demonstration and training. Architect will return copy with comments.
  - 1. Correct or revise each manual to comply with comments. Submit copies of each corrected manual within 15 days of receipt of comments and prior to commencing demonstration and training.
- F. Comply with Section 017700 "Closeout Procedures" for schedule for submitting operation and maintenance documentation.

1.5 FORMAT OF OPERATION AND MAINTENANCE MANUALS

- A. Manuals, Paper Copy: Submit manuals in the form of hard-copy, bound and labeled volumes.
  - 1. Binders: Heavy-duty, three-ring, vinyl-covered, loose-leaf binders, in thickness necessary to accommodate contents, sized to hold 8-1/2-by-11-inch paper; with clear plastic sleeve on spine to hold label describing contents and with pockets inside covers to hold folded oversize sheets.
    - a. If two or more binders are necessary to accommodate data of a system, organize data in each binder into groupings by subsystem and related components. Cross-reference other binders if necessary to provide essential information for proper operation or maintenance of equipment or system.
    - b. Identify each binder on front and spine, with printed title "OPERATION AND MAINTENANCE MANUAL," Project title or name, and subject matter of contents, and indicate Specification Section number on bottom of spine. Indicate volume number for multiple-volume sets.
  - 2. Dividers: Heavy-paper dividers with plastic-covered tabs for each section of the manual. Mark each tab to indicate contents. Include typed list of products and major components of equipment included in the section on each divider, cross-referenced to Specification Section number and title of Project Manual.
  - 3. Protective Plastic Sleeves: Transparent plastic sleeves designed to enclose diagnostic software storage media for computerized electronic equipment. Enclose title pages and directories in clear plastic sleeves.
  - 4. Supplementary Text: Prepared on 8-1/2-by-11-inch white bond paper.
  - 5. Drawings: Attach reinforced, punched binder tabs on drawings and bind with text.
    - a. If oversize drawings are necessary, fold drawings to same size as text pages and use as foldouts.

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- b. If drawings are too large to be used as foldouts, fold and place drawings in labeled envelopes and bind envelopes in rear of manual. At appropriate locations in manual, insert typewritten pages indicating drawing titles, descriptions of contents, and drawing locations.

1.6 REQUIREMENTS FOR EMERGENCY, OPERATION, AND MAINTENANCE MANUALS

- A. Organization of Manuals: Unless otherwise indicated, organize each manual into a separate section for each system and subsystem, and a separate section for each piece of equipment not part of a system. Each manual shall contain the following materials, in the order listed:
  1. Title page.
  2. Table of contents.
  3. Manual contents.
- B. Title Page: Include the following information:
  1. Subject matter included in manual.
  2. Name and address of Project.
  3. Name and address of Owner.
  4. Date of submittal.
  5. Name and contact information for Contractor.
  6. Name and contact information for Architect.
  7. Cross-reference to related systems in other operation and maintenance manuals.
- C. Table of Contents: List each product included in manual, identified by product name, indexed to the content of the volume, and cross-referenced to Specification Section number in Project Manual.
  1. If operation or maintenance documentation requires more than one volume to accommodate data, include comprehensive table of contents for all volumes in each volume of the set.
- D. Manual Contents: Organize into sets of manageable size. Arrange contents alphabetically by system, subsystem, and equipment. If possible, assemble instructions for subsystems, equipment, and components of one system into a single binder.
- E. Identification: In the documentation directory and in each operation and maintenance manual, identify each system, subsystem, and piece of equipment with same designation used in the Contract Documents. If no designation exists, assign a designation according to ASHRAE Guideline 4, "Preparation of Operating and Maintenance Documentation for Building Systems."

1.7 OPERATION AND MAINTENANCE DOCUMENTATION DIRECTORY MANUAL

- A. Operation and Maintenance Documentation Directory: Prepare a separate manual that provides an organized reference to emergency, operation, and maintenance manuals. List items and their location to facilitate ready access to desired information. Include the following:

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1. List of Systems and Subsystems: List systems alphabetically. Include references to operation and maintenance manuals that contain information about each system.
2. List of Equipment: List equipment for each system, organized alphabetically by system. For pieces of equipment not part of system, list alphabetically in separate list.
3. Tables of Contents: Include a table of contents for each emergency, operation, and maintenance manual.

1.8 EMERGENCY MANUALS

- A. Emergency Manual: Assemble a complete set of emergency information indicating procedures for use by emergency personnel and by Owner's operating personnel for types of emergencies indicated.
- B. Content: Organize manual into a separate section for each of the following:
  1. Type of emergency.
  2. Emergency instructions.
  3. Emergency procedures.
- C. Type of Emergency: Where applicable for each type of emergency indicated below, include instructions and procedures for each system, subsystem, piece of equipment, and component:
  1. Fire.
  2. Flood.
  3. Gas leak.
  4. Water leak.
  5. Power failure.
  6. Water outage.
  7. System, subsystem, or equipment failure.
  8. Chemical release or spill.
- D. Emergency Instructions: Describe and explain warnings, trouble indications, error messages, and similar codes and signals. Include responsibilities of Owner's operating personnel for notification of Installer, supplier, and manufacturer to maintain warranties.
- E. Emergency Procedures: Include the following, as applicable:
  1. Instructions on stopping.
  2. Shutdown instructions for each type of emergency.
  3. Operating instructions for conditions outside normal operating limits.
  4. Required sequences for electric or electronic systems.
  5. Special operating instructions and procedures.

1.9 SYSTEMS AND EQUIPMENT OPERATION MANUALS

- A. Systems and Equipment Operation Manual: Assemble a complete set of data indicating operation of each system, subsystem, and piece of equipment not part of a system. Include information required for daily operation and management, operating standards, and routine and special operating procedures.

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1. Engage a factory-authorized service representative to assemble and prepare information for each system, subsystem, and piece of equipment not part of a system.
  2. Prepare a separate manual for each system and subsystem, in the form of an instructional manual for use by Owner's operating personnel.
- B. Content: In addition to requirements in this Section, include operation data required in individual Specification Sections and the following information:
1. System, subsystem, and equipment descriptions. Use designations for systems and equipment indicated on Contract Documents.
  2. Performance and design criteria if Contractor has delegated design responsibility.
  3. Operating standards.
  4. Operating procedures.
  5. Operating logs.
  6. Wiring diagrams.
  7. Control diagrams.
  8. Piped system diagrams.
  9. Precautions against improper use.
  10. License requirements including inspection and renewal dates.
- C. Descriptions: Include the following:
1. Product name and model number. Use designations for products indicated on Contract Documents.
  2. Manufacturer's name.
  3. Equipment identification with serial number of each component.
  4. Equipment function.
  5. Operating characteristics.
  6. Limiting conditions.
  7. Performance curves.
  8. Engineering data and tests.
  9. Complete nomenclature and number of replacement parts.
- D. Operating Procedures: Include the following, as applicable:
1. Startup procedures.
  2. Equipment or system break-in procedures.
  3. Routine and normal operating instructions.
  4. Regulation and control procedures.
  5. Instructions on stopping.
  6. Normal shutdown instructions.
  7. Seasonal and weekend operating instructions.
  8. Required sequences for electric or electronic systems.
  9. Special operating instructions and procedures.
- E. Systems and Equipment Controls: Describe the sequence of operation, and diagram controls as installed.
- F. Piped Systems: Diagram piping as installed, and identify color coding where required for identification.

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1.10 SYSTEMS AND EQUIPMENT MAINTENANCE MANUALS

- A. Systems and Equipment Maintenance Manuals: Assemble a complete set of data indicating maintenance of each system, subsystem, and piece of equipment not part of a system. Include manufacturers' maintenance documentation, preventive maintenance procedures and frequency, repair procedures, wiring and systems diagrams, lists of spare parts, and warranty information.
1. Engage a factory-authorized service representative to assemble and prepare information for each system, subsystem, and piece of equipment not part of a system.
  2. Prepare a separate manual for each system and subsystem, in the form of an instructional manual for use by Owner's operating personnel.
- B. Content: For each system, subsystem, and piece of equipment not part of a system, include source information, manufacturers' maintenance documentation, maintenance procedures, maintenance and service schedules, spare parts list and source information, maintenance service contracts, and warranties and bonds as described below.
- C. Source Information: List each system, subsystem, and piece of equipment included in manual, identified by product name and arranged to match manual's table of contents. For each product, list name, address, and telephone number of Installer or supplier and maintenance service agent, and cross-reference Specification Section number and title in Project Manual and drawing or schedule designation or identifier where applicable.
- D. Manufacturers' Maintenance Documentation: Include the following information for each component part or piece of equipment:
1. Standard maintenance instructions and bulletins; include only sheets pertinent to product or component installed. Mark each sheet to identify each product or component incorporated into the Work. If data include more than one item in a tabular format, identify each item using appropriate references from the Contract Documents. Identify data applicable to the Work and delete references to information not applicable.
    - a. Prepare supplementary text if manufacturers' standard printed data are not available and where the information is necessary for proper operation and maintenance of equipment or systems.
  2. Drawings, diagrams, and instructions required for maintenance, including disassembly and component removal, replacement, and assembly.
  3. Identification and nomenclature of parts and components.
  4. List of items recommended to be stocked as spare parts.
- E. Maintenance Procedures: Include the following information and items that detail essential maintenance procedures:
1. Test and inspection instructions.
  2. Troubleshooting guide.
  3. Precautions against improper maintenance.
  4. Disassembly; component removal, repair, and replacement; and reassembly instructions.
  5. Aligning, adjusting, and checking instructions.
  6. Demonstration and training video recording, if available.

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- F. Maintenance and Service Schedules: Include service and lubrication requirements, list of required lubricants for equipment, and separate schedules for preventive and routine maintenance and service with standard time allotment.
  - 1. Scheduled Maintenance and Service: Tabulate actions for daily, weekly, monthly, quarterly, semiannual, and annual frequencies.
  - 2. Maintenance and Service Record: Include manufacturers' forms for recording maintenance.
- G. Spare Parts List and Source Information: Include lists of replacement and repair parts, with parts identified and cross-referenced to manufacturers' maintenance documentation and local sources of maintenance materials and related services.
- H. Maintenance Service Contracts: Include copies of maintenance agreements with name and telephone number of service agent.
- I. Warranties and Bonds: Include copies of warranties and bonds and lists of circumstances and conditions that would affect validity of warranties or bonds.
  - 1. Include procedures to follow and required notifications for warranty claims.
- J. Drawings: Prepare drawings supplementing manufacturers' printed data to illustrate the relationship of component parts of equipment and systems and to illustrate control sequence and flow diagrams. Coordinate these drawings with information contained in record Drawings to ensure correct illustration of completed installation.
  - 1. Do not use original project record documents as part of maintenance manuals.

1.11 PRODUCT MAINTENANCE MANUALS

- A. Product Maintenance Manual: Assemble a complete set of maintenance data indicating care and maintenance of each product, material, and finish incorporated into the Work.
- B. Content: Organize manual into a separate section for each product, material, and finish. Include source information, product information, maintenance procedures, repair materials and sources, and warranties and bonds, as described below.
- C. Source Information: List each product included in manual, identified by product name and arranged to match manual's table of contents. For each product, list name, address, and telephone number of Installer or supplier and maintenance service agent, and cross-reference Specification Section number and title in Project Manual and drawing or schedule designation or identifier where applicable.
- D. Product Information: Include the following, as applicable:
  - 1. Product name and model number.
  - 2. Manufacturer's name.
  - 3. Color, pattern, and texture.
  - 4. Material and chemical composition.
  - 5. Reordering information for specially manufactured products.

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- E. Maintenance Procedures: Include manufacturer's written recommendations and the following:
  - 1. Inspection procedures.
  - 2. Types of cleaning agents to be used and methods of cleaning.
  - 3. List of cleaning agents and methods of cleaning detrimental to product.
  - 4. Schedule for routine cleaning and maintenance.
  - 5. Repair instructions.
  
- F. Repair Materials and Sources: Include lists of materials and local sources of materials and related services.
  
- G. Warranties and Bonds: Include copies of warranties and bonds and lists of circumstances and conditions that would affect validity of warranties or bonds.
  - 1. Include procedures to follow and required notifications for warranty claims.

PART 2 - PRODUCTS (Not Used)

PART 3 - EXECUTION (Not Used)

END OF SECTION

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SECTION 017839 - PROJECT RECORD DOCUMENTS

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and other Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

- A. Section includes administrative and procedural requirements for project record documents, including the following:
  - 1. Record Drawings.
  - 2. Miscellaneous record submittals.
- B. Related Requirements:
  - 1. Section 017700 "Closeout Procedures" for general closeout procedures.
  - 2. Section 017823 "Operation and Maintenance Data" for operation and maintenance manual requirements.

1.3 CLOSEOUT SUBMITTALS

- A. Submittals shall comply with the requirements of Section 013300 "Submittal Procedures" and the individual sections specifying the work.
- B. Record Drawings: Comply with the following:
  - 1. Number of Copies: Submit one set(s) of marked-up record prints.
- C. Miscellaneous Record Submittals: See other Specification Sections for miscellaneous record-keeping requirements and submittals in connection with various construction activities. Submit annotated PDF electronic files and directories of each submittal.

1.4 RECORD DRAWINGS

- A. Record Prints: Maintain one set of marked-up paper copies of the Contract Drawings and Shop Drawings, incorporating new and revised drawings as modifications are issued.
  - 1. Preparation: Mark record prints to show the actual installation where installation varies from that shown originally. Require individual or entity who obtained record data, whether individual or entity is Installer, subcontractor, or similar entity, to provide information for preparation of corresponding marked-up record prints.

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- a. Give particular attention to information on concealed elements that would be difficult to identify or measure and record later.
  - b. Accurately record information in an acceptable drawing technique.
  - c. Record data as soon as possible after obtaining it.
  - d. Record and check the markup before enclosing concealed installations.
  - e. Cross-reference record prints to corresponding photographic documentation.
2. Content: Types of items requiring marking include, but are not limited to, the following:
- a. Dimensional changes to Drawings.
  - b. Revisions to details shown on Drawings.
  - c. Depths of foundations.
  - d. Locations and depths of underground utilities.
  - e. Revisions to routing of piping and conduits.
  - f. Revisions to electrical circuitry.
  - g. Actual equipment locations.
  - h. Duct size and routing.
  - i. Locations of concealed internal utilities.
  - j. Changes made by Change Order or Construction or Work Change Directive.
  - k. Changes made following Owner's written orders.
  - l. Details not on the original Contract Drawings.
  - m. Field records for variable and concealed conditions.
  - n. Record information on the Work that is shown only schematically.
3. Mark the Contract Drawings and Shop Drawings completely and accurately. Use personnel proficient at recording graphic information in production of marked-up record prints.
4. Mark record sets with erasable, red-colored pencil. Use other colors to distinguish between changes for different categories of the Work at same location.
5. Mark important additional information that was either shown schematically or omitted from original Drawings.
6. Note Construction Change Directive numbers, alternate numbers, Change Order numbers, and similar identification, where applicable.
- B. Format: Identify and date each record Drawing; include the designation "PROJECT RECORD DRAWING" in a prominent location.
1. Record Prints: Organize record prints into manageable sets. Bind each set with durable paper cover sheets. Include identification on cover sheets.
- 1.5 MISCELLANEOUS RECORD SUBMITTALS
- A. Submittals shall comply with the requirements of Section 013300 "Submittal Procedures" and the individual sections specifying the work.
  - B. Assemble miscellaneous records required by other Specification Sections for miscellaneous record keeping and submittal in connection with actual performance of the Work. Bind or file miscellaneous records and identify each, ready for continued use and reference.
  - C. Format: Submit miscellaneous record submittals as PDF electronic file.

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1. Include miscellaneous record submittals directory organized by Specification Section number and title, electronically linked to each item of miscellaneous record submittals.

1.6 MAINTENANCE OF RECORD DOCUMENTS

- A. Maintenance of Record Documents: Store record documents in the field office apart from the Contract Documents used for construction. Do not use project record documents for construction purposes. Maintain record documents in good order and in a clean, dry, legible condition, protected from deterioration and loss. Provide access to project record documents for Owner's reference during normal working hours.

PART 2 - PRODUCTS

PART 3 - EXECUTION

END OF SECTION



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SECTION 019113 - GENERAL COMMISSIONING REQUIREMENTS

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and other Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

A. Section Includes:

1. General requirements for coordinating and scheduling commissioning activities.
2. Commissioning meetings.
3. Commissioning reports.
4. Use of commissioning process test equipment, instrumentation, and tools.
5. Construction checklists, including, but not limited to, installation checks, startup, performance tests, and performance test demonstration.
6. Commissioning tests and commissioning test demonstration.
7. Adjusting, verifying, and documenting identified systems and assemblies.

B. Related Requirements:

1. Section 011000 "Summary" for Commissioning Authority responsibilities.
2. Section 013300 "Submittal Procedures" for submittal procedure requirements for commissioning process.
3. Section 017700 "Closeout Procedures" for Certificate of Construction-Phase Commissioning Process Completion submittal requirements.
4. Section 017823 "Operation and Maintenance Data" for preliminary operation and maintenance data submittal requirements.
5. Section 260800 "Commissioning of Electrical Systems" for technical commissioning requirements for electrical systems.

1.3 DEFINITIONS

- A. Acceptance Criteria: Threshold of acceptable work quality or performance specified for a commissioning activity, including, but not limited to, construction checklists, performance tests, performance test demonstrations, commissioning tests, and commissioning test demonstrations.
- B. Basis-of-Design Document: A document prepared by Architect that records concepts, calculations, decisions, and product selections used to comply with Owner's Project Requirements and to suit applicable regulatory requirements, standards, and guidelines.
- C. Commissioning Authority: An entity engaged by Owner, and identified in Section 011000 "Summary," to evaluate Commissioning-Process Work.

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- D. Commissioning Plan: A document, prepared by Commissioning Authority, that outlines the organization, schedule, allocation of resources, and documentation of commissioning requirements.
- E. Commissioning: A quality-focused process for verifying and documenting that the facility and all of its systems and assemblies are planned, designed, installed, and tested to comply with Owner's Project Requirements. The requirements specified here are limited to the construction phase commissioning activities. The scope of the commissioning process is defined in Section 011000 "Summary."
- F. Construction-Phase Commissioning-Process Completion: The stage of completion and acceptance of commissioning process when resolution of deficient conditions and issues discovered during commissioning process and retesting until acceptable results are obtained has been accomplished. Owner will establish in writing the date construction-phase commissioning-process completion is achieved. See Section 017700 "Closeout Procedures" for Certificate of Construction-Phase Commissioning Process Completion submittal requirements.
  - 1. Commissioning process is complete when the Work specified of this Section and related Sections has been completed and accepted, including, but not limited to, the following:
    - a. Completion of tests and acceptance of test results.
    - b. Resolution of issues, as verified by retests performed and documented with acceptance of retest results.
    - c. Comply with requirements in Section 017900 "Demonstration and Training."
    - d. Completion and acceptance of submittals and reports.
- G. Owner's Project Requirements: A document that details the functional requirements of a project and the expectations of how it will be used and operated, including Project goals, measurable performance criteria, cost considerations, benchmarks, success criteria, and supporting information. This document is prepared either by the Owner or for the Owner by the Architect or Commissioning Authority.
- H. Owner's Witness: Commissioning Authority, Owner's Project Manager, or Architect-designated witness authorized to authenticate test demonstration data and to sign completed test data forms.
- I. "Systems," "Assemblies," "Subsystems," "Equipment," and "Components": Where these terms are used together or separately, they shall mean "as-built" systems, assemblies, subsystems, equipment, and components.
- J. Test: Performance tests, performance test demonstrations, commissioning tests, and commissioning test demonstrations.
- K. Sampling Procedures and Tables for Inspection by Attributes: As defined in ASQ Z1.4.

1.4 COMPENSATION

- A. If Architect, Commissioning Authority, other Owner's witness, or Owner's staff perform additional services or incur additional expenses due to actions of Contractor listed below, compensate Owner for such additional services and expenses.

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1. Failure to provide timely notice of commissioning activities schedule changes.
  2. Failure to meet acceptance criteria for test demonstrations.
- B. Contractor shall compensate Owner for such additional services and expenses at the rate of \$150 per labor hour, plus \$100 per round trip for personnel travelling more than 200 miles, plus per diem allowances for meals and lodging according to current U.S. General Services Administration (GSA) Per Diem Rates.

1.5 COMMISSIONING TEAM

A. Members Appointed by Contractor(s):

1. Commissioning Coordinator: A person or entity employed by Contractor to manage, schedule, and coordinate commissioning process.
2. Project superintendent and other employees that Contractor may deem appropriate for a particular portion of the commissioning process.
3. Subcontractors, installers, suppliers, and specialists that Contractor may deem appropriate for a particular portion of the commissioning process.
4. Appointed team members shall have the authority to act on behalf of the entity they represent.

B. Members Appointed by Owner:

1. Commissioning Authority, plus consultants that Commissioning Authority may deem appropriate for a particular portion of the commissioning process.
2. Owner representative(s), facility operations and maintenance personnel, plus other employees, separate contractors, and consultants that Owner may deem appropriate for a particular portion of the commissioning process.
3. Architect, plus employees and consultants that Architect may deem appropriate for a particular portion of the commissioning process.

1.6 INFORMATIONAL SUBMITTALS

A. Submittals shall comply with the requirements of Section 013300 "Submittal Procedures" and the individual sections specifying the work.

B. Commissioning Plan Information:

1. List of Contractor-appointed commissioning team members to include specific personnel and subcontractors performing the various commissioning requirements.
2. Schedule of commissioning activities, integrated with the Construction Schedule. Comply with requirements in Section 013200 "Construction Progress Documentation" for the Construction Schedule general requirements for commissioning process.
3. Contractor personnel and subcontractors participating in each test.
4. List of instrumentation required for each test to include identification of parties that will provide instrumentation for each test.

C. Commissioning schedule.

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- D. Two-week look-ahead schedules.
- E. Commissioning Coordinator Letter of Authority:
  - 1. Within 10 days after approval of Commissioning Coordinator qualifications, submit a letter of authority for Commissioning Coordinator, signed by a principal of Contractor's firm. Letter shall authorize Commissioning Coordinator to do the following:
    - a. Make inspections required for commissioning process.
    - b. Coordinate, schedule, and manage commissioning process of Contractor, subcontractors, and suppliers.
    - c. Obtain documentation required for commissioning process from Contractor, subcontractors, and suppliers.
    - d. Report issues, delayed resolution of issues, schedule conflicts, and lack of cooperation or expertise on the part of members of the commissioning team.
- F. Commissioning Coordinator Qualification Data: For entity coordinating Contractor's commissioning activities to demonstrate their capabilities and experience.
  - 1. Experienced: When used with an entity or individual, "experienced" means having successfully completed a minimum of five previous projects similar in nature, size, and extent to this Project; being familiar with special requirements indicated; and having complied with requirements of authorities having jurisdiction.
- G. List test instrumentation, equipment, and monitoring devices. Include the following information:
  - 1. Make, model, serial number, and application for each instrument, equipment, and monitoring device.
  - 2. Brief description of intended use.
  - 3. Calibration record showing the following:
    - a. Calibration agency, including name and contact information.
    - b. Last date of calibration.
    - c. Range of values for which calibration is valid.
    - d. Certification of accuracy.
    - e. Certification for calibration equipment traceable to NIST.
    - f. Due date of the next calibration.
- H. Test Reports:
  - 1. Pre-Startup Report: Prior to startup of equipment or a system, submit signed, completed construction checklists.
  - 2. Test Data Reports: At the end of each day in which tests are conducted, submit test data for tests performed.
  - 3. Commissioning Issue Reports: Daily, at the end of each day in which tests are conducted, submit commissioning issue reports for tests for which acceptable results were not achieved.
  - 4. Weekly Progress Report: Weekly, at the end of each week in which tests are conducted, submit a progress report.
  - 5. Data Trend Logs: Submit data trend logs at the end of the trend log period.

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6. System Alarm Logs: Daily, at the start of days following a day in which tests were performed, submit printout of log of alarms that occurred since the last log was printed.

I. Construction Checklists:

1. Material checks.
2. Installation checks.
3. Startup procedures, where required.

1.7 CLOSEOUT SUBMITTALS

- A. Submittals shall comply with the requirements of Section 013300 “Submittal Procedures” and the individual sections specifying the work.

B. Commissioning Report:

1. At Construction-Phase Commissioning Completion, include the following:
  - a. Pre-startup reports.
  - b. Approved test procedures.
  - c. Test data forms, completed and signed.
  - d. Progress reports.
  - e. Commissioning issue report log.
  - f. Commissioning issue reports showing resolution of issues.
  - g. Correspondence or other documents related to resolution of issues.
  - h. Other reports required by commissioning process.
  - i. List unresolved issues and reasons they remain unresolved and should be exempted from the requirements for Construction-Phase Commissioning Completion.
  - j. Report shall include commissioning work of Contractor.

- C. Request for Certificate of Construction-Phase Commissioning Process Completion.

- D. Operation and Maintenance Data: For proprietary test equipment, instrumentation, and tools to include in operation and maintenance manuals.

1.8 QUALITY ASSURANCE

A. Commissioning Coordinator Qualifications:

1. Documented experience commissioning systems of similar complexity to those contained in these documents on at least three projects of similar scope and complexity.
2. Certification of commissioning-process expertise. The following certifications are acceptable. Owner reserves the right to accept or reject certifications as evidence of qualification.
  - a. Certified Commissioning Authority, by AABC Commissioning Group (ACG).
  - b. Commissioning-Process Management Professional, by American Society of Heating, Refrigerating and Air-Conditioning Engineers.
  - c. Certified Commissioning Professional, by Building Commissioning Association.

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- d. Accredited Commissioning-Process Authority Professional, by University of Wisconsin.
  - e. Accredited Commissioning-Process Manager, by University of Wisconsin.
  - f. Accredited Green Commissioning-Process Provider, by University of Wisconsin.
- B. Calibration Agency Qualifications: Certified by The American Association for Laboratory Accreditation that the calibration agency complies with minimum requirements of ISO/IEC 17025.

PART 2 - PRODUCTS

2.1 TEST EQUIPMENT, INSTRUMENTATION, AND TOOLS

- A. Test equipment and instrumentation required to perform the commissioning process shall remain the property of Contractor unless otherwise indicated.
- B. Test equipment and instrumentation required to perform commissioning process shall comply with the following criteria:
  - 1. Be manufactured for the purpose of testing and measuring tests for which they are being used and have an accuracy to test and measure system performance within the tolerances required to determine acceptable performance.
  - 2. Calibrated and certified.
    - a. Calibration performed and documented by a qualified calibration agency according to national standards applicable to the tools and instrumentation being calibrated. Calibration shall be current according to national standards or within test equipment and instrumentation manufacturer's recommended intervals, whichever is more frequent, but not less than within six months of initial use on Project. Calibration tags shall be permanently affixed.
    - b. Repair and recalibrate test equipment and instrumentation if dismantled, dropped, or damaged since last calibrated.
  - 3. Maintain test equipment and instrumentation.
  - 4. Use test equipment and instrumentation only for testing or monitoring Work for which they are designed.

2.2 PROPRIETARY TEST EQUIPMENT, INSTRUMENTATION, AND TOOLS

- A. Proprietary test equipment, instrumentation, and tools are those manufactured or prescribed by tested equipment manufacturer and required for work on its equipment as a condition of equipment warranty, or as otherwise required to service, repair, adjust, calibrate, or perform work on its equipment.
  - 1. Identify proprietary test equipment, instrumentation, and tools required in the test equipment identification list submittal.
  - 2. Proprietary test equipment, instrumentation, and tools shall become the property of Owner at Substantial Completion.

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2.3 REPORT FORMAT AND ORGANIZATION

A. General Format and Organization:

1. Record report on compact disk.
2. Electronic Data: Portable document format (PDF); a single file with outline-organized bookmarks for major and minor tabs and tab contents itemized for specific reports.

B. Commissioning Report:

1. Include a table of contents and an index to each test.
2. Include major tabs for each Specification Section.
3. Include minor tabs for each test.
4. Within each minor tab, include the following:
  - a. Test specification.
  - b. Pre-startup reports.
  - c. Approved test procedures.
  - d. Test data forms, completed and signed.
  - e. Commissioning issue reports, showing resolution of issues, and documentation related to resolution of issues pertaining to a single test. Group data forms, commissioning issue reports showing resolution of issues, and documentation related to resolution of issues for each test repetition together within the minor tab, in reverse chronological order (most recent on top).

PART 3 - EXECUTION

3.1 PREPARATION

- A. Review preliminary construction checklists and preliminary test procedures and data forms.

3.2 CONSTRUCTION CHECKLISTS

- A. Construction checklists cannot modify or conflict with the Contract Documents.
- B. Create construction checklists based on actual systems and equipment to be included in Project.
- C. Material Checks: Compare specified characteristics and approved submittals with materials as received. Include factory tests and other evaluations, adjustments, and tests performed prior to shipment if applicable.
1. Service connection requirements, including configuration, size, location, and other pertinent characteristics.
  2. Included optional features.
  3. Delivery Receipt Check: Inspect and record physical condition of materials and equipment on delivery to Project site, including agreement with approved submittals, cleanliness, and lack of damage.
  4. Installation Checks:

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- a. Location according to Drawings and approved Shop Drawings.
  - b. Configuration.
  - c. Compliance with manufacturers' written installation instructions.
  - d. Attachment to structure.
  - e. Access clearance to allow for maintenance, service, repair, removal, and replacement without the need to disassemble or remove other equipment or building elements. Access coordinated with other building elements and equipment, including, but not limited to, ceiling and wall access panels, in a manner consistent with OSHA fall-protection regulations and safe work practices.
  - f. Utility connections are of the correct characteristics, as applicable.
  - g. Correct labeling and identification.
  - h. Startup Checks: Verify readiness of equipment to be energized. Include manufacturer's standard startup procedures and forms.
- D. Startup: Perform and document initial operation of equipment to prove that it is installed properly and operates as intended according to manufacturer's standard startup procedures, at minimum.
- E. Performance Tests:
1. Static Tests: As specified elsewhere, including, but not limited to, duct and pipe leakage tests, insulation-resistance tests, and water-penetration tests.
  2. Component Performance Tests: Tests evaluate the performance of an input or output of components under a full range of operating conditions.
  3. Equipment and Assembly Performance Tests: Test and evaluate performance of equipment and assemblies under a full range of operating conditions and loads.
  4. System Performance Tests: Test and evaluate performance of systems under a full range of operating conditions and loads.
  5. Intersystem Performance Tests: Test and evaluate the interface of different systems under a full range of operating conditions and loads.
- F. Deferred Construction Checklists: Obtain Owner approval of proposed deferral of construction checklists, including proposed schedule of completion of each deferred construction checklist, before submitting request for Certificate of Construction-Phase Commissioning Process Completion. When approved, deferred construction checklists may be completed after date of Construction-Phase Commissioning Completion. Include the following in a request for Certificate of Construction-Phase Commissioning Process Completion:
1. Identify deferred construction checklists by number and title.
  2. Provide a target schedule for completion of deferred construction checklists.
  3. Written approval of proposed deferred construction checklists, including approved schedule of completion of each deferred construction checklist.
- G. Delayed Construction Checklists: Obtain Owner approval of proposed delayed construction checklists, including proposed schedule of completion of each delayed construction checklist, before submitting request for Certificate of Construction-Phase Commissioning Process Completion. When approved, delayed construction checklists may be completed after date of Construction-Phase Commissioning Completion. Include the following in a request for Certificate of Construction-Phase Commissioning Process Completion:
1. Identify delayed construction checklist by construction checklist number and title.

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2. Provide a target schedule for completion of delayed construction checklists.
3. Written approval of proposed delayed construction checklists, including approved schedule of completion of each delayed construction checklist.

3.3 GENERAL EXECUTION REQUIREMENTS

- A. Schedule and coordinate commissioning process with the Construction Schedule.
- B. Perform activities identified in construction checklists, including tests, and document results of actions as construction proceeds.
- C. Perform test demonstrations for Owner's witness. Unless otherwise indicated, demonstrate tests for 100 percent of work to which the test applies. In some instances, demonstration of a random sample of other than 100 percent of the results of a test is specified.
  1. Where sampling is specified, the sampling plan and procedure for the test demonstration shall be determined using ASQ Z1.4.
    - a. General Inspection: Level II.
    - b. Special Inspection: Level S-2.
    - c. Acceptance Quality Limit (AQL) of 1.5.
  2. The "lot size" in ASQ Z1.4 is the sum of the number of items to which the test demonstration applies, as described in the scope subparagraph of each test.
  3. On determination of the sample size, the samples shall be selected randomly by Owner's witness at the time of the test demonstration.
  4. Include in the Commissioning Plan a detailed list of the test demonstrations with lot and sample quantities for each test.
- D. Report test data and commissioning issue resolutions.
- E. Schedule personnel to participate in and perform Commissioning-Process Work.
- F. Installing contractors' commissioning responsibilities include, but are not limited to, the following:
  1. Operating the equipment and systems they install during tests.
  2. In addition, installing contractors may be required to assist in tests of equipment and systems with which their work interfaces.

3.4 COMMISSIONING COORDINATOR RESPONSIBILITIES

- A. Management and Coordination: Manage, schedule, and coordinate commissioning process, including, but not limited to, the following:
  1. Coordinate with subcontractors on their commissioning responsibilities and activities.
  2. Obtain, assemble, and submit commissioning documentation.
  3. Conduct periodic on-site commissioning meetings. Comply with requirements in Section 013100 "Project Management and Coordination."

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4. Develop and maintain the commissioning schedule. Integrate commissioning schedule into the Construction Schedule. Update Construction Schedule at specified intervals.
5. Review and comment on preliminary test procedures and data forms.
6. Report inconsistencies and issues in system operations.
7. Verify that tests have been completed and results comply with acceptance criteria, and that equipment and systems are ready before scheduling test demonstrations.
8. Direct and coordinate test demonstrations.
9. Coordinate witnessing of test demonstrations by Owner's witness.
10. Coordinate and manage training. Be present during training sessions to direct video recording, present training, and direct the training presentations of others. Comply with requirements in Section 017900 "Demonstration and Training."
11. Prepare and submit specified commissioning reports.
12. Track commissioning issues until resolution and retesting is successfully completed.
13. Retain original records of Commissioning-Process Work, organized as required for the commissioning report. Provide Owner's representative access to these records on request.
14. Assemble and submit commissioning report.

### 3.5 COMMISSIONING TESTING

- A. Quality Control: Construction checklists, including tests, are quality-control tools designed to improve the functional quality of Project. Test demonstrations evaluate the effectiveness of Contractor's quality-control process.
- B. Owner's witness will be present to witness commissioning work requiring the signature of an owner's witness, including, but not limited to, test demonstrations. Owner's project manager will coordinate attendance by Owner's witness with Contractor's published Commissioning Schedule. Owner's witness will provide no labor or materials in the commissioning work. The only function of Owner's witness will be to observe and comment on the progress and results of commissioning process.
- C. Construction Checklists:
  1. Complete construction checklists as Work is completed.
  2. Distribute construction checklists to installing contractors before they start work.
  3. Installers:
    - a. Verify installation using approved construction checklists as Work proceeds.
    - b. Complete and sign construction checklists weekly for work performed during the preceding week.
  4. Provide Commissioning Authority access to construction checklists.
- D. Installation Compliance Issues: Record as an installation compliance issue Work found to be incomplete, inaccessible, at variance with the Contract Documents, nonfunctional, or that does not comply with construction checklists. Record installation compliance issues on the construction checklist at the time they are identified. Record corrective action and how future Work should be modified before signing off the construction checklist.
- E. Pre-Startup Audit: Prior to executing startup procedures, review completed installation checks to determine readiness for startup and operation. Report conditions, which, if left uncorrected,

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adversely impact the ability of systems or equipment to operate satisfactorily or to comply with acceptance criteria. Prepare pre-startup report for each system.

F. Test Procedures and Test Data Forms:

1. Test procedures shall define the step-by-step procedures to be used to execute tests and test demonstrations.
2. Test procedures shall be specific to the make, model, and application of the equipment and systems being tested.
3. Completed test data forms are the official records of the test results.
4. Commissioning Authority will provide to Contractor preliminary test procedures and test data forms for performance tests and commissioning tests after approval of Product Data, Shop Drawings, and preliminary operation and maintenance manual.
5. Review preliminary test procedures and test data forms, and provide comments within 14 days of receipt from Commissioning Authority. Review shall address the following:
  - a. Equipment protection and warranty issues, including, but not limited to, manufacturers' installation and startup recommendations, and operation and maintenance instructions.
  - b. Applicability of the procedure to the specific software, equipment, and systems approved for installation.
6. After Contractor has reviewed and commented on the preliminary test procedures and test data forms, Commissioning Authority will revise and reissue the approved revised test procedures and test data forms marked "Approved for Testing."
7. Use only approved test procedures and test data forms marked "Approved for Testing" to perform and document tests and test demonstrations.

G. Performance of Tests:

1. The sampling rate for tests is 100 percent. The sampling rate for test demonstrations is 100 percent unless otherwise indicated.
2. Perform and complete each step of the approved test procedures in the order listed.
3. Record data observed during performance of tests on approved data forms at the time of test performance and when the results are observed.
4. Record test results that are not within the range of acceptable results on commissioning issue report forms in addition to recording the results on approved test procedures and data forms according to the "Commissioning Compliance Issues" Paragraph in this Article.
5. On completion of a test, sign the completed test procedure and data form. Tests for which test procedures and data forms are incomplete, not signed, or which indicate performance that does not comply with acceptance criteria will be rejected. Tests for which test procedures and data forms are rejected shall be repeated and results resubmitted.

H. Performance of Test Demonstration:

1. Perform test demonstrations on a sample of tests after test data submittals are approved. The sampling rate for test demonstrations shall be 100 percent unless otherwise indicated in the individual test specification.
2. Notify Owner's witness at least seven days in advance of each test demonstration.
3. Perform and complete each step of the approved test procedures in the order listed.

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4. Record data observed during performance of test demonstrations on approved data forms at the time of demonstration and when the results are observed.
  5. Provide full access to Owner's witness to directly observe the performance of all aspects of system response during the test demonstration. On completion of a test demonstration, sign the completed data form and obtain signature of Owner's witness at the time of the test to authenticate the reported results.
  6. Test demonstration data forms not signed by Contractor and Owner's witness at the time of the completion of the procedure will be rejected. Test demonstrations for which data forms are rejected shall be repeated and results shall be resubmitted.
    - a. Exception for Failure of Owner's Witness to Attend: Failure of Owner's witness to be present for agreed-on schedule of test demonstration shall not delay Contractor. If Owner's witness fails to attend a scheduled test, Contractor shall proceed with the scheduled test. On completion, Contractor shall sign the data form for Contractor and for Owner's witness, and shall note the absence of Owner's witness at the scheduled time and place.
  7. False load test requirements are specified in related sections.
    - a. Where false load testing is specified, provide temporary equipment, power, controls, wiring, piping, valves, and other necessary equipment and connections required to apply the specified load to the system. False load system shall be capable of steady-state operation and modulation at the level of load specified. Equipment and systems permanently installed in this work shall not be used to create the false load without Architect's written approval.
- I. Deferred Tests:
1. Deferred Test List: Identify, in the request for Certificate of Construction-Phase Commissioning Process Completion, proposed deferred tests or other tests approved for deferral until specified seasonal or other conditions are available. When approved, deferred tests may be completed after the date of Construction-Phase Commissioning Completion. Identify proposed deferred tests in the request for Certificate of Construction-Phase Commissioning Process Completion as follows:
    - a. Identify deferred tests by number and title.
    - b. Provide a target schedule for completion of deferred tests.
  2. Schedule and coordinate deferred tests. Schedule deferred tests when specified conditions are available. Notify Architect and Commissioning Authority at least seven days (minimum) in advance of tests.
  3. Where deferred tests are specified, coordinate participation of necessary personnel and of Architect, Commissioning Authority, and Owner's witness. Schedule deferred tests to minimize occupant and facility impact. Obtain Architect's approval of the proposed schedule.
- J. Delayed Tests:
1. Delayed Test List: Identify, in the request for Certificate of Construction-Phase Commissioning Process Completion, proposed delayed tests. Obtain Owner approval of proposed delayed tests, including proposed schedule of completion of each delayed test,

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before submitting request for Certificate of Construction-Phase Commissioning Process Completion. Include the following in the request for Certificate of Construction-Phase Commissioning Process Completion:

- a. Identify delayed tests by test number and title.
  - b. Written approval of proposed delayed tests, including approved schedule of completion of delayed tests.
2. Schedule and coordinate delayed tests. Schedule delayed tests when conditions that caused the delay have been rectified. Notify Architect and Commissioning Authority at least seven days (minimum) in advance of tests.
  3. Where delayed tests are approved, coordinate participation of necessary personnel and of Architect, Commissioning Authority, and Owner's witness. Schedule delayed tests to minimize occupant and facility impact. Obtain Architect's approval of the proposed schedule.

K. Commissioning Compliance Issues:

1. Test results that are not within the range of acceptable results are commissioning compliance issues.
2. Track and report commissioning compliance issues until resolution and retesting are successfully completed.
3. If a test demonstration fails, determine the cause of failure. Direct timely resolution of issue and then repeat the demonstration. If a test demonstration must be repeated due to failure caused by Contractor work or materials, reimburse Owner for billed costs for the participation in the repeated demonstration.
4. Test Results: If a test demonstration fails to meet the acceptance criteria, perform the following:
  - a. Complete a commissioning compliance issue report form promptly on discovery of test results that do not comply with acceptance criteria.
  - b. Submit commissioning compliance issue report form within 24 hours of the test.
  - c. Determine the cause of the failure.
  - d. Establish responsibility for corrective action if the failure is due to conditions found to be Contractor's responsibility.
5. Commissioning Compliance Issue Report: Provide a commissioning compliance issue report for each issue. Do not report multiple issues on the same commissioning compliance issue report.
  - a. Exception: If an entire class of devices is determined to exhibit the identical issue, they may be reported on a single commissioning compliance issue report. (For example, if all return-air damper actuators that are specified to fail to the open position are found to fail to the closed position, they may be reported on a single commissioning issue report. If a single commissioning issue report is used for multiple commissioning compliance issues, each device shall be identified in the report, and the total number of devices at issue shall be identified.
  - b. Complete and submit Part 1 of the commissioning compliance issue report immediately when the condition is observed.
  - c. Record the commissioning compliance issue report number and describe the deficient condition on the data form.

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- d. Resolve commissioning compliance issues promptly. Complete and submit Part 2 of the commissioning compliance issue report when issues are resolved.
6. Diagnose and correct failed test demonstrations as follows:
    - a. Perform diagnostic tests and activities required to determine the fundamental cause of issues observed.
    - b. Record each step of the diagnostic procedure prior to performing the procedure. Update written procedure as changes become necessary.
    - c. Record the results of each step of the diagnostic procedure.
    - d. Record the conclusion of the diagnostic procedure on the fundamental cause of the issue.
    - e. Determine and record corrective measures.
    - f. Include diagnosis of fundamental cause of issues in commissioning compliance issue report.
  7. Retest:
    - a. Schedule and repeat the complete test procedure for each test demonstration for which acceptable results are not achieved. Obtain signature of Owner's witness on retest data forms. Repeat test demonstration until acceptable results are achieved. Except for issues that are determined to result from design errors or omissions, or other conditions beyond Contractor's responsibility, compensate Owner for direct costs incurred as the result of repeated test demonstrations to achieve acceptable results.
    - b. For each repeated test demonstration, submit a new test data form, marked "Retest."
  8. Do not correct commissioning compliance issues during test demonstrations.
    - a. Exceptions will be allowed if the cause of the issue is obvious and resolution can be completed in less than fifteen minutes. If corrections are made under this exception, note the deficient conditions on the test data form and issue a commissioning compliance issue report. A new test data form, marked "Retest," shall be initiated after the resolution has been completed.

### 3.6 COMMISSIONING MEETINGS

- A. Schedule and conduct commissioning meetings. Comply with requirements in Section 013100 "Project Management and Coordination."

### 3.7 SEQUENCING

- A. Sequencing of Commissioning Verification Activities: For a particular material, item of equipment, assembly, or system, perform the following in the order listed unless otherwise indicated:
  1. Construction Checklists:

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- a. Material checks.
- b. Installation checks.
- c. Startup, as appropriate. Some startup may depend on component performance. Such startup may follow component performance tests on which the startup depends.
- d. Performance Tests:
  - 1) Static tests, as appropriate.
  - 2) Component performance tests. Some component performance tests may depend on completion of startup. Such component performance tests may follow startup.
  - 3) Equipment and assembly performance tests.
  - 4) System performance tests.
  - 5) Intersystem performance tests.

2. Commissioning tests.

- B. Before performing commissioning tests, verify that materials, equipment, assemblies, and systems are delivered, installed, started, and adjusted to perform according to construction checklists.
- C. Verify readiness of materials, equipment, assemblies, and systems by performing tests prior to performing test demonstrations. Notify Architect if acceptable results cannot be achieved due to conditions beyond Contractor's control or responsibility.
- D. Commence tests as soon as installation checks for materials, equipment, assemblies, or systems are satisfactorily completed. Tests of a particular system may proceed prior to completion of other systems, provided the incomplete work does not interfere with successful execution of test.

3.8 SCHEDULING

- A. Commence commissioning process as early in the construction period as possible.
- B. Commissioning Schedule: Integrate commissioning activities into Construction Schedule. See Section 013200 "Construction Progress Documentation."
  1. Include detailed commissioning activities in monthly updated Construction Schedule and short-interval schedule submittals.
  2. Schedule the start date and duration for the following commissioning activities:
    - a. Submittals.
    - b. Preliminary operation and maintenance manual submittals.
    - c. Installation checks.
    - d. Startup, where required.
    - e. Performance tests.
    - f. Performance test demonstrations.
    - g. Commissioning tests.
    - h. Commissioning test demonstrations.

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3. Schedule shall include a line item for each installation check, startup, and test activity specific to the equipment or systems involved.
4. Determine milestones and prerequisites for commissioning process. Show commissioning milestones, prerequisites, and dependencies in monthly updated critical-path-method construction schedule and short-interval schedule submittals.

C. Two-Week Look-Ahead Commissioning Schedule:

1. Two weeks prior to the beginning of tests, submit a detailed two-week look-ahead schedule. Thereafter, submit updated two-week look-ahead schedules weekly for the duration of commissioning process.
2. Two-week look-ahead schedules shall identify the date, time, beginning location, Contractor personnel required, and anticipated duration for each startup or test activity.
3. Use two-week look-ahead schedules to notify and coordinate participation of Owner's witnesses.

D. Owner's Witness Coordination:

1. Coordinate Owner's witness participation via Architect.
2. Notify Architect of commissioning schedule changes at least **[two]** **<Insert number>** work days in advance for activities requiring the participation of Owner's witness.

3.9 COMMISSIONING REPORTS

A. Test Reports:

1. Pre-startup reports include observations of the conditions of installation, organized into the following sections:
  - a. Equipment Model Verification: Compare contract requirements, approved submittals, and provided equipment. Note inconsistencies.
  - b. Preinstallation Physical Condition Checks: Observe physical condition of equipment prior to installation. Note conditions including, but not limited to, physical damage, corrosion, water damage, or other contamination or dirt.
  - c. Preinstallation Component Verification Checks: Verify components supplied with the equipment, preinstalled or field installed, are correctly installed and functional. Verify external components required for proper operation of equipment correctly installed and functional. Note missing, improperly configured, improperly installed, or nonfunctional components.
  - d. Summary of Installation Compliance Issues and Corrective Actions: Identify installation compliance issues and the corrective actions for each. Verify that issues noted have been corrected.
  - e. Evaluation of System Readiness for Startup: For each item of equipment for each system for which startup is anticipated, document in summary form acceptable to Owner completion of equipment model verification, preinstallation physical condition checks, preinstallation component verification checks, and completion of corrective actions for installation compliance issues.
2. Test data reports include the following:

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- a. "As-tested" system configuration. Complete record of conditions under which the test was performed, including, but not limited to, the status of equipment, systems, and assemblies; temporary adjustments and settings; and ambient conditions.
  - b. Data and observations, including, but not limited to, data trend logs, recorded during the tests.
  - c. Signatures of individuals performing and witnessing tests.
  - d. Data trend logs accumulated overnight from the previous day of testing.
3. Commissioning Compliance Issue Reports: Report as commissioning compliance issues results of tests and test demonstrations that do not comply with acceptance criteria. Report only one issue per commissioning compliance issue report. Use sequentially numbered facsimiles of commissioning compliance issue report form included in this Section, or other form approved by Owner. Distribute commissioning compliance issue reports to parties responsible for taking corrective action. Identify the following:
- a. Commissioning compliance issue report number. Assign unique, sequential numbers to individual commissioning compliance issue reports when they are created, to be used for tracking.
  - b. Action distribution list.
  - c. Report date.
  - d. Test number and description.
  - e. Equipment identification and location.
  - f. Briefly describe observations about the performance associated with failure to achieve acceptable results. Identify the cause of failure if apparent.
  - g. Diagnostic procedure or plan to determine the cause (include in initial submittal)
  - h. Diagnosis of fundamental cause of issues as specified below (include in resubmittal).
  - i. Fundamental cause of unacceptable performance as determined by diagnostic tests and activities.
  - j. When issues have been resolved, update and resubmit the commissioning issue report forms by completing Part 2. Identify resolution taken and the dates and initials of the persons making the entries.
  - k. Schedule for retesting.
4. Weekly progress reports include information for tests conducted since the preceding report and the following:
- a. Completed data forms.
  - b. Equipment or system tested, including test number, system or equipment tag number and location, and notation about the apparent acceptability of results.
  - c. Activities scheduled but not conducted per schedule.
  - d. Commissioning compliance issue report log.
  - e. Schedule changes for remaining Commissioning-Process Work, if any.
5. Data trend logs shall be initiated and running prior to the time scheduled for the test demonstration.
- a. Trend log data format shall be multiple data series graphs. Where multiple data series are trend logged concurrently, present the data on a common horizontal time axis. Individual data series may be presented on a segmented vertical axis to avoid interference of one data series with another, and to accommodate different axis

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- scale values. Graphs shall be sufficiently clear to interpret data within the accuracy required by the acceptance criteria.
- b. Attach to the data form printed trend log data collected during the test or test demonstration.
  - c. Record, print out, and attach to the data form operator activity during the time the trend log is running. During the time the trend log is running, operator intervention not directed by the test procedure invalidates the test results.
6. System Alarm Logs: Record and print out a log of alarms that occurred since the last log was printed. Evaluate alarms to determine if the previous day's work resulted in any conditions that are not considered "normal operation."
- a. Conditions that are not considered "normal operation" shall be reported on a commissioning issue report attached to the alarm log. Resolve as necessary. The intent of this requirement is to discover control system points or sequences left in manual or disabled conditions, equipment left disconnected, set points left with abnormal values, or similar conditions that may have resulted from failure to fully restore systems to normal, automatic control after test completion.

3.10 CERTIFICATE OF CONSTRUCTION-PHASE COMMISSIONING PROCESS COMPLETION

- A. When Contractor considers that construction-phase commissioning process, or a portion thereof which Owner agrees to accept separately, is complete, Contractor shall prepare and submit to Owner and Commissioning Authority through Architect a comprehensive list of items to be completed or corrected. Failure to include an item on such list does not alter Contractor's responsibility to complete commissioning process.
- B. On receipt of Contractor's list, Commissioning Authority will make an inspection to determine whether the construction-phase commissioning process or designated portion thereof is complete. If Commissioning Authority's inspection discloses items, whether included on Contractor's list, which is not sufficiently complete as defined in "Construction-Phase Commissioning Process Completion" Paragraph in the "Definitions" Article, Contractor shall, before issuance of the Certificate of Construction-Phase Commissioning Process Completion, complete or correct such items on notification by Commissioning Authority. In such case, Contractor shall then submit a request for another inspection by Commissioning Authority to determine construction-phase commissioning process completion.
- C. Contractor shall promptly correct deficient conditions and issues discovered during commissioning process. Costs of correcting such deficient conditions and issues, including additional testing and inspections, the cost of uncovering and replacement, and compensation for Architect's and Commissioning Authority's services and expenses made necessary thereby, shall be at Contractor's expense.
- D. When construction-phase commissioning process or designated portion is complete, Commissioning Authority will prepare a Certificate of Construction-Phase Commissioning Process Completion that shall establish the date of completion of construction-phase commissioning process. Certificate of Construction-Phase Commissioning Process Completion shall be submitted prior to requesting inspection for determining date of Substantial Completion.

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END OF SECTION



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SECTION 02 82 16 - ASBESTOS ABATEMENT AND RELATED WORK

PART 1 – GENERAL

1.1 RELATED DOCUMENTS

- A. General provisions of the Contract, including General and Supplementary Conditions and Other Abatement Specification Sections, apply to the work of each of the Specification Sections.
- B. This section addresses abatement of asbestos-containing material (ACM) only.
- C. Related Work: The following items are closely related to this work but not included in this Section and will be performed under the designated Sections.

Section 02 83 13.00 – Lead Paint Related Work

1.2 PROJECT SCOPE-OF-WORK

- A. General: Furnish all labor, materials, equipment and perform all work required to safely remove, and otherwise abate as indicated herein, transport, and legally dispose of the asbestos-containing materials (ACM) listed in Table 1 below. The scope-of-work includes the removal, transport, and disposal of designated ACM at the Portsmouth City Hall, located at 1 Junkins Avenue, Portsmouth, NH. All work is to be completed in accordance with the schedules stated herein, in the Contract Documents, and as designated by the City of Portsmouth (Owner). It is essential that all work be phased and scheduled as required to facilitate Owner’s renovation and upgrade work. All work is to be completed in strict accordance with applicable local, State of New Hampshire (State), and federal codes and regulations and the requirements stated in this specification and Contract Documents.
- B. Table 1 below includes the listing of ACM to be removed, packaged, transported, and disposed of in accordance with the Contract Documents.

TABLE 1

Building Material	Location	Approximate Quantity	EPA Category
ACM Floor Tile	Lower Level, Room 135	160 square feet	Category I Nonfriable ACM

- C. Reference full inspection reports for discussions and additional information and limitations of Owner survey. Quantities listed in Table 1 are approximate only and Contractor shall remove all designated ACM in the work areas.
- D. The work areas have or may have other regulated or hazardous materials present that are not covered in the Section including but not limited to polychlorinated biphenyl (PCB)-containing materials, mercury, lead paint, guano, mold contamination, other hazardous materials and universal waste. Contractor’s OSHA-competent person shall also inspect the work place for other potential hazardous building material during the work. If encountered during the work immediately notify Owner’s Representative. Use only qualified, trained workers to properly remove, package,

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transport, and dispose (or recycle) of such material in strict compliance with all local, State, and Federal requirements.

1.3 WORK SCHEDULES

- A. All work shall be completed in accordance with the schedule requirements as indicated by the Owner and as stated in the Contract Documents.
- B. All work shall be strictly coordinated and scheduled by the Contractor as indicated by and approved by Owner, the Owner's industrial hygiene consultant (IH Consultant), and General Contractor. Work is to be phased as required to facilitate Owner operations, general occupancy of the site, and general construction activity. Contractor must provide proposed daily schedules to Owner and IH Consultant for each phase of work and each Owner work request. Adequate advance notice shall be provided to Owner and the IH Consultant prior to any schedule changes. Start and completion dates for the work and specific phasing requirements not otherwise specified herein shall be submitted to Owner and IH Consultant for approval. Contractor shall update all State and EPA notifications and permits as needed for schedule modifications.

1.4 CONTRACTOR ESTIMATES

- A. Estimates: Contractor shall conduct necessary field measurements and site review as deemed necessary by Contractor to delineate the scope of work and site conditions prior to submittal of bid. Contractor shall note on bid any discrepancies between Contractor field measurements and listings of work stated herein. It is the responsibility of the Contractor to verify all project information and site conditions as necessary to satisfy the Contractor as to the requirements of the work for each specific phase of the project. The Contractor must notify Owner and the IH Consultant of any conflicting information or clarifications required for the preparation of any bids, estimates, and submittal documentation. Unless otherwise stated by Owner, the Contractor is responsible for the removal of all designated ACM at Owner facility, so designated by the Owner.

1.5 EXISTING CONDITIONS

- A. Prior to commencement of work, inspect areas in which work will be performed. Prepare a listing and photographs of damage to structure, surfaces, finishes, insulations, and equipment that could be misconstrued as damage resulting from the work. Contractor is responsible for all damages to equipment, furnishings, finishes and building surfaces in the work area and adjacent caused by the Contractor during the course of abatement and general housecleaning. Contractor is responsible for completing all repairs to damaged items/surfaces caused by the work. In addition, all tape, adhesive, and other staining and damage must be fully repaired by Contractor to meet or exceed existing conditions.

1.6 POTENTIAL ASBESTOS HAZARD

- A. The work site contains ACM. Review all site survey reports and conduct ongoing inspections of the work areas to identity potential hazardous material that may be encountered. Provide OSHA competent person to supervise and review work procedures and conduct ongoing work area inspections. Properly train all affected personnel at the job site based on the hazards and hazardous material to be encountered, impacted or disturbed including but not limited to ACM.
- B. The disturbance or dislocation of ACM may cause asbestos fibers to be released into the buildings atmosphere, thereby creating potential health hazards to workmen and building occupants. Apprise

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all employers at site, workers, supervisory personnel, subcontractors and consultants who will be at the job site of the seriousness of the hazards, other possible site hazards, and of proper work procedures that must be followed.

- C. Where in the performance of the work, workers, supervisory personnel, subcontractors, or consultants may encounter, disturb, or otherwise function in the immediate vicinity of any identified asbestos-containing materials, take appropriate continuous measures as necessary to protect all building occupants from the potential hazard of exposure to airborne asbestos fibers and dust. Such measures shall include the procedures and methods described herein, and compliance with regulations of applicable federal, state and local agencies.
- D. Complete, and coordinate with Owner's Representative as applicable, all communication of hazards in strict accordance with 29 CFR 1926.1101 (k) and other applicable OSHA and State regulations. The contractor shall coordinate with Owner's Representative to review all existing inspection records and testing results as needed. Ensure that complete inspections of the space and affected materials have been completed of copies of inspection reports have been provided to the Owner, Contractor site supervisor and other affected contractors and subcontractors at the site as applicable. All site personnel working in areas containing ACM shall be apprised of the locations, types and quantities of ACM present and all such personnel shall be provided a minimum of asbestos awareness level training (for non-asbestos contractors) or additional training as indicated herein. In the event that other suspect material are encountered (or previously inaccessible spaces are accessed) that are not identified in the inspection report as having been properly inventoried and testing, then immediately cease work that would impact such materials and notify Owner's Representative such that proper testing and inspection can be performed.

#### 1.7 CONTRACTOR USE OF PREMISES

- A. General: The Contractor shall limit his use of the site to the work indicated, so as to allow for Owner operations and general construction activity. Confine operations at the site to the specified work areas of the Specification. Take all precautions necessary to protect the site, buildings, any occupants, and surrounding areas from work-related hazards during the construction period. Maintain building in a safe and structurally sound condition throughout the work. Maintain access to the public and other trades in designated areas (for example, stairwells) as indicated herein and as otherwise noted by Owner. Provide additional barriers and site security as needed to accommodate such access. Use care to prevent damages to existing surfaces during installation of solid barriers, critical barriers and primary isolation barriers.
- B. Install solid barriers to prevent unauthorized access and visibility from adjacent, public or Owner-occupied areas as designated by Owner and using materials and construction methods approved by Owner. Contractor shall work in cooperation with, and coordinate all work with Owner and the IH Consultant.

#### 1.8 STOP WORK

- A. The Contractor's Site Supervisor will stop work and will not proceed until corrective measures are implemented in the event that any of the below occur:
- Airborne fiber concentrations outside the work area exceed 0.010 f/cc
  - Airborne fiber concentrations inside the work area exceed 0.10 f/cc
  - Loss of integrity of any critical barrier

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- Failure to work in accordance with state and federal regulations or this plan
  - Visible emissions created
  - Other potential safety and health emergencies and changes as warranted.
- B. Complete all corrective work with no change in the Contract Price if high airborne fiber counts or other conditions resulting in stop work were caused by Contractor activities or compliance deficiencies.

1.9 PROJECT COORDINATION

- A. Site Supervisor: Provide a full-time Site Supervisor who is experienced in administration and supervision of asbestos abatement projects including work practices, protective measures for building and personnel, disposal procedures, etc. This person is the Contractor's Representative responsible for compliance with the specification and all applicable federal, state and local regulations, particularly those relating to asbestos-containing materials.
1. Experience and Training: The Site Supervisor must have completed a course at an EPA Training Center or equivalent certificate course in asbestos abatement procedures, and have had a minimum of five (5) years on-the-job training in similar asbestos abatement procedures.
  2. Accreditation/Qualifications: The Site Supervisor is to be (1) a Competent Person as required by OSHA in 29 CFR 1926, and (2) accredited and certified in accordance with the AHERA regulation 40 CFR Part 763, Subpart E, Appendix C; and (3) licensed in accordance with current State requirements.
- B. Project Manager: Provide a qualified and experienced project manager to perform administrative and project management responsibilities and to serve as Contractor management point of contact in addition to the project supervisor.
- C. Pre-Construction Conference: An initial progress meeting, recognized as "Pre-Construction Conference" will be convened by Owner with Contractor prior to the start of work for each phase. This meeting will be held to review the scope-of-work, scheduling, coordination, and contractor plan of action and submittals and other applicable items.
- D. Daily Log: Maintain at the work area a daily log documenting the dates and time of but not limited to, the following items:
1. Visitations; authorized and unauthorized
  2. Daily sign-in sheet for all personnel entering and leaving the work area (name, certification, expirations).
  3. Special or unusual events, i.e. barrier breaching, equipment failures, accidents
  4. Documentation of the following:
    - a) Supervisor's daily inspections and exposure monitoring test results
    - b) Work progress each day for each work area
    - c) Removal of waste material (number and type of containers) from each work area
    - d) Removal of waste from site including a copy of the accompanying waste shipment record
    - e) Decontamination of work area and equipment
    - f) Final inspection and air clearance results, and
    - g) Documentation of containment removal and final general housecleaning activity

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5. Complete and maintain daily log in accordance with applicable State and federal record keeping requirements. Provide access to logs to Owner and IH Consultant at all times and provide copies of logs with the submittal package in accordance with the construction submittal requirements.

1.10 STANDARDS

- A. Applicability of Standards: It is the Contractor's responsibility to complete all work in accordance with (or exceeding) all applicable industry standards and guidelines. Except where Contract Documents include more stringent requirements, all applicable construction industry standards have the same force and effect as if bound or copied directly into Contract Documents. Applicable construction standards are made a part of the Contract Documents by reference. Where compliance with an industry standard is required, comply with the most current standards in effect as of date of Contract Documents.
- B. Conflicting Requirements: Where compliance with two or more standards is specified, and they establish different or conflicting requirements for minimum quantities or quality levels, the most stringent requirement will be enforced, unless the Contract Documents indicate otherwise. Refer to Owner and IH Consultant any requirements that are different or conflicting; outline the more stringent requirement before proceeding.
- C. Comply with applicable standards including, but not limited to, American National Standards Institute (ANSI) standards and American Society for Testing and Materials (ASTM) standards.

1.11 CODES, REGULATIONS, AND STANDARDS

- A. Adhere to work practices and procedures set forth in applicable codes, regulations and standards related to work. Obtain permits, licenses, inspections, and similar documentation, as well as payments and similar requirements associated with codes, regulations, and standards. Update permits as necessary.
- B. The Contractor shall assume full responsibility and liability for compliance with all applicable Federal, State, and local regulations pertaining to work practices, hauling, disposal, and protection of workers, visitors to the site, and persons occupying areas adjacent to the site. The Contractor is responsible for providing medical examinations and maintaining medical records of personnel as required by the applicable Federal, State, and local regulations. The Contractor shall hold Owner and IH Consultant harmless for failure to comply with any applicable work, hauling, disposal, safety, health or other regulation on the part of himself, his employees, or his subcontractors.
- C. All work performed under this contract shall comply with applicable provisions, including most current versions, and not limited to the listed and all other applicable local, state and federal codes and regulations.
- D. Federal Requirements: which govern asbestos abatement work or hauling and disposal of asbestos waste materials include but are not limited to the following:

OSHA: U.S. Department of Labor, Occupational Safety and Health Administration, including but not limited to:

1. Occupational Exposure to Asbestos, Tremolite, Anthophyllite, and Actinolite; Final Rules

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2. 29 CFR 1910.1001 and 29 CFR Part 1926.1101
3. Respiratory Protection: Title 29, Part 1910, Section 134 of the CFR
4. Construction Industry: Title 29, Part 1926, of the CFR and all related Subparts
5. Access to Employee Exposure and Medical Records: 29 CFR, Part 1910, Section 1020
6. Hazard Communication: Title 29, Part 1910, Section 1200 of the CFR
7. Specifications for Accident Prevention Signs and Tags: 29 CFR Part 1910, Sec. 145

DOT: U. S. Department of Transportation, including but not limited to:

1. Hazardous Material Regulations: Title 49, Part 171-180 CFR

EPA: U. S. Environmental Protection Agency (EPA), including but not limited to:

1. Asbestos Abatement Projects; Worker Protection Rule: Title 40 Part 763, Sub-part G
2. Asbestos School Hazard Abatement Reauthorization Act (ASHARA)
3. Asbestos Containing Materials in Schools Final Rule 40 CFR Part 763, Sub-part E
4. National Emission Standard for Hazardous Air Pollutants (NESHAPS); National Emission Standard for Asbestos, 40 CFR Part 61, Sub-part A, and Sub-part M (Revised Sub-part B)

E. Local Requirements: Abide by all local requirement that govern asbestos abatement work or hauling and disposal of asbestos waste materials.

F. State of New Hampshire Requirements: which govern asbestos abatement work or hauling and disposal of asbestos waste materials include but are not limited to the following:

1. Asbestos Management and Control, N.H. Admn. Rules Ch. Env-A 1800
2. Asbestos Management and Control, N.H. RSA Ch. 141-E
3. Solid Waste Management Act, N.H. RSA Ch. 149-M and N.H.RSA Ch.147-A
4. N.H. Admin. Rules Ch. Env-Sw 400-1200 and 2100-2800; and Env-Hw 100-300

## 1.12 DEFINITIONS

A. General Definitions: Definitions contained in this Section are not necessarily complete, but are general to the extent that they are not defined more explicitly elsewhere in the Contract Documents.

1. Directed: Terms such as "directed", "requested", "authorized", "selected", "approved", "required", and "permitted" mean "directed by Owner's representative", "requested by the "IH Consultant", and similar phrases. However, no implied meaning shall be interpreted to extend the IH Consultant's responsibility into the Contractor's area of construction supervision.
2. Approve: The term "approved," where used in conjunction with the Owner or the IH Consultant's action on the Contractor's submittals, applications, and requests, is limited to the responsibilities and duties of the IH Consultant as indicated in the Contract Documents. Such approval or acceptances do not express or claim any certification of completeness, compliance, or approval of programs and documentation, including but not limited to review of analytical results, historical information, and interpretations. Such approval shall not release the Contractor from responsibility to fulfill Contract Document requirements, unless otherwise provided in the Contract Documents.
3. Furnish: The term "furnish" is used to mean "supply and deliver to the project site, ready for unloading, unpacking, assembly, installation, and similar operations."

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4. Install: The term "install" is used to describe operations at project site including the actual "unloading, unpacking, assembly, erection, placing, anchoring, applying, working to dimension, finishing, curing, protecting, cleaning and similar operations."
5. Provide: The term "provide" means "to furnish and install, complete and ready for the intended use."
6. Installer: An "Installer" is an entity engaged by the Contractor, either as an employee, subcontractor or sub- subcontractor for performance of a particular construction activity, including installation, erection, application and similar operations. Installers are required to be experienced in the operations they are engaged to perform.
7. IH Consultant: This is the entity employed or engaged as industrial hygiene consultant as described in the Contract Documents. All references to Owner's Consultant, Air Monitoring Consultant, or Consultant with regard to asbestos abatement in the Contract Documents in all cases refer to the IH Consultant. The IH Consultant will represent Owner during abatement and until final payment is due. The Owner representative may also constitute other persons representing Owner, other than the IH Consultant or consultant, as indicated by Owner. Owner's instructions to the Contractor will be made directly to the Contractor or forwarded through the IH Consultant.
8. Site Supervisor: This is the Contractor's Representative at the work site. This person will be the Competent Person required by OSHA in 29 CFR 1926 and licensed Site Supervisor/Foreman as required by the State. Provide licensed supervisor at each individual work site during work.

B. Definitions - Asbestos Abatement:

1. Accredited or Accreditation (when referring to a person or laboratory): A person or laboratory accredited in accordance with section 206 of Title II of the Toxic Substances Control Act (TSCA).
2. Adequately Wet: Means sufficiently mix or penetrate with liquid to prevent the release of particulate. If visible emissions are observed coming from the asbestos-containing material, then that material has not been adequately wetted. The absence of visible emissions is not sufficient evidence, or measure, of a material being adequately wet.
3. Air Monitoring: The process of measuring the fiber content of a specific volume of air.
4. Amended Water: Water to which a surfactant has been added to decrease the surface tension to 35 or less dynes.
5. Asbestos: The asbestiform varieties of serpentinite (chrysotile), riebeckite (crocidolite), cummingtonite-grunerite, anthophyllite, and actinolite-tremolite. For purposes of determining respiratory and worker protection both the asbestiform and non-asbestiform varieties of the above minerals and any of these materials that have been chemically treated and/or altered shall be considered as asbestos.
6. Asbestos-Containing Material (ACM): Any material containing greater than 1% of asbestos of any type or mixture of types.

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7. Asbestos-Containing Building Material (ACBM): Surfacing ACM, thermal system insulation ACM, or misc. ACM in or on interior structure or other parts of a building.
8. Asbestos-Containing Waste Material: Any material that is or is suspected of being or any material contaminated with an asbestos-containing material.
9. Asbestos debris: Pieces of ACBM or ACM that can be identified by color, texture, or composition, or means dust, if the dust is determined by an accredited inspector to be ACM or reasonably likely to have asbestos fibers present under conditions present and based on work operations.
10. Authorized Visitor: Owner, the IH Consultant, testing lab personnel, emergency personnel or a representative of any federal, state and local regulatory or other agency having authority over the project.
11. Barrier: Any surface that seals off the work area to inhibit the movement of fibers.
12. Breathing Zone: A hemisphere forward of the shoulders with a radius of approximately 6 to 9 inches.
13. Category I Non-Friable ACM: means ACM packings, gaskets, resilient floor covering, and asphalt roofing products containing greater than 1% asbestos. Also see definition for Regulated ACM.
14. Category II Non-Friable ACM: means any non-friable ACM, except for Category I Non-Friable ACM.
15. Certified Industrial Hygienist (CIH): An industrial hygienist certified in Comprehensive Practice by the American Board of Industrial Hygiene.
16. Critical Barrier: Polyethylene sheeting, typically 6-mil polyethylene sheeting, over windows, doors, and air passageways separating the work area from non work area portions of the building. Critical barriers remain in place until clearance testing or inspections are completed and results meet clearance test criteria.
17. Demolition: The wrecking or taking out of any building component, system, finish or assembly of a facility together with any related handling operations.
18. Disposal Bag: A properly labeled 6 mil thick leak-tight plastic bags used for transporting asbestos waste from work and to disposal site.
19. Contractor: The contractor engaged by Owner to perform asbestos related activities must be licensed by the State, as applicable, and in accordance with NH Admin. Rule Env-A 1800. All workers and site supervisors engaging in asbestos activity must also be trained and licensed in accordance with current State regulations and 40 CFR Part 763 (ASHERA).
20. Encapsulant: A material that surrounds or embeds asbestos fibers in an adhesive matrix, to prevent release of fibers.
  - a. Bridging encapsulant: an encapsulant that forms a discrete layer on the surface of an in situ asbestos matrix.

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- b. Penetrating encapsulant: an encapsulant that is absorbed by the in situ asbestos matrix without leaving a discrete surface layer.
- 21. Encapsulation: Treatment of asbestos-containing materials, with an encapsulant and application of appropriate post removal encapsulant on substrate and containment barriers.
- 22. Enclosure: The construction of an air-tight, impermeable, permanent barrier around asbestos-containing material to control the release of asbestos fibers into the air.
- 23. Excursion Limit: Ensure that no employee is exposed to airborne concentrations of asbestos in excess of 1.0 fibers per cubic centimeter of air (1.0 f/cc) as averaged over a sampling period of thirty (30) minutes, as determined by PCM analysis in accordance with NIOSH Method 7400 and as indicated in 29 CFR Part 1926. Also referred to as the short-term exposure limit, (STEL).
- 24. Friable Asbestos Material: Material that contains more than 1.0% asbestos and that can be crumbled, pulverized, or reduced to powder by hand pressure when dry. This also includes materials which, when subjected to removal methods and other disturbances, may release fibers and dust due to the abatement actions.
- 25. Glovebags: Glovebags for removal of insulation in accordance with 29 CFR Part 1926.
- 26. HEPA Filter: A High Efficiency Particulate Air (HEPA) filter capable of trapping and retaining 99.97% of asbestos fibers greater than 0.3 microns in diameter.
- 27. HEPA Filter Vacuum Collection Equipment (or vacuum cleaner): High efficiency particulate air filtered vacuum collection equipment with a filter system capable of collecting and retaining asbestos fibers. Filters should be of 99.97% efficiency for retaining fibers of 0.3 microns or larger.
- 28. Negative Pressure Respirator: A respirator in which the air pressure inside the respiratory-inlet covering is positive during exhalation in relation to the air pressure of the outside atmosphere and negative during inhalation in relation to the air pressure of the outside atmosphere.
- 29. Permissible exposure limit (PEL): the Contractor shall ensure that no employee is exposed to an airborne fiber concentration of asbestos in excess of 0.1 f/cc of air as an eight (8) hour time-weighted average (TWA) in accordance with 29 CFR Part 1926.
- 30. Personal Monitoring: Sampling of the asbestos fiber concentrations within the breathing zone of an employee.
- 31. Pressure Differential and Ventilation System: A local exhaust system, utilizing HEPA filtration capable of maintaining a pressure differential with the inside of the Work Area at a lower pressure than any adjacent area, and which cleans re-circulated air or generates a constant air flow from adjacent areas into the Work Area.
- 32. Regulated ACM (RACM): RACM means friable ACM, Category I Non-friable ACM that has been rendered friable, Category I ACM that will be or has been subjected to sanding, cutting, grinding, or abrading (abrasive action), or Category II Non-friable ACM that has a high probability of becoming, or has become, crumbled, pulverized, or reduced to powder by the forces expected to act on the material in the course of renovation or demolition operations. Grinding means breaking into small pieces or fragments.

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33. Repair: Returning damaged ACM or ACM to an undamaged condition or to an intact state so as to prevent fiber release.
34. Respirator: A device designed to protect the wearer from the inhalation of harmful atmospheres.
35. Time Weighted Average (TWA): The average concentration of a contaminant in air during a specific time period.
36. Visible Emissions: Any emissions, coming from RACM, ACM, ACBM, asbestos debris or asbestos waste material, which is visually detectable without the aid of instruments. This does not include condensed uncombined water vapor.
37. Waste Shipment Record: Means the shipping document, required to be originated and signed by the waste generator, used to track and substantiate the disposition of Asbestos waste.
38. Wet Cleaning: The process of eliminating asbestos contamination from building surfaces and objects by using clothes, mops, or other cleaning utensils which have been dampened with amended water and afterwards thoroughly decontaminated or disposed of as asbestos-contaminated waste.
39. Work Area: The area where asbestos-related work or removal operations are performed which is defined and/or isolated to prevent the spread of asbestos dust, fibers or debris, and entry by unauthorized personnel. Work area is a Regulated Area as defined by 29 CFR 1926.

1.13 NOTICES

- A. U.S. Environmental Protection Agency: Send proper written notification as required by USEPA National Emission Standards for Hazardous Air Pollutants (NESHAPS) Asbestos Regulations (40 CFR 61, Subpart M) to the regional Asbestos NESHAPS Contact - Reno/Demo Clerk - at least 10 working days prior to beginning any work which will directly or indirectly result in disturbance of asbestos-containing materials. Post notifications at job site.
- B. State and Local Agencies: Send written notification as required by state and local regulations prior to beginning any work on asbestos-containing materials. At least 10 working days prior to the start of work, submit appropriate notification to the New Hampshire Department of Environmental Services, Air Resources Division. Post notifications at job site.
- C. Permits: Obtain all local, state and federal permits necessary to conduct the work of this specification. Obtain water permits as necessary for release of any water originating from the Work. Notify all local emergency agencies of the abatement work to be completed as required. All asbestos containing waste is to be transported by an entity maintaining a current "DOT Common Hauler Permit" specifically for asbestos-containing materials, as required for transporting of waste asbestos-containing materials to a disposal site.
- D. Licenses: Maintain current licenses as required by applicable state and local jurisdictions for the removal, transporting, disposal or other regulated activity relative to the work of this contract. Post all company, supervisor, and worker licenses at work area entrance.

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- E. Posting and Filing of Regulations: Post all notices required by applicable federal, state and local regulations. Maintain at least one (1) copy of applicable federal, state and local regulations and standards at each job site. Post copies of the specification at the job site.
- F. Coordinate with Owner and local fire department authorities the notification and handling of heat and smoke detectors in the work areas, including sealing of detectors during work and removal of seals at the completion of work or shifts.

1.14 SUBMITTAL REQUIREMENTS

- A. Submittal Schedule: Submittals will be provided by the Contractor as specified herein including (1) Preconstruction Submittal Documentation prior to start of work and (2) Project Closeout Submittals within 25 days upon completion of on-site work. Submit ongoing submittals as required herein and as specified by the Owner and IH Consultant. Provide at the job site a copy of all current submittal packages and related documentation. Ongoing submittals will also be submitted during the work as required to update the Pre-construction and Closeout submittals including, but not limited to:

- 1. Schedule or phasing changes, including description and explanations as applicable.
- 2. Proposed alternative work methods. Requests for revisions in work procedures must be approved by the Owner and IH Consultant.
- 3. Updated notifications and permitting.
- 4. Changes to licenses and training records for all personnel at the site
- 5. Other changes or revisions to the submittals.

- B. Submittal Preparation

- 1. Package and furnish to Owner and IH Consultant each submittal appropriately. Submittal packages shall be in a neat and orderly fashion, will include an index, and shall be compiled in the order requested herein. Clearly mark and label all sections of the submittal documents.
- 2. In the event that a submittal package does not meet the requirements herein the submittal may not be accepted and the Contractor will make necessary revisions and re-submit the submittal documents.
- 3. By “approval” or acceptance of submittals, Owner and IH Consultant do not express or claim any certification of completeness, compliance, or approval of programs and documentation, not limited to review of analytical results, historical information, regulatory compliance, and interpretations. Contractor is solely responsible for compliance with Specification and regulatory requirements associated with the work and submittal documentation.

- C. Preconstruction Submittal Documentation

- 1. Provide the following Preconstruction Submittal Documentation prior to the start of each phase of work:
  - a) Notifications: Copies of EPA, State, and local notifications.

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- b) Waste Hauler and Landfill Permits and notifications. Submit names, address, and licenses/permits for the waste hauler(s) and disposal facilities.
- c) Names, addresses, experience, and references for any subcontractors the Contractor proposes to utilize for Work. Indicate if any asbestos workers or supervisors to be used for Work are subcontracted labor.
- d) Names and 24-hour phone numbers/pagers for Project Supervisor and other key personnel for the Contractor. Post emergency contact information at Decontamination Unit entrance.
- e) List of personnel to be on-site. Copies of all company, supervisor, and worker licenses, training and certifications required in accordance with this Specification.
- f) Notarized Certifications: Submit notarized certification signed by an officer of the Contract stating that exposure measurements, respiratory protection programs, medical surveillance, worker training, and recordkeeping has and will be completed and maintained during the Work for all involved personnel in accordance with 29 CFR Part 1926 and other applicable State and federal regulations.
- g) Certify the dates for primary and secondary HEPA filter changes for all negative air units.
- h) Level of respiratory protection anticipated for each operation required by the project. Include supporting documentation of previous exposure monitoring on a sufficient number similar project and operations in accordance with OSHA requirements.
- i) Detailed schedule and phasing, containment layouts, and summary of approach; detail of any special work procedures or methods to be used if not included or addressed in the abatement specification.
- j) Safety Data Sheets: for all materials to be used on-site not limited to encapsulants, spray adhesives, and other related work material. Note: It is Contractor's responsibility to notify all other contractors and parties in accordance with applicable OSHA hazard communication regulations.
- k) Contingency Plan: Prepare a site-specific contingency plan for emergencies including fire, accident, power failure, pressure differential system failure, supplied air system failure, or any other event that may require modification or abridgement of decontamination or work area isolation procedures. Include in plan specific procedures for decontamination or work area isolation. Note that nothing in this specification should impede safe exiting or providing of adequate medical attention in the event of an emergency. The emergency contingency plan must be in accordance (meet or exceed the requirements of) with applicable OSHA requirements.
- l) Other submittals required by the Contract Documents or as indicated by Owner.

D. Closeout Submittals

1. The following Closeout Submittals will be provided upon substantial completion of Work.

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- a) Copies of all daily logs in accordance with Section 1.9 Project Coordination of this specification;.
- b) A copy of each waste shipment record, hazardous waste manifest, and chain-of-custody form, signed by the transporter and disposal facility operator, indicating that waste was packaged and disposed of properly. Include a description of any temporary storage facilities used including, dates, times, and locations of temporary storage. Note: In accordance with NESHAPS, submit all waste shipment documentation within 35 days from transport of waste from the site (provide interim submittals during the work as needed to comply with federal regulations). Note: copies of waste shipment records in progress shall also be provided to IH Consultant and Owner immediately upon removal of waste from site.
- c) Complete copy of all revisions and changes to the Pre-Construction Submittals.
- d) Copy of other written construction documents such as Change Orders and work modifications issued in printed form during construction. Mark these documents and a site drawing to show the work completed and to show substantial variations in actual work performed in comparison with the text of the Specifications and modifications.

#### 1.15 AIR MONITORING

- A. Ambient Area Air Monitoring: The Owner's IH Consultant may monitor ambient area airborne fiber counts in and around the Work Area. The purpose of this air monitoring will be to detect airborne asbestos concentrations that may challenge the ability of the Work Area isolation procedures to protect the balance of the building or outside of the building from contamination by airborne fibers and to monitor concentrations outside the containment or work area perimeter.
- B. Clearance Air Monitoring: Refer to Work Area Clearance section of this specification.
- C. If any air sample taken outside of the Work Area exceeds 0.010 f/cc, immediately and automatically stop all work except corrective action necessary to address elevated concentrations if it is determined that the elevated concentration are or may likely be the result a deficiency of the Contractor's work; initiate the following actions:
  - a) Erect additional critical barriers to isolate the affected area
  - b) Install HEPA filtration negative air units in affected area
  - c) Decontaminate the affected area in accordance with appropriate cleaning procedures.
  - d) Require that respiratory protection and personal protective equipment is used in affected area until area is cleared for re-occupancy in accordance with the work area clearance requirements.

Complete corrective work with no change in the Contract Price or Sum if high airborne fiber counts were caused by Contractor activities.

- D. Analytical Methods: Owner reserves the right to use either phase contrast microscopy (PCM) and/or transmission electron microscopy (TEM) to analyze air samples. PCM analysis will be performed using the NIOSH 7400 method at the job site or at an off-site laboratory. TEM may also be used as Owner deems necessary for ambient area air samples using the analysis method as determined by IH Consultant. Also see Work Area Clearance section.

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E. Schedule of Air Samples

1. Prior to the start of work: The IH Consultant may collect air samples to establish a base line before start of work. Base line is an action level expressed in fibers per cubic centimeter that is twenty-five percent greater than the largest of the following:
  - a) Average of the PCM samples collected outside each Work Area
  - b) Average of the PCM samples collected outside the building
  - c) And 0.010 f/cc
2. Daily: From start of work involving Temporary Enclosures through the work of Project Decontamination, IH Consultant may be collecting samples during the Work, including but not necessarily limited to:
  - a) At HEPA Exhaust areas
  - b) Non work-area portions of the building
  - c) At entrance to the Decontamination Unit
  - d) Outside the building
  - e) Clearance sampling: See the Air Clearance Requirements.

F. Laboratory Testing:

1. The services of a testing laboratory will be employed by Owner to perform laboratory analyses of the air samples. Samples will be sent overnight on a daily basis, so that verbal reports on air samples can be obtained within 24 hours. Results of all air monitoring tests will be available at the job site on a daily basis.

G. OSHA Monitoring and Additional Testing:

1. Additional Testing: The Contractor may conduct his own air monitoring and laboratory testing. If he elects to do this the cost of such air monitoring and laboratory testing shall be at no additional cost to Owner.
2. OSHA Compliance and Ambient Area Monitoring: Contractor must provide for collection and laboratory analysis services of Contractor's OSHA personal exposure samples, including daily TWA and STEL monitoring for asbestos and other contaminants resulting from the Work, including but not limited to carbon monoxide, volatile organic compounds, and chemical exposures.

1.16 TEMPORARY FACILITIES

- A. General: Provide temporary connection to existing building utilities or provide temporary facilities as required to complete work. Owner must approve all connections to utilities and facility components. Provide temporary portable water and power sources for all exterior work as indicated and coordinated with Owner, as applicable.

B. Water Service:

1. Temporary Water Service Connection: Provide hot and cold water to the Work Area. Provide a qualified and experienced licensed plumber as necessary to complete all water service work in conformance with applicable building codes and regulations.

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2. All connections to the Owner's water system shall include back-flow protection. Monitor for leaks and repair or replace as needed.
3. Water Hoses: Employ suitable heavy-duty abrasion-resistant hoses to provide water into each work area and to each Decontamination Unit.

C. Electrical Service:

1. General: Provide a qualified and experienced licensed electrician to complete all electrical service work. Comply with applicable OSHA, NEMA, NECA, UL and other industry standards and governing regulations for materials and layout of temporary electric service. Provide adequate temporary power to the Work Area sized and equipped to accommodate all electrical equipment required for completion of the work and related testing and inspections. Provide temporary electrical panel as needed sized and equipped to accommodate all electrical equipment and lighting required by the work. Connect temporary panel to existing building electrical system. Protect with circuit breaker or fused disconnect. Locate temporary panel outside of the work area and in a location acceptable to Owner. Equip all circuits for any purpose entering Work Area with ground fault circuit interrupters (GFCI).
2. Lamps and Light Fixtures: Provide appropriate temporary work area lighting. Protect lamps with guard cages or tempered glass enclosures where fixtures are exposed to breakage by construction operations.

D. First Aid: Comply with governing regulations and recognized recommendations within the construction industry. Provide appropriate first aid supplies.

E. Fire Extinguishers: Provide appropriate fire extinguishers for temporary offices, storage, work areas and other portions of the site occupied or used by Contractor for the work.

F. Execution: Use qualified tradesmen for installation of temporary services and facilities. Locate temporary services and facilities where they will serve the entire project adequately and result in minimum interference with the performance of the Work. Coordinate all such work with Owner. Require that tradesmen be licensed as required by local authorities. Relocate, modify and extend services and facilities as required during the course of work so as to accommodate the entire work of the project.

#### 1.17 PRESSURE DIFFERENTIAL AND AIR CIRCULATION SYSTEM

- A. Continuously monitor and record the pressure differential between the Work Area and the building outside of the Work Area. Maintain accurate records of time and locations of testing on-site and in daily logs.
2. HEPA Filtered Fan Units: Supply the required number of HEPA filtered fan units to the site in accordance with these specifications. Units must meet the requirements of all applicable regulations and standards.

#### 1.18 WORKER PROTECTION

- A. Comply with respiratory protection requirements as specified in this specification and applicable regulations. Provide worker protection as required by the most stringent OSHA and/or EPA

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regulations and industry standards applicable to the work. The following procedures are minimum requirements to be adhered to regardless of fiber concentrations in the Work Area.

B. Worker Training

1. AHERA Accreditation: All workers are to be accredited as Abatement Workers as required by the AHERA regulation 40 CFR 763 Appendix C to Subpart E, April 30, 1987. All training must be current including current annual refresher training.
2. Train all supervisors and workers in accordance with EPA NESHAPs and 29 CFR Part 1926 (OSHA) for asbestos and other hazards anticipated during the work. All workers and supervisors must be licensed and certified as required by applicable State regulations.

C. Medical Examinations: Provide medical examinations for all workers who will enter the Work Area for any reason in accordance with OSHA requirements as set forth in 29 CFR 1926 and 29 CFR 1910.20.

D. Protective Clothing

1. Coveralls: Provide cloth full-body coveralls and hats, and require that they be worn by all workers in the Work Area. Require that workers change out of coverall in the Equipment Room of the Personnel Decontamination Unit. Dispose of used coverall as asbestos waste.
2. Other: Provide other personal protective equipment as required by OSHA regulations and industry standards, not limited to: hard hats, eye protection (goggles), gloves, fall safety, and footwear.

E. Entering Work Area: Each time Work Area is entered, remove all street clothes in the changing (clean) room of the personnel decontamination unit and put on new disposable coverall, new head cover, and a clean respirator. Proceed through shower room to equipment room and put on work boots. Only properly licensed/certified personnel shall enter the decontamination unit and work area. All personnel entering the work area must post their State license at the decontamination unit entrance.

F. Decontamination Procedures: Require all workers to adhere to the following personal decontamination procedures whenever they leave the Work Area:

1. HEPA vacuum all gross debris from the protective clothing prior to entering the equipment room of the decontamination unit. When exiting area, remove disposable coveralls, disposable head covers, and disposable footwear covers or boots in the equipment room.
2. Still wearing respirators, proceed to showers. Showering is mandatory. Care must be taken to follow reasonable procedures in removing the respirator to avoid asbestos fibers while showering. The following procedure is required as a minimum:
3. Carefully wash face piece of respirator inside and out. Each worker leaving the work area must shower completely with soap and water. Rinse thoroughly. Proceed from shower to clean room and change into street clothes or into new disposable work items.

G. Within Work Area: Require that workers NOT eat, drink, smoke, chew tobacco or gum, or apply cosmetics in the Work Area. Maintain proper use of personnel protective equipment.

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- H. Respiratory Protection: Provide sufficient respiratory protection in accordance with applicable OSHA requirements in addition to ANSI and NIOSH standards. Select proper level of protection based on personnel exposure monitoring and the applicable OSHA Permissible Exposure Limits. Require that respiratory protection be used at all times that there is any possibility of disturbance of asbestos-containing materials whether intentional or accidental.
1. Instruct and train each worker for proper respirator use in accordance with OSHA and other applicable industry standards. Require that a respirator be worn by anyone in a Work Area at all times, regardless of activity, until the area has been cleared for re-occupancy.
  2. Provide and complete all necessary fit testing for respiratory protection in strict accordance with applicable OSHA regulations.
  3. In the event that applicable OSHA PEL's (8-hour TWA and 30-minute STEL) are exceeded, stop work. Do not recommence work until work procedures, including use of engineering controls, are modified to maintain exposures within the acceptable PEL's.
- I. Complete all lock-out and tag-out of power and air handling systems within the Work Area in accordance with OSHA regulations. Coordinate all lock-out and tag-out with Owner.

#### 1.19 TEMPORARY ENCLOSURES

- A. Work areas are to be considered contaminated during the work and shall be completely isolated from other locations such that asbestos fibers cannot pass through or beyond the perimeters of the work area and into non work areas. Should areas beyond the work area become contaminated with asbestos as a result of the Contractor's work, the Contractor shall be responsible for cleaning non-work areas as required. All costs including cleaning, decontaminating, monitoring and testing shall be borne by the contractor.
- B. Contractor shall construct temporary containment enclosures in each work area. Prior to proceeding with ACM abatement coordinate and complete inspections of the work area with the IH Consultant. Proceed with work sequentially as listed or indicated.
- C. Disable ventilating systems or any other system bringing air into or out of the Work Area. Disable system by disconnecting wires, removing circuit breakers, by lockable switch or other positive means that will prevent accidental premature restarting of equipment as approved by Owner.

### PART 2 - PRODUCTS

#### 2.1 PRODUCTS

- A. Provide new or used materials and equipment that are undamaged and in serviceable condition. Provide only materials and equipment that are recognized as being suitable for the intended use and in strict compliance with appropriate standards. Do not bring products, materials, and equipment to the Owner's site or Owner work areas that are damaged or contain construction or potential contaminated debris.
- B. Warning Signs, Caution Signs and Demarcation: Provide all demarcation, warning signs, caution signs, and other postings required for the work and in accordance with State and federal codes and regulations.

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- C. Polyethylene Sheet: A single polyethylene film in the largest sheet size possible to minimize seams, in 6.0 mil thickness, clear or black as indicated.
- D. Duct Tape: Provide duct tape in 3" widths with an adhesive, which is formulated to stick aggressively to sheet polyethylene.
- E. Spray Cement: Provide spray adhesive in aerosol cans which is specifically formulated to stick tenaciously to sheet polyethylene.
- F. Foam Pack: Provide foam pack for sealing small crevices and cracks at critical barriers as required. All foam pack must be approved by Owner and local authorities, not limited to the Fire Department.
- G. Scaffolding: Provide all scaffolding, ladders and/or staging, etc. as necessary to accomplish the work of this contract. Scaffolding may be of suspension type or standing type such as metal tube and coupler, tubular welded frame, pole or outrigger type or cantilever type. The type, erection and use of all scaffolding shall comply with all applicable OSHA provisions. Equip rungs of all metal ladders, etc. with an abrasive non-slip surface. Provide a nonskid surface on all scaffold surfaces subject to foot traffic.
- H. First Aid Supplies: Comply with governing regulations and recognized recommendations within the construction industry.
- I. Fire Extinguishers: Provide Type "A" fire extinguishers for temporary offices and similar spaces where there is minimal danger of electrical or grease-oil-flammable liquid fires. In other locations provide type "ABC" dry chemical extinguishers, or a combination of several extinguishers of NFPA recommended types for the exposures in each case.
- J. Wetting Materials: For wetting prior to disturbance of ACM use amended water: Provide water to which a surfactant has been added. Use a mixture of surfactant and water which results in wetting of the Asbestos-Containing Material and retardation of fiber release during disturbance of the material equal to or greater than that provided by the use of one ounce of a surfactant consisting of 50% polyoxyethylene ester and 50% polyoxyethylene ether mixed with five gallons of water.
- K. Encapsulant: Provide suitable encapsulant material intended by manufacturer for the treatment of asbestos and ACM. Provide SDS and manufacture information for products to be used. Ensure that all encapsulant to be applied is suitable for the substrate and condition thereof and is compatible with replacement materials to be installed by Contractor or Owner following the Work.
- L. Disposal Bags: Provide 6 mil thick leak-tight polyethylene bags labeled as required by applicable sections of this Specification and federal and state regulations.
- M. Fiberboard Drums of Equivalent: Provide sufficient quantity of fiberboard drums or equivalent (as determined by IH Consultant) for packaging of wire mesh and other contaminated materials with sharp or rough edges.
- N. Disposal Bag/Container Labels and Signs: Provide leak-tight waste bags or containers for disposal of asbestos-containing materials with labels in accordance with OSHA, EPA, and the latest revisions to the US Department of Transportation requirements, not limited to material identification number (#NA2212), material packaging group (PGIII), and labels. Warning labels will also include:

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Legend:

DANGER  
CONTAINS ASBESTOS FIBERS  
AVOID CREATING DUST  
CANCER AND LUNG DISEASE HAZARD

1. In accordance with NESHAPS, label each waste bag with the name of the waste generator and address where the material was generated. Include the Contractor name and address on each label also. Attach label in a sufficient manner such that they are properly sealed to or on the containers.
  2. Label all waste bags, containers, and transport vehicles as required by applicable U.S. Department of Transportation Rules and Regulations.
- O. Coveralls: Provide disposable full-body coveralls and head covers in accordance with State and federal regulations. Provide a sufficient number for all required changes, for all workers in the Work Area. Provide sufficient number for use by IH Consultant.
- P. Other PPE: Provide other personal protective equipment as required by OSHA regulations and industry standards, not limited to: hard hats, eye protectives, gloves, and footwear.
- Q. Respiratory Protection: Provide respiratory protection in strict accordance with ANSI Z88.2 - 1992 "Practices for Respiratory Protection" and 29 CFR 1926 and 1910.134. The respirators will be sanitized and maintained in accordance with manufacturer's specifications and recommendations. Provide sufficient respiratory protection based on applicable ANSI and NIOSH standards. Select proper level of protection based on personnel exposure monitoring and the applicable OSHA Permissible Exposure Limits. Use only respirators and filter that are NIOSH-approved for use with asbestos and other atmospheres anticipated during the work.
- R. Solvents: Provide appropriate solvent materials to aid in the removal of flooring materials and mastics. Such solvent materials should be "low-odor" rated and all SDS's shall be submitted to the Owner for review prior to storing or using such materials at the job site. Contractor is solely responsible for all environmental and worker protection precautions required for the safe use, clean-up, and disposal of such materials. Additional air testing (area and personal exposure monitoring) must be completed by the Contractor (at no additional cost to the Owner) depending on the solvents to be used and as necessary to ensure a safe environment for site workers and adjacent public. Coordinate with Owner as necessary to assure compatibility with replacement materials prior to installation of solvents and coordinate special cleaning efforts with Owner for replacement issues in accordance with manufacturer's guidelines and flooring industry standards. Contractor shall sufficiently wash and clean all floor areas where solvent is used. Conduct necessary wash, dry, and air change sequences to eliminate residual solvent odors and residual. Note: Charcoal pre-filters will be required on all HEPA exhaust/filter equipment during use of solvents.
- S. Construction Materials: Provide other construction materials such as plywood, strapping, studs, other related abatement materials, etc., as required to complete the work in accordance with this Specification.
- T. All necessary testing and monitoring equipment as applicable to complete work, including but not limited to gas detection equipment, manometers, exposure sampling equipment.

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2.2 WATER SERVICE

- A. Provide water service as necessary to complete Work in accordance with applicable local, state, and federal building codes and regulations.

2.3 ELECTRICAL SERVICE

- A. Provide electric service as necessary to complete Work in accordance with applicable local, state, and federal building codes and regulations.

2.4 PRESSURE DIFFERENTIAL AND FILTRATION

- A. General: Supply the required number of HEPA filtered negative air fan units to the site in accordance with this Specification, industry standards, and applicable State and federal requirements. Use fan units that are intended for asbestos abatement as stated by the manufacturer. Provide HEPA filters that are individually tested and certified by the manufacturer to have an efficiency of not less than 99.97 percent when challenged with 0.3 um dioctylphthalate (DOP) particles or equivalent when tested in accordance with Military Standard Number 282 and Army Instruction Manual 136-300-175A. Provide filters that bear a UL586 label to indicate ability to perform under specified conditions.
- B. Pre-filters: which protect the final filter by removing the larger particles, are required to prolong the operating life of the HEPA filter. Two stages of pre-filtration are required.
- C. Provide appropriate charcoal pre-filters during all work involving use of solvents to minimize odors. Allow HEPA units to run for a sufficient period of time after use of solvents to allow for adequate number of air changes and filtration to adequately dilute odors.
- D. Safety and Warning Devices: Provide units with the appropriate safety and warning devices including but not limited to missing or failure of HEPA filter, automatic shut down in the event of filter rupture or blockage, operating status indicator lights, and audible alarms.

2.6 AUXILIARY GENERATOR

- A. Provide adequate, suitable alternative power with a capacity adequate to power a minimum of 50% of the HEPA filtered fan units in operation at any time during the work as needed for emergency use and backup.

PART 3 – EXECUTION

3.1 TEMPORARY ENCLOSURES

- A. Control Access: Isolate the Work Area to prevent entry by building occupants and the public into Work Area. Notify the Owner of all doors and other openings that must be secured to isolate Work Area. Maintain safety access to stairwells and building exits. Construct work area containments and isolation barriers as required allowing for Owner operations and as approved by Owner.
  - 1. Secured Access: Arrange Work Area so that the only access into Work Area is through securable doors to personnel and equipment decontamination units.



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3. Vent HEPA negative air ventilation units to outside of building. Ensure adequate security and weather tight seals at each exhaust point.
4. Provide a differential pressure meter or manometer to demonstrate the required pressure differential at every barrier separating the Work Area from the balance of the building or outside. Provide continuous manometer measurements and printouts for all work performed adjacent to public occupied spaces if such spaces are occupied during the work.
5. Start fan units before beginning work involving disturbance of ACM or debris and run units continuously to maintain a constant pressure differential and air circulation until decontamination of the work area is complete and the air clearance criteria has been met.
6. At completion of abatement work, allow fan units to run as specified under Project Decontamination requirements, to remove airborne fibers that may have been generated during abatement work and cleanup and to purge the Work Area with clean makeup air.

D. Pre-Clean and Other Preparation Work Area:

1. Complete the following after installation of (1) critical barriers, (2) pressure differential/air filtration systems, and (3) decontamination facilities as indicated below and in other Specification Sections.
  - a) Pre-clean all work area surfaces, fixtures, and equipment using HEPA vacuums and wet wiping.
  - b) Seal non-removable fixtures and equipment with polyethylene sheeting. Provide a minimum of 12" of overlap, sealed with spray adhesive and duct tape on both flap ends, on all joints in the barriers. Do not damage materials and items to be covered.
2. Provide and install transparent inspection windows in the containment barriers as indicated by the IH Consultant. Maintain inspection window clean of debris to allow for inspection of work in progress.
3. Complete other preparation work as necessary to allow for complete precleaning and allow for installation of containment barriers.

E. Primary Barrier:

1. Do not install primary barriers until all work area surfaces have been pre-cleaned using wet cleaning and HEPA vacuuming.
2. Protect building and other surfaces in the Work Area from damage from water and high humidity or from contamination from asbestos-containing debris, slurry or high airborne fiber levels by covering with a primary barrier as described below. Coordinate with Owner to prevent adequate ventilation to space and equipment that requires air ventilation.
3. Primary Barrier Sheet Plastic: Protect floor surfaces with a minimum of 2 layers of 6-mil plastic sheeting on floors. Provide additional floor protection as required to prevent damage to carpets and other existing flooring surfaces to remain after construction. Protect all existing wall, ceiling, fixed equipment, and other building surfaces with a minimum of 1 layer of 6-mil plastic sheeting in addition to critical barrier systems.

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- a) For work areas with abatement limited to nonfriable flooring only, provide a minimum of 48" (extending up from the floor) polyethylene sheeting barrier as a splash-guard.
  - b) In all cases provide additional barriers and covering as needed to protect building surfaces from damage during the work.
4. Provide a minimum of 12" of overlap, sealed (poly-to-poly) with spray adhesive and duct tape on both flap ends, on all joints in the barriers. Extend floor sheeting up adjoining walls a minimum of 18 inches. Do not place seams at, or within 18" of any wall, ceiling, or floor joints. Stagger all joints by at least 18 inches. Wall and vertical surface poly shall extend over floor sheeting such that floor sheeting extends up the wall and is covered by the wall sheeting overlap.
  5. Protect all existing building surfaces and fixed equipment/items, also including non-ACM insulations in the work areas, with a minimum of 2 layers of 6-mil plastic sheet as required to maintain existing conditions and to prevent contamination, water damage, or other damages due to the work. Provide a minimum of 12" of overlap, sealed with spray adhesive and duct tape on both flap ends, on all joints in the barriers.
- F. Seal all ducts and equipment with primary barriers. Isolate and shut down air systems in work area during abatement. Isolate all exterior intakes sufficiently from HEPA exhaust points. Ventilation units and ductwork shall be fully sealed with polyethylene sheeting.
- G. Stop Work: If the Critical or Primary Barrier fails or is breached in any manner stop work immediately and repair the breach as required. Do not start work until authorized by the IH Consultant. Any contamination and/or suspect contamination, as determined by Owner and the IH Consultant, resulting from a breach in the barriers or other neglect by the Contractor shall be thoroughly abated in accordance with this Specification at no additional cost to Owner.
- H. Decontamination Units
1. Provide personnel and equipment decontamination facilities in accordance with State and OSHA regulations and require that the personnel decontamination unit be the only means of ingress and egress for the Work Area (for personnel, waste, equipment and other related items). Provide portable shower units, with continuous dedicated water source, sufficient for personnel decontamination in accordance with State and OSHA regulations, and cascaded filter units on drain lines from showers or any other water source carrying asbestos-contaminated water from the Work Area. Provide units with disposable filter elements as indicated below. Connect so that discharged water passes primary filter and output of primary filter passes through secondary filter and final filter.
    - a) Primary Filter - Passes particles 20 microns and smaller
    - b) Secondary Filter - Passes particles 10 microns and smaller
    - c) Final Filter - Passes particles 5 micron and smaller
  2. Do not discharge filtered water unless testing and permitting has been completed as applicable in accordance with State and local requirements.
  3. Clean debris and residue from inside of Decontamination Units on an ongoing basis.
  4. Post an asbestos warning sign at the entrance of the decontamination unit.

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5. Adequately secure door to entrance of decontamination unit at the completion of each shift.

I. Containment Locations

1. Construct and install containment barriers around each work area as coordinated and indicated by Owner and IH Consultant. Do not allow containment location and installation to inhibit access and adequate airflow to all other areas of the building and mechanical equipment. Coordinate with Owner the isolation of mechanical equipment in the work area.

3.3 REMOVAL OF ASBESTOS-CONTAINING MATERIALS

- A. Inspections: Prior to commencing with ACM removal or other ACM disturbance, each individual work area must pass an inspection by the IH Consultant. If deficiencies are observed, immediate correct in a manner satisfactory to IH Consultant.
- B. Maintain all work area isolation and controls during work of this section. The Contractor shall conduct ongoing inspections of the work area, adjacent areas and surrounding areas beneath, as applicable, for containment breaches, leaks or other containment failures. In the event breeches or potential breeches are identified, immediately repair the containment barriers as needed and complete all clean up and decontamination work.
- C. Secondary Barrier: Over any floors and surfaces beneath ACM to be removed in the work areas, install as a drop cloth a clear 6-mil sheet plastic in all areas where asbestos removal work is to be carried out. Completely cover floor with sheet plastic. Install Secondary Barrier at the beginning of each work shift. Install only sufficient plastic for work of that shift. Remove Secondary Barrier at end of each work shift or as work in an area is completed. Carefully pack in disposal bags
- D. Wet Removal and Waste Packaging - General:
  1. Thoroughly wet ACM to be removed or otherwise disturbed prior to disturbance, stripping and/or tooling to reduce fiber dispersal into the air. Maintain materials as adequately wetted during Work and as required by NESHAPS. Accomplish wetting by a fine spray (mist) of amended water. Saturate material sufficiently to wet to the substrate without causing excess dripping. Allow time for amended water to penetrate material and seams thoroughly. Spray material repeatedly during the work process to maintain a continuously wet condition.
  2. Where necessary, carefully remove ACM while simultaneously spraying amended water to minimize dispersal of asbestos fibers into the air. Mist work area continuously with amended water whenever necessary to reduce airborne fiber levels. Do not allow ACM to dry out. As it is removed, simultaneously pack material into appropriate asbestos waste disposal bags/containers. For waste bags, twist neck of waste bags, bend over and seal with minimum three wraps of duct tape. Clean outside of packaging and move packaged waste to the equipment decontamination unit for further cleaning and waste re-packaging. Once in equipment decontamination unit and cleaned, repackage waste in 2<sup>nd</sup> waste bag and seal as indicated above.
  3. Continuously clean excess water using wet wiping and HEPA vacuuming such that excess water build up on the floor and other containment surfaces does not occur and so that water does not leak or migrate outside of the work area.
  4. Use work procedures that result in 8-hour TWA and STEL airborne fiber counts less than the required limits established by OSHA and as described herein. If airborne fiber counts exceed

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this level immediately mist the area with amended water to lower fiber counts and revise work practices and engineering controls to maintain level within the required limits.

- E. Other Safety: As applicable, comply with all appropriate safety procedures during Work in accordance with industry standards and all applicable OSHA regulations including but not limited to: confined space work safety procedures in accordance with 29CFR Part 1910.146; proper personal protective equipment; worker safety training and written programs per current OSHA requirements; fall protection; lockout tag out; and take precautions to avoid burns and heat stress when working in areas of hot equipment and excessive heat as applicable.
- F. Floor Tile and Mastics:
1. Do not render the materials friable and use care not to break ACM into small fragments during removal. Friable removal requires full containment barriers on all wall, floor, and ceiling surfaces. Mechanical grinding or bead blasting methods are prohibited unless specifically approved in writing by Owner and Owner's IH Consultant.
  2. Ensure ACM, carpet and associated materials remains adequately wetted. Remove carpet covering ACM, as applicable, within negative pressure enclosure as indicated in Section 3.2. Carpet that has been in contact with ACM may be disposed of as general construction waste as long as no ACM or suspect debris is attached to carpet. Carpet that has ACM or suspect debris adhered to it shall be packaged and disposed of as asbestos waste. Remove cove base material in areas of flooring abatement and carpet removal (cove base mastic to remain). The ACM will be removed by hand scrapers and will not be allowed to dry out during removal and packaging. As removed, the ACM will be simultaneously packed while still wet into corrugated boxes or burlap bags and then sealed shut. The boxes/bags will then be sealed and placed into proper disposal bags. The necks of the disposal bags will be twisted, bent over and sealed with minimum three wraps of duct tape. Caution will be used to protect the bags and wrapping from tears and rips due to sharp edges.
  3. Coordinate with Owner as necessary to assure compatibility with replacement materials prior to installation of solvents and coordinate special cleaning efforts with Owner for replacement issues in accordance with manufacturer's guidelines and flooring industry standards. Mastic on concrete shall be removed using a suitable solvent and manual scraping/brushing, wet wiping, and HEPA vacuums. Do not use solvents on any wood or other porous substrates. Do not allow solvent to leak out of the work area or seep into floor or wall cracks, and take precautions to prevent solvent from entering cracks and/or crevices in the concrete and wall/floor joints. All waste will be packaged into appropriate waste containers. Residue on the floor will be removed with stiff-bristle-nylon hand brush. This work will be repeated until all visible debris has been removed from substrate. In areas with solvent use, as requested by the Owner, leave adequate air filtration and pressure differential systems in continuous operation for at least 24 hours after the air clearance criteria has been met to allow for ventilation of odors.
  4. As applicable and possible, provide adequate inspection of the building spaces below areas of floor removal to detect, prevent and correct damage from liquids that escape the work area. Adequately wash all floor substrates and other building surfaces following abatement and clearance testing using an appropriate cleaner and water as needed to clean residual film and minimize residual odor. Do not damage remaining finishes and substrates and do not use excessive water. Package waste as asbestos waste.

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3.4 INITIAL CLEAN-UP WORK

- A. Once gross removal is completed, clean all visible debris on the substrate and containment barriers using HEPA vacuums, scrub brushes, and wet-wiping. Do not allow materials to dry out. As material is removed and clean-up is completed, simultaneously pack wetted material into proper waste disposal bags or package as noted above. For waste bags, twist the neck of the bags, bend the neck over, and seal with a minimum of three wraps of duct tape. Clean the outside of the bags with wet wiping and HEPA vacuum and move to the wash down station in the Equipment Decontamination Unit. Once washed clean, place the clean disposal bags into a second asbestos disposal bag and seal the bag in the same manner as the first. Bags will then be transported from the work area to the asbestos waste dumpster. Note: Waste dumpster must remain labeled and locked at all times when loading is complete or idle.
- B. Label waste dumpsters in accordance with 29 CFR 1910.145: Legend

DANGER  
ASBESTOS DUST HAZARD  
CANCER & LUNG DISEASE HAZARD  
AUTHORIZED PERSONNEL ONLY

- C. Change all filters on the pressure differential systems and properly dispose of as asbestos waste. Maintain adequate filtration and pressure differential during all filter changes.

3.5 PROJECT DECONTAMINATION

- A. Work of This Section includes the decontamination of air and surfaces in the Work Area which has been, or may have been, contaminated by the elevated airborne asbestos fiber levels generated during abatement activities, or which may previously have had elevated fiber levels due to ACM in the space.
1. First Cleaning
    - a) Carry out a first cleaning of all surfaces of the work area including items of remaining sheeting, tools, scaffolding and/or staging by use of damp- or wet-cleaning and mopping, and HEPA vacuuming. Do not perform dry dusting or dry sweeping. Use each surface of a cleaning cloth one time only and then dispose of as contaminated waste. Continue this cleaning until there is no visible debris from the substrate and other work area surfaces.
    - b) At the completion of the above cleaning Contractor Supervisor shall visually inspect all work area surfaces. Re-clean if any dust, debris, etc. is found. Inspect the area and if any debris or dust is found, repeat the cleaning. Continue this process until no debris dust or other material is found while sweeping of all surfaces with forced air equipment (important: forced air sweeping to be used only in full containment work areas).
    - c) Remove and replace all negative air unit pre-filters, dispose of used filters as asbestos waste.
  2. Second and Third Cleaning
    - a) At the completion of the first cleaning and Contractor inspection, carry out a second cleaning of all surfaces in the work area in the same manner as the first cleaning. For containments with multiple layers of polyethylene sheeting on floors, remove top layers of

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sheeting on the floor leaving one layer of the primary barrier remaining. Clean newly exposed areas as outlined above and dispose of removed sheeting as asbestos waste.

- b) Carry out a third cleaning of all surfaces in the same manner as the first cleaning. Change filters on pressure differential systems and properly dispose of as asbestos waste. Allow for sufficient settling period prior to clearance testing. Complete additional cleaning as required and until no visible dust or debris is present.
- B. Visual Inspection: After completion of above cleaning and Contractor's own visual inspection, The IH Consultant shall perform a visual inspection for debris from any sources, residue on surfaces, dust or other matter in the Work Area to confirm the Contractor's inspection findings.
1. For full containment work areas, during visual inspection sweep entire work area including walls, ceilings, ledges, floors, and other surfaces in the room with exhaust from forced air equipment (leaf blower with approximately 1 horsepower electric motor or equivalent).
  2. IH Consultant Visual inspection is complete when the area is visually clean, and no debris, residue, dust or other material is found. If any debris, residue, dust or other matter is found repeat Contractor cleaning and the IH Consultant Visual Inspection.
  3. Encapsulation of substrate: After successful final visual inspection, perform encapsulation of substrate as approved by Owner using suitable encapsulant material. Coordinate with Owner to ensure compatibility with replacement materials and fire retardant ratings for the surfaces to be encapsulated. Do not allow overspray to damage other surfaces, materials and equipment in the work area and do not allow overspray and build up or pooling of encapsulant.
- C. Clearance Testing: Air clearance sampling will be conducted by the IH Consultant. See Work Area Clearance section. Air clearance testing will not be completed until the work area passes visual inspection, has had adequate air changes, and sufficient time for surfaces to adequately dry.
- D. Removal of Work Area Isolation: Complete only after the work area clearance sections have been met and verified by the IH Consultant. Remove all Primary Barrier sheeting and equipment decontamination unit(s), leaving only: critical barriers, personnel decontamination unit, and operational pressure differential/air filtration systems. Properly dispose of sheeting as asbestos-waste. Use care to prevent damage to building surfaces and materials during teardown. All damages to surfaces and materials shall be repaired by Contractor unless otherwise noted and agreed to in writing by Owner.
1. Re-inspect all work area surfaces and adjacent areas for any dust and debris that may have originated from the work. Clean all surfaces using HEPA-vacuums and wet-wiping as required and until all surfaces are clean of visible debris. Shut down and remove the Pressure Differential System. Seal HEPA filtered fan units, HEPA vacuums and similar equipment with 6 mil polyethylene sheet and duct tape to form a tight seal at intake end before being moved from Work Area.
  2. Remove personnel decontamination unit. Remove the critical barriers and properly dispose of as asbestos-waste. Clean all surfaces using HEPA-vacuums and wet-wiping as required and until all surfaces are clean of visible debris.
- E. Final Cleaning: This cleaning is now being applied to existing room conditions. Take care to avoid watermarks or other damages. Wet-wipe and HEPA vacuum surfaces in the work area until clean

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and free from dust and debris. Complete final cleaning in accordance with the project close-out requirements. Accompanied by Owner, Contractor Site Supervisor shall complete a final post-abatement inspection of all surfaces and re-clean and conduct repairs as necessary.

3.6 WORK AREA CLEARANCE

- A. Contractor Release Criteria: The Work Area shall be considered cleared when the Work Area meets the final visual inspection criteria described in the project decontamination section and airborne fiber structure concentrations have been reduced to the level specified below and pursuant to applicable State and federal asbestos regulations. Contractor must provide at least 48 hours advance notice to the IH Consultant for any clearance testing or other inspections required.
- B. Clearance Air Monitoring: Air clearance samples will be collected by the IH Consultant. In full containment areas air clearance sampling will be conducted using aggressive sampling techniques in accordance with state and federal regulations.
- C. Analytical Method: The number and volume of air samples taken and analytical methods used by the IH Consultant based on conditions of work and the various State and federal requirements. Phase Contrast Microscopy (PCM) and Transmission Electron Microscopy (TEM) may be used for analysis of clearance samples collected to confirm completion of abatement of ACM in accordance with applicable State and federal regulations. Other analytical methods may also be used as determined by IH Consultant based on conditions of the work and other factors.
- D. PCM Air Clearance Testing: Decontamination of Work Areas requiring PCM air clearance testing only is complete when every Work Area clearance sample collected has total fiber concentrations below the 0.010 f/cc. If any sample does not meet the clearance criteria, the decontamination is incomplete and Contractor shall repeat final cleaning. The Contractor shall be responsible for all costs for each subsequent and additional round of testing and analysis required until the clearance criteria is met.

3.7 DISPOSAL OF ASBESTOS-CONTAINING WASTE MATERIAL

- A. General: Asbestos-containing waste materials and debris which is packaged in accordance with the provisions of this Specification may be disposed of at designated sanitary landfills when certain precautions are taken not limited to: notice to appropriate EPA Regional Offices and notice and permit from appropriate State and local agencies are completed. Waste disposal site(s) must be properly licensed, permitted, and qualified to accept and handle Asbestos waste in accordance with all applicable local, State, and federal codes and regulations.
- B. Disposal: Comply with the following sections during all phases of this work: worker protection requirements and respiratory protection requirements. All waste is to be hauled by a waste hauler with all required licenses and permits from all state and local authority with jurisdiction.
  - 1. Carefully load all containerized asbestos-containing waste material on sealed and lined trucks or other appropriate vehicles for transport. Exercise care before and during transport, to insure that no unauthorized persons have access to the materials.
  - 2. All ACM and asbestos materials removed are to be properly containerized in one of the following: (1) Two 6 mil disposal bags, or (2) Two 6 mil disposal bags and a fiberboard drum, or (3) equivalent method as approved by Owner and State. Do not store disposal bagged material

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outside of the work area. Take bags or drums from the work area directly to a sealed truck or dumpster. Glove bags shall not be used as waste disposal bags.

3. Owner will provide a designated location for placement of proper waste dumpster. Line waste dumpster with a minimum of 2 layers of 6 mil polyethylene sheeting and such that a minimum total of 20 mils of lining exists (including waste bags). Waste dumpster(s) will not be allowed to remain at the job site for longer than 72 hours upon completion of each phase (work area) of work by the Contractor. Do not transport disposal bagged materials on open trucks. During loading and unloading, properly demarcate and label dumpster on all 4 sides. Dumpster shall be sealed, labeled and locked during all non-loading periods.
4. In accordance with NESHAPs and State regulations, advise the landfill operator or processor in advance of transport, of the quantity of material to be delivered. At a disposal site, sealed plastic bags may be carefully unloaded from the truck. If bags are broken or damaged, leave in truck and clean entire truck and contents using procedures set forth herein. Retain receipts from landfill or processor for materials disposed of. At completion of hauling and disposal of each load submit copy of waste manifest, chain of custody form, and landfill receipt to Owner and IH Consultant.
5. Provide copy of waste shipment record (complete to date) to Owner and IH Consultant prior to removing waste from the site. Provide final copy of completed waste shipment record to Owner and IH Consultant within 25 days of removing waste from the site.

### 3.8 RESTORATION AND REPLACEMENT

- A. Conduct restoration and replacement work in accordance with the Contract Documents and provide certification that all materials used in the construction, restoration, renovation, and other work are asbestos-free. Repair all damaged surfaces, tape damage, adhesive, and other damages resulting from the work or other damages caused by the Contractor as indicated by Owner, to meet or exceed existing conditions, and as otherwise stated in the Contract Documents. Conduct additional house cleaning and floor cleanings as necessary.

### 3.9 ASBESTOS PROJECT CLOSEOUT

- A. Contractor shall achieve Substantial Completion and then Final Completion as indicated below prior to requesting final payment.
- B. General cleaning during and after construction is required as needed to maintain general housekeeping and as otherwise required herein. Complete all final, general house-keeping and cleaning in the work areas in accordance with 29 CFR Part 1910 and 29 CFR Part 1926, as applicable. Remove temporary protection and facilities installed for protection or security of the work during construction. Comply with regulations of authorities having jurisdiction and safety standards for cleaning. Do not burn waste materials. Do not bury debris or excess materials on the Owner's property. Do not discharge volatile, harmful or dangerous materials into drainage systems. Remove waste materials from the site and dispose of in a lawful manner. Where extra materials of value remaining after completion of associated Work have become the Owner's property, arrange for disposition of these materials as directed.
- C. Conduct all other related work, non-asbestos work, and general construction activity in accordance with the Contract Documents and Owner's written request.

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- D. Substantial Completion consists of the following: (1) all work area abatement, decontamination and related site work is complete; (2) interim submittal requirements are submitted; (3) final visual inspection and air clearance requirements have been met in each work area; (4) removal of containment barriers and Contractor equipment is complete; (5) all general cleaning has been performed as indicated herein; (6) other work tasks and administrative requirements have been completed in accordance with the contract documents and specification; and (7) post-abatement site inspection and review with Owner has been performed.
  
- E. Final Completion consists of the following: (1) Substantial Completion met; (2) completion of all Closeout Submittal requirements; and (3) complete, to Owner's satisfaction, any remaining punch-list items identified during the post-abatement site inspection with Owner.

END

SECTION 02 83 13 - LEAD PAINT RELATED WORK

PART 1 GENERAL

1.1 SUMMARY

- A. Work Included: Provide labor, materials and equipment to complete the work specified of this Section including, removal and lawful transport and disposal of lead paint hazardous lead paint waste.
  - 1. All work including the removal, characterization (any testing that may be required by disposal facility) and disposal of lead hazardous materials.
  - 2. File all necessary notices, obtain all permits and licenses, and pay all governmental taxes, fees, and other costs in connection with the work. Obtain all necessary approvals of all governmental departments having jurisdiction.
  - 3. Comply with general conditions and requirements set forth in Section 114254 – Asbestos Abatement & Related Work.
- B. All lead related activities are to be completed in accordance with the requirements set forth herein. The scope-of-work includes the removal, transport, and disposal of designated lead-containing paint (LP) in the lower levels rooms, corridors, and spaces detailed in the project drawings for the electrical upgrade project at the Portsmouth City Hall located at 1 Junkins Avenue in Portsmouth, New Hampshire. All work is to be completed in accordance with the schedules stated herein, in the Contract Documents, and as designated by City of Portsmouth (Owner). All work is to be completed in strict accordance with applicable local, state, and federal codes and regulations and the requirements stated in this specification and Contract Documents.
- C. All work shall be completed in accordance with the schedule requirements as indicated by the Owner and as stated in the Contract Documents.
- D. Related Work: The following items are closely related to this work but not included in this Section and will be performed under the designated Sections.

SECTION 02 82 16.00 – ASBESTOS ABATEMENT & RELATED WORK

1.2 REFERENCES

- A. The Contractor is advised to thoroughly review the documents referenced in this Section. Strict adherence to the hazardous materials, noise, air and water pollution regulations and requirements is required.
  - 1. Code of Federal Regulations
    - a. 29 CFR 1910, “Occupational Safety and Health Standards” (General Industry Standards)
    - b. 29 CFR 1910.20, “Access to Employee Exposure and Medical Records”
    - c. 29 CFR 1910.134, “Respiratory Protection”
    - d. 29 CFR 1910.146, “Permit Required Confined Space”
    - e. 29 CFR 1910.1200, “Hazard Communication”
    - f. 29 CFR 1926, "Safety and Health Regulations for Construction" (Construction Industry Standards)



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- g. 29 CFR 1926.62 "Lead-Construction"
  - h. 40 CFR 117, "Determination of Reportable Quantities for Hazardous Substances"
  - i. 40 CFR 122, "EPA Administered Permit Program: The National Pollutant Discharge Elimination System"
  - j. 40 CFR 172, "Hazardous Waste Transportation"
  - k. 40 CFR 261, "Identification and Listing of Hazardous Waste"
  - l. 40 CFR 262, "Standards Applicable to Generators of Hazardous Waste"
  - m. 40 CFR 263, "Standards Applicable to Transporters of Hazardous Waste"
  - n. 40 CFR 268, "Land Disposal Restrictions"
  - o. 40 CFR Part 745, EPA Lead Renovation, Repair and Painting (RRP) Rule
  - 2. Occupational Safety and Health Administration OSHA Booklet 3126 "Working with Lead in the Construction Industry".
  - 3. National Institute for Occupational Health and Safety
    - a. NIOSH Method 7082, "Lead"
  - 4. American Society for Testing and Materials
    - a. ASTM D3335, "Test Method for Low Concentration for Lead, Cadmium, and Cobalt in Paint by Atomic Absorption Spectroscopy"
  - 5. Steel Structures Painting Council
    - a. SSPC Guide 61 (CON) Guide for Containing Debris Generated During Paint Removal Operations
  - 6. State of New Hampshire Public Health Services, NH Administrative Rule He-P 1600; Solid Waste Rules, N.H. Administrative Rules Ch. Env-Wm 400 and 500; all other applicable state rules, regulations, and statutes not limited to NH RSA 149-M and 147-A.
- B. Local Town, City or County bylaws, rules and regulations

### 1.3 SUBMITTALS

- A. Prior to removal of hazardous materials, submit a Hazardous Waste Handling Plan, including identification of the proposed waste hauler and disposal facility with copies of all applicable licenses, registrations and approvals.
- B. Provide a detailed plan describing methods of removal and disposal of the lead waste.
- C. Provide copies of all worker certifications associated with OSHA 40 Hour Hazardous Waste Site Health and Safety Training in accordance with 29 CFR 1910.120.
- D. Prepare and maintain at the work area a daily log documenting the dates and time of but not limited to, the following items: progress of work, daily inspections and results, quantity of waste generated each day, waste and other test results, list of all workers and visitors to site, waste removed from site, and other related documentation of work site conditions as applicable. Provide copy of daily log with close out submittals.
- E. After completion of hazardous materials removal, provide a final report and close out submittals documenting removal, transportation and disposal activities. This shall include copies of manifests, shipping slips, daily logs, permits and licenses for this project. Provide final report and close out submittals within 25 calendar days of completion of site work.

#### 1.4 POTENTIAL LEAD HAZARD

- A. Work involving lead-containing components, as indicated in the lead removal specification, may generate lead dust and debris, and could therefore pose a potential health hazard to both workers and other building occupants. Because lead is a cumulative and persistent toxic substance and because lead-caused health effects may result from low levels of exposure over prolonged periods of time, engineering controls and good work practices must be used to minimize employee exposure to lead. Therefore, workers must be made to realize the seriousness of non-approved procedures and their consequences.
- B. During the course of the LP removal or other related work, if workers or other trades-people encounter and/or disturb existing lead-containing components, then appropriate safety and worker protection measures will be taken to ensure protection from potential lead exposure. These safety measures shall include those procedures contained herein, as applicable, and any additional controls not originally necessary. Safety measures shall be in accordance with all federal, state, and local regulations. Complete, and coordinate with Owner as applicable, all communication of hazards in strict accordance with 29 CFR 1926 and other applicable State and federal regulations for lead, asbestos, PCB, mercury, fluorescent light bulbs, and other anticipated hazards. The Contractor shall coordinate with the Owner and the IH Consultant to review all existing inspection records and testing results as needed.

### PART 2 PRODUCTS

#### 2.1 PROTECTIVE EQUIPMENT

- A. Provide health and safety equipment required to protect workers and to comply with the Health and Safety Plan.

#### 2.2 DRUMS

- A. Provide DOT approved drums or containers for the disposal of specified materials.

### PART 3 EXECUTION

#### 3.1 HAZARDOUS MATERIALS/WASTE

- A. All hazardous materials shall be characterized and disposed of in accordance with applicable regulations. Disposal manifests shall be provided for all waste disposal.
- B. Workers who handle hazardous materials shall be licensed and trained in safe and proper hazardous materials handling procedures. At a minimum, this shall include OSHA 40 Hour Hazardous Waste Site Health and Safety Training in accordance with 29 CFR 1910.120.
- C. Any hazardous materials containers in poor condition shall be removed as soon as possible.
- D. Handling Hazardous Waste
  - 1. Place waste in DOT approved containers and label the containers for transport to a licensed disposal site.

2. Use an authorized hazardous waste transporter to haul waste to a hazardous waste facility.
3. Follow all record keeping, chain-of-custody and reporting requirements including a copy of the hazardous waste manifest.
4. Accurately measure and weigh the volume of each container or load of waste removed from the site. Submit records of waste volumes to Owner.
5. Special attention shall be given to the time of storage, amount of material stored at any one time, use of proper containers and personnel training.
6. Paint debris shall not be placed on the unprotected ground and shall be shielded to prevent dispersion of the debris by wind or rain water.
7. Provide appropriate notifications to regulatory agencies if there is a release to the environment exceeding the CERCLA reporting requirements (e.g. lead --1 pound).
8. Any evidence of improper storage shall be cause for immediate shutdown of the project until corrective action is taken.
9. Provide legal transportation of the waste to the disposal landfill, and complete or obtain all required licenses, manifests, landfill slips, or other forms. Copies of all forms or licenses, and the signed original of the Waste Manifest for each waste load, shall be given to the Owner.

### 3.2 LEAD PAINT REMOVAL

- A. Lead paint is present on many surfaces throughout the facility. Any Contractor whose activities may generate leaded dust or impact a lead painted surface shall be responsible for regulating his work area so that dust migration is contained properly within the regulated area. Once the work is complete, the same Contractor shall be responsible for the proper clean-up and disposal of leaded dust and materials.
- B. Protect all building surfaces not scheduled to undergo removal or other related work in the work areas and surrounding areas as necessary to prevent any damage.
- C. The Owner's IH Consultant shall collect background lead air samples and background surface wipe samples to be used for comparison purposes during the clearance.
- D. Install polyethylene sheeting to cover building surfaces in the work and as critical barriers to separate and completely isolate the work area from non work areas and outside areas.
- E. Install negative air pressure differential air ventilation units equipped with high efficiency particulate air (HEPA) filters, located within work areas to provide sufficient negative pressure, work area ventilation, and air filtration as described in the Asbestos Abatement & Related Work Specification Section. Vent all HEPA filtered negative air machines to outside building air.
- F. Provide personnel and equipment decontamination units contiguous to the work area as described in the Asbestos Abatement & Related Work Specification Section. All site personnel and equipment shall enter and exit the work area through the decontamination unit and undergo full decontamination.
- G. Thoroughly wet mist lead paint prior to and during scraping, disturbance and/or removal to reduce lead dust dispersal into the air. Maintain materials as adequately wetted during work. Accomplish wetting by a fine spray (mist) of water. Do not allow water to build up, be excessive or to migrate from work area. As material or debris is removed, simultaneously pack material into appropriate lined disposal drums. Clean

outside and move to the equipment decontamination unit for further cleaning, storage and disposal.

- H. Lead paint repair, removal, clean up and other related work procedures shall comply with work procedures as set forth in the EPA's Lead Removal, Repair and Painting (RRP) Rule.
- I. For work areas without full removal of all lead paint, Contractor shall remove loose, flaking and peeling paint from the interior walls, floors and ceiling surfaces in the work areas as necessary to control lead paint debris. Clean up and drum lead paint utilizing wet methods and negative air filtration. Test debris via TCLP methods to determine proper waste stream or treat as hazardous and dispose of in accordance with applicable hazardous waste regulations.
- J. For work areas with full removal of LP, Contractor shall removal all LP from substrate and work area surfaces using appropriate methods to be proposed by Contractor. Contractor shall submit proposed LP removal work plan detailing the removal process. Paint removal processes shall minimize contamination of work areas with lead-contaminated dust or other lead-contaminated debris/waste.
- K. In areas where lead based paint is co-mingled with asbestos debris Contractor shall remove paint chips from asbestos debris to the extent feasible and dispose asbestos debris as asbestos waste. Treat any other asbestos debris that cannot be separated from the lead debris as hazardous waste. Contractor must provide documentation that the hazardous waste disposal site has been informed of the potential for asbestos waste co-mingled with lead waste and said disposal site is capable of handling such wastes.
- L. Contractor shall perform on going and clearance work area inspections as needed to ensure compliance with this Section and the EPA RRP rule. IH Consultant will also perform clearance testing following completion of Contractor's work and clean up efforts. IH Consultant shall perform final dust wipe and air sampling. Final cleaning and testing criteria for each LP work area shall be as set forth in the EPA's RRP Rule and current state and federal guidelines for lead paint as follows:
  - 1. Visual inspection of surfaces shows no visible paint remaining on substrates
  - 2. No visible dust or paint debris present
  - 3. Surface dust wipe samples must have lower lead concentrations when compared to the lead concentrations present on the background wipe samples collected in each area prior to the start of work.

END OF SECTION

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SECTION 055000 - METAL FABRICATIONS

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

A. Section Includes:

1. Steel framing and supports for mechanical and electrical equipment.
2. Steel framing and supports for applications where framing and supports are not specified in other Sections.
3. Slotted channel framing.
4. Metal bollards.

B. Products furnished, but not installed, under this Section include the following:

1. Anchor bolts and steel pipe sleeves.

1.3 COORDINATION

- A. Coordinate selection of shop primers with topcoats to be applied over them. Comply with paint and coating manufacturers' written instructions to ensure that shop primers and topcoats are compatible with one another.
- B. Coordinate installation of metal fabrications that are anchored to or that receive other work. Furnish setting drawings, templates, and directions for installing anchorages, including sleeves, concrete inserts, anchor bolts, and items with integral anchors, that are to be embedded in concrete or masonry. Deliver such items to Project site in time for installation.

1.4 ACTION SUBMITTALS

- A. Submittals shall comply with the requirements of Section 013300 "Submittal Procedures" and the individual sections specifying the work.
- B. Product Data: For the following:
  1. Fasteners.
  2. Shop primers.
  3. Shrinkage-resisting grout.
  4. Slotted channel framing.
  5. Metal bollards.

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- C. Shop Drawings: Show fabrication and installation details. Include plans, elevations, sections, and details of metal fabrications and their connections. Show anchorage and accessory items. Provide Shop Drawings for the following:
1. Steel framing and supports for mechanical and electrical equipment.
  2. Steel framing and supports for applications where framing and supports are not specified in other Sections.
  3. Metal bollards.

1.5 INFORMATIONAL SUBMITTALS

- A. Submittals shall comply with the requirements of Section 013300 "Submittal Procedures" and the individual sections specifying the work.
- B. Qualification Data: For professional engineer's experience with providing delegated-design engineering services of the kind indicated, including documentation that engineer is licensed in the jurisdiction in which Project is located.
- C. Welding certificates.
- D. Paint Compatibility Certificates: From manufacturers of topcoats applied over shop primers, certifying that shop primers are compatible with topcoats.
- E. Research Reports: For post-installed anchors.

PART 2 - PRODUCTS

2.1 METALS

- A. Metal Surfaces, General: Provide materials with smooth, flat surfaces unless otherwise indicated. For metal fabrications exposed to view in the completed Work, provide materials without seam marks, roller marks, rolled trade names, or blemishes.
- B. Steel Plates, Shapes, and Bars: ASTM A36/A36M.
- C. Steel Pipe: ASTM A53/A53M, Standard Weight (Schedule 40) unless otherwise indicated.
- D. Slotted Channel Framing: Cold-formed metal box channels (struts) complying with MFMA-4.
1. Size of Channels: 1-5/8 by 1-5/8 inches.
  2. Material: Galvanized steel, ASTM A653/A653M, structural steel, Grade 33, with G90 coating; 0.079-inch nominal thickness.

2.2 FASTENERS

- A. General: Unless otherwise indicated, provide Type 304 stainless steel fasteners for exterior use and zinc-plated fasteners with coating complying with ASTM B633 or ASTM F1941/F1941M, Class Fe/Zn 5, at exterior walls. Select fasteners for type, grade, and class required.

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1. Provide stainless steel fasteners for fastening aluminum or stainless steel.
- B. Steel Bolts and Nuts: Regular hexagon-head bolts, ASTM A307, Grade A; with hex nuts, ASTM A563; and, where indicated, flat washers.
- C. Stainless Steel Bolts and Nuts: Regular hexagon-head annealed stainless steel bolts, ASTM F593; with hex nuts, ASTM F594; and, where indicated, flat washers; Alloy Group 1.
- D. Anchor Bolts: ASTM F1554, Grade 36, of dimensions indicated; with nuts, ASTM A563; and, where indicated, flat washers.
  1. Hot-dip galvanize or provide mechanically deposited, zinc coating where item being fastened is indicated to be galvanized.
- E. Anchors, General: Capable of sustaining, without failure, a load equal to six times the load imposed when installed in unit masonry and four times the load imposed when installed in concrete, as determined by testing in accordance with ASTM E488/E488M, conducted by a qualified independent testing agency.
- F. Cast-in-Place Anchors in Concrete: Either threaded or wedge type unless otherwise indicated; galvanized ferrous castings, either ASTM A47/A47M malleable iron or ASTM A27/A27M cast steel. Provide bolts, washers, and shims as needed, all hot-dip galvanized per ASTM F2329/F2329M.
- G. Post-Installed Anchors: Torque-controlled expansion anchors.
  1. Material for Interior Locations: Carbon-steel components zinc plated to comply with ASTM B633 or ASTM F1941/F1941M, Class Fe/Zn 5, unless otherwise indicated.
  2. Material for Exterior Locations and Where Stainless Steel Is Indicated: Alloy Group 1 stainless steel bolts, ASTM F593, and nuts, ASTM F594.

2.3 MISCELLANEOUS MATERIALS

- A. Shop Primer for Galvanized Steel: Primer formulated for exterior use over zinc-coated metal and compatible with finish paint systems indicated.
- B. Galvanizing Repair Paint: High-zinc-dust-content paint complying with SSPC-Paint 20 and compatible with paints specified to be used over it.
- C. Bituminous Paint: Cold-applied asphalt emulsion complying with ASTM D1187/D1187M.
- D. Shrinkage-Resistant Grout: Factory-packaged, nonmetallic, nonstaining, noncorrosive, nongaseous grout complying with ASTM C1107/C1107M. Provide grout specifically recommended by manufacturer for interior and exterior applications.
- E. Concrete: Comply with requirements in Section 033000 "Cast-in-Place Concrete" for normal-weight, air-entrained concrete with a minimum 28-day compressive strength of 3000 psi.

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2.4 FABRICATION, GENERAL

- A. Shop Assembly: Preassemble items in the shop to greatest extent possible. Disassemble units only as necessary for shipping and handling limitations. Use connections that maintain structural value of joined pieces. Clearly mark units for reassembly and coordinated installation.
- B. Cut, drill, and punch metals cleanly and accurately. Remove burrs and ease edges to a radius of approximately 1/32 inch unless otherwise indicated. Remove sharp or rough areas on exposed surfaces.
- C. Form bent-metal corners to smallest radius possible without causing grain separation or otherwise impairing work.
- D. Form exposed work with accurate angles and surfaces and straight edges.
- E. Weld corners and seams continuously to comply with the following:
  - 1. Use materials and methods that minimize distortion and develop strength and corrosion resistance of base metals.
  - 2. Obtain fusion without undercut or overlap.
  - 3. Remove welding flux immediately.
  - 4. At exposed connections, finish exposed welds and surfaces smooth and blended so no roughness shows after finishing and contour of welded surface matches that of adjacent surface.
- F. Form exposed connections with hairline joints, flush and smooth, using concealed fasteners or welds where possible. Where exposed fasteners are required, use Phillips flat-head (countersunk) fasteners unless otherwise indicated. Locate joints where least conspicuous.
- G. Fabricate seams and other connections that are exposed to weather in a manner to exclude water. Provide weep holes where water may accumulate.
- H. Cut, reinforce, drill, and tap metal fabrications as indicated to receive finish hardware, screws, and similar items.
- I. Provide for anchorage of type indicated; coordinate with supporting structure. Space anchoring devices to secure metal fabrications rigidly in place and to support indicated loads.
- J. Where units are indicated to be cast into concrete or built into masonry, equip with integrally welded steel strap anchors, 1/8 by 1-1/2 inches, with a minimum 6-inch embedment and 2-inch hook, not less than 8 inches from ends and corners of units and 24 inches o.c., unless otherwise indicated.

2.5 MISCELLANEOUS FRAMING AND SUPPORTS

- A. General: Provide steel framing and supports not specified in other Sections as needed to complete the Work.

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- B. Fabricate units from steel shapes, plates, and bars of welded construction unless otherwise indicated. Fabricate to sizes, shapes, and profiles indicated and as necessary to receive adjacent construction.
  - 1. Fabricate units from slotted channel framing where indicated.
  - 2. Furnish inserts for units installed after concrete is placed.
- C. Galvanize miscellaneous framing and supports where indicated.

2.6 METAL BOLLARDS

- A. Fabricate metal bollards from Schedule 40 steel pipe.

2.7 LOOSE STEEL LINTELS

- A. Fabricate loose steel lintels from steel angles and shapes of size indicated for openings and recesses in masonry walls and partitions at locations indicated. Fabricate in single lengths for each opening unless otherwise indicated. Weld adjoining members together to form a single unit where indicated.
- B. Size loose lintels to provide bearing length at each side of openings equal to 1/12 of clear span, but not less than 8 inches unless otherwise indicated.
- C. Galvanize loose steel lintels located in exterior walls.

2.8 GENERAL FINISH REQUIREMENTS

- A. Finish metal fabrications after assembly.
- B. Finish exposed surfaces to remove tool and die marks and stretch lines, and to blend into surrounding surface.

2.9 STEEL AND IRON FINISHES

- A. Galvanizing: Hot-dip galvanize items as indicated to comply with ASTM A153/A153M for steel and iron hardware and with ASTM A123/A123M for other steel and iron products.
  - 1. Do not quench or apply post galvanizing treatments that might interfere with paint adhesion.
- B. Preparation for Shop Priming Galvanized Items: After galvanizing, thoroughly clean galvanized surfaces of grease, dirt, oil, flux, and other foreign matter, and treat with metallic phosphate process.
- C. Shop prime iron and steel items not indicated to be galvanized.

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PART 3 - EXECUTION

3.1 INSTALLATION, GENERAL

- A. Cutting, Fitting, and Placement: Perform cutting, drilling, and fitting required for installing metal fabrications. Set metal fabrications accurately in location, alignment, and elevation; with edges and surfaces level, plumb, true, and free of rack; and measured from established lines and levels.
- B. Fit exposed connections accurately together to form hairline joints. Weld connections that are not to be left as exposed joints but cannot be shop welded because of shipping size limitations. Do not weld, cut, or abrade surfaces of exterior units that have been hot-dip galvanized after fabrication and are for bolted or screwed field connections.
- C. Field Welding: Comply with the following requirements:
  - 1. Use materials and methods that minimize distortion and develop strength and corrosion resistance of base metals.
  - 2. Obtain fusion without undercut or overlap.
  - 3. Remove welding flux immediately.
  - 4. At exposed connections, finish exposed welds and surfaces smooth and blended so no roughness shows after finishing and contour of welded surface matches that of adjacent surface.
- D. Fastening to In-Place Construction: Provide anchorage devices and fasteners where metal fabrications are required to be fastened to in-place construction. Provide threaded fasteners for use with concrete and masonry inserts, toggle bolts, through bolts, lag screws, wood screws, and other connectors.
- E. Provide temporary bracing or anchors in formwork for items that are to be built into concrete, masonry, or similar construction.

3.2 INSTALLATION OF MISCELLANEOUS FRAMING AND SUPPORTS

- A. General: Install framing and supports to comply with requirements of items being supported, including manufacturers' written instructions and requirements indicated on Shop Drawings.

3.3 INSTALLATION OF METAL BOLLARDS

- A. Fill metal-capped bollards solidly with concrete and allow concrete to cure seven days before installing.
- B. Anchor bollards in place with concrete footings. Center and align bollards in holes 6 inches above bottom of excavation. Place concrete and vibrate or tamp for consolidation. Support and brace bollards in position until concrete has cured.
- C. Fill bollards solidly with concrete, mounding top surface to shed water.

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3.4 REPAIRS

- A. Galvanized Surfaces: Clean field welds, bolted connections, and abraded areas and repair galvanizing to comply with ASTM A780/A780M.

END OF SECTION



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SECTION 078413 - PENETRATION FIRESTOPPING

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

A. Section Includes:

1. Penetrations in fire-resistance-rated walls.
2. Penetrations in horizontal assemblies.
3. Penetrations in smoke barriers.

B. Related Requirements:

1. Section 078443 "Joint Firestopping" for joints in or between fire-resistance-rated construction, at exterior curtain-wall/floor intersections, and in smoke barriers.

1.3 PREINSTALLATION MEETINGS

- A. Preinstallation Conference: Conduct conference at Project site. Minimally, the Contractor, the firestopping testing agency and the firestopping installer shall attend this meeting.

1.4 ACTION SUBMITTALS

- A. Submittals shall comply with the requirements of Section 013300 "Submittal Procedures" and the individual sections specifying the work.

B. Product Data: For each type of product.

- C. Product Schedule: For each penetration firestopping system. Include location, illustration of firestopping system, and design designation of qualified testing and inspecting agency (such as UL W-L-1049).

1. Engineering Judgments: Where Project conditions require modification to a qualified testing and inspecting agency's illustration for a particular penetration firestopping system, submit illustration, with modifications marked, approved by penetration firestopping system manufacturer's fire-protection engineer as an engineering judgment or equivalent fire-resistance-rated assembly. Obtain approval of authorities having jurisdiction prior to submittal.
2. Where sleeve lengths do not match the qualified testing and inspection agency's listed firestopping assembly (often flush with wall/floor penetrated), submit illustration, with

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modifications marked, approved by penetration firestopping manufacturer's fire protection engineer as an engineering judgment or equivalent fire-resistance-rated assembly.

3. Provide firestopping system designations to be used in a single submittal.

- D. Samples: For each type of labeling/identification product.

#### 1.5 INFORMATIONAL SUBMITTALS

- A. Submittals shall comply with the requirements of Section 013300 "Submittal Procedures" and the individual sections specifying the work.
- B. Qualification Data: Documentation of training and experience for Installer.
- C. Product Test Reports: For each penetration firestopping system, for tests performed by a qualified testing agency.

#### 1.6 CLOSEOUT SUBMITTALS

- A. Submittals shall comply with the requirements of Section 013300 "Submittal Procedures" and the individual sections specifying the work.
- B. Installer Certificates: From Installer indicating that penetration firestopping systems have been installed in compliance with requirements and manufacturer's written instructions.

#### 1.7 QUALITY ASSURANCE

- A. Installer Qualifications:
  1. A firm that has been approved by FM Global according to FM Global 4991, "Approval of Firestop Contractors," or been evaluated by UL and found to comply with its "Qualified Firestop Contractor Program Requirements"; or
  2. Firestopping subcontractor/installer shall be in the business of installing firestopping and related work only. Firestopping Contractor shall be certified/licensed by the firestopping manufacturer as having the necessary staff, training, and a minimum of 3 years' experience in the installation of manufacturer's products in accordance with specified requirements. Submit documentation of this experience.
  3. Third part Installer: Fire stopping products shall be installed by a single entity directly engaged by the Construction Manager as a first-tier subcontractor.
- B. The firestopping shall not be installed by any other subcontractors such as the electrical contractor, mechanical contractor, etc. The Installer shall have been trained by a direct representative of the manufacturer (not distributor or agent) in the proper selection and installation procedures. The installer shall obtain from the manufacturer and submit written certification of training, and retain proof of certification for duration of firestop installation.
- C. One firestopping subcontractor/installer shall perform all firestopping throughout the project.

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- D. Obtain penetration firestopping systems, for each type of penetration and construction condition, from a single manufacturer.

1.8 PROJECT CONDITIONS

- A. Environmental Limitations: Do not install penetration firestopping system when ambient or substrate temperatures are outside limits permitted by penetration firestopping system manufacturers or when substrates are wet because of rain, frost, condensation, or other causes.
- B. Install and cure penetration firestopping materials per manufacturer's written instructions using natural means of ventilation or, where this is inadequate, forced-air circulation.

1.9 COORDINATION

- A. Coordinate construction of openings and penetrating items to ensure that penetration firestopping systems can be installed according to specified firestopping system design.
- B. Coordinate material, length and sizing of sleeves, openings, core-drilled holes, or cut openings to accommodate penetration firestopping systems.
- C. Notify University's representative and testing agency at least seven days in advance of penetration firestopping installations; confirm dates and times on day preceding each series of installations.

PART 2 - PRODUCTS

2.1 PERFORMANCE REQUIREMENTS

- A. Fire-Test-Response Characteristics:
  - 1. Perform penetration firestopping system tests by a qualified testing agency acceptable to authorities having jurisdiction.
  - 2. Test per testing standards referenced in "Penetration Firestopping Systems" Article. Provide rated systems complying with the following requirements:
    - a. Penetration firestopping systems shall bear classification marking of a qualified testing agency.
      - 1) UL in its "Fire Resistance Directory."

2.2 PENETRATION FIRESTOPPING SYSTEMS

- A. Penetration Firestopping Systems: Systems that resist spread of fire, passage of smoke and other gases, and maintain original fire-resistance and/or smoke-resistance rating of construction penetrated. Penetration firestopping systems shall be compatible with one another, with the substrates forming openings, and with penetrating items if any.

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- B. Penetrations include both through-penetrations where the entire assembly is penetrated, and membrane-penetrations where only a portion of the assembly is penetrated.
- C. Penetrations include, but are not limited to, the annular space around pipes, tubes, ductwork without a fire damper, conduit, wires, cables, vents, and structural members.
  - 1. Manufacturers: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to the following:
    - a. 3M Fire Protection Products.
    - b. A/D Fire Protection Systems Inc.
    - c. Grabber Construction Products.
    - d. Hilti, Inc.
    - e. HOLDRITE.
    - f. Passive Fire Protection Partners.
    - g. RectorSeal.
    - h. Specified Technologies, Inc.
    - i. Tremco, Inc.
- D. Penetrations in Fire-Resistance-Rated Walls: Penetration firestopping systems with ratings determined per ASTM E 814 or UL 1479, based on testing at a positive pressure differential of 0.01-inch wg.
  - 1. F-Rating: Not less than the fire-resistance rating of constructions penetrated.
- E. Penetrations in Horizontal Fire-Resistance Rated Assemblies: Penetration firestopping systems with ratings determined per ASTM E 814 or UL 1479, based on testing at a positive pressure differential of 0.01-inch wg.
  - 1. F-Rating: At least one hour, but not less than the fire-resistance rating of constructions penetrated.
  - 2. T-Rating: At least one hour, but not less than the fire-resistance rating of constructions penetrated except for floor penetrations within the cavity of a wall.
  - 3. L-Rating: Not exceeding 5.0 cfm/sq. ft. of penetration opening at and no more than 50-cfm cumulative total for any 100 sq. ft. at both ambient and elevated temperatures.
- F. Penetrations in Smoke Barriers: Penetration firestopping systems with ratings determined per UL 1479, based on testing at a positive pressure differential of 0.30-inch wg.
  - 1. L-Rating: Not exceeding 5.0 cfm/sq. ft. of penetration opening at and no more than 50-cfm cumulative total for any 100 sq. ft. at both ambient and elevated temperatures.
  - 2. Floor assemblies that are not indicated to have a fire resistance rating shall be protected as smoke barriers.
- G. Exposed Penetration Firestopping Systems: Flame-spread and smoke-developed indexes of less than 25 and 450, respectively, per ASTM E 84.

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- H. VOC Content: Penetration firestopping sealants and sealant primers shall comply with the following limits for VOC content when calculated according to 40 CFR 59, Subpart D (EPA Method 24):
  - 1. Sealants: 250 g/L.
  - 2. Sealant Primers for Nonporous Substrates: 250 g/L.
  - 3. Sealant Primers for Porous Substrates: 775 g/L.
- I. Accessories: Provide components for each penetration firestopping system that are needed to install fill materials and to maintain ratings required. Use only those components specified by penetration firestopping system manufacturer and approved by qualified testing and inspecting agency for conditions indicated.
  - 1. Permanent forming/damming/backing materials.
  - 2. Substrate primers.
  - 3. Collars.
  - 4. Steel sleeves.
- J. Provide products that upon curing, do not re-emulsify, dissolve, leach, breakdown or otherwise deteriorate over time from exposure to atmospheric moisture, sweating pipes, ponding water or other forms of moisture characteristic during and after construction.
- K. Provide firestop sealants sufficiently flexible to accommodate motion such as pipe vibration, water hammer, thermal expansion and other normal building movement without damage to the seal.
- L. Openings within walls and floors designed to accommodate voice, data and video cabling shall be provided with re-enterable products specifically designed for retrofit.
- M. For penetrations involving insulated piping, a listed firestopping system not requiring the removal of insulation shall be used, provided the insulation on the piping has been tested as part of the listed firestopping system. If the type of insulation required is not part of a listed firestopping system, the insulation shall be cut and removed where the pipe passes through the firestopping system and the insulation shall be replaced with a material having equal thermal insulating and firestopping characteristics.
- N. For piping penetrations for plumbing, wet-pipe sprinkler systems and similar piping subject to moisture, provide moisture resistant penetration firestopping systems.
- O. Penetration firestopping systems for floor voids 4 inches or more in any direction shall be capable of supporting the same load as the floor is designed to support.

## 2.3 FILL MATERIALS

- A. Latex Sealants: Single-component latex formulations that do not re-emulsify after cure during exposure to moisture.
- B. Firestop Devices: Factory-assembled collars formed from galvanized steel and lined with intumescent material sized to fit specific diameter of penetrant.

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- C. Intumescent Composite Sheets: Rigid panels consisting of aluminum-foil-faced intumescent elastomeric sheet bonded to galvanized-steel sheet.
- D. Intumescent Putties: Nonhardening, water-resistant, intumescent putties containing no solvents or inorganic fibers.
- E. Intumescent Wrap Strips: Single-component intumescent elastomeric sheets with aluminum foil on one side.
- F. Mortars: Prepackaged dry mixes consisting of a blend of inorganic binders, hydraulic cement, fillers and lightweight aggregate formulated for mixing with water at Project site to form a nonshrinking, homogeneous mortar.
- G. Pillows/Bags: Reusable heat-expanding pillows/bags consisting of glass-fiber cloth cases filled with a combination of mineral-fiber, water-insoluble expansion agents, and fire-retardant additives. Where exposed, cover openings with steel-reinforcing wire mesh to protect pillows/bags from being easily removed.
- H. Silicone Foams: Multicomponent, silicone-based liquid elastomers that, when mixed, expand and cure in place to produce a flexible, nonshrinking foam.
- I. Silicone Sealants: Single-component, silicone-based, neutral-curing elastomeric sealants.

## 2.4 MIXING

- A. Penetration Firestopping Materials: For those products requiring mixing before application, comply with penetration firestopping system manufacturer's written instructions for accurate proportioning of materials, water (if required), type of mixing equipment, selection of mixer speeds, mixing containers, mixing time, and other items or procedures needed to produce products of uniform quality with optimum performance characteristics for application indicated.

## PART 3 - EXECUTION

### 3.1 EXAMINATION

- A. Examine substrates and conditions, with Installer present, for compliance with requirements for opening configurations, penetrating items, substrates, and other conditions affecting performance of the Work.
- B. Verify that penetrating items are rigidly supported on both sides of the assembly penetrated unless otherwise permitted by the listed firestopping system.
- C. Proceed with installation only after unsatisfactory conditions have been corrected.

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3.2 PREPARATION

- A. Surface Cleaning: Before installing penetration firestopping systems, clean out openings immediately to comply with manufacturer's written instructions and with the following requirements:
  - 1. Remove from surfaces of opening substrates and from penetrating items foreign materials that could interfere with adhesion of penetration firestopping material such as drywall tape and joint compound, dirt, grease, oil, or scale.
  - 2. Clean opening substrates and penetrating items to produce clean, sound surfaces capable of developing optimum bond with penetration firestopping materials. Remove loose particles remaining from cleaning operation.
  - 3. Remove laitance and form-release agents from concrete.
- B. Prime substrates where recommended in writing by manufacturer using that manufacturer's recommended products and methods. Confine primers to areas of bond; do not allow spillage and migration onto exposed surfaces.

3.3 INSTALLATION

- A. General: Install penetration firestopping systems to comply with manufacturer's written installation instructions and published drawings for products and applications.
- B. Install forming materials and other accessories of types required to support fill materials during their application and in the position needed to produce cross-sectional shapes and depths required to achieve fire ratings.
  - 1. After installing fill materials and allowing them to fully cure, remove combustible forming materials and other accessories not forming permanent components of firestopping.
- C. Install fill materials by proven techniques to produce the following results:
  - 1. Fill voids and cavities formed by openings, forming materials, accessories and penetrating items to achieve required fire-resistance ratings.
  - 2. Apply materials so they contact and adhere to substrates formed by openings and penetrating items.
  - 3. For fill materials that will remain exposed after completing the Work, finish to produce smooth, uniform surfaces that are flush with adjoining finishes.
- D. Fire dampers are not to be provided with penetration firestopping unless the use of firestopping has been tested and approved as part of the fire damper system.

3.4 IDENTIFICATION

- A. Penetration Identification: Identify each penetration firestopping system with legible metal or plastic labels. Attach labels permanently to surfaces adjacent to and within 6 inches of penetration firestopping system edge so labels are visible to anyone seeking to remove penetrating items or firestopping systems. Use mechanical fasteners or self-adhering-type labels

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with adhesives capable of permanently bonding labels to surfaces on which labels are placed. Include the following information on labels:

1. The words "Warning - Penetration Firestopping - Do Not Disturb. Notify Building Management of Any Damage."
  2. Contractor's name, address, and phone number.
  3. Designation of applicable testing and inspecting agency.
  4. Date of installation.
  5. Manufacturer's name.
  6. Installer's name.
- B. Fire Rated Wall Identification: Identify fire rated walls with signs stating "Fire Barrier - Protect Openings". Apply signs using red or contrasting paint over stencils. Letters shall be a minimum of 3 inches in height with minimum 3/8-inch stroke. Prevent overspray onto adjacent surfaces. If overspray does occur, clean-up or replacement of damaged surfaces is the responsibility of the Contractor. Space signs at a maximum of 10 foot intervals. In areas with drop/finished ceilings, paint the notification on the wall shortly above the drop/finished ceiling. In mechanical, electrical and similar rooms without ceilings, place signs 8 feet above the finished floor level. Do not provide signs in public areas such as offices, classrooms, lobbies, corridors, etc. that do not have drop ceilings.

### 3.5 FIELD QUALITY CONTROL

- A. University will engage a qualified testing agency to perform tests and inspections, coordinate scheduling and corrective action.
- B. Testing shall include destructive testing to assure proper installation/application.
- C. Where deficiencies are found or penetration firestopping system is damaged or removed because of testing, repair or replace penetration firestopping system to comply with requirements.
- D. Proceed with enclosing penetration firestopping systems with other construction only after inspection reports are issued and installations comply with requirements.

### 3.6 CLEANING AND PROTECTION

- A. Clean off excess fill materials adjacent to openings as the Work progresses by methods and with cleaning materials that are approved in writing by penetration firestopping system manufacturers and that do not damage materials in which openings occur.
- B. Provide final protection and maintain conditions during and after installation that ensure that penetration firestopping systems are without damage or deterioration at time of Substantial Completion. If, despite such protection, damage or deterioration occurs, immediately cut out and remove damaged or deteriorated penetration firestopping material and install new materials to produce systems complying with specified requirements.

END OF SECTION

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SECTION 078443 - JOINT FIRESTOPPING

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

A. Section Includes:

1. Joints in or between fire-resistance-rated constructions.
2. Joints at exterior curtain-wall/floor intersections.
3. Joints in smoke barriers.

B. Related Requirements:

1. Section 078413 "Penetration Firestopping" for penetrations in fire-resistance-rated walls, horizontal assemblies, and smoke barriers and for wall identification.
2. Section 092216 "Non-Structural Metal Framing" for firestop tracks for metal-framed partition heads.

1.3 PREINSTALLATION MEETINGS

- A. Preinstallation Conference: Conduct conference at Project site. Minimally, the Contractor, the firestopping testing agency and the firestopping installer shall attend this meeting.

1.4 ACTION SUBMITTALS

- A. Submittals shall comply with the requirements of Section 013300 "Submittal Procedures" and the individual sections specifying the work.
- B. Product Data: For each type of product.
- C. Product Schedule: For each joint firestopping system. Include location, illustration of firestopping system, and design designation of qualified testing agency (such as UL HW-D-0296).
  1. Engineering Judgments: Where Project conditions require modification to a qualified testing agency's illustration for a particular joint firestopping system condition, submit illustration, with modifications marked, approved by joint firestopping system manufacturer's fire-protection engineer as an engineering judgment or equivalent fire-resistance-rated assembly.
  2. Provide firestopping system designations to be used in a single submittal.

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- D. Samples: For each type of labeling/identification product.

1.5 INFORMATIONAL SUBMITTALS

- A. Submittals shall comply with the requirements of Section 013300 "Submittal Procedures" and the individual sections specifying the work.
- B. Qualification Data: Documentation of training and experience for Installer.
- C. Product Test Reports: For each joint firestopping system, for tests performed by a qualified testing agency.

1.6 CLOSEOUT SUBMITTALS

- A. Submittals shall comply with the requirements of Section 013300 "Submittal Procedures" and the individual sections specifying the work.
- B. Installer Certificates: From Installer indicating that joint firestopping systems have been installed in compliance with requirements and manufacturer's written instructions.

1.7 QUALITY ASSURANCE

- A. Installer Qualifications:
  - 1. A firm that has been approved by FM Global according to FM Global 4991, "Approval of Firestop Contractors," or been evaluated by UL and found to comply with UL's "Qualified Firestop Contractor Program Requirements"; or
  - 2. Firestopping subcontractor/installer shall be in the business of installing firestopping and related work only. Firestopping Contractor shall be certified/licensed by the firestopping manufacturer as having the necessary staff, training, and a minimum of 3 years' experience in the installation of manufacturer's products in accordance with specified requirements. Submit documentation of this experience.
- B. The firestopping shall not be installed by any other subcontractors such as the electrical contractor, mechanical contractor, etc. The Installer shall have been trained by a direct representative of the manufacturer (not distributor or agent) in the proper selection and installation procedures. The installer shall obtain from the manufacturer and submit written certification of training, and retain proof of certification for duration of firestop installation.
- C. One firestopping subcontractor/installer shall perform all firestopping throughout the project.
- D. Obtain joint firestopping systems, for each type of penetration and construction condition, from a single manufacturer.

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1.8 PROJECT CONDITIONS

- A. Environmental Limitations: Do not install joint firestopping systems when ambient or substrate temperatures are outside limits permitted by joint firestopping system manufacturers or when substrates are wet due to rain, frost, condensation, or other causes.
- B. Install and cure joint firestopping systems per manufacturer's written instructions using natural means of ventilation or, where this is inadequate, forced-air circulation.

1.9 COORDINATION

- A. Coordinate construction of joints to ensure that joint firestopping systems can be installed according to specified firestopping system design.
- B. Coordinate sizing of joints to accommodate joint firestopping systems.
- C. Notify University's representative and testing agency at least seven days in advance of penetration firestopping installations; confirm dates and times on day preceding each series of installations.

PART 2 - PRODUCTS

2.1 PERFORMANCE REQUIREMENTS

- A. Fire-Test-Response Characteristics:
  - 1. Perform joint firestopping system tests by a qualified testing agency acceptable to authorities having jurisdiction.
  - 2. Test per testing standards referenced in "Joint Firestopping Systems" Article. Provide rated systems complying with the following requirements:
    - a. Joint firestopping systems shall bear classification marking of a qualified testing agency.
      - 1) UL in its "Fire Resistance Directory."

2.2 JOINT FIRESTOPPING SYSTEMS

- A. Joint Firestopping Systems: Systems that resist spread of fire, passage of smoke and other gases, and maintain original fire-resistance and/or smoke-resistance rating of assemblies in or between which joint firestopping systems are installed. Joint firestopping systems shall accommodate building movements without impairing their ability to resist the passage of fire and hot gases.
- B. Provide products that upon curing, do not re-emulsify, dissolve, leach, breakdown or otherwise deteriorate over time from exposure to atmospheric moisture, ponding water or other forms of moisture characteristic during and after construction.

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- C. Firestopping systems for floor voids 4 inches or more in any direction shall be capable of supporting the same load as the floor is designed to support.
- D. Joints in or between Fire-Resistance-Rated Construction: Provide joint firestopping systems with ratings determined per ASTM E 1966 or UL 2079.
  - 1. Manufacturers: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to the following:
    - a. 3M Fire Protection Products.
    - b. A/D Fire Protection Systems Inc.
    - c. BlazeFrame Industries.
    - d. Grabber Construction Products.
    - e. Hilti, Inc.
    - f. Nelson Firestop; a brand of Emerson Industrial Automation.
    - g. Passive Fire Protection Partners.
    - h. RectorSeal.
    - i. ROXUL.
    - j. Specified Technologies, Inc.
    - k. Thermafiber, Inc.; an Owens Corning company.
    - l. Tremco, Inc.
  - 2. Fire-Resistance Rating: Equal to or exceeding the fire-resistance rating of the wall, floor, or roof in or between which it is installed. Where joints are between assemblies of two different fire resistance ratings, the joint shall have an hourly rating meeting or exceeding the highest fire resistance rating of the two assemblies.
- E. Joints at Exterior Curtain-Wall/Floor Intersections: Provide joint firestopping systems with rating determined per ASTM E 2307.
  - 1. Manufacturers: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to the following:
    - a. 3M Fire Protection Products.
    - b. Hilti, Inc.
    - c. Industrial Insulation Group, LLC (IIG-LLC).
    - d. Nelson Firestop; a brand of Emerson Industrial Automation.
    - e. RectorSeal.
    - f. ROXUL.
    - g. Specified Technologies, Inc.
    - h. Thermafiber, Inc.; an Owens Corning company.
    - i. Tremco, Inc.
  - 2. F-Rating: Equal to or exceeding the fire-resistance rating of the floor assembly.
- F. Joints in Smoke Barriers: Provide fire-resistive joint systems with ratings determined per UL 2079 based on testing at a positive pressure differential of 0.30-inch wg.

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1. Manufacturers: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to the following:
    - a. 3M Fire Protection Products.
    - b. A/D Fire Protection Systems Inc.
    - c. Hilti, Inc.
    - d. Nelson Firestop; a brand of Emerson Industrial Automation.
    - e. Passive Fire Protection Partners.
    - f. RectorSeal.
    - g. ROXUL.
    - h. Specified Technologies, Inc.
    - i. Thermafiber, Inc.; an Owens Corning company.
    - j. Tremco, Inc.
  2. L-Rating: Not exceeding 5.0 cfm/ft. of joint at both ambient and elevated temperatures.
- G. Exposed Joint Firestopping Systems: Flame-spread and smoke-developed indexes of less than 25 and 450, respectively, as determined per ASTM E 84.
- H. VOC Content: Penetration firestopping sealants and sealant primers shall comply with the following limits for VOC content when calculated according to 40 CFR 59, Subpart D (EPA Method 24):
1. Sealants: 250 g/L.
  2. Sealant Primers for Nonporous Substrates: 250 g/L.
  3. Sealant Primers for Porous Substrates: 775 g/L.
- I. Accessories: Provide components of fire-resistive joint systems, including primers and forming materials, that are needed to install elastomeric fill materials and to maintain ratings required. Use only components specified by joint firestopping system manufacturer and approved by the qualified testing agency for conditions indicated.

PART 3 - EXECUTION

3.1 EXAMINATION

- A. Examine substrates and conditions, with Installer present, for compliance with requirements for joint configurations, substrates, and other conditions affecting performance of the Work.
- B. Proceed with installation only after unsatisfactory conditions have been corrected.

3.2 PREPARATION

- A. Surface Cleaning: Before installing fire-resistive joint systems, clean joints immediately to comply with fire-resistive joint system manufacturer's written instructions and the following requirements:

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1. Remove from surfaces of joint substrates foreign materials that could interfere with adhesion of elastomeric fill materials or compromise fire-resistive rating such as drywall tape and joint compound, dirt, grease, oil, or scale.
  2. Clean joint substrates to produce clean, sound surfaces capable of developing optimum bond with elastomeric fill materials. Remove loose particles remaining from cleaning operation.
  3. Remove laitance and form-release agents from concrete.
- B. Prime substrates where recommended in writing by joint firestopping system manufacturer using that manufacturer's recommended products and methods. Confine primers to areas of bond; do not allow spillage and migration onto exposed surfaces.

### 3.3 INSTALLATION

- A. General: Install fire-resistive joint systems to comply with manufacturer's written installation instructions and published drawings for products and applications indicated.
- B. Install forming materials and other accessories of types required to support elastomeric fill materials during their application and in position needed to produce cross-sectional shapes and depths required to achieve fire ratings indicated.
1. After installing elastomeric fill materials and allowing them to fully cure, remove combustible forming materials and other accessories not indicated as permanent components of fire-resistive joint system.
- C. Install elastomeric fill materials for fire-resistive joint systems by proven techniques to produce the following results:
1. Elastomeric fill voids and cavities formed by joints and forming materials as required to achieve fire-resistance ratings indicated.
  2. Apply elastomeric fill materials so they contact and adhere to substrates formed by joints.
  3. For elastomeric fill materials that will remain exposed after completing the Work, finish to produce smooth, uniform surfaces that are flush with adjoining finishes.

### 3.4 IDENTIFICATION

- A. Joint Identification: Identify joint firestopping systems with legible metal or plastic labels. Attach labels permanently to surfaces adjacent to and within 6 inches of joint edge so labels are visible to anyone seeking to remove or joint firestopping system. Use mechanical fasteners or self-adhering-type labels with adhesives capable of permanently bonding labels to surfaces on which labels are placed. Include the following information on labels:
1. The words "Warning - Joint Firestopping - Do Not Disturb. Notify Building Management of Any Damage."
  2. Contractor's name, address, and phone number.
  3. Designation of applicable testing agency.
  4. Date of installation.
  5. Manufacturer's name.
  6. Installer's name.

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- B. Fire Rated Wall Identification: Identify fire rated walls with signs stating “Fire Barrier - Protect Openings”. Apply signs using red or contrasting paint over stencils. Letters shall be a minimum of 3 inches in height with minimum 3/8-inch stroke. Prevent overspray onto adjacent surfaces. If overspray does occur, clean-up or replacement of damaged surfaces is the responsibility of the Contractor. Space signs at a maximum of 10 foot intervals. In areas with drop/finished ceilings, paint the notification on the wall shortly above the drop/finished ceiling. In mechanical, electrical and similar rooms without ceilings, place signs 8 feet above the finished floor level. Do not provide signs in public areas such as offices, classrooms, lobbies, corridors, etc. that do not have drop ceilings.

3.5 FIELD QUALITY CONTROL

- A. University will engage a qualified testing agency to perform tests and inspections, coordinate scheduling and corrective action.
- B. Testing shall include destructive testing to assure proper installation/application.
- C. Where deficiencies are found or joint firestopping systems are damaged or removed due to testing, repair or replace joint firestopping systems so they comply with requirements.
- D. Proceed with enclosing joint firestopping systems with other construction only after inspection reports are issued and installations comply with requirements.

3.6 CLEANING AND PROTECTION

- A. Clean off excess elastomeric fill materials adjacent to joints as the Work progresses by methods and with cleaning materials that are approved in writing by joint firestopping system manufacturers and that do not damage materials in which joints occur.
- B. Provide final protection and maintain conditions during and after installation that ensure joint firestopping systems are without damage or deterioration at time of Substantial Completion. If damage or deterioration occurs despite such protection, cut out and remove damaged or deteriorated fire-resistive joint systems immediately and install new materials to produce fire-resistive joint systems complying with specified requirements.

END OF SECTION



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SECTION 081113 - HOLLOW METAL DOORS AND FRAMES

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

- A. Section includes:
  - 1. Interior standard steel doors and frames.
- B. Related Requirements:
  - 1. Section 087100 "Door Hardware" for door hardware for hollow-metal doors.

1.3 DEFINITIONS

- A. Minimum Thickness: Minimum thickness of base metal without coatings according to NAAMM-HMMA 803 or ANSI/SDI A250.8.

1.4 COORDINATION

- A. Coordinate anchorage installation for hollow-metal frames. Furnish setting drawings, templates, and directions for installing anchorages, including sleeves, concrete inserts, anchor bolts, and items with integral anchors. Deliver such items to Project site in time for installation.
- B. Coordinate requirements for installation of door hardware.

1.5 ACTION SUBMITTALS

- A. Submittals shall comply with the requirements of Section 013300 "Submittal Procedures" and the individual sections specifying the work.
- B. Product Data: For each type of product.
  - 1. Include construction details, material descriptions, core descriptions, fire-resistance ratings, and finishes.
- C. Shop Drawings: Include the following:
  - 1. Elevations of each door type.

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2. Details of doors, including vertical- and horizontal-edge details and metal thicknesses.
3. Frame details for each frame type, including dimensioned profiles and metal thicknesses.
4. Locations of reinforcement and preparations for hardware.
5. Details of each different wall opening condition.
6. Details of anchorages, joints, field splices, and connections.
7. Details of accessories.

- D. Product Schedule: For hollow-metal doors and frames, prepared by or under the supervision of supplier, using same reference numbers for details and openings as those on Drawings. Coordinate with final door hardware schedule.

1.6 INFORMATIONAL SUBMITTALS

- A. Submittals shall comply with the requirements of Section 013300 “Submittal Procedures” and the individual sections specifying the work.
- B. Qualification Data: For door inspector.
1. Submit copy of DHI Fire and Egress Door Assembly Inspector (FDAI) certificate.
- C. Product Test Reports: For each type of fire-rated hollow-metal door and frame assembly for tests performed by a qualified testing agency indicating compliance with performance requirements.
- D. Field quality control reports.

1.7 CLOSEOUT SUBMITTALS

- A. Submittals shall comply with the requirements of Section 013300 “Submittal Procedures” and the individual sections specifying the work.
- B. Record Documents: For fire-rated doors, list of door numbers and applicable room name and number to which door accesses.

1.8 QUALITY ASSURANCE

- A. Fire-Rated Door Inspector Qualifications: Inspector for field quality control inspections of fire-rated door assemblies shall meet the qualifications set forth in NFPA 80, section 5.2.3.1.

1.9 DELIVERY, STORAGE, AND HANDLING

- A. Deliver hollow-metal doors and frames palletized, packaged, or crated to provide protection during transit and Project-site storage. Do not use nonvented plastic.
1. Provide additional protection to prevent damage to factory-finished units.
- B. Deliver welded frames with two removable spreader bars across bottom of frames, tack welded to jambs and mullions.

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- C. Store hollow-metal doors and frames vertically under cover at Project site with head up. Place on minimum 4-inch- high wood blocking. Provide minimum 1/4-inch space between each stacked door to permit air circulation.

PART 2 - PRODUCTS

2.1 PERFORMANCE REQUIREMENTS

- A. Fire-Rated Door Assemblies: Assemblies complying with NFPA 80 that are listed and labeled by a qualified testing agency acceptable to authorities having jurisdiction for fire-protection ratings indicated on Drawings, based on testing at positive pressure according to NFPA 252 or UL 10C.

2.2 INTERIOR STANDARD STEEL DOORS AND FRAMES

- A. Construct hollow-metal doors and frames to comply with standards indicated for materials, fabrication, hardware locations, hardware reinforcement, tolerances, and clearances, and as specified.

- B. Heavy-Duty Doors and Frames: ANSI/SDI A250.8, Level 2; ANSI/SDI A250.4, Level B.

1. Doors:

- a. Type: As indicated in the Door and Frame Schedule.
- b. Thickness: 1-3/4 inches.
- c. Face: Metallic-coated steel sheet, minimum thickness of 0.042 inch.
- d. Edge Construction: Model 1, Full Flush.
- e. Edge Bevel: Provide manufacturer's standard beveled or square edges.
- f. Core: Manufacturer's standard.
- g. Fire-Rated Core: Manufacturer's standard core for fire-rated doors.

2. Frames:

- a. Materials: Uncoated steel sheet, minimum thickness of 0.053 inch.
- b. Frames: Fabricated from same thickness material as adjacent door frame.
- c. Construction:
  - 1) Knocked down at existing openings.
  - 2) Full profile welded at newly-framed partitions.

3. Exposed Finish: Prime.

2.3 FRAME ANCHORS

- A. Jamb Anchors:

- 1. Type: Anchors of minimum size and type required by applicable door and frame standard, and suitable for performance level indicated.

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2. Quantity: Minimum of three anchors per jamb, with one additional anchor for frames with no floor anchor. Provide one additional anchor for each 24 inches of frame height above 7 feet.
  3. Postinstalled Expansion Anchor: Minimum 3/8-inch- diameter bolts with expansion shields or inserts, with manufacturer's standard pipe spacer.
- B. Floor Anchors: Provide floor anchors for each jamb and mullion that extends to floor.
- C. Material: ASTM A879/A879M, Commercial Steel (CS), 04Z coating designation; mill phosphatized.

## 2.4 MATERIALS

- A. Cold-Rolled Steel Sheet: ASTM A1008/A1008M, Commercial Steel (CS), Type B; suitable for exposed applications.
- B. Hot-Rolled Steel Sheet: ASTM A1011/A1011M, Commercial Steel (CS), Type B; free of scale, pitting, or surface defects; pickled and oiled.
- C. Inserts, Bolts, and Fasteners: Hot-dip galvanized according to ASTM A153/A153M.
- D. Mineral-Fiber Insulation: ASTM C665, Type I (blankets without membrane facing); consisting of fibers manufactured from slag or rock wool; with maximum flame-spread and smoke-developed indexes of 25 and 50, respectively; passing ASTM E136 for combustion characteristics.

## 2.5 FABRICATION

- A. Hollow-Metal Frames: Fabricate in one piece except where handling and shipping limitations require multiple sections. Where frames are fabricated in sections, provide alignment plates or angles at each joint, fabricated of metal of same or greater thickness as frames.
1. Provide countersunk, flat- or oval-head exposed screws and bolts for exposed fasteners unless otherwise indicated.
  2. Door Silencers: Except on weather-stripped frames, drill stops to receive door silencers as follows. Keep holes clear during construction.
    - a. Single-Door Frames: Drill stop in strike jamb to receive three door silencers.
- B. Hardware Preparation: Factory prepare hollow-metal doors and frames to receive templated mortised hardware, and electrical wiring; include cutouts, reinforcement, mortising, drilling, and tapping according to ANSI/SDI A250.6, the Door Hardware Schedule, and templates.
1. Reinforce doors and frames to receive nontemplated, mortised, and surface-mounted door hardware.
  2. Comply with BHMA A156.115 for preparing hollow-metal doors and frames for hardware.

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2.6 STEEL FINISHES

- A. Prime Finish: Clean, pretreat, and apply manufacturer's standard primer.
  - 1. Shop Primer: Manufacturer's standard, fast-curing, lead- and chromate-free primer complying with ANSI/SDI A250.10; recommended by primer manufacturer for substrate; compatible with substrate and field-applied coatings despite prolonged exposure.

PART 3 - EXECUTION

3.1 PREPARATION

- A. Remove welded-in shipping spreaders installed at factory. Restore exposed finish by grinding, filling, and dressing, as required to make repaired area smooth, flush, and invisible on exposed faces. Touch up factory-applied finishes where spreaders are removed.
- B. Drill and tap doors and frames to receive nontemplated, mortised, and surface-mounted door hardware.

3.2 INSTALLATION

- A. Install hollow-metal doors and frames plumb, rigid, properly aligned, and securely fastened in place. Comply with approved Shop Drawings and with manufacturer's written instructions.
- B. Hollow-Metal Frames: Comply with ANSI/SDI A250.11.
  - 1. Set frames accurately in position; plumbed, aligned, and braced securely until permanent anchors are set. After wall construction is complete, remove temporary braces without damage to completed Work.
    - a. Where frames are fabricated in sections, field splice at approved locations by welding face joint continuously; grind, fill, dress, and make splice smooth, flush, and invisible on exposed faces. Touch-up finishes.
    - b. Install frames with removable stops located on secure side of opening.
  - 2. Fire-Rated Openings: Install frames according to NFPA 80.
  - 3. Floor Anchors: Secure with postinstalled expansion anchors.
  - 4. Solidly pack mineral-fiber insulation inside frames.
  - 5. In-Place Concrete or Masonry Construction: Secure frames in place with postinstalled expansion anchors. Countersink anchors, and fill and make smooth, flush, and invisible on exposed faces.
  - 6. Installation Tolerances: Adjust hollow-metal frames to the following tolerances:
    - a. Squareness: Plus or minus 1/16 inch, measured at door rabbet on a line 90 degrees from jamb perpendicular to frame head.
    - b. Alignment: Plus or minus 1/16 inch, measured at jambs on a horizontal line parallel to plane of wall.

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- c. Twist: Plus or minus 1/16 inch, measured at opposite face corners of jambs on parallel lines, and perpendicular to plane of wall.
  - d. Plumbness: Plus or minus 1/16 inch, measured at jambs at floor.
- C. Hollow-Metal Doors: Fit and adjust hollow-metal doors accurately in frames, within clearances specified below.
- 1. Non-Fire-Rated Steel Doors: Comply with ANSI/SDI A250.8.
  - 2. Fire-Rated Doors: Install doors with clearances according to NFPA 80.

3.3 FIELD QUALITY CONTROL

- A. Inspection Agency: Engage a qualified inspector to perform inspections and to furnish reports to Architect.
- B. Inspections:
  - 1. Fire-Rated Door Inspections: Inspect each fire-rated door according to NFPA 80, Section 5.2.
- C. Repair or remove and replace installations where inspections indicate that they do not comply with specified requirements.
- D. Reinspect repaired or replaced installations to determine if replaced or repaired door assembly installations comply with specified requirements.
- E. Prepare and submit separate inspection report for each fire-rated door assembly indicating compliance with each item listed in NFPA 80.

3.4 REPAIR

- A. Prime-Coat Touchup: Immediately after erection, sand smooth rusted or damaged areas of prime coat and apply touchup of compatible air-drying, rust-inhibitive primer.

END OF SECTION

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SECTION 087100 - DOOR HARDWARE

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

- A. Section Includes:
  - 1. Mechanical door hardware for the following:
    - a. Swinging doors.
  - 2. Cylinders for door hardware specified in other Sections.

1.3 COORDINATION

- A. Installation Templates: Distribute for doors, frames, and other work specified to be factory prepared. Check Shop Drawings of other work to confirm that adequate provisions are made for locating and installing door hardware to comply with indicated requirements.
- B. Security: Coordinate installation of door hardware, keying, and access control with Owner's security consultant.
- C. Existing Openings: Where hardware components are scheduled for application to existing construction or where modifications to existing door hardware are required, field verify existing conditions and coordinate installation of door hardware to suit opening conditions and to provide proper door operation.

1.4 ACTION SUBMITTALS

- A. Submittals shall comply with the requirements of Section 013300 "Submittal Procedures" and the individual sections specifying the work.
- B. Product Data: For each type of product.
  - 1. Include construction details, material descriptions, dimensions of individual components and profiles, and finishes.
- C. Door Hardware Schedule: Prepared by or under the supervision of Installer's Architectural Hardware Consultant. Coordinate door hardware schedule with doors, frames, and related work to ensure proper size, thickness, hand, function, and finish of door hardware.

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1. Submittal Sequence: Submit door hardware schedule concurrent with submissions of Product Data and Shop Drawings. Coordinate submission of door hardware schedule with scheduling requirements of other work to facilitate the fabrication of other work that is critical in Project construction schedule.
  2. Format: Use same scheduling sequence and format and use same door numbers as in door hardware schedule in the Contract Documents.
  3. Content: Include the following information:
    - a. Identification number, location, hand, fire rating, size, and material of each door and frame.
    - b. Locations of each door hardware set, cross-referenced to Drawings on floor plans and to door and frame schedule.
    - c. Complete designations, including name and manufacturer, type, style, function, size, quantity, function, and finish of each door hardware product.
    - d. Fastenings and other installation information.
    - e. Explanation of abbreviations, symbols, and designations contained in door hardware schedule.
    - f. Mounting locations for door hardware.
    - g. List of related door devices specified in other Sections for each door and frame.
- D. Keying Schedule: Prepared by or under the supervision of Installer's Architectural Hardware Consultant, detailing Owner's final keying instructions for locks. Include schematic keying diagram and index each key set to unique door designations that are coordinated with the Contract Documents.

1.5 INFORMATIONAL SUBMITTALS

- A. Submittals shall comply with the requirements of Section 013300 "Submittal Procedures" and the individual sections specifying the work.
- B. Qualification Data: For Installer and Architectural Hardware Consultant.
- C. Product Certificates: For each type of electrified door hardware.
  1. Certify that door hardware for use on each type and size of labeled fire-rated doors complies with listed fire-rated door assemblies.
- D. Product Test Reports: For compliance with accessibility requirements, for tests performed by manufacturer and witnessed by a qualified testing agency, for door hardware on doors located in accessible routes.
- E. Field quality-control reports.
- F. Sample Warranty: For special warranty.

1.6 CLOSEOUT SUBMITTALS

- A. Submittals shall comply with the requirements of Section 013300 "Submittal Procedures" and the individual sections specifying the work.

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- B. Maintenance Data: For each type of door hardware to include in maintenance manuals.
- C. Schedules: Final door hardware and keying schedule.

1.7 QUALITY ASSURANCE

- A. Installer Qualifications: Supplier of products and an employer of workers trained and approved by product manufacturers and of an Architectural Hardware Consultant who is available during the course of the Work to consult Contractor, Architect, and Owner about door hardware and keying.
  - 1. Warehousing Facilities: In Project's vicinity.
  - 2. Scheduling Responsibility: Preparation of door hardware and keying schedule.
- B. Architectural Hardware Consultant Qualifications: A person who is experienced in providing consulting services for door hardware installations that are comparable in material, design, and extent to that indicated for this Project and who is currently certified by DHI as an Architectural Hardware Consultant (AHC).

1.8 DELIVERY, STORAGE, AND HANDLING

- A. Inventory door hardware on receipt and provide secure lock-up for door hardware delivered to Project site.
- B. Tag each item or package separately with identification coordinated with the final door hardware schedule, and include installation instructions, templates, and necessary fasteners with each item or package.
- C. Deliver keys and permanent cores to Owner by registered mail or overnight package service.

1.9 WARRANTY

- A. Special Warranty: Manufacturer agrees to repair or replace components of door hardware that fail in materials or workmanship within specified warranty period.
  - 1. Failures include, but are not limited to, the following:
    - a. Structural failures including excessive deflection, cracking, or breakage.
    - b. Faulty operation of doors and door hardware.
    - c. Deterioration of metals, metal finishes, and other materials beyond normal weathering and use.
  - 2. Warranty Period: Three years from date of Substantial Completion unless otherwise indicated below:
    - a. Closers: 10 years from date of Substantial Completion.

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PART 2 - PRODUCTS

2.1 MANUFACTURERS

- A. Source Limitations: Obtain each type of door hardware from single manufacturer.

2.2 PERFORMANCE REQUIREMENTS

- A. Fire-Rated Door Assemblies: Where fire-rated doors are indicated, provide door hardware complying with NFPA 80 that is listed and labeled by a qualified testing agency, for fire-protection ratings indicated, based on testing at positive pressure according to NFPA 252 or UL 10C.
- B. Accessibility Requirements:
1. Provide operating devices that do not require tight grasping, pinching, or twisting of the wrist and that operate with a force of not more than 5 lbf.
  2. Comply with the following maximum opening-force requirements:
    - a. Interior, Non-Fire-Rated Hinged Doors: 5 lbf applied perpendicular to door.
    - b. Fire Doors: Minimum opening force allowable by authorities having jurisdiction.
  3. Bevel raised thresholds with a slope of not more than 1:2. Provide thresholds not more than 1/2 inch high.
  4. Adjust door closer sweep periods so that, from an open position of 90 degrees, the door will take at least 5 seconds to move to a position of 12 degrees from the latch.
  5. Adjust spring hinges so that, from an open position of 70 degrees, the door will take at least 1.5 seconds to move to the closed position.

2.3 HINGES

- A. Hinges: BHMA A156.1. Provide template-produced hinges for hinges installed on hollow-metal doors and hollow-metal frames.

2.4 MECHANICAL LOCKS AND LATCHES

- A. Lock Functions: As indicated in door hardware schedule.
- B. Lock Throw: Comply with testing requirements for length of bolts required for labeled fire doors, and as follows:
1. Mortise Locks: Minimum 3/4-inch latchbolt throw.
- C. Lock Backset: 2-3/4 inches unless otherwise indicated.
- D. Lock Trim:
1. Levers: Cast.

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- a. Match adjacent ADA accessible profile.
2. Escutcheons (Roses): Cast.
- E. Strikes: Provide manufacturer's standard strike for each lock bolt or latchbolt complying with requirements indicated for applicable lock or latch and with strike box and curved lip extended to protect frame; finished to match lock or latch.
  1. Flat-Lip Strikes: For locks with three-piece antifriction latchbolts, as recommended by manufacturer.
- F. Mortise Locks: BHMA A156.13; Operational Grade 1; stamped steel case with steel or brass parts; Series 1000.
  1. Manufacturers: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to the following:
    - a. Best Access Systems; Stanley Security Solutions, Inc.
    - b. Corbin Russwin, Inc.; an ASSA ABLOY Group company.
    - c. DORMA USA, Inc.
    - d. Hager Companies.
    - e. SARGENT Manufacturing Company; ASSA ABLOY.
    - f. Stanley Commercial Hardware; a division of Stanley Security Solutions.
    - g. Yale Security Inc; an ASSA ABLOY Group company.

2.5 EXIT DEVICES AND AUXILIARY ITEMS

- A. Exit Devices and Auxiliary Items: BHMA A156.3.

2.6 LOCK CYLINDERS

- A. Lock Cylinders: Tumbler type, constructed from brass or bronze, stainless steel, or nickel silver. Provide cylinder from same manufacturer of locking devices.
  1. Manufacturers: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to the following:
    - a. Allegion plc.
    - b. Arrow USA; an ASSA ABLOY Group company.
    - c. ASSA, Inc.
    - d. Best Access Systems; Stanley Security Solutions, Inc.
    - e. Cal-Royal Products, Inc.
    - f. Corbin Russwin, Inc.; an ASSA ABLOY Group company.
    - g. Hager Companies.
    - h. Medeco Security Locks; an ASSA ABLOY Group company.
    - i. PAMEX Inc.
    - j. PDQ Manufacturing.

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- k. SARGENT Manufacturing Company; ASSA ABLOY.
  - l. Stanley Commercial Hardware; a division of Stanley Security Solutions.
  - m. Yale Security Inc; an ASSA ABLOY Group company.
- B. Standard Lock Cylinders: BHMA A156.5; Grade 1 permanent cores; face finished to match lockset.
- 1. Core Type: Interchangeable.
- C. High-Security Lock Cylinders: BHMA A156.30; Grade 1 permanent cores that are removable; face finished to match lockset.
- 1. Type: M, mechanical.
- D. Construction Cores: Provide construction cores that are replaceable by permanent cores. Provide 10 construction master keys.

2.7 KEYING

- A. Keying System: Factory registered, complying with guidelines in BHMA A156.28, appendix. Provide one extra key blank for each lock.
- 1. Existing System:
    - a. Master key or grand master key locks to Owner's existing system.
- B. Keys: Nickel silver or brass.
- 1. Stamping: Permanently inscribe each key with a visual key control number and include the following notation:
    - a. Notation: Information to be furnished by Owner.

2.8 SURFACE CLOSERS

- A. Surface Closers: BHMA A156.4; rack-and-pinion hydraulic type with adjustable sweep and latch speeds controlled by key-operated valves and forged-steel main arm. Comply with manufacturer's written instructions for size of door closers depending on size of door, exposure to weather, and anticipated frequency of use. Provide factory-sized closers, adjustable to meet field conditions and requirements for opening force.
- 1. Manufacturers: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to the following:
    - a. Corbin Russwin, Inc.; an ASSA ABLOY Group company.
    - b. Hager Companies.
    - c. Norton Door Controls; an ASSA ABLOY Group company.
    - d. SARGENT Manufacturing Company; ASSA ABLOY.

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- e. Stanley Commercial Hardware; a division of Stanley Security Solutions.
- f. Yale Security Inc; an ASSA ABLOY Group company.

2.9 MECHANICAL STOPS AND HOLDERS

- A. Wall- and Floor-Mounted Stops: BHMA A156.16.

2.10 DOOR GASKETING

- A. Door Gasketing: BHMA A156.22; with resilient or flexible seal strips that are easily replaceable and readily available from stocks maintained by manufacturer.
- B. Maximum Air Leakage: When tested according to ASTM E283 with tested pressure differential of 0.3-inch wg, as follows:
  - 1. Smoke-Rated Gasketing: 0.3 cfm/sq. ft. of door opening.

2.11 METAL PROTECTIVE TRIM UNITS

- A. Metal Protective Trim Units: BHMA A156.6; fabricated from 0.050-inch- thick stainless steel; with manufacturer's standard machine or self-tapping screw fasteners.
  - 1. Manufacturers: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to the following:
    - a. Burns Manufacturing Incorporated.
    - b. Hager Companies.
    - c. Rockwood Manufacturing Company; an ASSA ABLOY Group company.
    - d. Trimco.

2.12 FABRICATION

- A. Manufacturer's Nameplate: Do not provide products that have manufacturer's name or trade name displayed in a visible location except in conjunction with required fire-rating labels and as otherwise approved by Architect.
  - 1. Manufacturer's identification is permitted on rim of lock cylinders only.
- B. Base Metals: Produce door hardware units of base metal specified, fabricated by forming method indicated, using manufacturer's standard metal alloy, composition, temper, and hardness. Furnish metals of a quality equal to or greater than that of specified door hardware units and BHMA A156.18.
- C. Fasteners: Provide door hardware manufactured to comply with published templates prepared for machine, wood, and sheet metal screws. Provide screws that comply with commercially recognized industry standards for application intended, except aluminum fasteners are not

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permitted. Provide Phillips flat-head screws with finished heads to match surface of door hardware unless otherwise indicated.

1. Fire-Rated Applications:
  - a. Machine Screws: For the following:
    - 1) Hinges mortised to doors or frames.
    - 2) Strike plates to frames.
    - 3) Closers to doors and frames.
2. Gasketing Fasteners: Provide noncorrosive fasteners.

### 2.13 FINISHES

- A. Provide finishes complying with BHMA A156.18 as indicated in door hardware schedule.
- B. Protect mechanical finishes on exposed surfaces from damage by applying a strippable, temporary protective covering before shipping.
- C. Appearance of Finished Work: Variations in appearance of abutting or adjacent pieces are acceptable if they are within one-half of the range of approved Samples. Noticeable variations in the same piece are not acceptable. Variations in appearance of other components are acceptable if they are within the range of approved Samples and are assembled or installed to minimize contrast.

## PART 3 - EXECUTION

### 3.1 EXAMINATION

- A. Examine doors and frames, with Installer present, for compliance with requirements for installation tolerances, labeled fire-rated door assembly construction, wall and floor construction, and other conditions affecting performance of the Work.
- B. Proceed with installation only after unsatisfactory conditions have been corrected.

### 3.2 PREPARATION

- A. Steel Doors and Frames: For surface-applied door hardware, drill and tap doors and frames according to ANSI/SDI A250.6.

### 3.3 INSTALLATION

- A. Mounting Heights: Mount door hardware units at heights to comply with the following unless otherwise indicated or required to comply with governing regulations.
  1. Standard Steel Doors and Frames: ANSI/SDI A250.8.

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- B. Install each door hardware item to comply with manufacturer's written instructions. Where cutting and fitting are required to install door hardware onto or into surfaces that are later to be painted or finished in another way, coordinate removal, storage, and reinstallation of surface protective trim units with finishing work. Do not install surface-mounted items until finishes have been completed on substrates involved.
  - 1. Set units level, plumb, and true to line and location. Adjust and reinforce attachment substrates as necessary for proper installation and operation.
  - 2. Drill and countersink units that are not factory prepared for anchorage fasteners. Space fasteners and anchors according to industry standards.
- C. Lock Cylinders: Install construction cores to secure building and areas during construction period.
  - 1. Replace construction cores with permanent cores as directed by Owner.
- D. Keys: Deliver keys to Owner and document transfer.
- E. Stops: Provide floor stops for doors unless wall or other type stops are indicated in door hardware schedule. Do not mount floor stops where they will impede traffic.
- F. Perimeter Gasketing: Apply to head and jamb, forming seal between door and frame.
  - 1. Do not notch perimeter gasketing to install other surface-applied hardware.

3.4 FIELD QUALITY CONTROL

- A. Independent Architectural Hardware Consultant: Engage a qualified independent Architectural Hardware Consultant to perform inspections and to prepare inspection reports.
  - 1. Independent Architectural Hardware Consultant will inspect door hardware and state in each report whether installed work complies with or deviates from requirements, including whether door hardware is properly installed and adjusted.

3.5 ADJUSTING

- A. Initial Adjustment: Adjust and check each operating item of door hardware and each door to ensure proper operation or function of every unit. Replace units that cannot be adjusted to operate as intended. Adjust door control devices to compensate for final operation of heating and ventilating equipment and to comply with referenced accessibility requirements.
  - 1. Door Closers: Adjust sweep period to comply with accessibility requirements and requirements of authorities having jurisdiction.

3.6 CLEANING AND PROTECTION

- A. Clean adjacent surfaces soiled by door hardware installation.
- B. Clean operating items as necessary to restore proper function and finish.

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- C. Provide final protection and maintain conditions that ensure that door hardware is without damage or deterioration at time of Substantial Completion.

3.7 DEMONSTRATION

- A. Train Owner's maintenance personnel to adjust, operate, and maintain door hardware.

3.8 DOOR HARDWARE SCHEDULE

**Hardware Set HW-1 (Door 121)**

No.	Item	Notes
3	Hinges	
1	Lockset	Function F07 (Storeroom)
1	Closer	
1	Floor Stop	

**Hardware Set HW-2 (Door 123 and 123A)**

No.	Item	Notes
3	Hinges	
1	Lockset	Function F07 (Storeroom)
1	Closer	
1	Wall Stop (Door 123)	
1 Set	Gasketing	
1	Floor Stop (Door 123A)	

**Hardware Set HW-3 (Door 125)**

No.	Item	Notes
1	Closer	
1	Floor Stop	
1 Set	Gasketing	
1	Lockset	Function F07 (Storeroom)

**Hardware Set HW-4 (Door 121A and 126)**

No.	Item	Notes
3	Hinges	
1	Lockset	Function F07 (Storeroom)
1	Exit Device	
1	Closer	
1	Floor Stop	
1 Set	Gasketing	

END OF SECTION

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SECTION 092116.23 - GYPSUM BOARD SHAFT WALL ASSEMBLIES

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

- A. Section Includes:
  - 1. Gypsum board shaft wall assemblies.

1.3 ACTION SUBMITTALS

- A. Submittals shall comply with the requirements of Section 013300 "Submittal Procedures" and the individual sections specifying the work.
- B. Product Data: For each component of gypsum board shaft wall assembly.

1.4 DELIVERY, STORAGE, AND HANDLING

- A. Store materials inside under cover and keep them dry and protected against weather, condensation, direct sunlight, construction traffic, and other potential causes of damage. Stack panels flat and support them on risers on a flat platform to prevent sagging.

1.5 FIELD CONDITIONS

- A. Environmental Limitations: Comply with gypsum-shaftliner-board manufacturer's written instructions.
- B. Do not install finish panels until installation areas are enclosed and conditioned.
- C. Do not install panels that are wet, moisture damaged, or mold damaged.
  - 1. Indications that panels are wet or moisture damaged include, but are not limited to, discoloration, sagging, and irregular shape.
  - 2. Indications that panels are mold damaged include, but are not limited to, fuzzy or splotchy surface contamination and discoloration.

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PART 2 - PRODUCTS

2.1 PERFORMANCE REQUIREMENTS

- A. Fire-Resistance-Rated Assemblies: For fire-resistance-rated assemblies, provide materials and construction identical to those tested in assembly indicated according to ASTM E119 by an independent testing agency.

2.2 GYPSUM BOARD SHAFT WALL ASSEMBLIES

- A. Fire-Resistance Rating: As indicated on Drawings.
- B. Gypsum Shaftliner Board:
  - 1. Type X: ASTM C1396/C1396M; manufacturer's proprietary fire-resistive liner panels with paper faces, 1 inch thick, with double beveled long edges.
- C. Non-Load-Bearing Steel Framing, General: Complying with ASTM C645 requirements for metal unless otherwise indicated and complying with requirements for fire-resistance-rated assembly indicated.
  - 1. Protective Coating: Coating with equivalent corrosion resistance of ASTM A653/A653M, G40 unless otherwise indicated.
- D. Studs: Manufacturer's standard profile for repetitive, corner, and end members as follows:
  - 1. Depth: As indicated.
  - 2. Minimum Base-Metal Thickness: As indicated.
- E. Finish Panels: Gypsum board as specified in Section 092900 "Gypsum Board."
- F. Mineral Wool Batts: As specified in Section 092900 "Gypsum Board."

2.3 AUXILIARY MATERIALS

- A. Provide auxiliary materials that comply with shaft wall manufacturer's written instructions.
- B. Trim Accessories: Cornerbead, edge trim, and control joints of material and shapes as specified in Section 092900 "Gypsum Board" that comply with gypsum board shaft wall assembly manufacturer's written instructions for application indicated.
- C. Steel Drill Screws: ASTM C1002 unless otherwise indicated.

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PART 3 - EXECUTION

3.1 EXAMINATION

- A. Examine substrates and conditions, with Installer present, for compliance with requirements for installation tolerances and other conditions affecting performance of the Work.
- B. Examine panels before installation. Reject panels that are wet, moisture damaged, or mold damaged.
- C. Proceed with installation only after unsatisfactory conditions have been corrected.

3.2 INSTALLATION

- A. General: Install gypsum board shaft wall assemblies to comply with requirements of fire-resistance-rated assemblies indicated and manufacturer's written installation instructions.
- B. Penetrations: At penetrations in shaft wall, maintain fire-resistance rating of shaft wall assembly by installing supplementary steel framing around perimeter of penetration and fire protection behind boxes containing wiring devices and similar items.
- C. Isolate perimeter of gypsum panels from building structure to prevent cracking of panels while maintaining continuity of fire-rated construction.
- D. Installation Tolerance: Install each framing member so fastening surfaces vary not more than 1/8 inch from the plane formed by faces of adjacent framing.

3.3 PROTECTION

- A. Protect installed products from damage from weather, condensation, direct sunlight, construction, and other causes during remainder of the construction period.
- B. Remove and replace panels that are wet, moisture damaged, or mold damaged.
  - 1. Indications that panels are wet or moisture damaged include, but are not limited to, discoloration, sagging, and irregular shape.
  - 2. Indications that panels are mold damaged include, but are not limited to, fuzzy or splotchy surface contamination and discoloration.

END OF SECTION



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SECTION 092216 - NON-STRUCTURAL METAL FRAMING

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

- A. Section Includes:
  - 1. Non-load-bearing steel framing systems for interior partitions.

1.3 ACTION SUBMITTALS

- A. Submittals shall comply with the requirements of Section 013300 "Submittal Procedures" and the individual sections specifying the work.
- B. Product Data: For each type of product.

1.4 INFORMATIONAL SUBMITTALS

- A. Submittals shall comply with the requirements of Section 013300 "Submittal Procedures" and the individual sections specifying the work.
- B. Product Certificates: For each type of code-compliance certification for studs and tracks.
- C. Evaluation Reports: For embossed, high-strength steel studs and tracks, firestop tracks, post-installed anchors and power-actuated fasteners, from ICC-ES or other qualified testing agency acceptable to authorities having jurisdiction.

1.5 QUALITY ASSURANCE

- A. Code-Compliance Certification of Studs and Tracks: Provide documentation that framing members are certified according to the product-certification program of the Certified Steel Stud Association, the Steel Framing Industry Association or the Steel Stud Manufacturers Association.

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PART 2 - PRODUCTS

2.1 PERFORMANCE REQUIREMENTS

- A. Fire-Test-Response Characteristics: For fire-resistance-rated assemblies that incorporate non-load-bearing steel framing, provide materials and construction identical to those tested in assembly indicated, according to ASTM E119 by an independent testing agency.
- B. STC-Rated Assemblies: For STC-rated assemblies, provide materials and construction identical to those tested in assembly indicated on Drawings, according to ASTM E90 and classified according to ASTM E413 by an independent testing agency.

2.2 FRAMING SYSTEMS

- A. Framing Members, General: Comply with ASTM C754 for conditions indicated.
  - 1. Steel Sheet Components: Comply with ASTM C645 requirements for steel unless otherwise indicated.
  - 2. Protective Coating: Coating with equivalent corrosion resistance of ASTM A653/A653M, G40, hot-dip galvanized unless otherwise indicated.
- B. Studs and Tracks: ASTM C645. Use either conventional steel studs and tracks or embossed, high-strength steel studs and tracks.
  - 1. Steel Studs and Tracks:
    - a. Minimum Base-Steel Thickness: 0.0269 inch.
    - b. Depth: As indicated on Drawings.
  - 2. Embossed, High Strength Steel Studs and Tracks: Roll-formed and embossed with surface deformations to stiffen the framing members so that they are structurally comparable to conventional ASTM C645 steel studs and tracks.
    - a. Minimum Base-Steel Thickness: 0.0190 inch.
    - b. Depth: As indicated on Drawings.
- C. Slip-Type Head Joints: Where indicated, provide one of the following:
  - 1. Clip System: Clips designed for use in head-of-wall deflection conditions that provide a positive attachment of studs to tracks while allowing 1-1/2-inch minimum vertical movement.
  - 2. Single Long-Leg Track System: ASTM C645 top track with 2-inch- deep flanges in thickness not less than indicated for studs, installed with studs friction fit into top track and with continuous bridging located within 12 inches of the top of studs to provide lateral bracing.
  - 3. Deflection Track: Steel sheet top track manufactured to prevent cracking of finishes applied to interior partition framing resulting from deflection of structure above; in thickness not less than indicated for studs and in width to accommodate depth of studs.

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- D. Firestop Tracks: Top track manufactured to allow partition heads to expand and contract with movement of structure while maintaining continuity of fire-resistance-rated assembly indicated; in thickness not less than indicated for studs and in width to accommodate depth of studs.
- E. Cold-Rolled Channel Bridging: Steel, 0.0538-inch minimum base-steel thickness, with minimum 1/2-inch- wide flanges.

2.3 AUXILIARY MATERIALS

- A. General: Provide auxiliary materials that comply with referenced installation standards.
  - 1. Fasteners for Steel Framing: Of type, material, size, corrosion resistance, holding power, and other properties required to fasten steel members to substrates.
- B. Isolation Strip at Exterior Walls: Provide one of the following:
  - 1. Asphalt-Saturated Organic Felt: ASTM D226/D226M, Type I (No. 15 asphalt felt), nonperforated.
  - 2. Foam Gasket: Adhesive-backed, closed-cell vinyl foam strips that allow fastener penetration without foam displacement, 1/8 inch thick, in width to suit steel stud size.

PART 3 - EXECUTION

3.1 EXAMINATION

- A. Examine areas and substrates, with Installer present, and including welded hollow-metal frames, cast-in anchors, and structural framing, for compliance with requirements and other conditions affecting performance of the Work.
- B. Proceed with installation only after unsatisfactory conditions have been corrected.

3.2 INSTALLATION, GENERAL

- A. Installation Standard: ASTM C754.
  - 1. Gypsum Board Assemblies: Also comply with requirements in ASTM C840 that apply to framing installation.
- B. Install framing and accessories plumb, square, and true to line, with connections securely fastened.
- C. Install supplementary framing, and blocking to support fixtures, equipment services, heavy trim, furnishings, or similar construction.
- D. Install bracing at terminations in assemblies.

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3.3 INSTALLING FRAMED ASSEMBLIES

- A. Install framing system components according to spacings indicated, but not greater than spacings required by referenced installation standards for assembly types.
- B. Where studs are installed directly against exterior masonry walls or dissimilar metals at exterior walls, install isolation strip between studs and exterior wall.
- C. Install studs so flanges within framing system point in same direction.
- D. Install tracks at floors and overhead supports. Extend framing full height to structural supports or substrates above suspended ceilings except where partitions are indicated to terminate at suspended ceilings. Continue framing around ducts that penetrate partitions above ceiling.
  - 1. Slip-Type Head Joints: Where framing extends to overhead structural supports, install to produce joints at tops of framing systems that prevent axial loading of finished assemblies.
  - 2. Door Openings: Screw vertical studs at jambs to jamb anchor clips on door frames; install track section (for cripple studs) at head and secure to jamb studs.
    - a. Install two studs at each jamb unless otherwise indicated.
  - 3. Fire-Resistance-Rated Partitions: Install framing to comply with fire-resistance-rated assembly indicated and support closures and to make partitions continuous from floor to underside of solid structure.
    - a. Firestop Track: Install to maintain continuity of fire-resistance-rated assembly indicated.
  - 4. Sound-Rated Partitions: Install framing to comply with sound-rated assembly indicated.
- E. Installation Tolerance: Install each framing member so fastening surfaces vary not more than 1/8 inch from the plane formed by faces of adjacent framing.

END OF SECTION

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SECTION 092900 - GYPSUM BOARD

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

A. Section Includes:

- 1. Interior gypsum board.
- 2. Sound-attenuation blankets (mineral wool batts).

B. Related Requirements:

- 1. Section 092116.23 "Gypsum Board Shaft Wall Assemblies" for metal shaft-wall framing, gypsum shaft liners, and other components of shaft-wall assemblies.
- 2. Section 092216 "Non-Structural Metal Framing" for non-structural steel framing and suspension systems that support gypsum board panels.

1.3 ACTION SUBMITTALS

- A. Submittals shall comply with the requirements of Section 013300 "Submittal Procedures" and the individual sections specifying the work.

B. Product Data: For the following:

- 1. Gypsum board, Type X.
- 2. Interior trim.
- 3. Joint treatment materials.
- 4. Sound-attenuation blankets.

1.4 DELIVERY, STORAGE AND HANDLING

- A. Store materials inside under cover and keep them dry and protected against weather, condensation, direct sunlight, construction traffic, and other potential causes of damage. Stack panels flat and supported on risers on a flat platform to prevent sagging.

1.5 FIELD CONDITIONS

- A. Environmental Limitations: Comply with ASTM C840 requirements or gypsum board manufacturer's written instructions, whichever are more stringent.

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- B. Do not install paper-faced gypsum panels until installation areas are enclosed and conditioned.
- C. Do not install panels that are wet, moisture damaged, and mold damaged.
  - 1. Indications that panels are wet or moisture damaged include, but are not limited to, discoloration, sagging, or irregular shape.
  - 2. Indications that panels are mold damaged include, but are not limited to, fuzzy or splotchy surface contamination and discoloration.

PART 2 - PRODUCTS

2.1 PERFORMANCE REQUIREMENTS

- A. Fire-Resistance-Rated Assemblies: For fire-resistance-rated assemblies, provide materials and construction identical to those tested in assembly indicated according to ASTM E119 by an independent testing agency.
- B. STC-Rated Assemblies: For STC-rated assemblies, provide materials and construction identical to those tested in assembly indicated according to ASTM E90 and classified according to ASTM E413 by an independent testing agency.

2.2 GYPSUM BOARD, GENERAL

- A. Size: Provide maximum lengths and widths available that will minimize joints in each area and that correspond with support system indicated.

2.3 INTERIOR GYPSUM BOARD

- A. Gypsum Board, Type X: ASTM C1396/C1396M.
  - 1. Thickness: 5/8 inch.
  - 2. Long Edges: Tapered.

2.4 TRIM ACCESSORIES

- A. Interior Trim: ASTM C1047.
  - 1. Material: Galvanized or aluminum-coated steel sheet or rolled zinc.
  - 2. Shapes:
    - a. Cornerbead.
    - b. LC-Bead: J-shaped; exposed long flange receives joint compound.
    - c. L-Bead: L-shaped; exposed long flange receives joint compound.

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2.5 JOINT TREATMENT MATERIALS

- A. General: Comply with ASTM C475/C475M.
- B. Joint Tape:
  - 1. Interior Gypsum Board: Paper.
- C. Joint Compound for Interior Gypsum Board: For each coat, use formulation that is compatible with other compounds applied on previous or for successive coats.
  - 1. Prefilling: At open joints and damaged surface areas, use setting-type taping compound.
  - 2. Embedding and First Coat: For embedding tape and first coat on joints, fasteners, and trim flanges, use setting-type taping or drying-type, all-purpose compound.
  - 3. Fill Coat: For second coat, use setting-type, sandable topping or drying-type, all-purpose compound.
  - 4. Finish Coat: For third coat, use setting-type, sandable topping or drying-type, all-purpose compound.

2.6 AUXILIARY MATERIALS

- A. Provide auxiliary materials that comply with referenced installation standards and manufacturer's written instructions.
- B. Steel Drill Screws: ASTM C1002 unless otherwise indicated.
- C. Sound-Attenuation Blankets: ASTM C665, Type I (blankets without membrane facing) produced by combining thermosetting resins with mineral fibers manufactured from glass, slag wool, or rock wool.
  - 1. Fire-Resistance-Rated Assemblies: Comply with mineral-fiber requirements of assembly.

PART 3 - EXECUTION

3.1 EXAMINATION

- A. Examine areas and substrates including welded hollow-metal frames and support framing, with Installer present, for compliance with requirements and other conditions affecting performance of the Work.
- B. Examine panels before installation. Reject panels that are wet, moisture damaged, and mold damaged.
- C. Proceed with installation only after unsatisfactory conditions have been corrected.

3.2 INSTALLATION AND FINISHING OF PANELS, GENERAL

- A. Comply with ASTM C840.

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- B. Install ceiling panels across framing to minimize the number of abutting end joints and to avoid abutting end joints in central area of each ceiling. Stagger abutting end joints of adjacent panels not less than one framing member.
- C. Install panels with face side out. Butt panels together for a light contact at edges and ends with not more than 1/16 inch of open space between panels. Do not force into place.
- D. Locate edge and end joints over supports, except in ceiling applications where intermediate supports or gypsum board back-blocking is provided behind end joints. Do not place tapered edges against cut edges or ends. Stagger vertical joints on opposite sides of partitions. Do not make joints other than control joints at corners of framed openings.
- E. Form control and expansion joints with space between edges of adjoining gypsum panels.
- F. Cover both faces of support framing with gypsum panels in concealed spaces (above ceilings, etc.), except in chases braced internally.
  - 1. Unless concealed application is indicated or required for sound, fire, air, or smoke ratings, coverage may be accomplished with scraps of not less than 8 sq. ft. in area.
  - 2. Fit gypsum panels around ducts, pipes, and conduits.
  - 3. Where partitions intersect structural members projecting below underside of floor/roof slabs and decks, cut gypsum panels to fit profile formed by structural members; allow 1/4- to 3/8-inch- wide joints to install sealant.
- G. Isolate perimeter of gypsum board applied to non-load-bearing partitions at structural abutments. Provide 1/4- to 1/2-inch- wide spaces at these locations and trim edges with edge trim where edges of panels are exposed. Seal joints between edges and abutting structural surfaces with acoustical sealant.
- H. Attachment to Steel Framing: Attach panels so leading edge or end of each panel is attached to open (unsupported) edges of stud flanges first.
- I. STC-Rated Assemblies: Seal construction at perimeters, behind control joints, and at openings and penetrations with a continuous bead of acoustical sealant. Install acoustical sealant at both faces of partitions at perimeters and through penetrations. Comply with ASTM C919 and with manufacturer's written instructions for locating edge trim and closing off sound-flanking paths around or through assemblies, including sealing partitions above acoustical ceilings.
- J. Install sound attenuation blankets before installing gypsum panels unless blankets are readily installed after panels have been installed on one side.

3.3 INSTALLATION OF INTERIOR GYPSUM BOARD

- A. Install interior gypsum board in the following locations:
  - 1. Type X: Unless otherwise indicated.
- B. Single-Layer Application:

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1. On partitions/walls, apply gypsum panels vertically (parallel to framing) unless otherwise indicated or required by fire-resistance-rated assembly, and minimize end joints.
2. Fastening Methods: Apply gypsum panels to supports with steel drill screws.

C. Multilayer Application:

1. On ceilings, apply gypsum board indicated for base layers before applying base layers on walls/partitions; apply face layers in same sequence. Apply base layers at right angles to framing members and offset face-layer joints one framing member, 16 inches minimum, from parallel base-layer joints, unless otherwise indicated or required by fire-resistance-rated assembly.
2. On partitions/walls, apply gypsum board indicated for base layers and face layers vertically (parallel to framing) with joints of base layers located over stud or furring member and face-layer joints offset at least one stud or furring member with base-layer joints unless otherwise indicated or required by fire-resistance-rated assembly. Stagger joints on opposite sides of partitions.
3. Fastening Methods: Fasten base layers and face layers separately to supports with screws.

3.4 INSTALLATION OF TRIM ACCESSORIES

- A. General: For trim with back flanges intended for fasteners, attach to framing with same fasteners used for panels. Otherwise, attach trim according to manufacturer's written instructions.
- B. Interior Trim: Install in the following locations:
  1. Cornerbead: Use at outside corners.
  2. LC-Bead: Use at exposed panel edges.
  3. L-Bead: Use as required.
  4. U-Bead: Use at exposed panel edges.

3.5 FINISHING GYPSUM BOARD

- A. General: Treat gypsum board joints, interior angles, edge trim, control joints, penetrations, fastener heads, surface defects, and elsewhere as required to prepare gypsum board surfaces for decoration. Promptly remove residual joint compound from adjacent surfaces.
- B. Prefill open joints and damaged surface areas.
- C. Apply joint tape over gypsum board joints, except for trim products specifically indicated as not intended to receive tape.
- D. Gypsum Board Finish Levels: Finish panels to levels indicated below and according to ASTM C840:
  1. Level 4:
    - a. Primer and its application to surfaces are specified in Section 099123 "Interior Painting."

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3.6 INSTALLATION OF TEXTURE FINISHES

- A. Surface Preparation and Primer: Prepare and apply primer to gypsum panels and other surfaces receiving texture finishes. Apply primer to surfaces that are clean, dry, and smooth.
- B. Texture Finish Application: Mix and apply finish using powered spray equipment, to produce a uniform texture free of starved spots or other evidence of thin application or of application patterns.
- C. Prevent texture finishes from coming into contact with surfaces not indicated to receive texture finish by covering them with masking agents, polyethylene film, or other means. If, despite these precautions, texture finishes contact these surfaces, immediately remove droppings and overspray to prevent damage according to texture-finish manufacturer's written instructions.

3.7 PROTECTION

- A. Protect adjacent surfaces from drywall compound and promptly remove from floors and other non-drywall surfaces. Repair surfaces stained, marred, or otherwise damaged during drywall application.
- B. Protect installed products from damage from weather, condensation, direct sunlight, construction, and other causes during remainder of the construction period.
- C. Remove and replace panels that are wet, moisture damaged, and mold damaged.
  - 1. Indications that panels are wet or moisture damaged include, but are not limited to, discoloration, sagging, or irregular shape.
  - 2. Indications that panels are mold damaged include, but are not limited to, fuzzy or splotchy surface contamination and discoloration.

END OF SECTION

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SECTION 210548 - VIBRATION AND SEISMIC CONTROLS FOR FIRE-SUPPRESSION PIPING  
AND EQUIPMENT

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

- A. Section Includes:
  - 1. Elastomeric isolation pads.
  - 2. Elastomeric isolation mounts.
  - 3. Restrained elastomeric isolation mounts.
  - 4. Elastomeric hangers.
  - 5. Snubbers.
  - 6. Restraints - rigid type.
  - 7. Restraint accessories.
  - 8. Post-installed concrete anchors.
  - 9. Concrete inserts.

1.3 DEFINITIONS

- A. Designated Seismic System: A fire-suppression component that requires design in accordance with ASCE/SEI 7, Ch. 13 and for which the Component Importance Factor is greater than 1.0.
- B. IBC: International Building Code.
- C. OSHPD: Office of Statewide Health Planning and Development (for the State of California).

1.4 ACTION SUBMITTALS

- A. Submittals shall comply with the requirements of Section 013300 "Submittal Procedures" and the individual sections specifying the work.
- B. Product Data: For each type of product.
  - 1. Include rated load, rated deflection, and overload capacity for each vibration isolation device.
  - 2. Include load rating for each wind-load-restraint fitting and assembly.

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3. Illustrate and indicate style, material, strength, fastening provision, and finish for each type and size of vibration isolation device and seismic- and wind-load-restraint component.
4. Annotate types and sizes of seismic restraints and accessories, complete with listing markings or report numbers and load rating in tension and compression as evaluated by an agency acceptable to authorities having jurisdiction.
5. Annotate to indicate application of each product submitted and compliance with requirements.

C. Shop Drawings:

1. Detail fabrication and assembly of equipment bases.
2. Vibration Isolation Base Details: Detail fabrication including anchorages and attachments to structure and to supported equipment. Include adjustable rails, and frames for equipment mounting.

D. Delegated-Design Submittal:

1. For each seismic-restraint and wind-load protection device, including seismic-restrained mounting, pipe-riser resilient support, snubber, seismic restraint, seismic-restraint accessory, and concrete anchor and insert that is required by this Section, submit the following:
  - a. Seismic- and Wind-Load-Restraint Selection: Select seismic and wind-load restraints complying with performance requirements, design criteria, and analysis data.
  - b. Riser Supports: Include riser diagrams and calculations showing anticipated expansion and contraction at each support point, initial and final loads on building structure, and seismic loads. Include certification by professional engineer that riser system was examined for excessive stress and that none exists.
  - c. Post-Installed Concrete Anchors and Inserts: Include calculations showing anticipated seismic and wind loads. Include certification that device is approved by an NRTL for seismic reinforcement use.
  - d. Seismic Design Calculations: Submit all input data and loading calculations prepared under "Seismic Design Calculations" Paragraph in "Performance Requirements" Article.
  - e. Wind-Load Design Calculations: Submit all static and dynamic loading calculations prepared under "Wind-Load Design Calculations" in "Performance Requirements" Article.
  - f. Qualified Professional Engineer: All designated-design submittals for seismic and wind-load-restraint calculations are to be signed and sealed by qualified professional engineer responsible for their preparation.
2. Seismic- and Wind-Load-Restraint Detail Drawing:
  - a. Design Analysis: To support selection and arrangement of seismic and wind restraints. Include calculations of combined tensile and shear loads.
  - b. Details: Indicate fabrication and arrangement. Detail attachments of restraints to restrained items and to the structure. Show attachment locations, methods, and spacings. Identify components, list their strengths, and indicate directions and

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values of forces transmitted to the structure during seismic events. Indicate association with vibration isolation devices.

- c. Coordinate seismic restraint details with wind-load restraint details required for equipment mounted outdoors. Comply also with requirements in other Sections for equipment mounted outdoors.
  3. Product Listing, Preapproval, and Evaluation Documentation: By an agency acceptable to authorities having jurisdiction, showing maximum ratings of restraint items and the basis for approval (tests or calculations).
  4. All delegated-design submittals for seismic- and wind-load-restraint detail Drawings are to be signed and sealed by qualified professional engineer responsible for their preparation.
- E. Riser Supports: Include riser diagrams and calculations showing anticipated expansion and contraction at each support point, initial and final loads on building structure, and seismic loads. Include certification that riser system was examined for excessive stress and that none exists.

1.5 INFORMATIONAL SUBMITTALS

- A. Submittals shall comply with the requirements of Section 013300 "Submittal Procedures" and the individual sections specifying the work.
- B. Coordination Drawings: Show coordination of seismic bracing for fire-suppression piping and equipment with other systems and equipment in the vicinity, including other supports and restraints, if any.
- C. Qualification Data: For professional engineer and testing agency.
- D. Welding certificates.
- E. Field quality-control reports.
- F. Seismic Qualification Data: Provide special certification for designated seismic systems as indicated in ASCE/SEI 7-16, Paragraph 13.2.2, "Special Certification Requirements for Designated Seismic Systems" for all Designated Seismic Systems identified as such on Drawings or in the Specifications.
  1. Provide equipment manufacturer's written certification for each designated active fire-suppression system seismic device and system, stating that it will remain operable following the design earthquake. Certification must be based on requirements of ASCE/SEI 7 and AHRI 1270, including shake table testing per ICC-ES AC156 or a similar nationally recognized testing standard procedure acceptable to authorities having jurisdiction.
  2. Provide equipment manufacturer's written certification that components with hazardous contents maintain containment following the design earthquake by methods required in ASCE/SEI 7-16.
  3. Submit evidence demonstrating compliance with these requirements for approval to authorities having jurisdiction after review and acceptance by a licensed professional engineer.

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4. The following fire-suppression system systems and components are Designated Seismic Systems and require written special certification of seismic qualification by manufacturer:

a. Clean-Agent Fire-Extinguishing Systems.

G. Wind-Load Performance Certification: Provide special certification for fire-suppression system components subject to high-wind exposure and impact damage and designated on Drawings or in the Specifications to require wind-load performance certification.

1. Provide equipment manufacturer's written certification for each designated fire-suppression system device, stating that it will remain in place and operable following the design wind event and comply with all requirements of authorities having jurisdiction.

2. Certification must be based on ICC-ES or similar nationally recognized testing standard procedures acceptable to authorities having jurisdiction

3. The following fire-suppression system systems and components require special certification for high wind performance. Written special certification of resistance to the effects of high wind load and impact damage must be provided by manufacturer.

a. Clean-Agent Fire-Extinguishing Systems.

## 1.6 QUALITY ASSURANCE

A. Testing Agency Qualifications: An independent agency, with the experience and capability to conduct testing indicated, that is an NRTL as defined by OSHA in 29 CFR 1910.7 and that is acceptable to authorities having jurisdiction.

B. Welding Qualifications: Qualify procedures and personnel according to AWS D1.1/D1.1M, "Structural Welding Code - Steel."

C. Seismic- and Wind-Load-Restraint Device Load Ratings: Devices to be tested and rated in accordance with applicable code requirements and authorities having jurisdiction. Devices to be listed by a nationally recognized third party that requires periodic follow-up inspections and has a listing directory available to the public. Provide third-party listing by one or more of the following: an agency acceptable to authorities having jurisdiction.

## PART 2 - PRODUCTS

### 2.1 PERFORMANCE REQUIREMENTS

A. Delegated Design: Engage a qualified professional engineer, as defined in Section 014000 "Quality Requirements," to design seismic and wind-load control system.

1. Seismic Performance: Equipment must be designed and secured to withstand the effects of earthquake motions determined in accordance with NFPA 13 and ASCE/SEI 7-16.

2. Wind-Load Performance: Equipment must be designed and secured to withstand the effects of high wind events determined in accordance with ASCE/SEI 7-16.

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B. Seismic Design Calculations:

1. Perform calculations to obtain force information necessary to properly select seismic-restraint devices, fasteners, and anchorage. Perform calculations using methods acceptable to applicable code authorities and as presented in ASCE/SEI 7-16. Where "ASCE/SEI 7" is used throughout this Section, it is to be understood that the edition referred to in this subparagraph is the edition intended as reference throughout the Section Text.
  - a. Data to be determined by Delegated-Design Contractor must be obtained by Contractor and must be included in individual component submittal packages.
  - b. Coordinate seismic design calculations with wind-load calculations for equipment mounted outdoors. Comply with requirements in other Sections in addition to those in this Section for equipment mounted outdoors.
  - c. Building Risk Category: IV.
  - d. Building Site Classification: D.

C. Wind-Load Design Calculations:

1. Perform calculations to obtain force information necessary to properly select wind-load-restraint devices, fasteners, and anchorage. Perform calculations using methods acceptable to applicable code authorities and as presented in ASCE/SEI 7-16. Where "ASCE/SEI 7" is used throughout this Section, it is to be understood that the edition referred to in this subparagraph is intended as referenced throughout the Section Text unless otherwise noted.
  - a. Factors that are specific to individual pieces of equipment must be obtained by Contractor and must be included in individual component submittal packages.
  - b. Coordinate design wind-load calculations with seismic load calculations for equipment requiring both seismic and wind-load reinforcement. Comply with requirements in other Sections in addition to those in this Section for equipment mounted outdoors.

D. Consequential Damage: Provide additional seismic and wind-load restraints for suspended fire-suppression system components or anchorage of floor-, roof-, or wall-mounted fire-suppression system components as indicated in ASCE/SEI 7-16 so that failure of a non-essential or essential fire-suppression system component will not cause the failure of any other essential architectural, mechanical, or electrical building component.

E. Fire/Smoke Resistance: Seismic- and wind-load-restraint devices that are not constructed of ferrous metals must have a maximum flame-spread index of 25 and maximum smoke-developed index of 50 when tested by an NRTL in accordance with ASTM E84 or UL 723, and be so labeled.

F. Component Supports:

1. Load ratings, features, and applications of all reinforcement components must be based on testing standards of a nationally recognized testing agency.
2. All component support attachments must comply with force and displacement resistance requirements of ASCE/SEI 7-16 Section 13.6.

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2.2 ELASTOMERIC ISOLATION PADS

A. Elastomeric Isolation Pads:

1. Manufacturers: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to the following:
  - a. Ace Mountings Co., Inc.
  - b. California Dynamics Corporation.
  - c. Isolation Technology, Inc.
  - d. Kinetics Noise Control, Inc.
  - e. Korfund.
  - f. Mason Industries, Inc.
  - g. Novia; A Division of C&P.
  - h. nVent (CADDY).
  - i. Vibration Eliminator Co., Inc.
  - j. Vibration Isolation.
  - k. Vibration Management Corp.
  - l. Vibration Mountings & Controls, Inc.
2. Fabrication: Single or multiple layers of sufficient durometer stiffness for uniform loading over pad area.
3. Size: Factory or field cut to match requirements of supported equipment.
4. Pad Material: Oil and water resistant with elastomeric properties. Neoprene rubber, silicone rubber, or other elastomeric material.
5. Surface Pattern: Smooth, ribbed, or waffle pattern.

2.3 ELASTOMERIC ISOLATION MOUNTS

A. Double-Deflection, Elastomeric Isolation Mounts:

1. Manufacturers: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to the following:
  - a. Ace Mountings Co., Inc.
  - b. California Dynamics Corporation.
  - c. Isolation Technology, Inc.
  - d. Kinetics Noise Control, Inc.
  - e. Korfund.
  - f. Mason Industries, Inc.
  - g. Novia; A Division of C&P.
  - h. nVent (CADDY).
  - i. Vibration Eliminator Co., Inc.
  - j. Vibration Isolation.
  - k. Vibration Management Corp.
  - l. Vibration Mountings & Controls, Inc.

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2. Mounting Plates:
  - a. Top Plate: Encapsulated steel load transfer top plates, factory drilled and threaded with threaded studs or bolts.
  - b. Baseplate: Encapsulated steel bottom plates with holes provided for anchoring to support structure.
3. Elastomeric Material: Molded, oil- and water-resistant neoprene rubber, silicone rubber, or other elastomeric material.

2.4 RESTRAINED ELASTOMERIC ISOLATION MOUNTS

A. Restrained Elastomeric Isolation Mounts:

1. Manufacturers: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to the following:
  - a. Ace Mountings Co., Inc.
  - b. California Dynamics Corporation.
  - c. Isolation Technology, Inc.
  - d. Kinetics Noise Control, Inc.
  - e. Korfund.
  - f. Mason Industries, Inc.
  - g. Novia; A Division of C&P.
  - h. nVent (CADDY).
  - i. Vibration Eliminator Co., Inc.
  - j. Vibration Isolation.
  - k. Vibration Management Corp.
  - l. Vibration Mountings & Controls, Inc.
2. Description: All-directional isolator with seismic restraints containing two separate and opposing elastomeric elements that prevent central threaded element and attachment hardware from contacting the housing during normal operation.
  - a. Housing: Cast-ductile iron or welded steel.
  - b. Elastomeric Material: Molded, oil-resistant rubber, neoprene, or other elastomeric material.

2.5 ELASTOMERIC HANGERS

A. Elastomeric Mount in a Steel Frame with Upper and Lower Steel Hanger Rods: .

1. Manufacturers: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to the following:
  - a. Ace Mountings Co., Inc.

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- b. California Dynamics Corporation.
  - c. Kinetics Noise Control, Inc.
  - d. Mason Industries, Inc.
  - e. Novia; A Division of C&P.
  - f. nVent (CADDY).
  - g. Vibration Eliminator Co., Inc.
  - h. Vibration Isolation.
  - i. Vibration Management Corp.
  - j. Vibration Mountings & Controls, Inc.
2. Frame: Steel, fabricated with a connection for an upper threaded hanger rod and an opening on the underside to allow for a maximum of 30 degrees of angular lower hanger-rod misalignment without binding or reducing isolation efficiency.
  3. Damping Element: Molded, oil-resistant rubber, neoprene, or other elastomeric material with a projecting bushing for the underside opening preventing steel-to-steel contact.

2.6 SNUBBERS

- A. Manufacturers: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to the following:
  1. Kinetics Noise Control, Inc.
  2. Mason Industries, Inc.
  3. nVent (CADDY).
  4. Vibration Management Corp.
  5. Vibration Mountings & Controls, Inc.
- B. Description: Factory fabricated using welded structural-steel shapes and plates, anchor bolts, and replaceable resilient isolation washers and bushings.
  1. Post-Installed Concrete Anchor Bolts: Secure to concrete surface with post-installed concrete anchors. Anchors to be seismically prequalified in accordance with ACI 355.2 testing and designated in accordance with ACI 318-14 Ch. 17 for 2015 IBC.
  2. Preset Concrete Inserts: Seismically prequalified in accordance with ICC-ES AC446 testing.
  3. Anchors in Masonry: Design in accordance with TMS 402.
  4. Resilient Isolation Washers and Bushings: Oil- and water-resistant neoprene.
  5. Resilient Cushion: Maximum 1/4-inch air gap, and minimum 1/4 inch thick.

2.7 RESTRAINTS - RIGID TYPE

- A. Manufacturers: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to the following:
  1. Atkore International (Unistrut).
  2. B-line; Eaton, Electrical Sector.

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3. California Dynamics Corporation.
4. Hilti, Inc.
5. Isolation Technology, Inc.
6. nVent (CADDY).
7. TOLCO.
8. Vibration Mountings & Controls, Inc.

- B. Description: Shop- or field-fabricated bracing assembly made of AISI S110-07-S1 slotted steel channels, ANSI/ASTM A53/A53M steel pipe as per NFPA 13, or other rigid steel brace member. Includes accessories for attachment to braced component at one end and to building structure at the other end and other matching components and with corrosion-resistant coating; rated in tension, compression, and torsion forces.

2.8 RESTRAINT ACCESSORIES

- A. Manufacturers: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to the following:
1. Atkore International (Unistrut).
  2. B-line; Eaton, Electrical Sector.
  3. Hilti, Inc.
  4. Loos & Co.
  5. Mason Industries, Inc.
  6. nVent (CADDY).
  7. TOLCO.
- B. Hanger-Rod Stiffener: Steel tube or steel slotted-support-system sleeve with internally bolted connections to hanger rod. Non-metallic stiffeners are unacceptable.
- C. Hinged and Swivel Brace Attachments: Multifunctional steel connectors for attaching hangers to rigid restraints.
- D. Bushings for Floor-Mounted Equipment Anchor Bolts: Neoprene bushings designed for rigid equipment mountings, and matched to type and size of anchor bolts and studs.
- E. Bushing Assemblies for Wall-Mounted Equipment Anchorage: Assemblies of neoprene elements and steel sleeves designed for rigid equipment mountings, and matched to type and size of attachment devices used.
- F. Resilient Isolation Washers and Bushings: One-piece, molded, oil- and water-resistant neoprene, with a flat washer face.

2.9 POST-INSTALLED CONCRETE ANCHORS

- A. Mechanical Anchor Bolts:

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1. Manufacturers: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to the following:
    - a. Atkore International (Unistrut).
    - b. B-line; Eaton, Electrical Sector.
    - c. Hilti, Inc.
    - d. Mason Industries, Inc.
    - e. Powers Fasteners.
    - f. Simpson Strong-Tie Co., Inc.
  2. Drilled-in and stud-wedge or female-wedge type in zinc-coated steel for interior applications and stainless steel for exterior applications. Select anchor bolts with strength for anchor and as tested according to ASTM E488/E488M.
- B. Adhesive Anchor Bolts:
1. Manufacturers: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to the following:
    - a. Atkore International (Unistrut).
    - b. B-line; Eaton, Electrical Sector.
    - c. Hilti, Inc.
    - d. Mason Industries, Inc.
    - e. Powers Fasteners.
    - f. Simpson Strong-Tie Co., Inc.
  2. Drilled-in and capsule anchor system containing PVC or urethane methacrylate-based resin and accelerator, or injected polymer or hybrid mortar adhesive. Provide anchor bolts and hardware with zinc-coated steel for interior applications and stainless steel for exterior applications. Select anchor bolts with strength required for anchor and as tested according to ASTM E488/E488M.
- C. Provide post-installed concrete anchors that have been prequalified for use in seismic applications. Post-installed concrete anchors must comply with all requirements of ASCE/SEI 7-16 Ch. 13.
1. Prequalify post-installed anchors in concrete in accordance with ACI 355.2 or other approved qualification testing procedures.
  2. Prequalify post-installed anchors in masonry in accordance with approved qualification procedures.
- D. Expansion-type anchor bolts are not permitted for equipment in excess of 10 hp that is not vibration isolated.
1. Undercut expansion anchors are permitted.

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2.10 CONCRETE INSERTS

- A. Manufacturers: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to the following:
  - 1. Atkore International (Unistrut).
  - 2. B-line; Eaton, Electrical Sector.
  - 3. Hilti, Inc.
  - 4. Mason Industries, Inc.
  - 5. Powers Fasteners.
  - 6. Simpson Strong-Tie Co., Inc.
- B. Provide preset concrete inserts that are seismically prequalified in accordance with ICC-ES AC466 testing.
- C. Comply with ANSI/MSS SP-58.

PART 3 - EXECUTION

3.1 EXAMINATION

- A. Examine areas and equipment to receive vibration isolation, wind control, and seismic control devices for compliance with requirements for installation tolerances and other conditions affecting performance of the Work.
- B. Examine roughing-in of reinforcement and cast-in-place anchors to verify actual locations before installation.
- C. Proceed with installation only after unsatisfactory conditions have been corrected.

3.2 APPLICATIONS

- A. Multiple Pipe Supports: Secure pipes to trapeze member with clamps approved for application by an agency acceptable to authorities having jurisdiction.
- B. Strength of Support and Seismic-Restraint Assemblies: Where not indicated, select sizes of components so strength is adequate to carry calculated static, wind load, and seismic loads within specified loading limits.

3.3 INSTALLATION OF VIBRATION CONTROL, WIND-LOAD-RESTRAINT, AND SEISMIC-RESTRAINT DEVICES

- A. Provide vibration-control devices for systems and equipment where indicated in Equipment Schedules or Fire-Suppression Vibration Isolation, Seismic, and Wind-Load-Restraint Schedule, where indicated on Drawings, or where the Specifications indicate they are to be installed on specific equipment and systems.

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- B. Provide seismic-restraint and wind-load-restraint devices for systems and equipment where indicated in Equipment Schedules or Vibration Isolation, Seismic, and Wind-Load-Restraint Schedules, where indicated on Drawings, where the Specifications indicate they are to be installed on specific equipment and systems, and where required by applicable codes.
- C. Coordinate location of embedded connection hardware with supported equipment attachment and mounting points and with requirements for concrete reinforcement and formwork specified on the drawings.
- D. Installation of vibration isolators, wind-load restraints, and seismic restraints must not cause any stresses, misalignment, or change of position of equipment or piping.
- E. Equipment Restraints:
  - 1. Install snubbers on fire-suppression equipment mounted on vibration isolators. Locate snubbers as close as possible to vibration isolators and bolt to equipment base and supporting structure.
  - 2. Install resilient bolt isolation washers on equipment anchor bolts where clearance between anchor and adjacent surface exceeds 0.125 inch.
  - 3. Install seismic-restraint devices using methods approved by an agency acceptable to authorities having jurisdiction that provides required submittals for component.
- F. Piping Restraints:
  - 1. Comply with all requirements in NFPA 13.
  - 2. Design piping sway bracing in accordance with NFPA 13.
    - a. Maximum spacing of all sway bracing to be no greater than indicated in NFPA 13.
    - b. Design loading of all sway bracing not to exceed values indicated in NFPA 13.
- G. Install seismic- and wind-load-restraint devices using methods approved by an agency acceptable to authorities having jurisdiction that provides required submittals for component.
- H. Install bushing assemblies for anchor bolts for floor-mounted equipment, arranged to provide resilient media between anchor bolt and mounting hole in concrete base.
- I. Install bushing assemblies for mounting bolts for wall-mounted equipment, arranged to provide resilient media where equipment or equipment-mounting channels are attached to wall.
- J. Attachment to Structure: If specific attachment is not indicated, anchor bracing to structure at flanges of beams, at upper truss chords of bar joists, or at concrete members.
- K. Post-Installed Concrete Anchors:
  - 1. Identify position of reinforcing steel and other embedded items prior to drilling holes for anchors. Do not damage existing reinforcing or embedded items during coring or drilling. Notify the structural engineer if reinforcing steel or other embedded items are encountered during drilling. Locate and avoid prestressed tendons, electrical and telecommunications conduit, and gas lines.
  - 2. Do not drill holes in concrete or masonry until concrete, mortar, or grout has achieved full design strength.

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3. Mechanical-Type Anchor Bolts: Protect threads from damage during anchor installation. Heavy-duty sleeve anchors shall be installed with sleeve fully engaged in the structural element to which anchor is to be fastened.
4. Adhesive-Type Anchor Bolts: Clean holes to remove loose material and drilling dust prior to installation of adhesive. Place adhesive in holes proceeding from the bottom of the hole and progressing toward the surface in such a manner as to avoid introduction of air pockets in the adhesive.
5. Set anchors to manufacturer's recommended torque, using a torque wrench.
6. Install zinc-coated steel anchors for interior and stainless steel anchors for exterior applications.

3.4 ACCOMMODATION OF DIFFERENTIAL SEISMIC MOTION

- A. Install flexible connections in piping where they cross structural seismic joints and other points where differential movement may occur, where adjacent sections or branches are supported by different structural elements, and where the connections terminate with connection to equipment that is anchored to a different structural element from the one supporting the connections as they approach equipment.

3.5 ADJUSTING

- A. Adjust isolators after system is at operating weight.
- B. Adjust limit stops on restrained-spring isolators to mount equipment at normal operating height. After equipment installation is complete, adjust limit stops so they are out of contact during normal operation.

3.6 FIELD QUALITY CONTROL

- A. Field tests must be witnessed by Architect and authorities having jurisdiction.
- B. Manufacturer's Field Service: Engage a factory-authorized service representative to test and inspect components, assemblies, and equipment installations, including connections.
- C. Tests and Inspections:
  1. Provide evidence of recent calibration of test equipment by a testing agency acceptable to authorities having jurisdiction.
  2. Schedule test with Owner, through Architect, before connecting anchorage device to restrained component (unless postconnection testing has been approved), and with at least seven days' advance notice.
  3. Obtain Architect's approval before transmitting test loads to structure. Provide temporary load-spreading members.
  4. Test at no fewer than four of each type and size of installed anchors and fasteners selected by Architect.
  5. Test to 90 percent of rated proof load of device.
  6. Measure isolator restraint clearance.
  7. Measure isolator deflection.

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- 8. Verify snubber minimum clearances.
- D. Remove and replace malfunctioning units and retest as specified above.
- E. Units will be considered defective if they do not pass tests and inspections.
- F. Prepare test and inspection reports.

END OF SECTION

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SECTION 212200 - CLEAN-AGENT FIRE-EXTINGUISHING SYSTEMS

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

- A. Design and provide an automatic clean-agent fire extinguishing system in generator enclosure.

- B. Section Includes:

1. Clean-agent fire-extinguishing systems.
2. Pipe and fittings.
3. Valves.
4. Extinguishing-agent containers.
5. Fire-extinguishing clean agent.
6. Discharge nozzles.
7. Manifold and orifice unions.
8. Fire control panels.
9. Detection devices.
10. Manual stations.
11. Switches.
12. Alarm devices.

- C. Related Requirements:

1. Section 263213.13 "Diesel-Engine-Drive Generator Sets".

1.3 DEFINITIONS

- A. EPO: Emergency Power Off.

1.4 ACTION SUBMITTALS

- A. Submittals shall comply with the requirements of Section 013300 "Submittal Procedures" and the individual sections specifying the work.

- B. Product Data: For each type of product indicated.

- C. Sustainable Design Submittals:

1. Product Data: Documentation that clean- agent chemicals are compliant.

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- D. Shop Drawings: Design and prepare in accordance with requirements of NFPA 2001, to include, but not be limited to, the following:
  - 1. Include plans, elevations, sections, and attachment details.
  - 2. Include design calculations.
  - 3. Include details of equipment assemblies. Indicate dimensions, weights, loads, manufacturer-required clearances, method of field assembly, components, and location and size of each field connection.
  - 4. Include diagrams for power, signal, and control wiring.
  - 5. Permit-Approved Documents: Working plans and hydraulic calculations approved by authorities having jurisdiction.
  - 6. Shop drawings shall be signed and sealed by a qualified professional engineer.
- E. Delegated-Design Submittal: For clean-agent fire-extinguishing system signed and sealed by a qualified professional engineer.

1.5 INFORMATIONAL SUBMITTALS

- A. Submittals shall comply with the requirements of Section 013300 “Submittal Procedures” and the individual sections specifying the work.
- B. Coordination Drawings: Plans, or BIM model, drawn to scale, showing the items described in this Section, and coordinated with all building trades. Coordinate for enclosure integrity in accordance with NFPA 2001 requirements.
- C. Seismic Qualification Data: Certificates for extinguishing-agent containers and control panels, from manufacturer.
  - 1. Basis for Certification: Indicate whether withstand certification is based on actual test of assembled components or on calculation.
  - 2. Dimensioned Outline Drawings of Equipment Unit: Identify center of gravity and locate and describe mounting and anchorage provisions.
  - 3. Detailed description of equipment anchorage devices on which the certification is based and their installation requirements.
- D. Welding certificates.
- E. Field quality-control reports.

1.6 CLOSEOUT SUBMITTALS

- A. Submittals shall comply with the requirements of Section 013300 “Submittal Procedures” and the individual sections specifying the work.
- B. Operation and Maintenance Data: For clean-agent fire-extinguishing system to include in emergency, operation, and maintenance manuals.

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1.7 MAINTENANCE MATERIAL SUBMITTALS

- A. Submittals shall comply with the requirements of Section 013300 "Submittal Procedures" and the individual sections specifying the work.
- B. Furnish extra materials that match products installed and that are packaged with protective covering for storage and identified with labels describing contents. Deliver extra materials to Owner.
  - 1. Detection Devices: Not less than 20 percent of amount of each type installed.
  - 2. Container Valves: Not less than 10 percent of amount of each size and type installed.
  - 3. Nozzles: Not less than 20 percent of amount of each type installed.
  - 4. Extinguishing Agent: Not less than 100 percent of amount installed in largest hazard area. Include pressure-rated containers with valves.

1.8 QUALITY ASSURANCE

- A. Pipe and Pressure-Vessel Welding Qualifications: Qualify procedures and operators in accordance with ASME Boiler and Pressure Vessel Code.

PART 2 - PRODUCTS

2.1 PERFORMANCE REQUIREMENTS

- A. Electrical Components, Devices, and Accessories: Listed and labeled as defined in NFPA 70, by an NRTL, and marked for intended location and application.
- B. FM Global Compliance: Provide components that are FM Approved and that are listed in FM Approvals' "Approval Guide."
- C. UL Compliance: Provide equipment listed in UL's "Fire Protection Equipment Directory."
- D. Seismic Performance: Fire-suppression piping shall withstand the effects of earthquake motions determined in accordance with ASCE/SEI 7.
  - 1. The term "withstand" means "the unit will remain in place without separation of any parts from the device when subjected to the seismic forces specified and the unit will be fully operational after a seismic event."
  - 2. Component Importance Factor: 1.5.

2.2 CLEAN-AGENT SYSTEMS

- A. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
  - 1. Ansul by Johnson Controls Company.
  - 2. Fike Corporation.
  - 3. Siemens Industry, Inc. (Building Technologies Division).

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4. United Technologies Corporation (UTC Climate, Controls & Security - Kidde).
- B. Source Limitations: Obtain clean-agent systems from single source from single manufacturer.
- C. Description: Clean-agent fire-extinguishing system shall be an engineered system for total flooding of the area inside the generator enclosure.
- D. Delegated Design: Design clean-agent fire-extinguishing system and obtain approval from authorities having jurisdiction. Design system for Class A, B and C fires as appropriate for areas being protected, and include safety factor. Use clean agent indicated and in concentration suitable for normally occupied areas.
- E. Performance Requirements: Discharge HFC 227ea within 10 seconds and maintain 9 percent concentration by volume at 70 deg F for 10-minute holding time in hazard areas.
  1. HFC 227ea concentration in hazard areas greater than 10 percent immediately after discharge or less than 5.8 percent throughout holding time will not be accepted without written authorization from Owner and authorities having jurisdiction.
  2. System Capabilities: Minimum 620-psig calculated working pressure and 360-psig initial charging pressure.
- F. Performance Requirements: Discharge FK-5-1-12 within 10 seconds and maintain 9 percent concentration by volume at 70 deg F for 10-minute holding time in hazard areas.
  1. FK-5-1-12 concentration in hazard areas greater than 10.0 percent immediately after discharge or less than 6.5 percent throughout holding time will not be accepted without written authorization from Owner and authorities having jurisdiction.
  2. System Capabilities: Minimum 620-psig calculated working pressure and 360-psig initial charging pressure.
- G. Cross-Zoned Detection: Devices located in two separate zones. Sound alarm on activating single-detection device, and discharge extinguishing agent on actuating single-detection device in another zone.
- H. System Operating Sequence:
  1. Actuating First Detector: Visual indication on annunciator panel. Energize audible and visual alarms (slow pulse), shut down air-conditioning and ventilating systems serving protected area, close doors in protected area, and send signal to fire-alarm system.
  2. Actuating Second Detector: Visual indication on annunciator panel. Energize audible and visual alarms (fast pulse), shut down power to protected equipment, start time delay for extinguishing-agent discharge for 30 seconds, and discharge extinguishing agent.
  3. Extinguishing-agent discharge will operate audible alarms and strobe lights inside and outside the protected area.
- I. Manual stations shall immediately discharge extinguishing agent when activated.
- J. Operating abort switches will delay extinguishing-agent discharge while being activated, and switches must be reset to prevent agent discharge. Release hand pressure on the switch to cause agent discharge after the time delay has expired.

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- K. EPO: Will terminate power to protected equipment immediately on actuation.
- L. Low-Agent Pressure Switch: Initiate trouble alarm if sensing less than set pressure.
- M. Power Transfer Switch: Transfer from normal to standby power source.

2.3 PIPE AND FITTINGS

- A. See "HFC 227ea Agent Piping Applications" and "FK-5-1-12 Agent Piping Applications" Article for applications of pipe, tube, fitting, and joining materials.
- B. Piping, Valves, and Discharge Nozzles: Comply with types and standards listed in NFPA 2001, Section "Distribution," for charging pressure of system.
- C. Steel Pipe: ASTM A53/A53M, Type S, Grade B or ASTM A106/A106M, Grade A and Grade B; Schedule 40, Schedule 80, and Schedule 160, seamless steel pipe.
  - 1. Threaded Fittings:
    - a. Malleable-Iron Fittings: ASME B16.3, Class 300.
    - b. Flanges and Flanged Fittings: ASME B16.5, Class 300 unless Class 600 is indicated.
    - c. Fittings Working Pressure: 620 psig minimum.
    - d. Flanged Joints: Class 300 minimum.
  - 2. Forged-Steel Welding Fittings: ASME B16.11, Class 3000, socket pattern.
  - 3. Steel, Grooved-End Fittings: FM Approved and NRTL listed, ASTM A47/A47M malleable iron or ASTM A536 ductile iron, with dimensions matching steel pipe and ends factory grooved in accordance with AWWA C606.
- D. Pipe-Flange Gasket Materials: Suitable for chemical and thermal conditions of piping system contents.
  - 1. ASME B16.21, nonmetallic, flat, asbestos-free, 1/8-inch- maximum thickness unless thickness or specific material is indicated.
- E. Flange Bolts and Nuts: ASME B18.2.1, carbon steel.
- F. Welding Filler Metals: Comply with AWS D10.12M/D10.12 for welding materials appropriate for wall thickness and chemical analysis of steel pipe being welded.
- G. Steel, Keyed Couplings: UL 213, AWWA C606, approved or listed for clean-agent service, and matching steel-pipe dimensions. Include ASTM A536, ductile-iron housing, rubber gasket, and steel bolts and nuts.

2.4 VALVES

- A. General Valve Requirements:
  - 1. UL listed or FM Approved for use in fire-protection systems.

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2. Compatible with type of clean agent used.
- B. Container Valves: With rupture disc or solenoid and manual-release lever, capable of immediate and total agent discharge and suitable for intended flow capacity.
- C. Valves in Sections of Closed Piping and Manifolds: Fabricate to prevent entrapment of liquid, or install valve and separate pressure relief device.
- D. Valves in Manifolds: Check valve; installed to prevent loss of extinguishing agent when container is removed from manifold.

2.5 EXTINGUISHING-AGENT CONTAINERS

- A. Description: Steel tanks complying with ASME Boiler and Pressure Vessel Code: Section VIII, for unfired pressure vessels. Include minimum working-pressure rating that matches system charging pressure, valve, pressure switch, and pressure gage.
  1. Finish: Manufacturer's standard color, enamel or epoxy paint.
  2. Manifold: Fabricate with valves, pressure switches, selector switch, and connections for main- and reserve-supply banks of multiple storage containers.
  3. Storage-Tank Brackets: Factory- or field-fabricated retaining brackets consisting of steel straps and channels; suitable for container support, maintenance, and tank refilling or replacement.

2.6 FIRE-EXTINGUISHING CLEAN AGENT

- A. HFC 227ea Clean Agent: Heptafluoropropane.
  1. Manufacturers: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to the following:
    - a. DuPont.
  2. Source Limitations: Obtain clean agents from single source from single manufacturer.
- B. FK-5-1-12 Clean Agent: Dodecafluoro-2-methylpentan-3-one.
  1. Manufacturers: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to the following:
    - a. 3M.
  2. Source Limitations: Obtain clean agents from single source from single manufacturer.

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2.7 DISCHARGE NOZZLES

- A. Description: Equipment manufacturer's standard one-piece brass or aluminum alloy of type, size, discharge pattern, and capacity required for application.
- B. Material: Corrosion-resistant metal.
- C. Stamped with orifice size and type.

2.8 FIRE CONTROL PANELS

- A. Description: FM Approved or NRTL listed, including equipment and features required for testing, supervising, and operating fire-extinguishing system.
- B. Power Requirements: 120/240 V ac; with electrical contacts for connection to system components and fire-alarm system, and transformer or rectifier as needed to produce power at voltage required for accessories and alarm devices.
- C. Enclosure: NEMA ICS 6, Type 1, enameled-steel cabinet.
  - 1. Mounting: Surface.
- D. Supervised Circuits: Separate circuits for each independent hazard area.
  - 1. Detection circuits equal to required number of zones, or addressable devices assigned to required number of zones.
  - 2. Manual pull-station circuit.
  - 3. Alarm circuit.
  - 4. Release circuit.
  - 5. Abort circuit.
  - 6. EPO circuit.
- E. Control-Panel Features:
  - 1. Electrical contacts for shutting down fans, activating dampers, and operating system electrical devices.
  - 2. Automatic switchover to standby power at loss of primary power.
  - 3. Storage container, low-pressure indicator.
  - 4. Service disconnect to interrupt system operation for maintenance with visual status indication on the annunciator panel.
- F. Annunciator Panel: Graphic type showing protected, hazard-area plans, as well as locations of detectors and abort, EPO, and manual stations. Include lamps to indicate device-initiating alarm, electrical contacts for connection to control panel, and stainless steel or aluminum enclosure.
- G. Standby Power: Sealed lead calcium batteries with capacity to operate system for 24 hours and alarm for minimum of 15 minutes. Include automatic battery charger that has a varying charging rate between trickle and high depending on battery voltage, and that is capable of maintaining batteries fully charged. Include manual voltage control, dc voltmeter, dc ammeter,

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electrical contacts for connection to control panel, automatic transfer switch, and suitable enclosure.

2.9 DETECTION DEVICES

- A. Description: Comply with NFPA 2001, NFPA 72, and UL 268; 24 V dc, nominal.
- B. Provide detectors suitable for generator enclosure.
- C. Signals to the Central Fire-Alarm Control Panel: Any type of local system trouble is reported to central fire-alarm control panel as a composite "trouble" signal. Alarms on each system zone are individually reported to central fire-alarm control panel as separately identified zones.

2.10 MANUAL STATIONS

- A. Description: Surface FM Approved or NRTL listed, with clear plastic hinged cover, 120-V ac or low-voltage compatible with controls. Include contacts for connection to control panel.
- B. Manual Release: "MANUAL RELEASE" caption, and red finish. Unit can manually discharge extinguishing agent with operating device that remains engaged until unlocked.
- C. Abort Switch: "ABORT" caption, momentary contact, with green finish.
- D. EPO Switch: "EPO" caption, with yellow finish.

2.11 SWITCHES

- A. Description: FM Approved or NRTL listed, where available, 120-V ac or low-voltage compatible with controls. Include contacts for connection to control panel.
  - 1. Low-Agent Pressure Switches: Pneumatic operation.
  - 2. Power Transfer Switches: Key-operation selector, for transfer of release circuit signal from main supply to reserve supply.
  - 3. Door Closers: Magnetic retaining and release device or electrical interlock to cause door operator to drive the door closed.

2.12 ALARM DEVICES

- A. Description: FM Approved or NRTL listed, low voltage, and surface mounting. Comply with requirements in Section 284621.11 "Addressable Fire-Alarm Systems" or Section 284621.13 "Conventional Fire-Alarm Systems" for alarm and monitoring devices.
- B. Bells: Minimum 6-inch diameter.
- C. Horns: 90 to 94 dBA.
- D. Strobe Lights: Translucent lens, with "FIRE" or similar caption.

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E. Oxygen Deficiency Monitor.

1. Sampling Method and Range: Diffusion, zero to 25 percent O<sub>2</sub>.
2. 24 V dc.
3. Wall mounted with bracket.
4. Built-in audible alarm 90 dBA.
5. Backlit LCD.
6. 10-year no-calibration sensor.
7. No maintenance required.
8. Signal Outputs: Standard 4- to 20-mA analog.
9. Connections for system control data acquisition system and/or programmable logic controller.
10. Plus or minus 1 percent accuracy of full scale.
11. Operating temperature of minus 40 to plus 122 deg F.

2.13 ROOM PRESSURE-RELEASE DEVICE

- A. Provide each room with pressure release device(s) set to operate per manufacturer's recommended pressure. These shall be designed for both positive and negative pressure per the manufacturer's direction. Device(s) shall be marked on the exterior and interior in red, stenciled writing: "EXTINGUISHING SYSTEM PRESSURE RELIEF VENT, DO NOT OBSTRUCT". Lettering shall be a minimum of 1/2 inches in height, with "Do not obstruct" a minimum of 1 inch in height.

PART 3 - EXECUTION

3.1 EXAMINATION

- A. Examine areas and conditions, with Installer present, for compliance with enclosure integrity requirements, installation tolerances, and other conditions affecting performance of the Work in accordance with NFPA 2001.
- B. Proceed with installation only after unsatisfactory conditions have been corrected.

3.2 HFC 227ea AGENT PIPING APPLICATIONS

- A. Flanged pipe and fittings and flanged joints may be used to connect to specialties and accessories and where required for maintenance.
- B. NPS 2 and Smaller: Schedule 40, steel pipe; malleable-iron threaded fittings; and threaded joints.
- C. NPS 2-1/2 and Larger: Schedule 40, steel pipe; forged-steel welding fittings; and welded joints.

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3.3 FK-5-1-12 AGENT PIPING APPLICATIONS

- A. Flanged pipe and fittings and flanged joints may be used to connect to specialties and accessories and where required for maintenance.
- B. NPS 2 and Smaller: Schedule 40, steel pipe; malleable-iron threaded fittings; and threaded joints.
- C. NPS 2-1/2 and Larger: Schedule 40, steel pipe; forged-steel welding fittings; and welded joints.

3.4 CLEAN-AGENT SYSTEM INSTALLATION

- A. Install clean-agent containers, piping, and other components level and plumb, in accordance with manufacturers' written instructions.
- B. Clean-Agent Container, Piping and Components Mounting:
  - 1. Comply with requirements for vibration isolation and seismic-control devices specified in Section 210548 "Vibration and Seismic Controls for Fire-Suppression Piping and Equipment."
- C. Install pipe and fittings, valves, and discharge nozzles in accordance with requirements listed in NFPA 2001, Section "Distribution."
  - 1. Install valves designed to prevent entrapment of liquid, or install pressure relief devices in valved sections of piping systems.
  - 2. Support piping using supports and methods in accordance with NFPA 13.
  - 3. Install seismic restraints for extinguishing-agent piping systems.
  - 4. Install control panels, detection system components, alarms, and accessories, in accordance with requirements listed in NFPA 2001, Section "Detection, Actuation, and Control Systems," as required for supervised system application.

3.5 PIPING CONNECTIONS

- A. Where installing piping adjacent to equipment, allow space for service and maintenance.

3.6 ELECTRICAL CONNECTIONS

- A. Connect wiring in accordance with Section 260519 "Low-Voltage Electrical Power Conductors and Cables."
- B. Ground equipment in accordance with Section 260526 "Grounding and Bonding for Electrical Systems."
- C. Install electrical devices furnished by manufacturer, but not factory mounted, in accordance with NFPA 70 and NECA 1.
- D. Install nameplate for each electrical connection, indicating electrical equipment designation and circuit number feeding connection.

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- E. Connect electrical devices to control panel and to building's fire-alarm system. Electrical power, wiring, and devices are specified in Section 284621.11 "Addressable Fire-Alarm Systems."

3.7 CONTROL CONNECTIONS

- A. Install control and electrical power wiring to field-mounted control devices.
- B. Connect control wiring in accordance with Section 260523 "Control-Voltage Electrical Power Cables."

3.8 IDENTIFICATION

- A. Identify system components and equipment. Comply with requirements for identification specified in Section 260553 "Identification for Electrical Systems."
- B. Identify piping, extinguishing-agent containers, other equipment, and panels in accordance with NFPA 2001.
- C. Install signs at entry doors for protected areas to warn occupants that they are entering a room protected with a clean-agent fire-extinguishing system.
- D. Install signs at entry doors to advise persons outside the room the meaning of horn(s), bell(s), and strobe light(s) outside the protected space.

3.9 FIELD QUALITY CONTROL

- A. Testing Agency: Engage a qualified testing agency to perform tests and inspections.
- B. Manufacturer's Field Service: Engage a factory-authorized service representative to test and inspect components, assemblies, and equipment installations, including connections.
- C. Tests and Inspections:
  - 1. After installing clean-agent fire-extinguishing system and after electrical circuitry has been energized, test for compliance in accordance with requirements listed in NFPA 2001, Section "Approval of Installation."
  - 2. Clean-agent fire-extinguishing system and associated protected enclosure will be considered defective if either does not pass required tests and inspections.
  - 3. Prepare test and inspection reports in accordance with requirements listed in NFPA 2001, Section "Installation Acceptance."

3.10 CLEANING

- A. Each pipe section shall be cleaned internally after preparation and before assembly by means of swabbing, using a suitable nonflammable cleaner. Pipe network shall be free of particulate matter and oil residue before installing nozzles or discharge devices.

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3.11 OPERATIONAL CONDITION SYSTEM FILLING

A. Preparation:

1. Verify that clean-agent fire-extinguishing system and protected enclosure have passed all required tests and inspections in accordance with NFPA 2001.
2. Verify that clean-agent fire-extinguishing piping system installation is completed and cleaned.
3. Verify complete enclosure integrity.
4. Verify operation of ventilation and exhaust systems.

B. Filling Procedures:

1. Fill clean-agent fire-extinguishing containers with extinguishing agent, and pressurize to indicated charging pressure.
2. Install filled containers.
3. Energize circuits.
4. Adjust operating controls.

3.12 DEMONSTRATION

- A. Engage a factory-authorized service representative to train Owner's maintenance personnel to adjust, operate, and maintain clean-agent fire-extinguishing systems.

END OF SECTION

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SECTION 260010 - SUPPLEMENTAL REQUIREMENTS FOR ELECTRICAL

PART 1 - GENERAL

1.1 SUMMARY

A. Section Includes:

1. Supplemental requirements applicable to Work specified in Division 26.

B. Related Requirements:

1. Section 260011 "Facility Performance Requirements for Electrical" for seismic-load, wind-load, acoustical, and other field conditions applicable to Work specified in this Section.

1.2 REFERENCES

A. Abbreviations and Acronyms for Electrical Terms and Units of Measure:

1. A: Ampere, unit of electrical current.
2. AC or ac: Alternating current.
3. AFCI: Arc-fault circuit interrupter.
4. AIC: Ampere interrupting capacity.
5. ATS: Automatic transfer switch.
6. AWG: American wire gauge; see ASTM B258.
7. BIL: Basic impulse insulation level.
8. CAD: Computer-aided design or drafting.
9. CB: Circuit breaker.
10. COPS: Critical operations power system.
11. CU or Cu: Copper.
12. CU-AL or AL-CU: Copper-aluminum.
13. dB: Decibel, a unitless logarithmic ratio of two electrical, acoustical, or optical power values.
14. dB(A-weighted) or dB(A): Decibel acoustical sound pressure level with A-weighting applied in accordance with IEC 61672-1.
15. dB(adjusted) or dBa: Decibel weighted absolute noise power with respect to 3.16 pW (minus 85 dBm).
16. dBm: Decibel absolute power with respect to 1 mW.
17. DC or dc: Direct current.
18. DCOA: Designated critical operations area.
19. EGC: Equipment grounding conductor.
20. EMF: Electromotive force.
21. EMI: Electromagnetic interference.
22. EPM: Electrical preventive maintenance.
23. EPS: Emergency power supply.
24. EPSS: Emergency power supply system.

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25. ESS: Energy storage system.
26. FLC: Full-load current.
27. ft.: Foot.
28. GEC: Grounding electrode conductor.
29. GFCI: Ground-fault circuit interrupter.
30. GFPE: Ground-fault protection of equipment.
31. GND: Ground.
32. HACR: Heating, air conditioning, and refrigeration.
33. HDPE: High-density polyethylene.
34. HP or hp: Horsepower.
35. Hz: Hertz.
36. IP: Ingress protection rating (enclosures); Internet protocol (communications).
37. IR: Infrared.
38. IT&R: Inspecting, testing, and repair.
39. kAIC: Kiloampere interrupting capacity.
40. kcmil or MCM: One thousand circular mils.
41. kV: Kilovolt.
42. kVA: Kilovolt-ampere.
43. kVAr or kVAR: Kilovolt-ampere reactive.
44. kW: Kilowatt.
45. kWh: Kilowatt-hour.
46. lb: Pound (weight).
47. LCD: Liquid-crystal display.
48. LED: Light-emitting diode.
49. LRC: Locked-rotor current.
50. MG set: Motor-generator set.
51. MLO: Main lugs only.
52. NC: Normally closed.
53. NiCd: Nickel cadmium.
54. NO: Normally open.
55. OCPD: Overcurrent protective device.
56. PF or pf: Power factor.
57. PLC: Programmable logic controller.
58. PLFA: Power-limited fire alarm.
59. PoE: Power over Ethernet.
60. PVC: Polyvinyl chloride.
61. RMS or rms: Root-mean-square.
62. RPM or rpm: Revolutions per minute.
63. SPD: Surge protective device.
64. TVSS: Transient voltage surge suppressor.
65. UL: Underwriters Laboratories, Inc. (standards) or UL LLC (services).
66. UL CCN: UL Category Control Number.
67. UPS: Uninterruptible power supply.
68. USB: Universal serial bus.
69. V: Volt, unit of electromotive force.
70. V(ac): Volt, alternating current.
71. V(dc): Volt, direct current.
72. VA: Volt-ampere, unit of complex electrical power.
73. W: Watt, unit of real electrical power.
74. Wh: Watt-hour, unit of electrical energy usage.
75. WR: Weather resistant.

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B. Abbreviations and Acronyms for Electrical Raceway Types:

1. EMT: Electrical metallic tubing.
2. FMC: Flexible metal conduit.
3. LFMC: Liquidtight flexible metal conduit.
4. PVC: Rigid PVC conduit.
5. PVC-40: Schedule 40 rigid PVC conduit.
6. PVC-80: Schedule 80 rigid PVC Conduit.
7. RGS: See ERM-C-S-G.

C. Abbreviations and Acronyms for Electrical Cable Types:

1. FPL: Power-limited fire-alarm cable.
2. FPLP: Power-limited fire-alarm plenum cable.
3. FPLR: Power-limited fire-alarm riser cable.
4. MC: Metal-clad cable.
5. MI: Mineral-insulated, metal-sheathed cable.
6. MV: Medium-voltage cable.
7. NPLF: Non-power-limited fire-alarm circuit cable.
8. NPLFP: Non-power-limited fire-alarm circuit cable for environmental air spaces.
9. NPLFR: Non-power-limited fire-alarm circuit riser cable.
10. THW: Thermoplastic, heat- and moisture-resistant cable.
11. THHN: Thermoplastic, heat-resistant cable with nylon jacket outer sheath.
12. THHW: Thermoplastic, heat- and moisture-resistant cable.
13. THWN: Thermoplastic, moisture- and heat-resistant cable with nylon jacket outer sheath.
14. TW: Thermoplastic, moisture-resistant cable.
15. XHHW: Cross-linked polyethylene, heat- and moisture-resistant cable.

D. Definitions:

1. Basic Impulse Insulation Level: Reference insulation level expressed in impulse crest voltage with a standard wave not longer than 1.5 times 50 microseconds and 1.5 times 40 microseconds.
2. Designated Seismic System: A system component that requires design in accordance with ASCE/SEI 7, Ch. 13 and for which the Component Importance Factor is greater than 1.0.
3. Enclosure: The case or housing of an apparatus, or the fence or wall(s) surrounding an installation, to prevent personnel from accidentally contacting energized parts or to protect the equipment from physical damage. Types of enclosures and enclosure covers include the following:
  - a. Cabinet: An enclosure that is designed for either surface mounting or flush mounting and is provided with a frame, mat, or trim in which a swinging door or doors are or can be hung.
  - b. Conduit Body: A means for providing access to the interior of a conduit or tubing system through one or more removable covers at a junction or terminal point. In the United States, conduit bodies are listed in accordance with outlet box requirements.
  - c. Conduit Box: A box having threaded openings or knockouts for conduit, EMT, or fittings.
  - d. Cutout Box: An enclosure designed for surface mounting that has swinging doors or covers secured directly to and telescoping with the walls of the enclosure.

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- e. Device Box: A box with provisions for mounting a wiring device directly to the box.
  - f. Extension Ring: A ring intended to extend the sides of an outlet box or device box to increase the box depth, volume, or both.
  - g. Junction Box: A box with a blank cover that joins different runs of raceway or cable and provides space for connection and branching of the enclosed conductors.
  - h. Outlet Box: A box that provides access to a wiring system having pryout openings, knockouts, threaded entries, or hubs in either the sides or the back, or both, for the entrance of conduit, conduit or cable fittings, or cables, with provisions for mounting an outlet box cover, but without provisions for mounting a wiring device directly to the box.
  - i. Pull Box: A box with a blank cover that joins different runs of raceway and provides access for pulling or replacing the enclosed cables or conductors.
  - j. Ring: A sleeve, which is not necessarily round, used for positioning a recessed wiring device flush with the plaster, concrete, drywall, or other wall surface.
  - k. Ring Cover: A box cover, with raised center portion to accommodate a specific wall or ceiling thickness, for mounting wiring devices or luminaires flush with the surface.
  - l. Termination Box: An enclosure designed for installation of termination base assemblies consisting of bus bars, terminal strips, or terminal blocks with provision for wire connectors to accommodate incoming or outgoing conductors, or both.
- 4. Emergency Systems: Those systems legally required and classed as emergency by municipal, state, federal, or other codes, or by any governmental agency having jurisdiction that are designed to ensure continuity of lighting, electrical power, or both, to designated areas and equipment in the event of failure of the normal supply for safety to human life.
  - 5. Jacket: A continuous nonmetallic outer covering for conductors or cables.
  - 6. Luminaire: A complete lighting unit consisting of a light source such as a lamp, together with the parts designed to position the light source and connect it to the power supply. It may also include parts to protect the light source or the driver or to distribute the light.
  - 7. Plenum: A compartment or chamber to which one or more air ducts are connected and that forms part of the air distribution system.
  - 8. Receptacle: A fixed connecting device arranged for insertion of a power cord plug. Also called a power jack.
  - 9. Receptacle Outlet: One or more receptacles mounted in a box with a suitable protective cover.
  - 10. Sheath: A continuous metallic covering for conductors or cables.
  - 11. UL Category Control Number: An alphabetic or alphanumeric code used to identify product categories covered by UL's Listing, Classification, and Recognition Services.
  - 12. Voltage Class: For specified circuits and equipment, voltage classes are defined as follows:
    - a. Control Voltage: Listed and labeled for use in remote-control, signaling, and power-limited circuits supplied by Class 2 or Class 3 power supplies having rated output not greater than 150 V and 5 A, allowing use of alternate wiring methods complying with NFPA 70, Article 725.
    - b. Low Voltage: Listed and labeled for use in circuits supplied by Class 1 or other power supplies having rated output not greater than 1000 V, requiring use of wiring methods complying with NFPA 70, Article 300, Part I.

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- c. Medium Voltage: Listed and labeled for use in circuits supplied by a power supply having rated output greater than 1000 V, requiring use of wiring methods complying with NFPA 70, Article 300, Parts I and II.

1.3 COORDINATION

- A. Interruption of Existing Electrical Service: Do not interrupt electrical service to facilities occupied by Owner or others unless permitted under the following conditions:
  - 1. Notify Owner no fewer than seven days in advance of proposed interruption of electrical service.
  - 2. Do not proceed with interruption of electrical service without Owner's written permission.
  - 3. Coordinate interruption with systems impacted by outage including, but not limited to, the following:
    - a. Exercising generators.
    - b. Emergency lighting.
    - c. Elevators.
    - d. Fire-alarm systems.
- B. Arrange to provide temporary electrical power in accordance with requirements specified in Division 01.

1.4 PREINSTALLATION MEETINGS

- A. Electrical Preconstruction Conference: Schedule conference with Architect and Owner, not later than 10 days after notice to proceed. Agenda topics include, but are not limited to, the following:
  - 1. Electrical installation schedule.
  - 2. Status of power system studies.
  - 3. Utility work coordination and class of service requests.
  - 4. Commissioning activities.

1.5 SEQUENCING

- A. Conduct and submit results of power system studies before submitting Product Data and Shop Drawings for electrical equipment.

1.6 SCHEDULING

- A. Friday's after 1pm is the preferred outage day/time. Outage day/time shall be approved, in advance, by the Owner.
- B. Allowable electrical outage duration is 4 hours, unless otherwise approved by the Owner.

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- C. Provide minimum one week notice of required outages.

1.7 ACTION SUBMITTALS

- A. Submittals shall comply with the requirements of Section 013300 “Submittal Procedures” and the individual sections specifying the work.
- B. Coordination Drawings for Structural Supports: Show coordination of structural supports for equipment and devices, including restraints and bracing for control of seismic and wind loads, with other systems, equipment, and structural supports in the vicinity.
- C. Coordination Drawings for Conduit Routing: Conduit routing plans, drawn to scale, on which the following items are shown and coordinated with each other, using input from installers of items involved:
  - 1. Structural members in paths of conduit groups with common supports.
  - 2. HVAC and plumbing items and architectural features in paths of conduit groups with common supports.
  - 3. Existing conduit.
  - 4. Sprinkler piping.
- D. Coordination Drawings for Large Equipment Indoor Installations:
  - 1. Location plan, drawn to scale, showing heavy equipment or truck access paths to loading dock or other freight access into building. Indicate available width and height of doors or openings.
  - 2. Floor plan for entry floor and floor where equipment is located, drawn to scale, showing heavy equipment access paths for maintenance and replacement, with the following items shown and coordinated with each other, based on input from installers of the items involved:
    - a. Dimensioned concrete bases, outlines of equipment, conduit entries, and grounding equipment locations.
    - b. If freight elevator must be used, indicate width and height of door and depth of car. Indicate if large equipment must be tipped to use elevator.
    - c. Dimensioned working clearances and dedicated areas below and around electrical equipment where obstructions and tripping hazards are prohibited.
  - 3. Reflected ceiling plans for entry floor and floor where equipment is located, drawn to scale, on which the following items shown and coordinated with each other, based on input from installers of the items involved:
    - a. Support locations, type of support, and weight on each support. Locate structural supports for structure-supported raceways and seismic bracing.
    - b. Location of lighting fixtures, sprinkler piping and sprinklers, ducts and diffusers, and other obstructions, indicating available overhead clearance.
    - c. Dimensioned working clearances and dedicated areas above and around electrical equipment where foreign systems and equipment are prohibited.
- E. Coordination Drawings for Large Equipment Outdoor Installations:

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1. Utilities site plan, drawn to scale, showing heavy equipment or truck access paths for maintenance and replacement, with the following items shown and coordinated with each other, based on input from installers of the items involved:
  - a. Dimensioned concrete bases, outlines of equipment, conduit entries, and grounding and bonding locations.
  - b. Indicate clear height below tree branches, overhead lines, and other overhead obstructions in access path, or where cranes and hoists will be needed to handle large electrical equipment.
  - c. Support locations, type of support, and weight on each support. Locate structural supports for structure-supported raceways and seismic bracing.
  - d. Dimensioned working clearances and dedicated areas around electrical equipment.

F. Coordination Drawings for Duct Banks:

1. Show duct profiles and coordination with other utilities and underground structures.
2. Include plans and sections, drawn to scale, and show bends and locations of expansion fittings.

1.8 INFORMATIONAL SUBMITTALS

A. Submittals shall comply with the requirements of Section 013300 "Submittal Procedures" and the individual sections specifying the work.

B. Electrical Installation Schedule: At preconstruction meeting, and periodically thereafter as dates change, provide schedule for electrical installation Work to Owner and Architect including, but not limited to, milestone dates for the following activities:

1. Submission of power system studies.
2. Submission of specified coordination drawings.
3. Submission of action submittals specified in Division 26.
4. Orders placed for major electrical equipment.
5. Arrival of major electrical equipment on-site.
6. Preinstallation meetings specified in Division 26.
7. Utility service outages.
8. Utility service inspection and activation.
9. Closing of walls and ceilings containing electrical Work.
10. System startup, testing, and commissioning activities for major electrical equipment.
11. System startup, testing, and commissioning activities for emergency lighting.
12. System startup, testing, and commissioning activities for automation systems (clean agent system, fire alarm, etc.).
13. Pouring of concrete housekeeping pads for electrical equipment and testing of concrete samples.
14. Requests for special inspections.
15. Requests for inspections by authorities having jurisdiction.

C. Certificates:

1. Seismic-Load Performance Certificates: Provide special certification for designated seismic systems as indicated in ASCE/SEI 7-16, Paragraph 13.2.2, "Special Certification

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Requirements for Designated Seismic Systems" for all Designated Seismic Systems identified on Drawings or in the Specifications.

- a. The following systems and components are Designated Seismic Systems and require written special certification of seismic qualification by manufacturer:
  - 1) Hangers and supports specified in Section 260529 "Hangers and Supports for Electrical Systems."
  - 2) Raceways, enclosures, cabinets, boxes, and their mounting provisions specified in Section 260533 "Raceway and Boxes for Electrical Systems."
  - 3) Seismic restraints specified in Section 260548 "Vibration and Seismic Controls for Electrical Systems."
  - 4) Equipment, accessories, and components specified in Section 262416 "Panelboards."
  - 5) Equipment, accessories, and components specified in Section 262816 "Enclosed Switches and Circuit Breakers."
  - 6) Equipment, accessories, and components specified in Section 263213.13 "Diesel-Engine-Driven Generator Sets."
  - 7) Equipment, accessories, and components specified in Section 263600 "Transfer Switches."
  - 8) Luminaires, accessories, and components specified in Section 265119 "LED Interior Lighting."
- b. Include the following information:
  - 1) Basis for Certification: Indicate whether withstand certification is based on actual test of assembled components or on calculation.
  - 2) Dimensioned Outline Drawings of Equipment Unit: Identify center of gravity and locate and describe mounting and anchorage provisions.
  - 3) Detailed description of equipment anchorage devices on which the certification is based and their installation requirements.
  - 4) Detailed description of conduit support devices and interconnections on which the certification is based and their installation requirements.
  - 5) Provide equipment manufacturer's written certification for each designated active electrical seismic device and system, stating that it will remain operable following the design earthquake. Certification must be based on requirements of ASCE/SEI 7, including shake table testing per ICC-ES AC156 or a similar nationally recognized testing standard procedure acceptable to authorities having jurisdiction ASCE/SEI 7-16.
  - 6) Provide equipment manufacturer's written certification that components with hazardous contents maintain containment following the design earthquake by methods required in ASCE/SEI 7-16.
  - 7) Submit evidence demonstrating compliance with these requirements for approval to authorities having jurisdiction after review and acceptance by qualified structural professional engineer.
2. Wind-Load Performance Certificates: Provide special certification for systems and components designated on Drawings or in the Specifications to be subject to high wind exposure and impact damage.

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- a. The following systems and components require written special certification of resistance to the effects of high wind-load and impact damage by manufacturer:
  - 1) Generator enclosure.
- b. Include the following information:
  - 1) Provide equipment manufacturer's written certification for each designated system and component, stating that it will remain in place and operable following the design wind event and comply with requirements of authorities having jurisdiction.
  - 2) Certification must be based on ICC-ES or similar nationally recognized testing standard procedures acceptable to authorities having jurisdiction.

D. Qualification Statements:

1. For structural professional engineer.
2. For electrical professional engineer.
3. For EPM specialist.
4. For switchboard Installer.
5. For generator set and enclosure Installer.
6. For low-voltage electrical testing agency and on-site electrical testing supervisor.
7. For control-voltage electrical testing agency and on-site control-voltage testing supervisor.
8. For structural testing and inspecting agency.

1.9 CLOSEOUT SUBMITTALS

- A. Submittals shall comply with the requirements of Section 013300 "Submittal Procedures" and the individual sections specifying the work.
- B. Facility EPM Program Binders:
  1. Complete Set: On approved online or cloud solution and USB media that is clearly and permanently labeled with attached placard on lanyard to prevent misplacement.
  2. Volumes 2 and 8: Reproducible hardcopy on archival quality, 28 lb, acid-free, bond paper.
- C. Operation and Maintenance Data:
  1. Provide emergency, operation, and maintenance manuals for each system, equipment, and device listed below:
    - a. Generator and enclosure.
    - b. Automatic and manual transfer switches.
    - c. Switchboards.
    - d. Enclosed circuit breakers.
    - e. Panelboards.
    - f. Portable generator connection.

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2. Include the following information:
  - a. Manufacturer's operating specifications.
  - b. User's guides for software and hardware.
  - c. Lists of spare parts, replacement components, tools, and consumable supplies recommended to be stored at Project site.
  - d. Detailed instructions covering operation under both normal and abnormal conditions.
  - e. Time-current curves for overcurrent protective devices and manufacturer's written instructions for testing and adjusting their settings.
  - f. List of load-current and overload-relay heaters with related motor nameplate data.
  - g. List of lamp types and photoelectric relays used on Project, with ANSI and manufacturers' codes.
  - h. Manufacturer's instructions for setting field-adjustable components.
  - i. Manufacturer's instructions for testing, adjusting, and reprogramming microprocessor controls.
  - j. EPSS: Manufacturer's system checklists, maintenance schedule, and maintenance log sheets in accordance with NFPA 110.

1.10 QUALIFICATIONS

- A. Qualified Regional Manufacturer: Manufacturer, possessing qualifications specified in Section 014000 "Quality Requirements," that maintains a service center capable of providing training, parts, and emergency on-site repairs to Project site with response time less than eight hours.
- B. Structural Professional Engineer: Professional engineer possessing active qualifications specified in Section 014000 "Quality Requirements," with expertise in structural engineering, including seismic- and wind-load modeling and analysis.
- C. Electrical Professional Engineer: Professional engineer possessing active qualifications specified in Section 014000 "Quality Requirements," with expertise in electrical engineering, including electrical power system modeling and analysis of electrical safety in accordance with NFPA 70E.
- D. EPM Specialist: Recognized experts possessing the following qualifications in accordance with Section 014000 "Quality Requirements" and NFPA 70B:
  1. Technical Competence: Person should, by education, training, and experience, be well-rounded in all aspects of electrical maintenance.
- E. Generator Set Installers: Installer possessing active qualifications specified in Section 014000 "Quality Requirements," and able to present unexpired certified Installer credentials issued by generator set manufacturer prior to starting installation.
- F. Low-Voltage Electrical Testing and Inspecting Agency: Entities possessing active credentials from a qualified electrical testing laboratory recognized by authorities having jurisdiction.
  1. On-site electrical testing supervisors must have documented certification and experience with testing electrical equipment in accordance with NETA testing standards.

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- G. Control-Voltage Electrical Testing Agency: Entity possessing active credentials from a qualified electrical testing laboratory recognized by authorities having jurisdiction.
  - 1. On-site control-voltage testing supervisor must have BICSI Registered Communications Distribution Designer certification and documented training and experience with testing control-voltage equipment in accordance with NETA testing standards.
- H. Structural Testing and Inspecting Agency: Entity possessing active qualifications specified in Section 014000 "Quality Requirements" with documented training and experience with testing structural concrete, seismic controls, and wind-load controls.

1.11 FIELD CONDITIONS

- A. Modeling, analysis, product selection, installation, and quality control for Work specified in Division 26 must comply with requirements specified in Section 260011 "Facility Performance Requirements for Electrical."
- B. Service Conditions for Electrical Power Equipment: Besides conditions specified in Section 260011 "Facility Performance Requirements for Electrical," specified electrical power equipment must be suitable for operation under service conditions specified as usual service conditions in applicable NEMA PB series, IEEE C37 series, and IEEE C57 series standards.

PART 2 - PRODUCTS

2.1 SUBSTITUTION LIMITATIONS FOR ELECTRICAL EQUIPMENT

- A. Substitution requests for electrical equipment will be entertained under the following conditions:
  - 1. Substitution requests may be submitted for consideration prior to the Electrical Preconstruction Conference if accompanied by value analysis data indicating that substitution will comply with Project performance requirements while significantly increasing value for Owner throughout life of facility.
  - 2. Substitution requests may be submitted for consideration concurrently with submission of power system study reports when those reports indicate that substitution is necessary for safety of maintenance personnel and facility occupants.
  - 3. Contractor is responsible for sequencing and scheduling power system studies and electrical equipment procurement. After the Electrical Preconstruction Conference, insufficient lead time for electrical equipment delivery will not be considered a valid reason for substitution.

2.2 FACILITY ELECTRICAL PREVENTIVE MAINTENANCE (EPM) PROGRAM BINDERS

- A. Description: Set of binders containing operation and maintenance data for facility's electrical equipment that was compiled during analysis of installed electrical Work for Facility EPM Program development.

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B. Applicable Standards:

1. Regulatory Requirements: Comply with recommendations in NFPA 70B.
2. General Characteristics:
  - a. Volume 1 - Introduction:
    - 1) Summarize how Facility EPM Program Analysis was performed, how data were collected, and how volumes are organized.
    - 2) Describe Facility EPM Program and provide recommended policies and procedures for implementing the program and keeping it current.
    - 3) Provide place for Owner to identify contact information for employees responsible for implementing and maintaining Facility EPM Program.
  - b. Volume 2 - Facility Safety, Hazards Awareness, and Emergency Procedures:
    - 1) Include training requirements for employees and contractors.
    - 2) Include list of known facility hazards impacting IT&R activities.
    - 3) Include approval and permitting procedures for IT&R activities.
    - 4) Include incident emergency response procedures.
    - 5) Include emergency shutdown procedures.
    - 6) Include electrical disaster recovery procedures.
  - c. Volume 3 - Operating Procedures for Electrical Equipment and Controls:
    - 1) Include operating procedures for generator.
    - 2) Include operating procedures for automatic and manual transfer switches.
    - 3) Include operating procedures for clean agent system.
  - d. Volume 4 - Facility Diagrams and Schedules:
    - 1) Include single-line diagrams.
    - 2) Include grounding and bonding diagrams.
    - 3) Include essential wiring diagrams.
    - 4) Include records of switchboard and panelboard schedules.
    - 5) Include time-current curves for overcurrent protective devices.
  - e. Volume 5 - Inventory of Facility Equipment Using Electrical Power:
    - 1) Include simplified floor plans showing equipment locations.
    - 2) Identify critical equipment (electrical or otherwise).
    - 3) Include identifying designations and nameplate data.
    - 4) Include warranty and maintenance contract information.
  - f. Volume 6 - Inventory of Facility Tools, Supplies, and Personnel Protective Equipment:
    - 1) Include list of spare parts, replacement components, tools, and consumable supplies recommended to be stored at facility.
    - 2) Include calibration and servicing data for each item.

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- g. Volume 7 - Inspection, Testing, and Repair (IT&R) Plan:
  - 1) Include tables showing frequency of activities for each item.
  - 2) Include annual schedule with activities mapped to specific days of the year.
- h. Volume 8 - Inspection, Testing, and Repair (IT&R) Forms:
  - 1) Include forms for generator.
  - 2) Include forms for automatic and manual transfer switches.
  - 3) Include forms for clean agent system.
- i. Volume 9 - Inspection, Testing, and Repair (IT&R) Procedures:
  - 1) Include procedures for generator.
  - 2) Include procedures for automatic and manual transfer switches.
  - 3) Include procedures for clean agent system.
- j. Volume 10 - Spare Parts List:
  - 1) Include list of parts required to perform IT&R procedures.
  - 2) Identify quantities of which parts are recommended to be stored on-site.
  - 3) Include source contact information and budget cost for each item.
- k. Volume 11 - Construction Project Closeout Record Documentation:
  - 1) Include records of power system studies.
  - 2) Include records of electrical system startup and commissioning activities.
  - 3) Include records of baseline inspections and tests.
  - 4) Include records of baseline settings for adjustable equipment and devices.

PART 3 - EXECUTION

3.1 EXAMINATION

A. Verification of Conditions:

- 1. Verify existing room dimensions and clearances. Coordinate new equipment locations and dimensions with available space.

B. Preinstallation Testing:

- 1. Test generator prior to installation. Refer to section 263213.13 "Diesel-engine-driven Generator Sets."

C. Evaluation and Assessment:

- 1. Refer to individual specification sections for testing and assessment requirements.

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3.2 PREPARATION

A. Protection of In-Place Conditions:

1. Existing service and generators shall remain operational until new service and generators are installed, commissioned, and accepted.

3.3 DEVELOPMENT OF FACILITY EPM PROGRAM

A. Facility EPM Program must be developed by qualified EPM specialist.

B. Conduct Facility EPM Program analysis in accordance with NFPA 70B recommendations.

1. Renovation Projects:

- a. Facility diagrams must include connected existing equipment for entire facility where known. Areas of uncertainty should be clearly indicated.
- b. Obtain copies of existing operation and maintenance data and existing Facility EPM Program information from Owner.
- c. Facility EPM Program analysis should identify existing equipment that does not have available operation and maintenance data, and should explain the Owner's risks because this equipment is not included in Facility EPM Program.
- d. Data for existing equipment outside scope of Project may be inserted in Facility EPM Program Binders without analysis.
- e. Data for existing equipment impacted by scope of Project should be analyzed and documented similar to Project's new equipment data as much as possible.

C. Compile operation and maintenance data from Facility EPM Program analysis and submit Facility EPM Program Binders.

3.4 INSTALLATION OF ELECTRICAL WORK

A. Unless more stringent requirements are specified in the Contract Documents or manufacturers' written instructions, comply with NFPA 70 and NECA NEIS 1 for installation of Work specified in Division 26. Consult Architect for resolution of conflicting requirements.

3.5 SYSTEM STARTUP

A. Commissioning Activities:

1. Refer to section 260800 "Commissioning of Electrical Systems."

3.6 FIELD QUALITY CONTROL

A. Administrant for Low-Voltage Electrical Tests and Inspections:

1. Administer and perform tests and inspections.

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B. Administrant for Control-Voltage Electrical Tests and Inspections:

1. Administer and perform tests and inspections.

C. Administrant for Structural Tests and Inspections:

1. Engage qualified structural testing and inspecting agency to administer and perform tests and inspections.

D. Administrant for Field Tests and Inspections of Lighting Installations:

1. Administer and perform tests and inspections.

3.7 CLOSEOUT ACTIVITIES

A. Training:

1. With assistance from factory-authorized service representatives, train Owner's maintenance personnel on the following topics:
  - a. How to implement Facility EPM Program.
  - b. How to operate normal and emergency electrical systems, including justifications for, and limitations of, protective device settings recommended in study report specified in Section 260573.16 "Coordination Studies."
  - c. Electrical power safety fundamentals refresher including arc-flash hazard safety features of electrical power distribution equipment in facility, interpreting arc-flash warning labels, selecting appropriate personal protective equipment, and understanding significance of findings documented in study report specified in Section 260573.19 "Arc-Flash Hazard Analysis."
  - d. How to adjust, operate, and maintain switchboards, overcurrent protective devices, instrumentation, and accessories, and to use and reprogram microprocessor-based trip, monitoring, and communication units specified in Section 262413 "Switchboards."
  - e. How to adjust, operate, and maintain hardware and software specified in Section 262713 "Electricity Metering."
  - f. How to adjust, operate, and maintain equipment specified in Section 263213.13 "Diesel-Engine-Driven Generator Sets."
  - g. How to adjust, operate, and maintain transfer switches and related equipment, specified in Section 263600 "Transfer Switches."
  - h. How to adjust, operate, and maintain devices specified in Section 264313 "Surge Protective Devices for Low-Voltage Electrical Power Circuits."
2. Allow Owner to record training sessions.

END OF SECTION



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SECTION 260011 - FACILITY PERFORMANCE REQUIREMENTS FOR ELECTRICAL

PART 1 - GENERAL

1.1 SUMMARY

A. Section Includes:

1. Field conditions and other facility performance requirements applicable to Work specified in Division 26.

1.2 FIELD CONDITIONS

A. Seismic Hazard Design Loads:

1. Unless otherwise indicated on Contract Documents, specified Work must withstand seismic hazard design loads determined in accordance with requirements specified in this Section, adjusted for installed elevation above or below grade.
  - a. The term "withstand" means "unit must remain in place without separation of parts from unit when subjected to specified seismic hazard design loads and unit must be fully operational after seismic event."
2. Perform calculations to obtain force information necessary to properly select seismic-restraint devices, fasteners, and anchorage. Perform calculations using methods acceptable to applicable code authorities and as presented in ASCE/SEI 7-16. Where "ASCE/SEI 7" is used throughout this Section, it must be understood that the edition referred to in this subparagraph is the edition intended as reference throughout the Section Text.
  - a. Data indicated below to be determined by Delegated Design Contractor must be obtained by Contractor and must be included in individual component submittal packages.
  - b. Coordinate seismic design calculations with wind-load calculations for equipment mounted outdoors.
  - c. Building Risk Category: IV.
  - d. Building Seismic Site Classification: D.
3. Calculation Factors, ASCE/SEI 7-16, Ch. 13 - Seismic Design Requirements for Nonstructural Components: All section, paragraph, equation, and table numbers refer to ASCE/SEI 7-16 unless otherwise indicated.
  - a. Horizontal Seismic Design Force  $F_p$ : Value must be calculated by Delegated Design Contractor using Equation 13.3-1. Factors below must be obtained for this calculation:

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- 1) Spectral Acceleration ( $S_{DS}$ ): 0.285. Value applies to all components on Project.
  - 2) Component Importance Factor ( $I_p$ ): 1.5 for life safety systems to include:
    - a) Fire Protection.
    - b) Generator, emergency and COPS circuit breakers, emergency and COPS automatic and manual transfer switches, emergency and COPS disconnect switches, emergency and COPS panelboards and associated conduit and wire.
    - c) Lighting fixtures powered from emergency (EDP or LBAE) panelboards and associated conduit and wire.
    - d) Fire alarm system conduit and wire.
    - e) Systems and equipment as indicated.
  - 3) Component Importance Factor: 1.0 for optional standby systems. Optional standby systems are exempt from complying with the seismic provisions of ASCE 7-05.
  - 4) Component Operating Weight ( $W_p$ ): For each component. Obtain by Delegated Design Contractor from each component submittal.
  - 5) Component Response Modification Factor ( $R_p$ ): See Drawing Schedule for each component.
  - 6) Height in Structure of Point of Attachment of Component for Base ( $z$ ): Determine from Project Drawings for each component by Delegated Design Contractor. For items at or below the base, "z" must be taken as zero.
  - 7) Average Roof Height of Structure for Base ( $h$ ): Determine from Project Drawings by Delegated Design Contractor.
- b. Vertical Seismic Design Force: Calculated by Delegated Design Contractor using method explained in ASCE/SEI 7-16, Paragraph 13.3.1.2.
- c. Seismic Relative Displacement ( $D_p$ ): Calculated by Delegated Design Contractor using methods explained in ASCE/SEI 7-16, Paragraph 13.3.2. Factors below must be obtained for this calculation:
- 1) Relative Seismic Displacement that Each Component Must Be Designed to Accommodate ( $D_p$ ): Calculated by Delegated Design Contractor in accordance with ASCE/SEI 7-16, Paragraph 13.3.2.
  - 2) Structure Importance Factor ( $I_e$ ): 1.5. Value applies to all components on Project.
4. Calculation Factors, ASCE/SEI 7-10, Ch. 13 - Seismic Design Requirements for Nonstructural Components: All section, paragraph, equation, and table numbers refer to ASCE/SEI 7-10 unless otherwise indicated.
- a. Horizontal Seismic Design Force ( $F_p$ ): Calculated by Delegated Design Contractor by ASCE/SEI 7-10, Equation 13.3-1. Factors below must be obtained for this calculation:
- 1) Spectral Acceleration ( $S_{DS}$ ): 0.285. Value applies to all components on Project.
  - 2) Component Importance Factor ( $I_p$ ): 1.5 for life safety systems, to include:
    - a) Fire Protection.

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- b) Generator, emergency and COPS circuit breakers, emergency and COPS transfer switches, emergency and COPS disconnect switches, emergency and COPS panelboards and associated conduit and wire.
  - c) Lighting fixtures powered from emergency (EDP or LBAE) panelboards and associated conduit and wire.
  - d) Fire alarm system conduit and wire.
  - e) Systems and equipment as indicated.
- 3) Component Importance Factor: 1.0 for optional standby systems. Optional standby systems are exempt from complying with the seismic provisions of ASCE 7-05.
  - 4) Component Operating Weight ( $W_p$ ): For each component. Obtain by Delegated Design Contractor from equipment submittal.
  - 5) Component Response Modification Factor ( $R_p$ ): See Drawing Schedule for each component.
  - 6) Height in Structure of Point of Attachment of Component for Base ( $z$ ): Determined from Project Drawings for each component by Contractor. For items at or below the base, "z" must be taken as zero.
  - 7) Average Roof Height of Structure for Base ( $h$ ): Determine from Project Drawings by Delegated Design Contractor.
- b. Vertical Seismic Design Force: Calculate by Delegated Design Contractor using method explained in ASCE/SEI 7-10, Paragraph 13.3.1.
  - c. Seismic Relative Displacement ( $D_{pl}$ ): Calculate by Delegated Design Contractor using methods explained in ASCE/SEI 7-10, Paragraph 13.3.2. Factors below must be obtained for this calculation:
    - 1) Relative Seismic Displacement that Each Component Must Be Designed to Accommodate ( $D_p$ ): Calculate by Delegated Design Contractor in accordance with ASCE/SEI 7-10, Paragraph 13.3.2.
    - 2) Structure Importance Factor ( $I_e$ ): 1.5. Value applies to all components on Project.
- B. Wind Hazard Design Loads:
1. Perform calculations to obtain force information necessary to properly select wind-load restraint devices, fasteners, and anchorage. Perform calculations using methods acceptable to applicable code authorities and as presented in ASCE/SEI 7-16. Where "ASCE/SEI 7" is used throughout this Section, it must be understood that the edition referred to in this subparagraph is intended as referenced throughout the Section Text unless otherwise indicated.
    - a. Data indicated below that are specific to individual pieces of equipment must be obtained by Contractor and must be included in individual component submittal packages.
    - b. Coordinate design wind-load calculations with seismic-load calculations for equipment requiring both seismic- and wind-load reinforcement. Comply with requirements in other Sections in addition to those in this Section.
  2. Design wind pressure "p" for external sidewall-mounted equipment must be calculated by Delegated Design Contractor using methods in ASCE/SEI 7-16, Ch. 30. Perform calculations according to one of the following, as appropriate:

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- a. PART 3: Buildings with "h" less than 60 ft.
- b. Risk Category: IV.
- c. Basic Wind Speed (V): 121 mph.
- d. Wind Directionality Factor ( $K_d$ ): 0.88.

C. Ground Water:

1. Assume ground-water level is 60 inches below ground surface.

D. Acoustical Performance Conditions:

1. Sound from the generator shall not exceed 45dBA measured at 100 feet from the generator enclosure.

PART 2 - PRODUCTS (Not Used)

PART 3 - EXECUTION (Not Used)

END OF SECTION

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SECTION 260519 - LOW-VOLTAGE ELECTRICAL POWER CONDUCTORS AND CABLES

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

A. Section Includes:

1. Copper building wire.
2. Metal-clad cable, Type MC.
3. Mineral-insulated cable, Type MI.
4. Fire-alarm wire and cable.
5. Connectors and splices.

B. Related Requirements:

1. Section 260010 "Supplemental Requirements for Electrical" for additional abbreviations, definitions, submittals, qualifications, testing agencies, and other Project requirements applicable to Work specified in this Section.
2. Section 260011 "Facility Performance Requirements for Electrical" for seismic-load, wind-load, acoustical, and other field conditions applicable to Work specified in this Section.
3. Section 260523 "Control-Voltage Electrical Power Cables" for control systems communications cables and Classes 1, 2, and 3 control cables.

1.3 DEFINITIONS

- A. RoHS: Restriction of Hazardous Substances.

1.4 ACTION SUBMITTALS

- A. Product Data: For each type of product.
- B. Product Schedule: Indicate type, use, location, and termination locations.

1.5 INFORMATIONAL SUBMITTALS

- A. Submittals shall comply with the requirements of Section 013300 "Submittal Procedures" and the individual sections specifying the work.

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- B. Field quality-control reports.

PART 2 - PRODUCTS

2.1 COPPER BUILDING WIRE

- A. Description: Flexible, insulated and uninsulated, drawn copper current-carrying conductor with an overall insulation layer or jacket, or both, rated 600 V or less.
- B. Manufacturers: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to the following:
1. Alpha Wire Company.
  2. American Bare Conductor.
  3. Belden Inc.
  4. Cerro Wire LLC.
  5. Encore Wire Corporation.
  6. General Cable Technologies Corporation.
  7. Okonite Company (The).
  8. Service Wire Co.
  9. Southwire Company.
  10. WESCO.
- C. Standards:
1. Listed and labeled as defined in NFPA 70, by a qualified testing agency, and marked for intended location and use.
  2. Conductor and Cable Marking: Comply with wire and cable marking according to UL's "Wire and Cable Marking and Application Guide."
- D. Conductors: Copper, complying with ASTM B3 for bare annealed copper and with ASTM B8 for stranded conductors.
- E. Conductor Insulation:
1. Type THHN and Type THWN-2: Comply with UL 83.
  2. Type XHHW-2: Comply with UL 44.

2.2 METAL-CLAD CABLE, TYPE MC

- A. Description: A factory assembly of one or more current-carrying insulated conductors in an overall metallic sheath.
- B. Manufacturers: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to the following:
1. Alpha Wire Company.
  2. American Bare Conductor.

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3. [Atkore International \(AFC Cable Systems\)](#).
4. [Belden Inc.](#)
5. [Encore Wire Corporation](#).
6. [General Cable Technologies Corporation](#).
7. [Okonite Company \(The\)](#).
8. [Service Wire Co.](#)
9. [Southwire Company](#).
10. [WESCO](#).

C. Standards:

1. Listed and labeled as defined in NFPA 70, by a qualified testing agency, and marked for intended location and use.
2. Comply with UL 1569.
3. Conductor and Cable Marking: Comply with wire and cable marking according to UL's "Wire and Cable Marking and Application Guide."

D. Circuits:

1. Single circuit.
2. Power-Limited Fire-Alarm Circuits: Comply with UL 1424.

E. Conductors: Copper, complying with ASTM B3 for bare annealed copper and with ASTM B8 for stranded conductors.

F. Ground Conductor: Insulated.

G. Conductor Insulation:

1. Type TFN/THHN/THWN-2: Comply with UL 83.

H. Armor: Aluminum, interlocked.

I. Jacket: PVC applied over armor.

2.3 MINERAL-INSULATED CABLE, TYPE MI

A. Description: Solid copper conductors encased in compressed metal oxide with an outer metallic sheath, rated 600 V or less.

B. [Manufacturers](#): Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to the following:

1. [KME America, Inc.](#)
2. [Pentair](#).
3. [Watlow Electric Manufacturing Company](#).

C. Standards:

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1. Listed and labeled as defined in NFPA 70, by a qualified testing agency, and marked for intended location and use.
  2. UL 2196 for fire resistance.
  3. Conductor and Cable Marking: Comply with wire and cable marking according to UL's "Wire and Cable Marking and Application Guide."
- D. Conductors: Copper, complying with ASTM B3 for bare annealed copper.
- E. Insulation: Compressed magnesium oxide.
- F. Sheath: Copper.

2.4 FIRE-ALARM WIRE AND CABLE

- A. **Manufacturers:** Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to the following:
1. [Allied Wire & Cable Inc.](#)
  2. [CommScope, Inc.](#)
  3. [Comtran Corporation.](#)
  4. [Genesis Cable Products; Honeywell International, Inc.](#)
  5. [Prysmian Group North America.](#)
  6. [Radix Wire.](#)
  7. [Rockbestos-Suprenant Cable Corp.](#)
  8. [Superior Essex Inc.](#)
  9. [West Penn Wire.](#)
- B. General Wire and Cable Requirements: NRTL listed and labeled as complying with NFPA 70, Article 760.
- C. Signaling Line Circuits: Twisted, shielded pair, not less than No. 16 AWG or sized as recommended by system manufacturer.
- D. Non-Power-Limited Circuits: Solid-copper conductors with 600-V rated, 75 deg C, color-coded insulation, and complying with requirements in UL 2196 for a two-hour rating.
1. Low-Voltage Circuits: No. 16 AWG, minimum, in pathway.
  2. Line-Voltage Circuits: No. 12 AWG, minimum, in pathway.

2.5 CONNECTORS AND SPLICES

- A. Description: Factory-fabricated connectors, splices, and lugs of size, ampacity rating, material, type, and class for application and service indicated; listed and labeled as defined in NFPA 70, by a qualified testing agency, and marked for intended location and use.
- B. **Manufacturers:** Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to the following:
1. [3M Electrical Products.](#)

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2. [ABB \(Electrification Products Division\).](#)
  3. [Appleton - O-Z/Gedney; Emerson Electric Co., Automation Solutions.](#)
  4. [Atkore International \(AFC Cable Systems\).](#)
  5. [Gardner Bender.](#)
  6. [Hubbell Incorporated, Power Systems.](#)
  7. [Ideal Industries, Inc.](#)
  8. [ILSCO.](#)
  9. [NSi Industries LLC.](#)
  10. [Service Wire Co.](#)
  11. [TE Connectivity Ltd.](#)
- C. Jacketed Cable Connectors: For steel and aluminum jacketed cables, zinc die-cast with set screws, designed to connect conductors specified in this Section.
- D. Lugs: One piece, seamless, designed to terminate conductors specified in this Section.
1. Material: Copper.
  2. Type: Two hole with standard or long barrels.
  3. Termination: Compression.

PART 3 - EXECUTION

3.1 CONDUCTOR MATERIAL APPLICATIONS

- A. Feeders:
1. Copper; solid for No. 10 AWG and smaller; stranded for No. 8 AWG and larger.
- B. Branch Circuits:
1. Copper. Solid for No. 12 AWG and smaller; stranded for No. 10 AWG and larger.
- C. Power-Limited Fire Alarm and Control: Solid for No. 12 AWG and smaller.

3.2 CONDUCTOR INSULATION AND MULTICONDUCTOR CABLE APPLICATIONS AND WIRING METHODS

- A. Service Entrance: Type XHHW-2, single conductors in raceway.
- B. Interior, Exposed, Feeders and Feeders Concealed in Ceilings, Walls, Partitions, and Crawlspace: Type THHN/THWN-2, single conductors in raceway and mineral-insulated, metal-sheathed cable, Type MI.
- C. Feeders Concealed in Concrete, below Slabs-on-Grade, and Underground: Type XHHW-2, single conductors in raceway.
- D. Exposed Branch Circuits, Including in Crawlspace: Type THHN/THWN-2, single conductors in raceway.

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- E. Branch Circuits Concealed in Ceilings, Walls, and Partitions: Type THHN/THWN-2, single conductors in raceway and metal-clad cable, Type MC.
- F. Branch Circuits Concealed in Concrete, below Slabs-on-Grade, and Underground: Type XHHW-2, single conductors in raceway.

3.3 INSTALLATION, GENERAL

- A. Conceal cables in finished walls, ceilings, and floors unless otherwise indicated.
- B. Complete raceway installation between conductor and cable termination points according to Section 260533 "Raceways and Boxes for Electrical Systems" prior to pulling conductors and cables.
- C. Use manufacturer-approved pulling compound or lubricant where necessary; compound used must not deteriorate conductor or insulation. Do not exceed manufacturer's recommended maximum pulling tensions and sidewall pressure values.
- D. Use pulling means, including fish tape, cable, rope, and basket-weave wire/cable grips that will not damage cables or raceway.
- E. Install exposed cables parallel and perpendicular to surfaces of exposed structural members, and follow surface contours where possible.
- F. Support cables according to Section 260529 "Hangers and Supports for Electrical Systems."

3.4 INSTALLATION OF FIRE-ALARM WIRE AND CABLE

- A. Comply with NECA 1 and NFPA 72.
- B. Wiring Method: Install wiring in metal pathway according to Section 260533 "Raceways and Boxes for Electrical Systems."
  - 1. Install plenum cable in environmental airspaces, including plenum ceilings.
  - 2. Fire-alarm circuits and equipment control wiring associated with fire-alarm system shall be installed in a dedicated pathway system.
    - a. Cables and pathways used for fire-alarm circuits, and equipment control wiring associated with fire-alarm system, may not contain any other wire or cable.
  - 3. Fire-Rated Cables: Use of two-hour, fire-rated fire-alarm cables, NFPA 70, Types MI and CI, is permitted.
  - 4. Signaling Line Circuits: Power-limited fire-alarm cables shall not be installed in the same cable or pathway as signaling line circuits.
- C. Wiring within Enclosures: Separate power-limited and non-power-limited conductors as recommended by manufacturer. Install conductors parallel with or at right angles to sides and back of the enclosure. Bundle, lace, and train conductors to terminal points with no excess. Connect conductors that are terminated, spliced, or interrupted in any enclosure associated with

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fire-alarm system to terminal blocks. Mark each terminal according to system's wiring diagrams. Make all connections with approved crimp-on terminal spade lugs, pressure-type terminal blocks, or plug connectors.

- D. Cable Taps: Use numbered terminal strips in junction, pull, and outlet boxes; cabinets; or equipment enclosures where circuit connections are made.
- E. Color-Coding: Color-code fire-alarm conductors differently from the normal building power wiring. Use one color-code for alarm circuit wiring and another for supervisory circuits. Color-code audible alarm-indicating circuits differently from alarm-initiating circuits. Use different colors for visible alarm-indicating devices. Paint fire-alarm system junction boxes and covers red.

### 3.5 CONNECTIONS

- A. Tighten electrical connectors and terminals according to manufacturer's published torque-tightening values. If manufacturer's torque values are not indicated, use those specified in UL 486A-486B.
- B. Make splices, terminations, and taps that are compatible with conductor material and that possess equivalent or better mechanical strength and insulation ratings than unspliced conductors.
- C. Wiring at Outlets: Install conductor at each outlet, with at least 6 inches of slack.
- D. Comply with requirements in Section 284621.11 "Addressable Fire-Alarm Systems" for connecting, terminating, and identifying wires and cables.

### 3.6 IDENTIFICATION

- A. Identify and color-code conductors and cables according to Section 260553 "Identification for Electrical Systems."
- B. Identify each spare conductor at each end with identity number and location of other end of conductor, and identify as spare conductor.

### 3.7 SLEEVE AND SLEEVE-SEAL INSTALLATION FOR ELECTRICAL PENETRATIONS

- A. Install sleeves and sleeve seals at penetrations of exterior floor and wall assemblies. Comply with requirements in Section 260544 "Sleeves and Sleeve Seals for Electrical Raceways and Cabling."

### 3.8 FIRESTOPPING

- A. Apply firestopping to electrical penetrations of fire-rated floor and wall assemblies to restore original fire-resistance rating of assembly according to Section 078413 "Penetration Firestopping."

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3.9 FIELD QUALITY CONTROL

A. Administrant for Tests and Inspections:

1. Administer and perform tests and inspections.

B. Tests and Inspections:

1. After installing conductors and cables and before electrical circuitry has been energized, test service entrance and feeder conductors and conductors feeding the following critical equipment and services for compliance with requirements:
  - a. Generator.
  - b. Automatic and manual transfer switches.
  - c. Emergency panelboards.
  - d. Critical Operations Power Systems (COPS) panelboards.
2. Perform each of the following visual and electrical tests:
  - a. Inspect exposed sections of conductor and cable for physical damage and correct connection according to the single-line diagram.
  - b. Test bolted connections for high resistance using one of the following:
    - 1) A low-resistance ohmmeter.
    - 2) Calibrated torque wrench.
    - 3) Thermographic survey.
  - c. Inspect compression-applied connectors for correct cable match and indentation.
  - d. Inspect for correct identification.
  - e. Inspect cable jacket and condition.
  - f. Insulation-resistance test on each conductor for ground and adjacent conductors. Apply a potential of 500-V dc for 300-V rated cable and 1000-V dc for 600-V rated cable for a one-minute duration.
  - g. Continuity test on each conductor and cable.
  - h. Uniform resistance of parallel conductors.
3. Initial Infrared Scanning: After Substantial Completion, but before Final Acceptance, perform an infrared scan of each splice in conductors No. 3 AWG and larger. Remove box and equipment covers so splices are accessible to portable scanner. Correct deficiencies determined during the scan.
  - a. Instrument: Use an infrared scanning device designed to measure temperature or to detect significant deviations from normal values. Provide calibration record for device.
  - b. Record of Infrared Scanning: Prepare a certified report that identifies switches checked and that describes scanning results. Include notation of deficiencies detected, remedial action taken, and observations after remedial action.
4. Follow-up Infrared Scanning: Perform an additional follow-up infrared scan of each switch 11 months after date of Substantial Completion.

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- C. Cables will be considered defective if they do not pass tests and inspections.
- D. Prepare test and inspection reports to record the following:
  - 1. Procedures used.
  - 2. Results that comply with requirements.
  - 3. Results that do not comply with requirements, and corrective action taken to achieve compliance with requirements.

END OF SECTION



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SECTION 260523 - CONTROL-VOLTAGE ELECTRICAL POWER CABLES

PART 1 - GENERAL

1.1 SUMMARY

A. Section Includes:

1. Control cable.
2. Control-circuit conductors.

B. Related Requirements:

1. Section 260010 "Supplemental Requirements for Electrical" for additional abbreviations, definitions, submittals, qualifications, testing agencies, and other Project requirements applicable to Work specified in this Section.
2. Section 260011 "Facility Performance Requirements for Electrical" for seismic-load, wind-load, acoustical, and other field conditions applicable to Work specified in this Section.

1.2 ACTION SUBMITTALS

- A. Submittals shall comply with the requirements of Section 013300 "Submittal Procedures" and the individual sections specifying the work.
- B. Product Data: For each type of product.

1.3 INFORMATIONAL SUBMITTALS

- A. Submittals shall comply with the requirements of Section 013300 "Submittal Procedures" and the individual sections specifying the work.
- B. Source quality-control reports.
- C. Field quality-control reports.

PART 2 - PRODUCTS

2.1 PERFORMANCE REQUIREMENTS

- A. Electrical Components, Devices, and Accessories: Listed and labeled as defined in NFPA 70, by a qualified testing agency, and marked for intended location and application.

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2.2 CONTROL CABLE

- A. Paired Cable: NFPA 70, Type CMG.
1. One pair, twisted, No. 16 AWG, stranded (19x29) tinned-copper conductors.
  2. PVC insulation.
  3. Unshielded.
  4. PVC jacket.
  5. Flame Resistance: Comply with UL 1685.

2.3 CONTROL-CIRCUIT CONDUCTORS

- A. Manufacturers: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to the following:
1. Encore Wire Corporation.
  2. General Cable; General Cable Corporation.
  3. Service Wire Co.
  4. Southwire Company.
- B. Class 1 Control Circuits: Stranded copper, Type THHN/THWN-2, complying with UL 83 in raceway or Type XHHW-2, complying with UL 44 in raceway.
- C. Class 2 Control Circuits: Stranded copper, Type THHN/THWN-2, complying with UL 83 in raceway or Type XHHW-2, complying with UL 44 in raceway.
- D. Class 3 Remote-Control and Signal Circuits: Stranded copper, Type THHN/THWN-2, complying with UL 83 in raceway or Type XHHW-2, complying with UL 44 in raceway.
- E. Class 2 Control Circuits and Class 3 Remote-Control and Signal Circuits That Supply Critical Circuits: Circuit Integrity (CI) cable.
1. Smoke control signaling and control circuits.
  2. Control circuits serving the Critical Operations Power System (COPS).

2.4 FIRE-ALARM WIRE AND CABLE

- A. Manufacturers: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to the following:
1. Allied Wire & Cable Inc.
  2. CommScope, Inc.
  3. Comtran Corporation.
  4. Genesis Cable Products; Honeywell International, Inc.
  5. Superior Essex Inc.
  6. West Penn Wire.
- B. General Wire and Cable Requirements: NRTL listed and labeled as complying with NFPA 70, Article 760.

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- C. Signaling Line Circuits: Twisted, shielded pair, size as recommended by system manufacturer.
  - 1. Circuit Integrity Cable: Twisted shielded pair, NFPA 70, Article 760, Classification CI, for power-limited fire-alarm signal service Type FPL. NRTL listed and labeled as complying with UL 1424 and UL 2196 for a two-hour rating.
- D. Non-Power-Limited Circuits: Solid-copper conductors with 600 V rated, 75 deg C, color-coded insulation, and complying with requirements in UL 2196 for a two-hour rating.
  - 1. Control-Voltage Circuits: No. 16 AWG, minimum, in pathway.
  - 2. Low-Voltage Circuits: No. 12 AWG, minimum, in pathway.

2.5 SOURCE QUALITY CONTROL

- A. Testing Agency: Engage a qualified testing agency to evaluate cables.
- B. Cable will be considered defective if it does not pass tests and inspections.
- C. Prepare test and inspection reports.

PART 3 - EXECUTION

3.1 EXAMINATION

- A. Test cables on receipt at Project site.
  - 1. Test each pair of twisted pair cable for open and short circuits.

3.2 INSTALLATION OF RACEWAYS AND BOXES

- A. Comply with requirements in Section 260533 "Raceways and Boxes for Electrical Systems" for raceway selection and installation requirements for boxes, conduits, and wireways as supplemented or modified in this Section.
  - 1. Outlet boxes for cables must be no smaller than 4 inch square by 1-1/2 inch deep with extension ring sized to bring edge of ring to within 1/8 inch of the finished wall surface.
  - 2. Flexible metal conduit must not be used.
- B. Install manufactured conduit sweeps and long-radius elbows if possible.

3.3 INSTALLATION OF CONDUCTORS AND CABLES

- A. Comply with NECA 1.
- B. General Requirements for Cabling:

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1. Terminate conductors; cable must not contain unterminated elements. Make terminations only at indicated outlets, and terminals.
2. Cables may not be spliced and must be continuous from terminal to terminal. Do not splice cable between termination, tap, or junction points.
3. Cables serving a common system may be grouped in a common raceway. Install network cabling and control wiring and cable in separate raceway from power wiring. Do not group conductors from different systems or different voltages.
4. Secure and support cables at intervals not exceeding 30 inch and not more than 6 inch from cabinets, boxes, fittings, outlets, racks, frames, and terminals.
5. Do not install bruised, kinked, scored, deformed, or abraded cable. Remove and discard cable if damaged during installation and replace it with new cable.
6. Cold-Weather Installation: Bring cable to room temperature before dereeling. Do not use heat lamps for heating.
7. Support: Do not allow cables to lie on removable ceiling tiles.
8. Secure: Fasten securely in place with hardware specifically designed and installed so as to not damage cables.
9. Provide strain relief.
10. Keep runs short. Allow extra length for connecting to terminals. Do not bend cables in a radius less than 10 times the cable OD. Use sleeves or grommets to protect cables from vibration at points where they pass around sharp corners and through penetrations.
11. Ground wire must be copper, and grounding methods must comply with IEEE C2. Demonstrate ground resistance.

C. Installation of Control-Circuit Conductors:

1. Install wiring in raceways.
2. Use insulated spade lugs for wire and cable connection to screw terminals.
3. Comply with requirements specified in Section 260533 "Raceways and Boxes for Electrical Systems."

D. Separation from EMI Sources:

1. Comply with BICSI TDMM and TIA-569-D recommendations for separating unshielded copper voice and data communications cable from potential EMI sources including electrical power lines and equipment.
2. Separation between open communications cables or cables in nonmetallic raceways and unshielded power conductors and electrical equipment must be as follows:
  - a. Electrical Equipment or Circuit Rating Less Than 2 kVA: A minimum of 5 inch.
  - b. Electrical Equipment or Circuit Rating between 2 and 5 kVA: A minimum of 12 inch.
  - c. Electrical Equipment or Circuit Rating More Than 5 kVA: A minimum of 24 inch.
3. Separation between communications cables in grounded metallic raceways and unshielded power lines or electrical equipment must be as follows:
  - a. Electrical Equipment or Circuit Rating Less Than 2 kVA: A minimum of 2-1/2 inch.
  - b. Electrical Equipment or Circuit Rating between 2 and 5 kVA: A minimum of 6 inch.
  - c. Electrical Equipment or Circuit Rating More Than 5 kVA: A minimum of 12 inch.

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4. Separation between communications cables in grounded metallic raceways and power lines and electrical equipment located in grounded metallic conduits or enclosures must be as follows:
  - a. Electrical Equipment or Circuit Rating Less Than 2 kVA: No requirement.
  - b. Electrical Equipment or Circuit Rating between 2 and 5 kVA: A minimum of 3 inch.
  - c. Electrical Equipment or Circuit Rating More Than 5 kVA: A minimum of 6 inch.
5. Separation between Communications Cables and Electrical Motors and Transformers, 5 kVA or 5 HP and Larger: A minimum of 48 inch.
6. Separation between Communications Cables and Fluorescent Fixtures: A minimum of 5 inch.

### 3.4 REMOVAL OF CONDUCTORS AND CABLES

- A. Remove abandoned conductors and cables. Abandoned conductors and cables are those installed that are not terminated at equipment and are not identified with a tag for future use.

### 3.5 CONTROL-CIRCUIT CONDUCTORS

- A. Minimum Conductor Sizes (unless otherwise recommended by the equipment manufacturer):
  1. Class 1 remote-control and signal circuits; No 14 AWG.
  2. Class 2 low-energy, remote-control, and signal circuits; No. 16 AWG.
  3. Class 3 low-energy, remote-control, alarm, and signal circuits; No 12 AWG.

### 3.6 FIRESTOPPING

- A. Comply with requirements in Section 078413 "Penetration Firestopping."
- B. Comply with TIA-569-D, Annex A, "Firestopping."
- C. Comply with BICSI TDMM, "Firestopping" Chapter.

### 3.7 GROUNDING

- A. For control-voltage wiring and cabling, comply with requirements in Section 260526 "Grounding and Bonding for Electrical Systems."

### 3.8 IDENTIFICATION

- A. Comply with requirements for identification specified in Section 260553 "Identification for Electrical Systems."
- B. Identify each wire on each end and at each terminal with a number-coded identification tag. Each wire must have a unique tag.

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3.9 FIELD QUALITY CONTROL

- A. Tests and Inspections:
  - 1. Visually inspect cable jacket materials for UL or third-party certification markings.
  - 2. Visually inspect cable placement, cable termination, grounding and bonding, and labeling of components.
- B. Document data for each measurement. Transfer the data from the instrument to the computer, save as text files, print, and submit.
- C. End-to-end cabling will be considered defective if it does not pass tests and inspections.
- D. Prepare test and inspection reports.

END OF SECTION

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SECTION 260526 - GROUNDING AND BONDING FOR ELECTRICAL SYSTEMS

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

- A. Section includes grounding and bonding systems and equipment.

1.3 ACTION SUBMITTALS

- A. Submittals shall comply with the requirements of Section 013300 "Submittal Procedures" and the individual sections specifying the work.
- B. Product Data: For each type of product indicated.

1.4 INFORMATIONAL SUBMITTALS

- A. Submittals shall comply with the requirements of Section 013300 "Submittal Procedures" and the individual sections specifying the work.
- B. Field quality-control reports.

1.5 CLOSEOUT SUBMITTALS

- A. Submittals shall comply with the requirements of Section 013300 "Submittal Procedures" and the individual sections specifying the work.
- B. Operation and Maintenance Data: For grounding to include in emergency, operation, and maintenance manuals.

- 1. In addition to items specified in Section 017823 "Operation and Maintenance Data," include the following:
  - a. Plans showing as-built, dimensioned locations of system described in "Field Quality Control" Article, including the following:
    - 1) Ground rods.
    - 2) Ground rings.
    - 3) Grounding arrangements and connections for separately derived systems.

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- b. Instructions for periodic testing and inspection of grounding features at ground rings and grounding connections for separately derived systems based on NETA MTS.
  - 1) Tests shall determine if ground-resistance or impedance values remain within specified maximums, and instructions shall recommend corrective action if values do not.
  - 2) Include recommended testing intervals.
- C. Results of ground resistance tests.

PART 2 - PRODUCTS

2.1 SYSTEM DESCRIPTION

- A. Electrical Components, Devices, and Accessories: Listed and labeled as defined in NFPA 70, by a qualified testing agency, and marked for intended location and application.
- B. Comply with UL 467 for grounding and bonding materials and equipment.

2.2 MANUFACTURERS

- A. Manufacturers: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to the following:
  - 1. ABB (Electrification Products Division).
  - 2. Advanced Lightning Technology, Ltd.
  - 3. Appleton - O-Z/Gedney; Emerson Electric Co., Automation Solutions.
  - 4. Burndy; Hubbell Incorporated, Construction and Energy.
  - 5. Dossert; AFL Telecommunications LLC.
  - 6. Fushi Copperweld Inc.
  - 7. Galvan Industries, Inc.; Electrical Products Division, LLC.
  - 8. Harger Lightning & Grounding.
  - 9. ILSCO.
  - 10. nVent (ERICO).
  - 11. Robbins Lightning, Inc.
  - 12. Siemens Industry, Inc., Energy Management Division.

2.3 CONDUCTORS

- A. Insulated Conductors: Copper or tinned-copper wire or cable insulated for 600 V unless otherwise required by applicable Code or authorities having jurisdiction.
- B. Bare Copper Conductors:
  - 1. Solid Conductors: ASTM B3.
  - 2. Stranded Conductors: ASTM B8.
  - 3. Tinned Conductors: ASTM B33.

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4. Bonding Cable: 28 kcmil, 14 strands of No. 17 AWG conductor, 1/4 inch in diameter.
  5. Bonding Conductor: No. 4 or No. 6 AWG, stranded conductor.
  6. Bonding Jumper: Copper tape, braided conductors terminated with copper ferrules; 1-5/8 inches wide and 1/16 inch thick.
  7. Tinned Bonding Jumper: Tinned-copper tape, braided conductors terminated with copper ferrules; 1-5/8 inches wide and 1/16 inch thick.
- C. Grounding Bus: Predrilled rectangular bars of annealed copper, 1/4 by 4 inches in cross section, length to accommodate required connections, and with 9/32-inch holes spaced 1-1/8 inches apart. Stand-off insulators for mounting shall comply with UL 891 for use in switchboards, 600 V and shall be Lexan or PVC, impulse tested at 5000 V.

## 2.4 CONNECTORS

- A. Listed and labeled by an NRTL acceptable to authorities having jurisdiction for applications in which used and for specific types, sizes, and combinations of conductors and other items connected.
- B. Welded Connectors: Exothermic-welding kits of types recommended by kit manufacturer for materials being joined and installation conditions.
- C. Bus-Bar Connectors: Mechanical type, cast silicon bronze, solderless compression-type wire terminals, and long-barrel, two-bolt connection to ground bus bar.
- D. Cable-to-Cable Connectors: Compression type, copper or copper alloy.
- E. Conduit Hubs: Mechanical type, terminal with threaded hub.
- F. Ground Rod Clamps: Mechanical type, copper or copper alloy, terminal with hex head bolt.
- G. Lay-in Lug Connector: Mechanical type, copper rated for direct burial terminal with set screw.
- H. Service Post Connectors: Mechanical type, bronze alloy terminal, in short- and long-stud lengths, capable of single and double conductor connections.
- I. Straps: Solid copper, copper lugs. Rated for 600 A.
- J. Water Pipe Clamps: Existing to remain.

## 2.5 GROUNDING ELECTRODES

- A. Ground Rods: Copper-clad steel; 3/4 inch by 10 feet.
- B. Ground Rods, Utility Pole: Galvanized steel; 5/8 by 96 inches.
- C. Ground Plates: 1/4 inch thick, hot-dip galvanized.

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PART 3 - EXECUTION

3.1 APPLICATIONS

- A. Conductors: Install solid conductor for No. 8 AWG and smaller, and stranded conductors for No. 6 AWG and larger unless otherwise indicated.
- B. Underground Grounding Conductors: Install bare copper conductor, No. 2/0 AWG minimum.
  - 1. Bury at least 24 inches below grade.
  - 2. Duct-Bank Grounding Conductor: Bury 12 inches above duct bank when indicated as part of duct-bank installation.
- C. Grounding Conductors: Green-colored insulation.
- D. Grounding Bus: Install in electrical equipment rooms, in rooms housing service equipment, and elsewhere as indicated.
  - 1. Install bus horizontally, on insulated spacers 2 inches minimum from wall, 6 inches above finished floor unless otherwise indicated.
- E. Conductor Terminations and Connections:
  - 1. Pipe and Equipment Grounding Conductor Terminations: Bolted connectors.
  - 2. Underground Connections: Welded connectors unless otherwise indicated.

3.2 GROUNDING AT THE SERVICE

- A. Equipment grounding conductors and grounding electrode conductors shall be connected to the ground bus. Install a main bonding jumper between the neutral and ground buses.

3.3 GROUNDING AT THE GENERATOR

- A. Generator: Install grounding electrode(s) at the generator location. The electrode shall be connected to the equipment grounding conductor and to the frame of the generator.

3.4 GROUNDING UNDERGROUND DISTRIBUTION SYSTEM COMPONENTS

- A. Comply with IEEE C2 grounding requirements.
- B. Grounding Manholes: Install a driven ground rod through manhole floor, close to wall, and set rod depth so 4 inches will extend above finished floor. If necessary, install ground rod before manhole is placed and provide No. 1/0 AWG bare, tinned-copper conductor from ground rod into manhole through a waterproof sleeve in manhole wall. Protect ground rods passing through concrete floor with a double wrapping of pressure-sensitive insulating tape or heat-shrunk insulating sleeve from 2 inches above to 6 inches below concrete. Seal floor opening with waterproof, nonshrink grout.

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- C. Grounding Connections to Manhole Components: Bond exposed-metal parts such as inserts, cable racks, pulling irons, ladders, and cable shields within each manhole, to ground rod or grounding conductor. Make connections with No. 4 AWG minimum, stranded, hard-drawn copper bonding conductor. Train conductors level or plumb around corners and fasten to manhole walls. Connect to cable armor and cable shields according to written instructions by manufacturer of splicing and termination kits.
- D. Pad-Mounted Transformers: Install two ground rods and ground ring around the pad. Ground pad-mounted equipment by connecting them to underground cable and grounding electrodes. Install tinned-copper conductor not less than No. 2 AWG for ground ring and for taps to equipment grounding terminals. Bury ground ring not less than 12 or 24 inches from the foundation. Comply with Eversource New Hampshire Requirements for Electric Service Connections.

### 3.5 EQUIPMENT GROUNDING

- A. Install insulated equipment grounding conductors with feeders and branch circuits.

### 3.6 INSTALLATION

- A. Grounding Conductors: Route along shortest and straightest paths possible unless otherwise indicated or required by Code. Avoid obstructing access or placing conductors where they may be subjected to strain, impact, or damage.
- B. Ground Rods: Drive rods until tops are 2 inches below final grade unless otherwise indicated.
  - 1. Interconnect ground rods with grounding electrode conductor below grade and as otherwise indicated. Make connections without exposing steel or damaging coating if any.
  - 2. Use exothermic welds for below-grade connections.
  - 3. For grounding electrode system, install at least three rods spaced at least one-rod length from each other and located at least the same distance from other grounding electrodes, and connect to the service grounding electrode conductor.
  - 4. Where existing conditions do not permit ground rods to be fully driven, ground plates may be utilized.
- C. Bonding Straps and Jumpers: Install in locations accessible for inspection and maintenance except where routed through short lengths of conduit.
  - 1. Bonding to Equipment Mounted on Vibration Isolation Hangers and Supports: Install bonding so vibration is not transmitted to rigidly mounted equipment.
  - 2. Use exothermic-welded connectors for outdoor locations; if a disconnect-type connection is required, use a bolted clamp.
- D. Grounding and Bonding for Piping:
  - 1. Metal Water Service Pipe: Extend and connect to existing water pipe grounding conductor. Install insulated copper grounding conductors, in conduit, from building's main service equipment, or grounding bus, to main metal water service entrances to

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- building. Inspect and tighten existing grounding connection at water service entrance. Bond metal grounding conductor conduit or sleeve to conductor at each end.
2. Water Meter Piping: Use braided-type bonding jumpers to electrically bypass water meters. Connect to pipe with a bolted connector.
- E. Ground Ring at Generator: Install ground ring around the generator enclosure, electrically connected to ground rod and to the generator.
1. Install tinned-copper conductor not less than No. 2/0 AWG for ground ring.
  2. Bury ground ring not less than 12 inches from equipment pad.
- F. Connections: Make connections so possibility of galvanic action or electrolysis is minimized. Select connectors, connection hardware, conductors, and connection methods so metals in direct contact are galvanically compatible.
1. Use electroplated or hot-tin-coated materials to ensure high conductivity and to make contact points closer in order of galvanic series.
  2. Make connections with clean, bare metal at points of contact.
  3. Make aluminum-to-steel connections with stainless-steel separators and mechanical clamps.
  4. Make aluminum-to-galvanized-steel connections with tin-plated copper jumpers and mechanical clamps.
  5. Coat and seal connections having dissimilar metals with inert material to prevent future penetration of moisture to contact surfaces.

### 3.7 FIELD QUALITY CONTROL

- A. Perform tests and inspections.
- B. Tests and Inspections:
1. After installing grounding system but before permanent electrical circuits have been energized, test for compliance with requirements.
  2. Inspect physical and mechanical condition. Verify tightness of accessible, bolted, electrical connections with a calibrated torque wrench according to manufacturer's written instructions.
  3. Test completed grounding system at each location where a maximum ground-resistance level is specified, at service disconnect enclosure grounding terminal, and at individual ground rods. Make tests at ground rods before conductors are connected.
    - a. Measure ground resistance no fewer than two full days after last trace of precipitation and without soil being moistened by any means other than natural drainage or seepage and without chemical treatment or other artificial means of reducing natural ground resistance.
    - b. Perform tests by fall-of-potential method according to IEEE 81.
  4. Prepare dimensioned Drawings locating each ground rod and ground-rod assembly, and other grounding electrodes. Identify each by letter in alphabetical order, and key to the record of tests and observations. Include the number of rods driven and their depth at

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each location, and include observations of weather and other phenomena that may affect test results. Describe measures taken to improve test results.

- C. Grounding system will be considered defective if it does not pass tests and inspections.
- D. Prepare test and inspection reports.
- E. Report measured ground resistances that exceed the following values:
  - 1. Power and Lighting Equipment or System with Capacity of 500 kVA and Less: 10 ohms.
  - 2. Power and Lighting Equipment or System with Capacity of 500 to 1000 kVA: 5 ohms.
  - 3. Pad-Mounted Equipment: 5 ohms.
  - 4. Manhole Grounds: 10 ohms.
- F. Excessive Ground Resistance: If resistance to ground exceeds specified values, notify Architect promptly and include recommendations to reduce ground resistance.

END OF SECTION



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SECTION 260529 - HANGERS AND SUPPORTS FOR ELECTRICAL SYSTEMS

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

A. Section Includes:

- 1. Steel slotted support systems.
- 2. Conduit and cable support devices.
- 3. Mounting, anchoring, and attachment components, including powder-actuated fasteners, mechanical expansion anchors, concrete inserts, clamps, through bolts, toggle bolts, and hanger rods.

B. Related Requirements:

- 1. Section 260548 "Vibration and Seismic Controls for Electrical Systems" for products and installation requirements necessary for compliance with seismic criteria.

1.3 ACTION SUBMITTALS

- A. Submittals shall comply with the requirements of Section 013300 "Submittal Procedures" and the individual sections specifying the work.

B. Product Data: For each type of product.

- 1. Include construction details, material descriptions, dimensions of individual components and profiles, and finishes for the following:
  - a. Slotted support systems, hardware, and accessories.
  - b. Clamps.
  - c. Hangers.
  - d. Sockets.
  - e. Eye nuts.
  - f. Fasteners.
  - g. Anchors.
  - h. Saddles.
  - i. Brackets.
- 2. Include rated capacities and furnished specialties and accessories.

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- C. Shop Drawings: Signed and sealed by a qualified professional engineer. For fabrication and installation details for electrical hangers and support systems.
  - 1. Hangers. Include product data for components.
  - 2. Slotted support systems.
  - 3. Equipment supports.
  - 4. Vibration Isolation Base Details: Detail fabrication including anchorages and attachments to structure and to supported equipment. Include adjustable motor bases, rails, and frames for equipment mounting.
- D. Delegated-Design Submittal: For hangers and supports for electrical systems.
  - 1. Include design calculations and details of hangers.
  - 2. Include design calculations for seismic restraints.

1.4 INFORMATIONAL SUBMITTALS

- A. Submittals shall comply with the requirements of Section 013300 "Submittal Procedures" and the individual sections specifying the work.
- B. Seismic Qualification Data: Certificates, for hangers and supports for electrical equipment and systems, accessories, and components, from manufacturer.
  - 1. Basis for Certification: Indicate whether withstand certification is based on actual test of assembled components or on calculation.
  - 2. Dimensioned Outline Drawings of Equipment Unit: Identify center of gravity and locate and describe mounting and anchorage provisions.
  - 3. Detailed description of equipment anchorage devices on which the certification is based and their installation requirements.

PART 2 - PRODUCTS

2.1 PERFORMANCE REQUIREMENTS

- A. Delegated Design: Engage a qualified professional engineer, as defined in Section 014000 "Quality Requirements," to design hanger and support system.
- B. Seismic Performance: Hangers, supports and concrete bases shall withstand the effects of earthquake motions determined according to ASCE/SEI 7.
  - 1. The term "withstand" means "the supported equipment and systems will remain in place without separation of any parts when subjected to the seismic forces specified and the supported Critical Operations Power System (COPS) and Emergency System equipment and systems will be fully operational after the seismic event."
  - 2. Refer to Section 260548 "Vibration and Seismic Controls for Electrical Systems."
  - 3. Component Importance Factor: 1.5 for the Critical Operations Power System (COPS) and Emergency System.

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- C. Surface-Burning Characteristics: Comply with ASTM E84; testing by a qualified testing agency. Identify products with appropriate markings of applicable testing agency.
  - 1. Flame Rating: Class 1.
  - 2. Self-extinguishing according to ASTM D635.

2.2 SUPPORT, ANCHORAGE, AND ATTACHMENT COMPONENTS

- A. Steel Slotted Support Systems: Preformed steel channels and angles with minimum 13/32-inch-diameter holes at a maximum of 8 inches o.c. in at least one surface.
  - 1. Manufacturers: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to the following:
    - a. ABB (Electrification Products Division).
    - b. Atkore International (Allied Tube & Conduit).
    - c. Atkore International (Unistrut).
    - d. Eaton (B-line).
    - e. Flex-Strut Inc.
    - f. Gripple Inc.
    - g. GS Metals Corp.
    - h. G-Strut.
    - i. Haydon Corporation.
    - j. Metal Ties Innovation.
    - k. MIRO Industries.
    - l. nVent (CADDY).
    - m. Wesanco, Inc.
  - 2. Standard: Comply with MFMA-4 factory-fabricated components for field assembly.
  - 3. Material for Channel, Fittings, and Accessories: Galvanized steel (indoor use) and stainless steel, Type 316 (outdoor use).
  - 4. Channel Width: Selected for applicable load criteria.
  - 5. Metallic Coatings: Hot-dip galvanized after fabrication and applied according to MFMA-4.
- B. Conduit and Cable Support Devices: Steel (indoor use) and stainless-steel (outdoor use) hangers, clamps, and associated fittings, designed for types and sizes of raceway or cable to be supported.
- C. Mounting, Anchoring, and Attachment Components: Items for fastening electrical items or their supports to building surfaces include the following:
  - 1. Mechanical-Expansion Anchors: Insert-wedge-type, zinc-coated (indoor use) and stainless steel (outdoor use), for use in hardened portland cement concrete, with tension, shear, and pullout capacities appropriate for supported loads and building materials where used.

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- a. Manufacturers: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to the following:
  - 1) Eaton (B-line).
  - 2) Empire Tool and Manufacturing Co., Inc.
  - 3) Hilti, Inc.
  - 4) ITW Ramset/Red Head; Illinois Tool Works, Inc.
  - 5) MKT Fastening, LLC.
2. Concrete Inserts: Steel or malleable-iron, slotted support system units are similar to MSS Type 18 units and comply with MFMA-4 or MSS SP-58.
3. Clamps for Attachment to Steel Structural Elements: MSS SP-58 units are suitable for attached structural element.
4. Through Bolts: Structural type, hex head, and high strength. Comply with ASTM F3125/F3125M, Grade A325.
5. Toggle Bolts: All-steel springhead type.
6. Hanger Rods: Threaded steel.

PART 3 - EXECUTION

3.1 APPLICATION

- A. Comply with the following standards for application and installation requirements of hangers and supports, except where requirements on Drawings or in this Section are stricter:
  1. NECA 1.
  2. NECA 101.
- B. Comply with requirements in Section 078413 "Penetration Firestopping" for firestopping materials and installation for penetrations through fire-rated walls, ceilings, and assemblies.
- C. Comply with requirements for raceways and boxes specified in Section 260533 "Raceways and Boxes for Electrical Systems."
- D. Maximum Support Spacing and Minimum Hanger Rod Size for Raceways: Space supports for EMT and RMC as scheduled in NECA 1, where its Table 1 lists maximum spacings that are less than those stated in NFPA 70. Minimum rod size shall be 3/8 inch in diameter.
- E. Multiple Raceways or Cables: Install trapeze-type supports fabricated with steel slotted support system, sized so capacity can be increased by at least 25 percent in future without exceeding specified design load limits.
  1. Secure raceways and cables to these supports with two-bolt conduit clamps.

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3.2 SUPPORT INSTALLATION

- A. Comply with NECA 1 and NECA 101 for installation requirements except as specified in this article.
- B. Strength of Support Assemblies: Where not indicated, select sizes of components so strength will be adequate to carry present and future static loads within specified loading limits. Minimum static design load used for strength determination shall be weight of supported components plus 200 lb.
- C. Mounting and Anchorage of Surface-Mounted Equipment and Components: Anchor and fasten electrical items and their supports to building structural elements by the following methods unless otherwise indicated by code:
  - 1. To Wood: Fasten with lag screws or through bolts.
  - 2. To New Concrete: Bolt to concrete inserts.
  - 3. To Masonry: Approved toggle-type bolts on hollow masonry units and expansion anchor fasteners on solid masonry units.
  - 4. To Existing Concrete: Expansion anchor fasteners.
  - 5. To Steel: Beam clamps (MSS SP-58, Type 19, 21, 23, 25, or 27), complying with MSS SP-69.
  - 6. To Light Steel: Sheet metal screws.
  - 7. Items Mounted on Hollow Walls and Nonstructural Building Surfaces: Mount cabinets, panelboards, disconnect switches, control enclosures, pull and junction boxes, and other devices on slotted-channel racks attached to substrate by means that comply with seismic-restraint strength and anchorage requirements.
- D. Drill holes for expansion anchors in concrete at locations and to depths that avoid the need for reinforcing bars.

3.3 CONCRETE BASES

- A. Construct concrete bases of dimensions required to mount supported unit, but not less than 4 inches larger in both directions than supported unit, and so anchors will be a minimum of 10 bolt diameters from edge of the base.
- B. Use 3000-psi, 28-day compressive-strength concrete unless otherwise indicated. Concrete materials, reinforcement, and placement requirements are specified on the Drawings.
- C. Anchor equipment to concrete base as follows:
  - 1. Place and secure anchorage devices. Use supported equipment manufacturer's setting drawings, templates, diagrams, instructions, and directions furnished with items to be embedded.
  - 2. Install anchor bolts to elevations required for proper attachment to supported equipment.
  - 3. Install anchor bolts according to anchor-bolt manufacturer's written instructions.

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3.4 PAINTING

- A. Galvanized Surfaces: Clean welds, bolted connections, and abraded areas and apply galvanizing-repair paint to comply with ASTM A780.

END OF SECTION

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SECTION 260533 - RACEWAY AND BOXES FOR ELECTRICAL SYSTEMS

PART 1 - GENERAL

1.1 SUMMARY

A. Section Includes:

1. Type EMT-S raceways and elbows.
2. Type ERMC-S raceways, elbows, couplings, and nipples.
3. Type FMC-S and Type FMC-A raceways.
4. Type LFMC raceways.
5. Fittings for conduit, tubing, and cable.
6. Threaded metal joint compound.
7. Solvent cements.
8. Strut-type channel raceways and fittings.
9. Wireways and auxiliary gutters.
10. Metallic outlet boxes, device boxes, rings, and covers.
11. Termination boxes.
12. Cabinets, cutout boxes, junction boxes, pull boxes, and miscellaneous enclosures.

B. Related Requirements:

1. Section 260010 "Supplemental Requirements for Electrical" for additional abbreviations, definitions, submittals, qualifications, testing agencies, and other Project requirements applicable to Work specified in this Section.
2. Section 260011 "Facility Performance Requirements for Electrical" for seismic-load, wind-load, acoustical, and other field conditions applicable to Work specified in this Section.
3. Section 260543 "Underground Ducts and Raceways for Electrical Systems" for nonmetallic conduit and fittings, exterior duct banks, manholes, and underground utility construction.

1.2 ACTION SUBMITTALS

A. Submittals shall comply with the requirements of Section 013300 "Submittal Procedures" and the individual sections specifying the work.

B. Product Data: For the following:

1. Wireways and auxiliary gutters.
2. Raceways.
3. Cabinets, cutout boxes, and miscellaneous enclosures.

C. Shop Drawings: For custom enclosures and cabinets. Include plans, elevations, sections, and attachment details.

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1.3 CLOSEOUT SUBMITTALS

- A. Submittals shall comply with the requirements of Section 013300 "Submittal Procedures" and the individual sections specifying the work.
- B. As-Built drawings defining cable paths throughout the building. Identify those which are connected to the emergency system and those connected to the Critical Operations Power System (COPS).

PART 2 - PRODUCTS

2.1 TYPE EMT-S RACEWAYS AND ELBOWS

- A. Steel Electrical Metal Tubing (EMT-S) and Elbows:
  - 1. Manufacturers: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to the following:
    - a. Atkore International (Allied Tube & Conduit).
    - b. Atkore International (Calconduit).
    - c. Emerson Electric Co.
    - d. Nucor Corporation (Nucor Tubular Products - Republic).
    - e. Topaz Lighting & Electric.
    - f. Zekelman Industries (Picoma).
    - g. Zekelman Industries (Western Tube).
    - h. Zekelman Industries (Wheatland Tube).
  - 2. Applicable Standards:
    - a. Regulatory Requirements: Listed and labeled in accordance with NFPA 70 and marked for intended location and use.
    - b. General Characteristics:
      - 1) Reference Standards: UL 797 and UL Category Control Number FJMX.
      - 2) Material: Steel.
      - 3) Exterior Coating: Zinc.
      - 4) Interior Coating: Zinc with organic top coating.
    - c. Options:
      - 1) Minimum Trade Size: 1/2 inch.
      - 2) Colors:
        - a) Red for fire alarm.
        - b) Bare metal in non-finished spaces.
        - c) Bare metal, painted to match adjacent surface in finished spaces.

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2.2 TYPE ERMC-S RACEWAYS, ELBOWS, COUPLINGS, AND NIPPLES

A. Galvanized-Steel Electrical Rigid Metal Conduit (ERMC-S-G), Elbows, Couplings, and Nipples:

1. Manufacturers: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to the following:

- a. Atkore International (Allied Tube & Conduit).
- b. Atkore International (Calconduit).
- c. Eaton (Crouse-Hinds).
- d. Killark; Hubbell Incorporated, Construction and Energy.
- e. Nucor Corporation (Nucor Tubular Products - Republic).
- f. Patriot Aluminum Products, LLC.
- g. Topaz Lighting & Electric.
- h. Zekelman Industries (Western Tube).
- i. Zekelman Industries (Wheatland Tube).

2. Applicable Standards:

- a. Regulatory Requirements: Listed and labeled in accordance with NFPA 70 and marked for intended location and use.
- b. General Characteristics:
  - 1) Reference Standards: UL 6 and UL Category Control Number DYIX.
  - 2) Exterior Coating: Zinc.
  - 3) Interior Coating: Zinc with organic top coating.
- c. Options:
  - 1) Minimum Trade Size: 1/2 inch.

2.3 TYPE FMC-S RACEWAYS

A. Steel Flexible Metal Conduit (FMC-S):

1. Manufacturers: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to the following:

- a. ABB (Electrification Products Division).
- b. Electri-Flex Company.
- c. Topaz Lighting & Electric.

2. Applicable Standards:

- a. Regulatory Requirements: Listed and labeled in accordance with NFPA 70 and marked for intended location and use.
- b. General Characteristics:

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- 1) Reference Standard: UL 1 and UL Category Control Number DXUZ.
- 2) Material: Steel.

c. Options:

- 1) Minimum Trade Size: 1/2 inch.

2.4 TYPE LFMC RACEWAYS

A. Steel Liquidtight Flexible Metal Conduit (LFMC-S):

1. Manufacturers: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to the following:
  - a. ABB (Electrification Products Division).
  - b. Anamet Electrical, Inc (Anaconda Sealtite).
  - c. Electri-Flex Company.
  - d. International Metal Hose Co.
2. Applicable Standards:
  - a. Regulatory Requirements: Listed and labeled in accordance with NFPA 70 and marked for intended location and use.
  - b. General Characteristics:
    - 1) Reference Standard: UL 360 and UL Category Control Number DXHR.
    - 2) Material: Steel.
  - c. Options:
    - 1) Minimum Trade Size: 1/2 inch.

2.5 FITTINGS FOR CONDUIT, TUBING, AND CABLE

A. Fittings for Type ERM C:

1. Manufacturers: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to the following:
  - a. ABB (Electrification Products Division).
  - b. Appleton - EGS; Emerson Electric Co., Automation Solutions.
  - c. Appleton - O-Z/Gedney; Emerson Electric Co., Automation Solutions.
  - d. Atkore International (Konkore Fittings).
  - e. Eaton (Crouse-Hinds).
  - f. Raco Taymac Bell; Hubbell Incorporated, Commercial and Industrial.
  - g. Southwire Company.
  - h. Topaz Lighting & Electric.

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2. Applicable Standards:
  - a. Regulatory Requirements: Listed and labeled in accordance with NFPA 70 and marked for intended location and use.
  - b. General Characteristics:
    - 1) Reference Standards: UL 514B and UL Category Control Number DWTT.
    - 2) Material: Steel.
    - 3) Coupling Method: Compression coupling.
  - c. Options:
    - 1) Expansion and Deflection Fittings: UL 651 with flexible external bonding jumper.

B. Fittings for Type EMT Raceways:

1. Manufacturers: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to the following:
  - a. ABB (Electrification Products Division).
  - b. Appleton - EGS; Emerson Electric Co., Automation Solutions.
  - c. Appleton - O-Z/Gedney; Emerson Electric Co., Automation Solutions.
  - d. Atkore International (Allied Tube & Conduit).
  - e. Atkore International (Calconduit).
  - f. Eaton (Crouse-Hinds).
  - g. Raco Taymac Bell; Hubbell Incorporated, Commercial and Industrial.
  - h. Southwire Company.
  - i. Topaz Lighting & Electric.
2. Applicable Standards:
  - a. Regulatory Requirements: Listed and labeled in accordance with NFPA 70 and marked for intended location and use.
  - b. General Characteristics:
    - 1) Reference Standards: UL 514B and UL Category Control Number FKAV.
    - 2) Material: Steel.
    - 3) Coupling Method: Setscrew coupling. Setscrew couplings with only single screw per conduit are unacceptable.

C. Fittings for Type FMC Raceways:

1. Manufacturers: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to the following:
  - a. American Fittings Corp. (AMFICO).
  - b. Liquid Tight Connector Co.
  - c. Southwire Company.

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2. Applicable Standards:
  - a. Regulatory Requirements: Listed and labeled in accordance with NFPA 70 and marked for intended location and use.
  - b. General Characteristics:
    - 1) Reference Standards: UL 514B and UL Category Control Number ILNR.

D. Fittings for Type LFMC Raceways:

1. Manufacturers: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to the following:
  - a. Liquid Tight Connector Co.
2. Applicable Standards:
  - a. Regulatory Requirements: Listed and labeled in accordance with NFPA 70 and marked for intended location and use.
  - b. General Characteristics:
    - 1) Reference Standards: UL 514B and UL Category Control Number DXAS.

2.6 ELECTRICALLY CONDUCTIVE CORROSION-RESISTANT COMPOUNDS FOR  
THREADED CONDUIT

- A. Manufacturers: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to the following:
  1. ABB (Electrification Products Division).
- B. Applicable Standards:
  1. Regulatory Requirements: Listed and labeled in accordance with NFPA 70 and approved by authorities having jurisdiction for application to threaded conduit assemblies.
  2. General Characteristics:
    - a. Reference Standards: UL 2419 and UL Category Control Number FOIZ.

2.7 STRUT-TYPE CHANNEL RACEWAYS AND FITTINGS

- A. Strut-Type Channel Raceways and Fittings with Metallic Covers:
  1. Manufacturers: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to the following:
    - a. ABB (Electrification Products Division).
    - b. Atkore International (Power-Strut).

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- c. Atkore International (Unistrut).
  - d. Eaton (B-line).
2. Applicable Standards:
- a. Regulatory Requirements: Listed and labeled in accordance with NFPA 70 and marked for intended location and use.
  - b. General Characteristics:
    - 1) Reference Standards: UL 5B and UL Category Control Number RIUU.

2.8 WIREWAYS AND AUXILIARY GUTTERS

A. Metal Wireways and Auxiliary Gutters:

1. Manufacturers: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to the following:
- a. ABB (Electrification Products Division).
  - b. Eaton (B-line).
  - c. MonoSystems, Inc.
  - d. nVent (Hoffman).
  - e. Schneider Electric USA (Square D).
  - f. Wiegmann; Hubbell Incorporated, Commercial and Industrial.
2. Applicable Standards:
- a. Regulatory Requirements: Listed, labeled and sized in accordance with NFPA 70 and marked for intended location and use.
  - b. General Characteristics:
    - 1) Reference Standards: UL 870 and UL Category Control Number ZOYX.
    - 2) Fittings and Accessories: Include covers, couplings, offsets, elbows, expansion joints, adapters, hold-down straps, end caps, and other fittings to match and mate with wireways as required for complete system.
    - 3) Finish: Manufacturer's standard enamel finish.
  - c. Options:
    - 1) Degree of Protection: Type 1 unless otherwise indicated.
    - 2) Wireway Covers: Screw-cover type unless otherwise indicated.

2.9 METALLIC OUTLET BOXES, DEVICE BOXES, RINGS, AND COVERS

A. Metallic Outlet Boxes:

1. Description: Box having pryout openings, knockouts, threaded entries, or hubs in either the sides of the back, or both, for entrance of conduit, conduit or cable fittings, or cables,

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with provisions for mounting outlet box cover, but without provisions for mounting wiring device directly to box.

2. Manufacturers: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to the following:

- a. ABB (Electrification Products Division).
- b. Appleton - EGS; Emerson Electric Co., Automation Solutions.
- c. Appleton - O-Z/Gedney; Emerson Electric Co., Automation Solutions.
- d. Eaton (Crouse-Hinds).
- e. Hubbell Premise Wiring; Hubbell Incorporated, Commercial and Industrial.
- f. Killark; Hubbell Incorporated, Construction and Energy.
- g. MonoSystems, Inc.
- h. Pass & Seymour; Legrand North America, LLC.
- i. Patriot Aluminum Products, LLC.
- j. Raco Taymac Bell; Hubbell Incorporated, Commercial and Industrial.
- k. Robroy Industries (Plasti-Bond).
- l. Spring City Electrical Manufacturing Company.
- m. Topaz Lighting & Electric.
- n. Wiremold; Legrand North America, LLC.
- o. Wiring Device-Kellems; Hubbell Incorporated, Commercial and Industrial.

3. Applicable Standards:

- a. Regulatory Requirements: Listed and labeled in accordance with NFPA 70 and marked for intended location and use.
- b. General Characteristics:
  - 1) Reference Standards: UL 514A and UL Category Control Number QCIT.
- c. Options:
  - 1) Material: Sheet steel.
  - 2) Sheet Metal Depth: Minimum 1.5 inch and sized in accordance with NFPA 70.
  - 3) Luminaire Outlet Boxes and Covers: Nonadjustable, listed and labeled for attachment of luminaire weighing up to 50 lb.

B. Metallic Conduit Bodies:

1. Description: Means for providing access to interior of conduit or tubing system through one or more removable covers at junction or terminal point. In the United States, conduit bodies are listed in accordance with outlet box requirements.
2. Manufacturers: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to the following:
  - a. ABB (Electrification Products Division).
  - b. Appleton - EGS; Emerson Electric Co., Automation Solutions.

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- c. Appleton - O-Z/Gedney; Emerson Electric Co., Automation Solutions.
- d. Eaton (Crouse-Hinds).
- e. Killark; Hubbell Incorporated, Construction and Energy.
- f. Pass & Seymour; Legrand North America, LLC.
- g. Patriot Aluminum Products, LLC.
- h. Raco Taymac Bell; Hubbell Incorporated, Commercial and Industrial.
- i. Robroy Industries (Plasti-Bond).
- j. Topaz Lighting & Electric.

3. Applicable Standards:

- a. Regulatory Requirements: Listed and labeled in accordance with NFPA 70 and marked for intended location and use.
- b. General Characteristics:
  - 1) Reference Standards: UL 514A and UL Category Control Number QCIT.

C. Metallic Device Boxes:

- 1. Description: Box with provisions for mounting wiring device directly to box.
- 2. Manufacturers: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to the following:
  - a. ABB (Electrification Products Division).
  - b. Appleton - EGS; Emerson Electric Co., Automation Solutions.
  - c. Appleton - O-Z/Gedney; Emerson Electric Co., Automation Solutions.
  - d. Eaton (Crouse-Hinds).
  - e. Hubbell Premise Wiring; Hubbell Incorporated, Commercial and Industrial.
  - f. Killark; Hubbell Incorporated, Construction and Energy.
  - g. Patriot Aluminum Products, LLC.
  - h. Raco Taymac Bell; Hubbell Incorporated, Commercial and Industrial.
  - i. Robroy Industries (Plasti-Bond).
  - j. Topaz Lighting & Electric.
  - k. Wiring Device-Kellems; Hubbell Incorporated, Commercial and Industrial.
- 3. Applicable Standards:
  - a. Regulatory Requirements: Listed and labeled in accordance with NFPA 70 and marked for intended location and use.
  - b. General Characteristics:
    - 1) Reference Standards: UL 514A and UL Category Control Number QCIT.
  - c. Options:
    - 1) Material: Sheet steel (indoor use) and cast metal (outdoor use).
    - 2) Sheet Metal Depth: minimum 1.5 inch and sized in accordance with NFPA 70.

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- 3) Cast-Metal Depth: minimum 1.8 inch and sized in accordance with NFPA 70.

D. Metallic Extension Rings:

1. Description: Ring intended to extend sides of outlet box or device box to increase box depth, volume, or both.
2. Manufacturers: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to the following:
  - a. ABB (Electrification Products Division).
  - b. Appleton - EGS; Emerson Electric Co., Automation Solutions.
  - c. Appleton - O-Z/Gedney; Emerson Electric Co., Automation Solutions.
  - d. Eaton (B-line).
  - e. Eaton (Crouse-Hinds).
  - f. Pass & Seymour; Legrand North America, LLC.
  - g. Raco Taymac Bell; Hubbell Incorporated, Commercial and Industrial.
  - h. Topaz Lighting & Electric.
  - i. Wiring Device-Kellems; Hubbell Incorporated, Commercial and Industrial.
3. Applicable Standards:
  - a. Regulatory Requirements: Listed and labeled in accordance with NFPA 70 and marked for intended location and use.
  - b. General Characteristics:
    - 1) Reference Standards: UL 514A and UL Category Control Number QCIT.

2.10 TERMINATION BOXES

- A. Description: Enclosure for termination base consisting of lengths of bus bars, terminal strips, or terminal blocks with provision for wire connectors to accommodate incoming or outgoing conductors or both.
- B. Termination Boxes and Termination Bases for Installation on Line Side of Service Equipment:
  1. Manufacturers: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to the following:
    - a. ABB (Electrification Products Division).
    - b. Appleton - EGS; Emerson Electric Co., Automation Solutions.
    - c. Eaton (B-line).
    - d. Erickson Electrical Equipment Company.
    - e. Metron; Hubbell Incorporated, Commercial and Industrial.
    - f. Milbank Manufacturing Co.
    - g. N J Sullivan Company.
    - h. nVent (Hoffman).

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- i. Schneider Electric USA (Square D).
  2. Applicable Standards:
    - a. Regulatory Requirements: Listed and labeled in accordance with NFPA 70 and marked for intended location and use.
    - b. General Characteristics:
      - 1) Reference Standards: UL 1773 and UL Category Control Number XCKT.
      - 2) Listed and labeled for installation on line side of service equipment.
  - C. Termination Boxes and Termination Bases for Installation on Load Side of Service Equipment:
    1. Manufacturers: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to the following:
      - a. ABB (Electrification Products Division).
      - b. Appleton - EGS; Emerson Electric Co., Automation Solutions.
      - c. Eaton (B-line).
      - d. Erickson Electrical Equipment Company.
      - e. Metron; Hubbell Incorporated, Commercial and Industrial.
      - f. Milbank Manufacturing Co.
      - g. N J Sullivan Company.
      - h. nVent (Hoffman).
      - i. Schneider Electric USA (Square D).
    2. Applicable Standards:
      - a. Regulatory Requirements: Listed and labeled in accordance with NFPA 70 and marked for intended location and use.
      - b. General Characteristics:
        - 1) Reference Standards: UL 1773 and UL Category Control Number XCKT.
        - 2) Listed and labeled for installation on load side of service equipment.
- 2.11 CABINETS, CUTOUT BOXES, JUNCTION BOXES, PULL BOXES, AND MISCELLANEOUS ENCLOSURES
- A. Indoor Sheet Metal Cabinets:
    1. Description: Enclosure provided with frame, mat, or trim in which swinging door or doors are or can be hung.
    2. Manufacturers: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to the following:
      - a. ABB (Electrification Products Division).
      - b. Adalet.

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- c. Eaton (B-line).
- d. Eaton (Crouse-Hinds).
- e. Erickson Electrical Equipment Company.
- f. FSR Inc.
- g. Killark; Hubbell Incorporated, Construction and Energy.
- h. Milbank Manufacturing Co.
- i. N J Sullivan Company.
- j. nVent (Hoffman).
- k. Raco Taymac Bell; Hubbell Incorporated, Commercial and Industrial.
- l. Robroy Industries (Robroy Enclosures).
- m. Schneider Electric USA (Square D).
- n. Siemens Industry, Inc. (Building Technologies Division).

3. Applicable Standards:

- a. Regulatory Requirements: Listed and labeled in accordance with NFPA 70 and marked for intended location and use.
- b. General Characteristics:
  - 1) Reference Standards: UL Category Control Number CYIV.
    - a) Non-Environmental Characteristics: UL 50.
    - b) Environmental Characteristics: UL 50E.
- c. Options:
  - 1) Degree of Protection: Type 1 unless otherwise noted.

B. Indoor Sheet Metal Cutout Boxes:

- 1. Description: Enclosure that has swinging doors or covers secured directly to and telescoping with walls of enclosure.
- 2. Manufacturers: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to the following:
  - a. ABB (Electrification Products Division).
  - b. Adalet.
  - c. Eaton (B-line).
  - d. Eaton (Crouse-Hinds).
  - e. Erickson Electrical Equipment Company.
  - f. FSR Inc.
  - g. Killark; Hubbell Incorporated, Construction and Energy.
  - h. Milbank Manufacturing Co.
  - i. N J Sullivan Company.
  - j. nVent (Hoffman).
  - k. Raco Taymac Bell; Hubbell Incorporated, Commercial and Industrial.
  - l. Robroy Industries (Robroy Enclosures).
  - m. Schneider Electric USA (Square D).
  - n. Siemens Industry, Inc. (Building Technologies Division).

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3. Applicable Standards:
  - a. Regulatory Requirements: Listed and labeled in accordance with NFPA 70 and marked for intended location and use.
  - b. General Characteristics:
    - 1) Reference Standards: UL Category Control Number CYIV.
      - a) Non-Environmental Characteristics: UL 50.
      - b) Environmental Characteristics: UL 50E.
  - c. Options:
    - 1) Degree of Protection: Type 1 unless otherwise noted.

C. Indoor Sheet Metal Junction and Pull Boxes:

1. Description: Box with a blank cover that serves the purpose of joining different runs of raceway or cable.
2. Manufacturers: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to the following:
  - a. Adalet.
  - b. Appleton - EGS; Emerson Electric Co., Automation Solutions.
  - c. Appleton - O-Z/Gedney; Emerson Electric Co., Automation Solutions.
  - d. Eaton (B-line).
  - e. FSR Inc.
  - f. Hubbell Industrial Controls; Hubbell Incorporated, Commercial and Industrial.
  - g. Milbank Manufacturing Co.
  - h. N J Sullivan Company.
  - i. nVent (Hoffman).
  - j. Raco Taymac Bell; Hubbell Incorporated, Commercial and Industrial.
  - k. Schneider Electric USA (Square D).
  - l. Spring City Electrical Manufacturing Company.
  - m. Wiring Device-Kellems; Hubbell Incorporated, Commercial and Industrial.
3. Applicable Standards:
  - a. Regulatory Requirements: Listed and labeled in accordance with NFPA 70 and marked for intended location and use.
  - b. General Characteristics:
    - 1) Reference Standards: UL Category Control Number BGUZ.
      - a) Non-Environmental Characteristics: UL 50.
      - b) Environmental Characteristics: UL 50E.
  - c. Options:

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- 1) Degree of Protection: Type 1 unless otherwise noted.

D. Indoor Sheet Metal Miscellaneous Enclosures:

1. Manufacturers: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to the following:
  - a. ABB (Electrification Products Division).
  - b. Appleton - EGS; Emerson Electric Co., Automation Solutions.
  - c. Eaton (B-line).
  - d. Erickson Electrical Equipment Company.
  - e. Metron; Hubbell Incorporated, Commercial and Industrial.
  - f. Milbank Manufacturing Co.
  - g. N J Sullivan Company.
  - h. nVent (Hoffman).
  - i. Schneider Electric USA (Square D).
2. Applicable Standards:
  - a. Regulatory Requirements: Listed and labeled in accordance with NFPA 70 and marked for intended location and use.
  - b. General Characteristics:
    - 1) Reference Standards:
      - a) UL 1773 and UL Category Control Number XCKT.
      - b) Non-Environmental Characteristics: UL 50.
      - c) Environmental Characteristics: UL 50E.
  - c. Options:
    - 1) Degree of Protection: Type 1 unless otherwise noted.

E. Outdoor Sheet Metal Junction and Pull Boxes:

1. Description: Box with a blank cover that serves the purpose of joining different runs of raceway or cable.
2. Manufacturers: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to the following:
  - a. Adalet.
  - b. Appleton - EGS; Emerson Electric Co., Automation Solutions.
  - c. Appleton - O-Z/Gedney; Emerson Electric Co., Automation Solutions.
  - d. Eaton (B-line).
  - e. FSR Inc.
  - f. Hubbell Industrial Controls; Hubbell Incorporated, Commercial and Industrial.
  - g. Milbank Manufacturing Co.
  - h. N J Sullivan Company.

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- i. nVent (Hoffman).
  - j. Raco Taymac Bell; Hubbell Incorporated, Commercial and Industrial.
  - k. Schneider Electric USA (Square D).
  - l. Spring City Electrical Manufacturing Company.
  - m. Wiring Device-Kellems; Hubbell Incorporated, Commercial and Industrial.
3. Applicable Standards:
- a. Regulatory Requirements: Listed and labeled in accordance with NFPA 70 and marked for intended location and use.
  - b. General Characteristics:
    - 1) Reference Standards: UL Category Control Number BGUZ.
      - a) Non-Environmental Characteristics: UL 50.
      - b) Environmental Characteristics: UL 50E.
  - c. Options:
    - 1) Degree of Protection: Type 4X.

F. Outdoor Cast-Metal Junction and Pull Boxes:

1. Description: Box with a blank cover that serves the purpose of joining different runs of raceway or cable.
2. Manufacturers: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to the following:
  - a. Adalet.
  - b. Appleton - EGS; Emerson Electric Co., Automation Solutions.
  - c. Appleton - O-Z/Gedney; Emerson Electric Co., Automation Solutions.
  - d. Eaton (Crouse-Hinds).
3. Applicable Standards:
  - a. Regulatory Requirements: Listed and labeled in accordance with NFPA 70 and marked for intended location and use.
  - b. General Characteristics:
    - 1) Reference Standards: UL Category Control Number BGUZ.
      - a) Non-Environmental Characteristics: UL 50.
      - b) Environmental Characteristics: UL 50E.
  - c. Options:
    - 1) Degree of Protection: Type 4X.

G. Outdoor Sheet Metal Miscellaneous Enclosures:

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1. Manufacturers: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to the following:
  - a. ABB (Electrification Products Division).
  - b. Appleton - EGS; Emerson Electric Co., Automation Solutions.
  - c. Eaton (B-line).
  - d. Erickson Electrical Equipment Company.
  - e. Metron; Hubbell Incorporated, Commercial and Industrial.
  - f. Milbank Manufacturing Co.
  - g. N J Sullivan Company.
  - h. nVent (Hoffman).
  - i. Schneider Electric USA (Square D).
  
2. Applicable Standards:
  - a. Regulatory Requirements: Listed and labeled in accordance with NFPA 70 and marked for intended location and use.
  - b. General Characteristics:
    - 1) Reference Standards:
      - a) UL 1773 and UL Category Control Number XCKT.
      - b) Non-Environmental Characteristics: UL 50.
      - c) Environmental Characteristics: UL 50E.
  - c. Options:
    - 1) Degree of Protection: Type 4X.

PART 3 - EXECUTION

3.1 SELECTION OF RACEWAYS

- A. Unless more stringent requirements are specified in Contract Documents or manufacturers' written instructions, comply with NFPA 70 for selection of raceways. Consult Architect for resolution of conflicting requirements.
  
- B. Outdoors:
  1. Exposed Conduit: ERMC.
  2. Concealed Conduit, Aboveground: ERMC.
  3. Connection to Vibrating Equipment (Including Transformers and Hydraulic, Pneumatic, Electric Solenoid, or Motor-Driven Equipment): LFMC.
  
- C. Indoors:
  1. Exposed, Not Subject to Physical Damage: EMT.
  2. Concealed in Ceilings and Interior Walls and Partitions: EMT.

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3. Damp or Wet Locations: ERMIC.
4. Connection to Vibrating Equipment (Including Transformers and Hydraulic, Pneumatic, Electric Solenoid, or Motor-Driven Equipment): LFMC.

D. Raceway Fittings: Select fittings in accordance with NEMA FB 2.10 guidelines.

1. ERMIC: Provide threaded type fittings unless otherwise indicated.

### 3.2 SELECTION OF BOXES AND ENCLOSURES

A. Unless more stringent requirements are specified in Contract Documents or manufacturers' written instructions, comply with NFPA 70 for selection of boxes and enclosures. Consult Architect for resolution of conflicting requirements.

B. Degree of Protection:

1. Outdoors:
  - a. Type 4X unless otherwise indicated.
2. Indoors:
  - a. Type 1 unless otherwise indicated.

C. Exposed Boxes Installed Less Than 6.5 ft. Above Floor:

1. Provide exposed cover. Flat covers with angled mounting slots or knockouts are prohibited.

### 3.3 INSTALLATION OF RACEWAYS

A. Installation Standards:

1. Unless more stringent requirements are specified in Contract Documents or manufacturers' written instructions, comply with NFPA 70 for installation of raceways. Consult Architect for resolution of conflicting requirements.
2. Comply with NFPA 70 limitations for types of raceways allowed in specific occupancies and number of floors.
3. Comply with requirements in Section 260529 "Hangers and Supports for Electrical Systems" for hangers and supports.
4. Comply with NECA NEIS 101 for installation of steel raceways.
5. Install raceways square to the enclosure and terminate at enclosures without hubs with locknuts on both sides of enclosure wall. Install locknuts hand tight, plus one-quarter turn more.
6. Terminate threaded conduits into threaded hubs or with locknuts on inside and outside of boxes or cabinets. Install bushings on conduits up to 1-1/4 inch trade size and insulated throat metal bushings on 1-1/2 inch trade size and larger conduits terminated with locknuts. Install insulated throat metal grounding bushings on service and generator power conduits.
7. Raceway Terminations at Locations Subject to Moisture or Vibration:

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- a. Provide insulating bushings to protect conductors, including conductors smaller than No. 4 AWG. Install insulated throat metal grounding bushings on service and generator power conduits.

B. General Requirements for Installation of Raceways:

1. Complete raceway installation before starting conductor installation.
2. Provide stub-ups through floors with coupling threaded inside for plugs, set flush with finished floor. Plug coupling until conduit is extended above floor to final destination or a minimum of 2 ft. above finished floor.
3. Install no more than equivalent of three 90-degree bends in conduit run. Support within 12 inch of changes in direction.
4. Make bends in raceway using large-radius preformed ells except for parallel bends. Field bending must be in accordance with NFPA 70 minimum radii requirements. Provide only equipment specifically designed for material and size involved.
5. Conceal conduit within finished walls, ceilings, and floors unless otherwise indicated. Install conduits parallel or perpendicular to building lines.
6. Support conduit within 12 inch of enclosures to which attached.
7. Install devices to seal raceway interiors at accessible locations. Locate seals so no fittings or boxes are between the seal and the following changes of environments. Seal interior of raceways at the following points:
  - a. Where an underground raceway enters a building or structure.
  - b. Conduit extending from interior to exterior of building.
  - c. Where an underground raceway enters the generator enclosure.
  - d. Where otherwise required by NFPA 70.
8. Do not install raceways or electrical items on rotating equipment.
9. Keep raceways at least 6 inch away from parallel runs of flues and steam or hot-water pipes. Install horizontal raceway runs above water and steam piping.
10. Cut conduit perpendicular to the length. For conduits 2 inch trade size and larger, use roll cutter or a guide to make cut straight and perpendicular to the length. Ream inside of conduit to remove burrs.
11. Install pull wires in empty raceways. Provide polypropylene or monofilament plastic line with not less than 200 lb tensile strength. Leave at least 12 inch of slack at both ends of pull wire. Cap underground raceways designated as spare above grade alongside raceways in use.

C. Requirements for Installation of Specific Raceway Types:

1. Types ERMC:
  - a. Threaded Conduit Joints, Exposed to Wet, Damp, Corrosive, or Outdoor Conditions: Apply listed compound that maintains electrical conductivity to threads of raceway and fittings before making up joints. Follow compound manufacturer's written instructions.
2. Types FMC and LFMC:

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- a. Comply with NEMA RV 3. Provide a maximum of 72 inch of flexible conduit for recessed and semirecessed luminaires, equipment subject to vibration, noise transmission, or movement; and for transformers and motors.

D. Raceway Fittings: Install fittings in accordance with NEMA FB 2.10 guidelines.

1. EMT: Provide setscrew, steel fittings. Comply with NEMA FB 2.10.
2. Flexible Conduit: Provide only fittings listed for use with flexible conduit type. Comply with NEMA FB 2.20.

### 3.4 INSTALLATION OF BOXES AND ENCLOSURES

- A. Provide boxes in wiring and raceway systems wherever required for pulling of wires, making connections, and mounting of devices or fixtures.
- B. Mount boxes at heights indicated on Drawings. If mounting heights of boxes are not individually indicated, give priority to ADA requirements. Install boxes with height measured to center of box unless otherwise indicated.
- C. Locate boxes so that cover or plate will not span different building finishes.
- D. Support boxes in recessed ceilings independent of ceiling tiles and ceiling grid.
- E. Support boxes of three gangs or more from more than one side by spanning two framing members or mounting on brackets specifically designed for purpose.
- F. Fasten junction and pull boxes to, or support from, building structure. Do not support boxes by conduits.
- G. Do not rely on locknuts to penetrate nonconductive coatings on enclosures. Remove coatings in the locknut area prior to assembling conduit to enclosure to ensure a continuous ground path.

### 3.5 FIRESTOPPING

- A. Install firestopping at penetrations of fire-rated floor and wall assemblies. Comply with requirements in Section 078413 "Penetration Firestopping."

### 3.6 PROTECTION

- A. Protect coatings, finishes, and cabinets from damage and deterioration.
  1. Repair damage to galvanized finishes with zinc-rich paint recommended by manufacturer.

### 3.7 CLEANING

- A. Boxes: Remove construction dust and debris from device boxes and outlet boxes before installing wallplates, covers, and hoods.

END OF SECTION



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SECTION 260543 - UNDERGROUND DUCTS AND RACEWAYS FOR ELECTRICAL SYSTEMS

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

A. Section Includes:

1. Metal conduits and fittings, including GRC and PVC-coated steel conduit.
2. Rigid nonmetallic duct.
3. Duct accessories.
4. Precast manholes.
5. Utility structure accessories.

1.3 DEFINITIONS

- A. Direct Buried: Duct or a duct bank that is buried in the ground, without any additional casing materials such as concrete.
- B. Duct: A single duct or multiple ducts. Duct may be either installed singly or as component of a duct bank.
- C. Duct Bank:
  1. Two or more ducts installed in parallel, with or without additional casing materials.
  2. Multiple duct banks.
- D. GRC: Galvanized rigid (steel) conduit.
- E. Trafficways: Locations where vehicular or pedestrian traffic is a normal course of events.

1.4 ACTION SUBMITTALS

- A. Submittals shall comply with the requirements of Section 013300 "Submittal Procedures" and the individual sections specifying the work.
- B. Product Data: For each type of product.
  1. Include duct-bank materials, including spacers and miscellaneous components.
  2. Include duct, conduits, and their accessories, including elbows, end bells, bends, fittings, and solvent cement.

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3. Include accessories for manholes, boxes, and other utility structures.
4. Include underground-line warning tape.

C. Shop Drawings:

1. Precast Underground Utility Structures:

- a. Include plans, elevations, sections, details, attachments to other work, and accessories.
- b. Include duct entry provisions, including locations and duct sizes.
- c. Include reinforcement details.
- d. Include frame and cover design and manhole chimneys.
- e. Include grounding details.
- f. Include dimensioned locations of cable rack inserts, pulling-in and lifting irons, and sumps.
- g. Include joint details.

1.5 INFORMATIONAL SUBMITTALS

- A. Submittals shall comply with the requirements of Section 013300 "Submittal Procedures" and the individual sections specifying the work.
- B. Coordination Drawings: For duct and duct bank. Show duct profiles and coordination with other utilities and underground structures, to include existing ducts required to remain while new ducts are installed.
  1. Include plans and sections, drawn to scale, and show bends and locations of expansion fittings.
- C. Product Certificates: For concrete and steel used in precast concrete manholes, as required by ASTM C858.
- D. Source quality-control reports.
- E. Field quality-control reports.

1.6 MAINTENANCE MATERIALS SUBMITTALS

- A. Submittals shall comply with the requirements of Section 013300 "Submittal Procedures" and the individual sections specifying the work.
- B. Furnish extra materials that match products installed and that are packaged with protective covering for storage and identified with labels describing contents.
- C. Furnish cable-support stanchions, arms, insulators, and associated fasteners in quantities equal to 5 percent of quantity of each item installed.

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1.7 QUALITY ASSURANCE

- A. Testing Agency Qualifications: Qualified according to ASTM E329 for testing indicated.

1.8 FIELD CONDITIONS

- A. Interruption of Existing Electrical Service: Do not interrupt electrical service to facilities occupied by Owner or others unless permitted under the following conditions, and then only after arranging to provide temporary electrical service according to requirements indicated:
1. Notify Owner no fewer than seven days in advance of proposed interruption of electrical service.
  2. Do not proceed with interruption of electrical service without Owner's written permission.
- B. Ground Water: Assume ground-water level is at 60 inches below grade.

PART 2 - PRODUCTS

2.1 METAL CONDUIT AND FITTINGS

- A. GRC: Comply with ANSI C80.1 and UL 6.
- B. Coated Steel Conduit: PVC-coated GRC.
1. Comply with NEMA RN 1.
  2. Coating Thickness: 0.040 inch, minimum.
- C. Manufacturers: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to the following:
1. ABB (Electrification Products Division).
  2. Anamet Electrical, Inc (Anaconda Sealite).
  3. Appleton - O-Z/Gedney; Emerson Electric Co., Automation Solutions.
  4. Atkore International (AFC Cable Systems).
  5. Atkore International (Allied Tube & Conduit).
  6. Atkore International (Calconduit).
  7. Champion Fiberglass, Inc.
  8. Electri-Flex Company.
  9. FSR Inc.
  10. Korkap.
  11. NEC, Inc.
  12. NewBasis.
  13. Opti-Com Manufacturing Network, Inc (OMNI).
  14. Patriot Aluminum Products, LLC.
  15. Perma-Cote.
  16. Plasti-Bond.
  17. Republic Conduit.

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18. Southwire Company.
19. Topaz Lighting & Electric.
20. Western Tube and Conduit Corporation.
21. Wheatland Tube Company.
22. Zekelman Industries (Picoma).

- D. Listed and labeled as defined in NFPA 70, by a nationally recognized testing laboratory, and marked for intended location and application.

2.2 RIGID NONMETALLIC DUCT

- A. Underground Plastic Utilities Duct: Type EPC-40-PVC RNC, complying with NEMA TC 2 and UL 651, with matching fittings complying with NEMA TC 3 by same manufacturer as duct.

- B. Manufacturers: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to the following:

1. ARNCO Corp.
2. Beck Manufacturing.
3. Cantex Inc.
4. CertainTeed Corporation.
5. Condux International, Inc.
6. Crown Line Plastics.
7. ElecSys, Inc.
8. Electri-Flex Company.
9. Endot Industries Inc.
10. IPEX USA LLC.
11. Lamson & Sessions.
12. Manhattan/CDT.
13. National Pipe & Plastics.
14. Opti-Com Manufacturing Network, Inc (OMNI).
15. Spiraduct/AFC Cable Systems, Inc.

- C. Listed and labeled as defined in NFPA 70, by a nationally recognized testing laboratory, and marked for intended location and application.

- D. Solvents and Adhesives: As recommended by conduit manufacturer.

1. VOC Content: 510 g/L or less for PVC conduit and fittings.

2.3 DUCT ACCESSORIES

- A. Duct Spacers: Factory-fabricated, rigid, PVC interlocking spacers; sized for type and size of duct with which used, and selected to provide minimum duct spacing indicated while supporting duct during concreting or backfilling.

1. Manufacturers: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to the following:

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- a. Atkore International (Allied Tube & Conduit).
- b. Cantex Inc.
- c. Carlon; a brand of Thomas & Betts Corporation.
- d. IPEX USA LLC.
- e. PenCell Plastics.
- f. Underground Devices, Inc.

- B. Underground-Line Warning Tape: Comply with requirements for underground-line warning tape specified in Section 260553 "Identification for Electrical Systems."

2.4 PRECAST MANHOLES

- A. Description: One-piece units and units with interlocking mating sections, complete with accessories, hardware, and features.
- B. Comply with ASTM C858.
- C. Structural Design Loading: Comply with requirements in "Underground Enclosure Application" Article.
- D. Duct Entrances in Manhole Walls: Cast end-bell or duct-terminating fitting in wall for each entering duct.
1. Type and size shall match fittings to duct to be terminated.
  2. Fittings shall align with elevations of approaching duct and be located near interior corners of manholes to facilitate racking of cable.
- E. Ground Rod Sleeve: Provide a 3-inch PVC sleeve in manhole floors 2 inches from the wall adjacent to, but not underneath, the duct entering the structure.
- F. Joint Sealant: Asphaltic-butyl material with adhesion, cohesion, flexibility, and durability properties necessary to withstand maximum hydrostatic pressures at the installation location with the ground-water level at grade.

2.5 UTILITY STRUCTURE ACCESSORIES

- A. Accessories for Utility Structures: Utility equipment and accessory items used for utility structure access and utility support, listed and labeled for intended use and application.
- B. Manufacturers: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to the following:
1. BILCO Company (The).
  2. Campbell Foundry Company.
  3. Carder Concrete Products.
  4. Christy Concrete Products.
  5. EJ.
  6. Elmhurst-Chicago Stone Co.
  7. McKinley Iron Works, Inc.

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8. Neenah Foundry Company.
  9. NewBasis.
  10. Oldcastle Precast, Inc.
  11. Osburn Associates, Inc.
  12. Pennsylvania Insert Corporation.
  13. Quazite; Hubbell Incorporated, Power Systems.
  14. Rinker Group, Ltd.
  15. Riverton Concrete Products.
  16. Underground Devices, Inc.
  17. Utility Concrete Products, LLC.
  18. Utility Vault Co.
  19. Wausau Tile Inc.
- C. Manhole Frames, Covers, and Chimney Components: Comply with structural design loading specified for manhole.
1. Frame and Cover: Weatherproof, gray cast iron complying with ASTM A48/A48M, Class 30B with milled cover-to-frame bearing surfaces; diameter as indicated.
    - a. Cover Finish: Nonskid finish shall have a minimum coefficient of friction of 0.50.
    - b. Special Covers: Recess in face of cover designed to accept finish material in paved areas.
  2. Cover Legend: Cast in. Selected to suit system.
    - a. Legend: "ELECTRIC."
  3. Manhole Chimney Components: Precast concrete rings with dimensions matched to those of roof opening.
    - a. Mortar for Chimney Ring and Frame and Cover Joints: Comply with ASTM C270, Type M, except for quantities less than 2.0 cu. ft. where packaged mix complying with ASTM C387, Type M, may be used.
    - b. Seal joints watertight using preformed plastic or rubber complying with ASTM C990. Install sealing material according to sealant manufacturers' written instructions.
- D. Manhole Sump Frame and Grate: ASTM A48/A48M, Class 30B, gray cast iron.
- E. Pulling Eyes in Concrete Walls: Eyebolt with reinforcing-bar fastening insert, 2-inch- diameter eye, and 1-by-4-inch bolt.
1. Working Load Embedded in 6-Inch, 4000-psi Concrete: 13,000-lbf minimum tension.
- F. Pulling-in and Lifting Irons in Concrete Floors: 7/8-inch- diameter, hot-dip galvanized, bent steel rod; stress relieved after forming; and fastened to reinforcing rod. Exposed triangular opening.
1. Ultimate Yield Strength: 40,000-lbf shear and 60,000-lbf tension.

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- G. Bolting Inserts for Concrete Utility Structure Cable Racks and Other Attachments: Flared, threaded inserts of noncorrosive, chemical-resistant, nonconductive thermoplastic material; 1/2-inch ID by 2-3/4 inches deep, flared to 1-1/4 inches minimum at base.
  - 1. Tested Ultimate Pullout Strength: 12,000 lbf minimum.
- H. Ground Rod Sleeve: 3-inch PVC sleeve in manhole floors 2 inches from the wall adjacent to, but not underneath, the ducts routed from the facility.
- I. Expansion Anchors for Installation after Concrete Is Cast: Zinc-plated, carbon-steel-wedge type with stainless-steel expander clip with 1/2-inch bolt, 5300-lbf rated pullout strength, and minimum 6800-lbf rated shear strength.
- J. Cable Rack Assembly: Nonmetallic. Components fabricated from nonconductive, fiberglass-reinforced polymer.
  - 1. Stanchions: Nominal 36 inches high by 4 inches wide, with provisions to connect to other sections to form a continuous unit, with minimum of nine holes for arm attachment.
  - 2. Arms: Arranged for secure, drop-in attachment in horizontal position at any location on cable stanchions, and capable of being locked in position. Arms shall be available in lengths ranging from 3 inches with 450-lb minimum capacity to 20 inches with 250-lb minimum capacity. Top of arm shall be nominally 4 inches wide, and arm shall have slots along full length for cable ties.
- K. Duct-Sealing Compound: Nonhardening, safe for contact with human skin, not deleterious to cable insulation, and workable at temperatures as low as 35 deg F. Capable of withstanding temperature of 300 deg F without slump and adhering to clean surfaces of plastic ducts, metallic conduit, conduit and duct coatings, concrete, masonry, lead, cable sheaths, cable jackets, insulation materials, and common metals.

2.6 SOURCE QUALITY CONTROL

- A. Test and inspect precast concrete utility structures according to ASTM C1037.

PART 3 - EXECUTION

3.1 PREPARATION

- A. Coordinate layout and installation of duct, duct bank, and manholes with final arrangement of other utilities, site grading, and surface features as determined in the field. Notify Architect if there is a conflict between areas of excavation and existing structures or archaeological sites to remain.
- B. Coordinate elevations of duct and duct-bank entrances into manholes with final locations and profiles of duct and duct banks, as determined by coordination with other utilities, underground obstructions, and surface features. Revise locations and elevations as required to suit field conditions and to ensure that duct and duct bank will drain to manholes and as approved by Architect.

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- C. Clear and grub vegetation to be removed, and protect vegetation to remain according to Section 311000 "Site Clearing." Remove and stockpile topsoil for reapplication according to Section 311000 "Site Clearing."

3.2 UNDERGROUND DUCT APPLICATION

- A. Duct for Electrical Cables More Than 600 V: Type EPC-40-PVC RNC, concrete-encased unless otherwise indicated.
- B. Duct for COPS Feeders, Branch, and Control Circuits 600 V and Less: Type EPC-40-PVC RNC, concrete-encased unless otherwise indicated.
- C. Duct for Emergency and Optional Standby Electrical Feeders, Branch, and Control Circuits 600 V and Less: Type EPC-40-PVC RNC, direct-buried unless otherwise indicated.
- D. Underground Ducts Crossing Walks and Driveways: Type EPC-40 PVC RNC, encased in reinforced concrete.
- E. Stub-ups: GRC.

3.3 UNDERGROUND ENCLOSURE APPLICATION

- A. Manholes: Precast concrete.
  - 1. Units Located in Roadways and Other Deliberate Traffic Paths by Heavy or Medium Vehicles: H-20 structural load rating according to AASHTO HB 17.

3.4 EARTHWORK

- A. Excavation and Backfill: Comply with Section 312000 "Earth Moving," but do not use heavy-duty, hydraulic-operated, compaction equipment.
- B. Restoration: Replace area after construction vehicle traffic in immediate area is complete.
- C. Restore surface features at areas disturbed by excavation, and re-establish original grades unless otherwise indicated. Replace removed sod immediately after backfilling is completed.
- D. Restore areas disturbed by trenching, storing of dirt, cable laying, and other work. Restore vegetation and include necessary topsoiling, fertilizing, liming, seeding, sodding, sprigging, and mulching. Comply with Section 329200 "Turf and Grasses."

3.5 DUCT AND DUCT-BANK INSTALLATION

- A. Install duct, spacers, and accessories into the duct-bank. Duct installation requirements in this Section also apply to duct bank.
- B. Install duct according to NEMA TCB 2.

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- C. Slope: Pitch duct a minimum slope of 1:300 down toward manholes and away from buildings and equipment.
- D. Curves and Bends: Use 5-degree angle couplings for small changes in direction. Use manufactured long sweep bends, both horizontally and vertically, at other locations unless otherwise indicated.
- E. Joints: Use solvent-cemented joints in duct and fittings and make watertight according to manufacturer's written instructions. Stagger couplings so those of adjacent duct do not lie in same plane.
- F. End Bell Entrances to Manholes: Use end bells, spaced approximately 8 inches o.c. for 4-inch duct, and vary proportionately for other duct sizes.
  - 1. Begin change from regular spacing to end-bell spacing 10 feet from the end bell, without reducing duct slope and without forming a trap in the line.
  - 2. Grout end bells into structure walls from both sides to provide watertight entrances.
- G. Terminator Entrances to Manholes: Use manufactured, cast-in-place duct terminators, with entrances into structure spaced approximately 6 inches o.c. for 4-inch duct, and vary proportionately for other duct sizes.
  - 1. Begin change from regular spacing to terminator spacing 10 feet from the terminator, without reducing duct line slope and without forming a trap in the line.
- H. Building Wall Penetrations: Make a transition from underground duct to GRC at least 10 feet outside the building wall, without reducing duct line slope away from the building and without forming a trap in the line. Use fittings manufactured for RNC-to-GRC transition. Install GRC penetrations of building walls as specified in Section 260544 "Sleeves and Sleeve Seals for Electrical Raceways and Cabling."
- I. Sealing: Provide temporary closure at terminations of duct with pulled cables. Seal spare duct at terminations. Use sealing compound and plugs to withstand at least 15-psig hydrostatic pressure.
- J. Pulling Cord: Install 200-lbf- test nylon cord in empty ducts.
- K. Concrete-Encased Ducts and Duct Bank:
  - 1. Excavate trench bottom to provide firm and uniform support for duct. Prepare trench bottoms as specified in Section 312000 "Earth Moving" for pipes less than 6 inches in nominal diameter.
  - 2. Width: Excavate trench 4 inches wider than duct on each side.
  - 3. Depth: Install so top of duct envelope is at least 30 inches below finished grade unless otherwise indicated.
  - 4. Support duct on duct spacers coordinated with duct size, duct spacing, and outdoor temperature.
  - 5. Spacer Installation: Place spacers close enough to prevent sagging and deforming of duct, with not less than five spacers per 20 feet of duct. Place spacers within 24 inches of duct ends. Stagger spacers approximately 6 inches between tiers. Secure spacers to earth and to duct to prevent floating during concreting. Tie entire assembly together using fabric

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- straps; do not use tie wires or reinforcing steel that may form conductive or magnetic loops around ducts or duct groups.
6. Minimum Space between Duct: 3 inches between edge of duct and exterior envelope wall, 2 inches between ducts for like services, and 4 inches between power and communications ducts.
  7. Elbows: Use manufactured GRC elbows for stub-ups, at building entrances, and at changes of direction in duct run.
    - a. Couple RNC duct to GRC with adapters designed for this purpose, and encase coupling with 3 inches of concrete.
    - b. Stub-ups to Outdoor Equipment: Extend concrete-encased GRC horizontally a minimum of 60 inches from edge of base. Install insulated grounding bushings on terminations at equipment.
  8. Forms: Use walls of trench to form side walls of duct bank where soil is self-supporting and concrete envelope can be poured without soil inclusions; otherwise, use forms.
  9. Concrete Cover: Install a minimum of 4 inches of concrete cover between edge of duct to exterior envelope wall, 2 inches between duct of like services, and 4 inches between power and communications ducts.
  10. Concreting Sequence: Pour each run of envelope between manholes or other terminations in one continuous operation.
    - a. Start at one end and finish at the other, allowing for expansion and contraction of duct as its temperature changes during and after the pour. Use expansion fittings installed according to manufacturer's written instructions, or use other specific measures to prevent expansion-contraction damage.
    - b. If more than one pour is necessary, terminate each pour in a vertical plane and install 3/4-inch reinforcing-rod dowels extending a minimum of 18 inches into concrete on both sides of joint near corners of envelope.
  11. Pouring Concrete: Comply with requirements on the Drawings. Place concrete carefully during pours to prevent voids under and between duct and at exterior surface of envelope. Do not allow a heavy mass of concrete to fall directly onto ducts. Allow concrete to flow around duct and rise up in middle, uniformly filling all open spaces. Do not use power-driven agitating equipment unless specifically designed for duct-installation application.

L. Direct-Buried Duct and Duct Bank:

1. Excavate trench bottom to provide firm and uniform support for duct. Comply with requirements in Section 312000 "Earth Moving" for preparation of trench bottoms for pipes less than 6 inches in nominal diameter.
2. Width: Excavate trench 6 inches wider than duct on each side.
3. Depth: As indicated.
4. Support ducts on duct spacers coordinated with duct size, duct spacing, and outdoor temperature.
5. Spacer Installation: Place spacers close enough to prevent sagging and deforming of duct, with not less than five spacers per 20 feet of duct. Place spacers within 24 inches of duct ends. Stagger spacers approximately 6 inches between tiers. Secure spacers to earth and to ducts to prevent floating during concreting. Tie entire assembly together using fabric straps; do not use tie wires or reinforcing steel that may form conductive or magnetic loops around ducts or duct groups.

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6. Install duct with a minimum of 3 inches between ducts for like services and 6 inches between power and communications duct.
  7. Elbows: Install manufactured duct elbows for stub-ups, at building entrances, and at changes of direction in duct direction unless otherwise indicated. Encase elbows for stub-up ducts throughout length of elbow.
  8. Install manufactured GRC elbows for stub-ups, at building entrances, and at changes of direction in duct.
    - a. Couple RNC duct to GRC with adapters designed for this purpose, and encase coupling with 3 inches of concrete.
    - b. Stub-ups to Outdoor Equipment: Extend concrete-encased GRC horizontally a minimum of 60 inches from edge of base. Install insulated grounding bushings on terminations at equipment.
  9. After installing first tier of duct, backfill and compact. Start at tie-in point and work toward end of duct run, leaving ducts at end of run free to move with expansion and contraction as temperature changes during this process. Repeat procedure after placing each tier. After placing last tier, hand place backfill to 4 inches over duct and hand tamp. Firmly tamp backfill around ducts to provide maximum supporting strength. Use hand tamper only. After placing controlled backfill over final tier, make final duct connections at end of run and complete backfilling with normal compaction. Comply with requirements in Section 312000 "Earth Moving" for installation of backfill materials.
    - a. Place minimum 6 inches of sand as a bed for duct. Place sand to a minimum of 6 inches above top level of duct.
    - b. Place minimum 6 inches of engineered fill above concrete encasement of duct.
- M. Underground-Line Warning Tape: Bury conducting underground line specified in Section 260553 "Identification for Electrical Systems" no less than 12 inches above all concrete-encased duct and duct banks and approximately 12 inches below grade. Align tape parallel to and within 3 inches of centerline of duct bank. Provide an additional warning tape for each 12-inch increment of duct-bank width over a nominal 18 inches. Space additional tapes 12 inches apart, horizontally.

### 3.6 INSTALLATION OF CONCRETE MANHOLES

- A. Precast Concrete and Manhole Installation:
1. Comply with ASTM C891 unless otherwise indicated.
  2. Install units level and plumb and with orientation and depth coordinated with connecting duct, to minimize bends and deflections required for proper entrances.
  3. Unless otherwise indicated, support units on a level bed of crushed stone or gravel, graded from 1-inch sieve to No. 4 sieve and compacted to same density as adjacent undisturbed earth.
- B. Elevations:
1. Manhole Roof: Install with rooftop at least 15 inches below finished grade.
  2. Manhole Frame: In paved areas and trafficways, set frames flush with finished grade.

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- C. Manhole Access: Circular opening in manhole roof; sized to match cover size.
  - 1. Install chimney, constructed of precast concrete collars and rings, to support cast-iron frame to connect cover with manhole roof opening. Provide moisture-tight masonry joints and waterproof grouting for frame to chimney.
- D. Hardware: Install removable hardware, including pulling eyes, cable stanchions, and cable arms as required for installation and support of cables and conductors and as indicated.
- E. Field-Installed Bolting Anchors in Manholes: Do not drill deeper than 3-7/8 inches for manholes, for anchor bolts installed in the field. Use a minimum of two anchors for each cable stanchion.

3.7 GROUNDING

- A. Ground underground ducts and utility structures according to Section 260526 "Grounding and Bonding for Electrical Systems."

3.8 FIELD QUALITY CONTROL

- A. Perform the following tests and inspections:
  - 1. Demonstrate capability and compliance with requirements on completion of installation of underground duct, duct bank, and utility structures.
  - 2. Pull solid aluminum or wood test mandrel through duct to prove joint integrity and adequate bend radii, and test for out-of-round duct. Provide a minimum 12-inch- long mandrel equal to duct size minus 1/4 inch. If obstructions are indicated, remove obstructions and retest.
  - 3. Test manhole grounding to ensure electrical continuity of grounding and bonding connections. Measure and report ground resistance as specified in Section 260526 "Grounding and Bonding for Electrical Systems."
- B. Correct deficiencies and retest as specified above to demonstrate compliance.
- C. Prepare test and inspection reports.

3.9 CLEANING

- A. Pull leather-washer-type duct cleaner, with graduated washer sizes, through full length of duct until duct cleaner indicates that duct is clear of dirt and debris. Follow with rubber duct swab for final cleaning and to assist in spreading lubricant throughout ducts.
- B. Clean internal surfaces of manholes, including sump.
  - 1. Sweep floor, removing dirt and debris.
  - 2. Remove foreign material.

END OF SECTION

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SECTION 260544 - SLEEVES AND SLEEVE SEALS FOR ELECTRICAL RACEWAYS AND  
CABLING

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

A. Section Includes:

1. Round sleeves.
2. Sleeve seal systems.
3. Grout.
4. Pourable sealants.
5. Foam sealants.

B. Related Requirements:

1. Section 078413 "Penetration Firestopping" for penetration firestopping installed in fire-resistance-rated walls, horizontal assemblies, and smoke barriers, with and without penetrating items.

1.3 ACTION SUBMITTALS

- A. Submittals shall comply with the requirements of Section 013300 "Submittal Procedures" and the individual sections specifying the work.
- B. Product Data: For each type of product.

PART 2 - PRODUCTS

2.1 ROUND SLEEVES

A. Wall Sleeves, Steel:

1. Description: ASTM A53/A53M, Type E, Grade B, Schedule 40, zinc coated, plain ends and integral waterstop.

B. Sheet Metal Sleeves, Galvanized Steel, Round:

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1. Description: Galvanized-steel sheet; thickness not less than 0.0239-inch; round tube closed with welded longitudinal joint, with tabs for screw-fastening the sleeve to the board.

2.2 SLEEVE SEAL SYSTEMS

- A. Description: Modular sealing device, designed for field assembly, to fill annular space between sleeve and raceway or cable or between raceway and cable.
  1. Sealing Elements: EPDM rubber interlocking links shaped to fit surface of pipe. Include type and number required for pipe material and size of pipe.
  2. Pressure Plates: Stainless steel.
  3. Connecting Bolts and Nuts: Stainless steel of length required to secure pressure plates to sealing elements.

2.3 GROUT

- A. Description: Nonshrink; recommended for interior and exterior sealing openings in non-fire-rated walls or floors.
  1. Standard: ASTM C1107/C1107M, Grade B, post-hardening and volume-adjusting, dry, hydraulic-cement grout.
  2. Design Mix: 5000-psi, 28-day compressive strength.
  3. Packaging: Premixed and factory packaged.

2.4 POURABLE SEALANTS

- A. Description: Single-component, neutral-curing elastomeric sealants of grade indicated below.
  1. Grade: Pourable (self-leveling) formulation for openings in floors and other horizontal surfaces that are not fire rated.

2.5 FOAM SEALANTS

- A. Description: Multicomponent, liquid elastomers that, when mixed, expand and cure in place to produce a flexible, nonshrinking foam. Foam expansion must not damage cables or crack penetrated structure.

PART 3 - EXECUTION

3.1 INSTALLATION OF SLEEVES FOR NON-FIRE-RATED ELECTRICAL PENETRATIONS

- A. Comply with NECA 1.

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- B. Sleeves for Conduits Penetrating Above-Grade, Non-Fire-Rated, Concrete and Masonry-Unit Floors and Walls:
  - 1. Interior Penetrations of Non-Fire-Rated Walls and Floors:
    - a. Seal space outside of sleeves with mortar or grout. Pack sealing material solidly between sleeve and wall or floor so no voids remain. Tool exposed surfaces smooth; protect material while curing.
    - b. Seal annular space between sleeve and raceway or cable, using joint sealant appropriate for size, depth, and location of joint.
  - 2. Use pipe sleeves.
  - 3. Size pipe sleeves to provide 1/4-inch annular clear space between sleeve and raceway or cable, unless sleeve seal system is to be installed or seismic criteria require different clearance.
  - 4. Install sleeves for wall penetrations unless core-drilled holes are used. Cut sleeves to length for mounting flush with both surfaces of walls. Deburr after cutting.
- C. Sleeves for Conduits Penetrating Non-Fire-Rated Wall Assemblies:
  - 1. Use circular metal sleeves.
  - 2. Seal space outside of sleeves with approved joint compound for wall assemblies.
- D. Aboveground, Exterior-Wall Penetrations: Seal penetrations using steel pipe sleeves and mechanical sleeve seal systems. Size sleeves to allow for 1-inch annular clear space between pipe and sleeve for installing mechanical sleeve seals.
- E. Underground, Exterior-Wall Penetrations:
  - 1. Install steel pipe sleeves. Size sleeves to allow for 1-inch annular clear space between raceway or cable and sleeve for installing sleeve seal system. Grout sleeve into wall or floor opening.

3.2 INSTALLATION OF SLEEVE SEAL SYSTEMS

- A. Install sleeve seal systems in sleeves in exterior concrete walls at raceway entries into building.
- B. Install type and number of sealing elements recommended by manufacturer for raceway or cable material and size. Position raceway or cable in center of sleeve. Assemble mechanical sleeve seals and install in annular space between raceway or cable and sleeve. Tighten bolts against pressure plates that cause sealing elements to expand and make watertight seal.

END OF SECTION



SECTION 260548 - VIBRATION AND SEISMIC CONTROLS FOR ELECTRICAL SYSTEMS

PART 1 - GENERAL

1.1 SUMMARY

A. Section Includes:

1. Elastomeric isolation pads.
2. Restraints - rigid type.
3. Restraint accessories.
4. Post-Installed concrete anchors.
5. Concrete inserts.

B. Related Requirements:

1. Section 260010 "Supplemental Requirements for Electrical" for additional abbreviations, definitions, submittals, qualifications, testing agencies, and other Project requirements applicable to Work specified in this Section.
2. Section 260011 "Facility Performance Requirements for Electrical" for seismic-load, wind-load, acoustical, and other field conditions applicable to Work specified in this Section.
3. Section 260529 "Hangers and Supports for Electrical Systems" for commonly used electrical supports and installation requirements.

1.2 ACTION SUBMITTALS

A. Submittals shall comply with the requirements of Section 013300 "Submittal Procedures" and the individual sections specifying the work.

B. Product Data: For each type of product.

1. Include rated load capacity for each seismic- and wind-load-restraint device.
2. Illustrate and indicate style, material, strength, fastening provision, and finish for each type and size of seismic- and wind-load-restraint component used.
3. Annotate types and sizes of seismic restraints and accessories, complete with listing markings or report numbers and load rating in tension and compression as evaluated by an agency acceptable to authorities having jurisdiction.
4. Annotate to indicate application of each product submitted and compliance with requirements.

C. Shop Drawings:

1. Detail fabrication and assembly of equipment bases.
2. Vibration Isolation Base Details: Detail fabrication including anchorages and attachments to structure and to supported equipment. Include adjustable rails, and frames for equipment mounting.

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- D. Delegated Design Submittal for Each Seismic-Restraint Device: Signed and sealed by qualified structural professional engineer.
1. For each seismic-restraint device, including restraint - rigid, restraint accessory, and concrete anchor and insert that is required by this Section or is indicated on Drawings, submit the following:
    - a. Seismic Restraints: Select seismic restraints complying with performance requirements, design criteria, and analysis data.
    - b. Post-Installed Concrete Anchors and Inserts: Include calculations showing anticipated seismic loads. Include certification that device is approved by an NRTL for seismic reinforcement use.
    - c. Seismic Design Calculations: Submit input data and loading calculations prepared under "Seismic Design Calculations" Paragraph in "Performance Requirements" Article.
- E. Delegated Design Submittal for Each Wind-Load Protection Device: Signed and sealed by qualified structural professional engineer.
1. For each wind-load protection device, including restraint - rigid, restraint accessory, and concrete anchor and insert that is required by this Section or is indicated on Drawings, submit the following:
    - a. Wind-Load Restraint: Select wind-load restraints complying with performance requirements, design criteria, and analysis data.
    - b. Post-Installed Concrete Anchors and Inserts: Include calculations showing anticipated wind loads. Include certification that device is approved by an NRTL for reinforcement use.
    - c. Wind-Load Design Calculations: Submit static and dynamic loading calculations prepared under "Wind-Load Design Calculations" Paragraph in "Performance Requirements" Article.
  2. Seismic- and Wind-Load-Restraint Detail Drawings: Signed and sealed by qualified structural professional engineer.
    - a. Design Analysis: To support selection and arrangement of seismic and wind-load restraints. Include calculations of combined tensile and shear loads.
    - b. Details: Indicate fabrication and arrangement. Detail attachments of restraints to restrained items and to the structure. Show attachment locations, methods, and spacings. Identify components, list their strengths, and indicate directions and values of forces transmitted to the structure during seismic events. Indicate association with vibration isolation devices.
    - c. Coordinate seismic-restraint details with wind-load details required for equipment mounted outdoors.
  3. Product Listing, Preapproval, and Evaluation Documentation: By an agency acceptable to authorities having jurisdiction, showing maximum ratings of restraint items and the basis for approval (tests or calculations).

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1.3 INFORMATIONAL SUBMITTALS

- A. Submittals shall comply with the requirements of Section 013300 "Submittal Procedures" and the individual sections specifying the work.
- B. Qualification Data: For professional engineer and testing agency.
- C. Field quality-control reports.

PART 2 - PRODUCTS

2.1 PERFORMANCE REQUIREMENTS

- A. Delegated Design: Engage qualified structural professional engineer to design seismic and wind-load control system in accordance with criteria specified in Section 260010 "Supplemental Requirements for Electrical" and Section 260011 "Facility Performance Requirements for Electrical."
- B. Seismic- and Wind-Load-Restraint Device Load Ratings: Devices to be tested and rated in accordance with applicable code requirements and authorities having jurisdiction. Devices to be listed by a nationally recognized third party that requires periodic follow-up inspections and has a listing directory available to the public. Provide third-party listing by one or more of the following: an agency acceptable to authorities having jurisdiction.
- C. Consequential Damage: Provide additional seismic and wind-load restraints for suspended components or anchorage of floor-, roof-, or wall-mounted components so that failure of a non-essential or essential component will not cause failure of any other essential building component.
- D. Fire/Smoke Resistance: Seismic- and wind-load-restraint devices that are not constructed of ferrous metals must have a maximum flame-spread index of 25 and maximum smoke-developed index of 50 when tested by an NRTL in accordance with ASTM E84 or UL 723, and be so labeled.
- E. Component Supports:
  - 1. Load ratings, features, and applications of reinforcement components must be based on testing standards of a nationally recognized testing agency.

2.2 ELASTOMERIC ISOLATION PADS

- A. Elastomeric Isolation Pads: As recommended by the equipment manufacturer.
  - 1. Manufacturers: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to the following:
    - a. Ace Mountings Co., Inc.

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- b. California Dynamics Corporation.
  - c. Isolation Technology, Inc.
  - d. Kinetics Noise Control, Inc.
  - e. Korfund.
  - f. Mason Industries, Inc.
  - g. Novia; A Division of C&P.
  - h. nVent (CADDY).
  - i. Vibration Eliminator Co., Inc.
  - j. Vibration Isolation.
  - k. Vibration Management Corp.
  - l. Vibration Mountings & Controls, Inc.
2. Fabrication: Single or multiple layers of sufficient durometer stiffness for uniform loading over pad area.
  3. Size: Factory or field cut to match requirements of supported equipment.
  4. Pad Material: Oil and water resistant with elastomeric properties. Neoprene rubber, silicone rubber, or other elastomeric material.
  5. Surface Pattern: Smooth, ribbed, or waffle pattern.

2.3 RESTRAINTS - RIGID TYPE

- A. Manufacturers: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to the following:
  1. Atkore International (Unistrut).
  2. California Dynamics Corporation.
  3. Eaton (B-line).
  4. Hilti, Inc.
  5. Isolation Technology, Inc.
  6. nVent (CADDY).
  7. TOLCO.
  8. Vibration Mountings & Controls, Inc.
- B. Description: Shop- or field-fabricated bracing assembly made of ANSI/AISI S110-07-S1 slotted steel channels, ANSI/ASTM A53/A53M steel pipe, or other rigid steel brace member. Includes accessories for attachment to braced component at one end and to building structure at the other end and other matching components and with corrosion-resistant coating; rated in tension, compression, and torsion forces.

2.4 RESTRAINT ACCESSORIES

- A. Manufacturers: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to the following:
  1. Atkore International (Unistrut).
  2. Eaton (B-line).
  3. Hilti, Inc.
  4. Loos & Co.
  5. Mason Industries, Inc.

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6. nVent (CADDY).
  7. TOLCO.
- B. Hanger-Rod Stiffener: Steel tube or steel slotted-support-system sleeve with internally bolted connections to hanger rod. Non-metallic stiffeners are unacceptable.
- C. Hinged and Swivel Brace Attachments: Multifunctional steel connectors for attaching hangers to rigid channel bracings.
- D. Bushings for Floor-Mounted Equipment Anchor Bolts: Neoprene bushings designed for rigid equipment mountings, and matched to type and size of anchor bolts and studs unless otherwise recommended by the equipment manufacturer to meet seismic requirements.
- E. Bushing Assemblies for Wall-Mounted Equipment Anchorage: Assemblies of neoprene elements and steel sleeves designed for rigid equipment mountings, and matched to type and size of attachment devices used unless otherwise recommended by the equipment manufacturer to meet seismic requirements.
- F. Resilient Isolation Washers and Bushings: One-piece, molded, oil- and water-resistant neoprene, with a flat washer face unless otherwise recommended by the equipment manufacturer to meet seismic requirements.

2.5 POST-INSTALLED CONCRETE ANCHORS

A. Mechanical Anchor Bolts:

1. Manufacturers: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to the following:
  - a. Atkore International (Unistrut).
  - b. Eaton (B-line).
  - c. Hilti, Inc.
  - d. Mason Industries, Inc.
  - e. Powers Fasteners.
  - f. Simpson Strong-Tie Co., Inc.
2. Drilled-in and stud-wedge or female-wedge type in zinc-coated steel for interior applications and stainless steel for exterior applications. Select anchor bolts with strength for anchor and as tested according to ASTM E488/E488M.

B. Adhesive Anchor Bolts:

1. Manufacturers: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to the following:
  - a. Atkore International (Unistrut).
  - b. Eaton (B-line).
  - c. Hilti, Inc.

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- d. Mason Industries, Inc.
  - e. Powers Fasteners.
  - f. Simpson Strong-Tie Co., Inc.
2. Drilled-in and capsule anchor system containing PVC or urethane methacrylate-based resin and accelerator, or injected polymer or hybrid mortar adhesive. Provide anchor bolts and hardware with zinc-coated steel for interior applications and stainless steel for exterior applications. Select anchor bolts with strength required for anchor and as tested according to ASTM E488/E488M.
- C. Provide post-installed concrete anchors that have been prequalified for use in seismic and wind-load applications.
1. Prequalify post-installed anchors in concrete in accordance with ACI 355.2 or other approved qualification testing procedures.
  2. Prequalify post-installed anchors in masonry in accordance with approved qualification procedures.
- D. Expansion-type anchor bolts are not permitted for equipment in excess of 10 hp that is not vibration isolated.
1. Undercut expansion anchors are permitted.

## 2.6 CONCRETE INSERTS

- A. Manufacturers: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to the following:
1. Atkore International (Unistrut).
  2. Eaton (B-line).
  3. Hilti, Inc.
  4. Mason Industries, Inc.
  5. Powers Fasteners.
  6. Simpson Strong-Tie Co., Inc.
- B. Provide preset concrete inserts that are seismically prequalified in accordance with ICC-ES AC446 testing.
- C. Comply with MSS SP-58.

## PART 3 - EXECUTION

### 3.1 EXAMINATION

- A. Examine areas and equipment to receive seismic and wind-load control devices for compliance with requirements for installation tolerances and other conditions affecting performance of the Work.

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- B. Examine roughing-in for reinforcement and cast-in-place anchors to verify actual locations before installation.
- C. Proceed with installation only after unsatisfactory conditions have been corrected.

3.2 APPLICATIONS

- A. Multiple Raceways or Cables: Secure raceways and cables to trapeze member with clamps approved for application by an agency acceptable to authorities having jurisdiction.
- B. Hanger-Rod Stiffeners: Install where required to prevent buckling of hanger rods caused by seismic forces.
- C. Strength of Support and Seismic-Restraint Assemblies: Where not indicated, select sizes of components so strength will be adequate to carry static, wind-load, and seismic loads within specified loading limits.

3.3 INSTALLATION OF SEISMIC-RESTRAINT AND WIND-LOAD CONTROL DEVICES

- A. Provide seismic restraint and wind-load control devices for systems and equipment where indicated in Equipment Schedules or Seismic and Wind-Load Controls Schedule, where indicated on Drawings, where the Specifications indicate they are to be installed on specific equipment and systems, and where required by applicable codes.
  - 1. Install equipment and devices to withstand the effects of earthquake motions and high wind events.
- B. Coordinate location of embedded connection hardware with supported equipment attachment and mounting points and with requirements for concrete reinforcement and formwork specified on the Drawings.
- C. Installation of seismic and wind-load restraints must not cause any stresses, misalignment, or change of position of equipment or conduits.
- D. Equipment Restraints:
  - 1. Install resilient bolt isolation washers on equipment anchor bolts where clearance between anchor and adjacent surface exceeds 0.125 inch.
  - 2. Install seismic-restraint and wind-load-restraint devices using methods approved by an agency acceptable to authorities having jurisdiction that provides required submittals for component.
- E. Raceway, Cable and Wireway Support and Hanger Restraints:
  - 1. Install resilient bolt isolation washers on equipment anchor bolts where clearance between anchor and adjacent surface exceeds 0.125 inch.
  - 2. Install seismic-restraint and wind-load-restraint devices using methods approved by an agency acceptable to authorities having jurisdiction that provides required submittals for component.

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3. Install resilient, bolt-isolation washers on equipment anchor bolts where clearance between anchor and adjacent surface exceeds 0.125 inch.
  4. Install seismic-restraint devices using methods approved by an agency acceptable to authorities having jurisdiction providing required submittals for component.
- F. Install bushing assemblies for mounting bolts for wall-mounted equipment, arranged to provide resilient media where equipment or equipment-mounting channels are attached to wall.
- G. Attachment to Structure: If specific attachment is not indicated, anchor bracing to structure at flanges of beams, at upper truss chords of bar joists, or at concrete members.
- H. Post-Installed Concrete Anchors:
1. Identify position of reinforcing steel and other embedded items prior to drilling holes for anchors. Do not damage existing reinforcing or embedded items during coring or drilling. Notify structural engineer if reinforcing steel or other embedded items are encountered during drilling. Locate and avoid prestressed tendons, electrical and telecommunications conduit, and gas lines.
  2. Do not drill holes in concrete or masonry until concrete, mortar, or grout has achieved full design strength.
  3. Mechanical-Type Anchor Bolts: Protect threads from damage during anchor installation. Heavy-duty sleeve anchors must be installed with sleeve fully engaged in the structural element to which anchor is to be fastened.
  4. Adhesive-Type Anchor Bolts: Clean holes to remove loose material and drilling dust prior to installation of adhesive. Place adhesive in holes proceeding from the bottom of the hole and progressing toward the surface in such a manner as to avoid introduction of air pockets in the adhesive.
  5. Set anchors to manufacturer's recommended torque using a torque wrench.
  6. Install zinc-coated steel anchors for interior and stainless steel anchors for exterior applications.

3.4 ACCOMMODATION OF DIFFERENTIAL SEISMIC MOTION

- A. Install flexible connections in runs of raceways, cables and wireways where they cross seismic joints, where adjacent sections or branches are supported by different structural elements, and where connection is terminated to equipment that is anchored to a different structural element from the one supporting them as they approach equipment.

3.5 FIELD QUALITY CONTROL

- A. Field tests must be witnessed by Architect and authorities having jurisdiction.
- B. Tests and Inspections:
1. Provide evidence of recent calibration of test equipment by a testing agency acceptable to authorities having jurisdiction.
  2. Schedule test with Owner, through Architect, before connecting anchorage device to restrained component (unless postconnection testing has been approved), and with at least seven days' advance notice.

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3. Obtain Architect's approval before transmitting test loads to structure. Provide temporary load-spreading members.
  4. Test no fewer than four of each type and size of installed anchors and fasteners selected by Architect.
  5. Test to 90 percent of rated proof load of device.
- C. Nonconforming Work:
1. Seismic controls will be considered defective if they do not pass tests and inspections.
  2. Remove and replace malfunctioning units and retest as specified above.
- D. Prepare test and inspection reports.

END OF SECTION



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SECTION 260553 - IDENTIFICATION FOR ELECTRICAL SYSTEMS

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

- A. Section Includes:
  - 1. Labels.
  - 2. Tapes.
  - 3. Signs.
  - 4. Cable ties.
  - 5. Miscellaneous identification products.

1.3 ACTION SUBMITTALS

- A. Submittals shall comply with the requirements of Section 013300 "Submittal Procedures" and the individual sections specifying the work.
- B. Product Data: For each type of product.
  - 1. Include construction details, material descriptions, dimensions of individual components and profiles, and finishes for electrical identification products.
- C. Identification Schedule: For each piece of electrical equipment and electrical system components to be an index of nomenclature for electrical equipment and system components used in identification signs and labels. Use same designations indicated on Drawings.
- D. Delegated-Design Submittal: For arc-flash hazard study.

PART 2 - PRODUCTS

2.1 PERFORMANCE REQUIREMENTS

- A. Comply with ASME A13.1 and IEEE C2.
- B. Comply with NFPA 70.
- C. Comply with 29 CFR 1910.144 and 29 CFR 1910.145.

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- D. Comply with ANSI Z535.4 for safety signs and labels.
- E. Comply with NFPA 70E and Section 260573.19 "Arc-Flash Hazard Analysis" requirements for arc-flash warning labels.
- F. Adhesive-attached labeling materials, including label stocks, laminating adhesives, and inks used by label printers, shall comply with UL 969.
- G. Thermal Movements: Allow for thermal movements from ambient and surface temperature changes.
  - 1. Temperature Change: 120 deg F, ambient; 180 deg F, material surfaces.

2.2 COLOR AND LEGEND REQUIREMENTS

- A. Raceways and Cables Carrying Circuits at 600 V or Less:
  - 1. Black letters on an orange field.
  - 2. Legend: Indicate voltage and system or service type.
- B. Color-Coding for Phase- Identification, 600 V or Less: Use colors listed below for ungrounded service, feeder and branch-circuit conductors.
  - 1. Color shall be factory applied.
  - 2. Colors for 208/120-V Circuits:
    - a. Phase A: Black.
    - b. Phase B: Red.
    - c. Phase C: Blue.
  - 3. Color for Neutral: White.
  - 4. Color for Equipment Grounds: Green.
- C. Raceways and Cables Carrying Circuits at More Than 600 V:
  - 1. Black letters on an orange field.
  - 2. Legend: "DANGER - CONCEALED HIGH VOLTAGE WIRING."
- D. Warning Label Colors:
  - 1. Identify system voltage with black letters on an orange background.
- E. Warning labels and signs shall include, but are not limited to, the following legends:
  - 1. Multiple Power Source Warning: "DANGER - ELECTRICAL SHOCK HAZARD - EQUIPMENT HAS MULTIPLE POWER SOURCES."
  - 2. Workspace Clearance Warning: "WARNING - OSHA REGULATION - AREA IN FRONT OF ELECTRICAL EQUIPMENT MUST BE KEPT CLEAR FOR 36 INCHES."
  - 3. Arc Flash Warning: "Warning Arc Flash and Shock Hazard. Appropriate PPE Required."

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4. Fault Current: "Warning Maximum Available Fault Current: xx,xxx Symetrical RMS Amperes. Date: mm/dd/yyyy.
5. Multiple Service Warning: Refer to drawings for label schedule.

F. Equipment Identification Labels:

1. Optional Standby Equipment: White letters on a black field.
2. Emergency Equipment: White letters on a red field.
3. Critical Operations Power Systems (COPS) Equipment: White letters on a blue field.

2.3 LABELS

A. Vinyl Wraparound Labels: Preprinted, flexible labels laminated with a clear, weather- and chemical-resistant coating and matching wraparound clear adhesive tape for securing label ends.

1. Manufacturers: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to the following:
  - a. Brady Corporation.
  - b. Champion America.
  - c. emedco.
  - d. Grafoplast Wire Markers.
  - e. HellermannTyton.
  - f. LEM Products Inc.
  - g. Marking Services, Inc.
  - h. Panduit Corp.
  - i. Seton Identification Products; a Brady Corporation company.

B. Self-Adhesive Wraparound Labels: Preprinted, 3-mil- thick, polyester or vinyl flexible label with acrylic pressure-sensitive adhesive.

1. Manufacturers: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to the following:
  - a. A'n D Cable Products.
  - b. Brady Corporation.
  - c. Brother International Corporation.
  - d. emedco.
  - e. Grafoplast Wire Markers.
  - f. Ideal Industries, Inc.
  - g. LEM Products Inc.
  - h. Marking Services, Inc.
  - i. Panduit Corp.
  - j. Seton Identification Products; a Brady Corporation company.
2. Self-Lamination: Clear; UV-, weather- and chemical-resistant; self-laminating, protective shield over the legend. Labels sized such that the clear shield overlaps the entire printed legend.

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3. Marker for Labels:

- a. Permanent, waterproof, black ink marker recommended by tag manufacturer.
- b. Machine-printed, permanent, waterproof, black ink recommended by printer manufacturer.

C. Self-Adhesive Labels: Polyester or Vinyl, thermal, transfer-printed, 3-mil- thick, multicolor, weather- and UV-resistant, pressure-sensitive adhesive labels, configured for intended use and location.

1. Manufacturers: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to the following:

- a. A'n D Cable Products.
- b. Brady Corporation.
- c. Brother International Corporation.
- d. emedco.
- e. Grafoplast Wire Markers.
- f. HellermannTyton.
- g. Ideal Industries, Inc.
- h. LEM Products Inc.
- i. Marking Services, Inc.
- j. Panduit Corp.
- k. Seton Identification Products; a Brady Corporation company.

2. Minimum Nominal Size:

- a. 1-1/2 by 6 inches for raceway and conductors.
- b. 3-1/2 by 5 inches for equipment.
- c. As required by authorities having jurisdiction.

2.4 TAPES

A. Self-Adhesive Vinyl Tape: Colored, heavy duty, waterproof, fade resistant; not less than 3 mils thick by 1 to 2 inches wide; compounded for outdoor use.

1. Manufacturers: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to the following:

- a. Brady Corporation.
- b. Carlton Industries, LP.
- c. emedco.
- d. Marking Services, Inc.

B. Underground-Line Warning Tape:

1. Manufacturers: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to the following:

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- a. Brady Corporation.
  - b. Brimar Industries, Inc.
  - c. Ideal Industries, Inc.
  - d. LEM Products Inc.
  - e. Marking Services, Inc.
  - f. Reef Industries, Inc.
  - g. Seton Identification Products; a Brady Corporation company.
2. Tape:
- a. Recommended by manufacturer for the method of installation and suitable to identify and locate underground electrical utility lines.
  - b. Printing on tape shall be permanent and shall not be damaged by burial operations.
  - c. Tape material and ink shall be chemically inert and not subject to degradation when exposed to acids, alkalis, and other destructive substances commonly found in soils.
3. Color and Printing:
- a. Comply with ANSI Z535.1, ANSI Z535.2, ANSI Z535.3, ANSI Z535.4, and ANSI Z535.5.
  - b. Inscriptions for Red-Colored Tapes: "ELECTRIC LINE, HIGH VOLTAGE."
4. Tag:
- a. Detectable three-layer laminate, consisting of a printed pigmented polyolefin film, a solid aluminum-foil core, and a clear protective film that allows inspection of the continuity of the conductive core; bright colored, continuous-printed on one side with the inscription of the utility, compounded for direct-burial service.
  - b. Width: 3 inches.
  - c. Overall Thickness: 5 mils.
  - d. Foil Core Thickness: 0.35 mil.
  - e. Weight: 28 lb/1000 sq. ft..
  - f. Tensile according to ASTM D882: 70 lbf and 4600 psi.

2.5 SIGNS

A. Laminated Acrylic or Melamine Plastic Signs:

1. Manufacturers: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to the following:
  - a. Brady Corporation.
  - b. Carlton Industries, LP.
  - c. emedco.
  - d. Marking Services, Inc.
2. Engraved legend.
3. Thickness:

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- a. For signs up to 20 sq. in., minimum 1/16 inch thick.
- b. For signs larger than 20 sq. in., 1/8 inch thick.
- c. Engraved instruction signs: legend with black letters on white face.
- d. Engraved identification signs: white letters on a black, blue or red face, as indicated.
- e. Framed with mitered acrylic molding and arranged for attachment at applicable equipment.

2.6 CABLE TIES

- A. Manufacturers: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to the following:
  1. HellermannTyton.
  2. Ideal Industries, Inc.
  3. Marking Services, Inc.
  4. Panduit Corp.
- B. General-Purpose Cable Ties: Fungus inert, self-extinguishing, one piece, self-locking, and Type 6/6 nylon.
  1. Minimum Width: 3/16 inch.
  2. Tensile Strength at 73 Deg F according to ASTM D638: 12,000 psi.
  3. Temperature Range: Minus 40 to plus 185 deg F.
  4. Color: Black, except where used for color-coding.
- C. UV-Stabilized Cable Ties: Fungus inert, designed for continuous exposure to exterior sunlight, self-extinguishing, one piece, self-locking, and Type 6/6 nylon.
  1. Minimum Width: 3/16 inch.
  2. Tensile Strength at 73 Deg F according to ASTM D638: 12,000 psi.
  3. Temperature Range: Minus 40 to plus 185 deg F.
  4. Color: Black.
- D. Plenum-Rated Cable Ties: Self-extinguishing, UV stabilized, one piece, and self-locking.
  1. Minimum Width: 3/16 inch.
  2. Tensile Strength at 73 Deg F according to ASTM D638: 7000 psi.
  3. UL 94 Flame Rating: 94V-0.
  4. Temperature Range: Minus 50 to plus 284 deg F.
  5. Color: Black.

2.7 MISCELLANEOUS IDENTIFICATION PRODUCTS

- A. Paint: Comply with requirements in painting Sections for paint materials and application requirements. Retain paint system applicable for surface material and location (exterior or interior).

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- B. Fasteners for Labels and Signs: Self-tapping, stainless-steel screws or stainless-steel machine screws with nuts and flat and lock washers.

PART 3 - EXECUTION

3.1 PREPARATION

- A. Self-Adhesive Identification Products: Before applying electrical identification products, clean substrates of substances that could impair bond, using materials and methods recommended by manufacturer of identification product.

3.2 INSTALLATION

- A. Verify and coordinate identification names, abbreviations, colors, and other features with requirements in other Sections requiring identification applications, Drawings, Shop Drawings, manufacturer's wiring diagrams, and operation and maintenance manual. Use consistent designations throughout Project.
- B. Install identifying devices before installing acoustical ceilings and similar concealment.
- C. Verify identity of each item before installing identification products.
- D. Coordinate identification with Project Drawings, manufacturer's wiring diagrams, and operation and maintenance manual.
- E. Apply identification devices to surfaces that require finish after completing finish work.
- F. Install signs with approved legend to facilitate proper identification, operation, and maintenance of electrical systems and connected items.
- G. System Identification for Raceways and Cables under 600 V: Identification shall completely encircle cable or conduit. Place identification of two-color markings in contact, side by side.
  - 1. Secure tight to surface of conductor, cable, or raceway.
- H. Auxiliary Electrical Systems Conductor Identification: Identify field-installed alarm, control, and signal connections.
- I. Emergency Operating Instruction Signs: Install instruction signs with minimum 3/8-inch- high letters for emergency instructions at equipment used for power transfer. Refer to drawings for additional information.
- J. Elevated Components: Increase sizes of labels, signs, and letters to those appropriate for viewing from the floor.
- K. Accessible Fittings for Raceways: Identify the covers of each junction and pull box of the following systems with the wiring system legend and system voltage. System legends shall be as follows and covers painted in the color indicated in parenthesis. If no color is indicated, painting is not required.

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1. "EMERGENCY POWER." (RED)
2. "OPTIONAL STANDBY POWER."
3. "POWER."
4. "UPS."
5. "COPS." (BLUE)
6. "FIRE ALARM." (RED)
7. "CONTROL."

L. Vinyl Wraparound Labels:

1. Secure tight to surface of raceway or cable at a location with high visibility and accessibility.
2. Attach labels that are not self-adhesive type with clear vinyl tape, with adhesive appropriate to the location and substrate.

M. Self-Adhesive Wraparound Labels: Secure tight to surface at a location with high visibility and accessibility.

N. Self-Adhesive Labels:

1. On each item, install unique designation label that is consistent with wiring diagrams, schedules, and operation and maintenance manual.
2. Unless otherwise indicated, provide a single line of text with 1/2-inch- high letters on 1-1/2-inch- high label; where two lines of text are required, use labels 2 inches high.

O. Self-Adhesive Vinyl Tape: Secure tight to surface at a location with high visibility and accessibility.

1. Field-Applied, Color-Coding Conductor Tape: Apply in half-lapped turns for a minimum distance of 6 inches where splices or taps are made. Apply last two turns of tape with no tension to prevent possible unwinding.

P. Tape: Comply with requirements in painting Sections for surface preparation and paint application.

Q. Laminated Acrylic or Melamine Plastic Signs:

1. Attach signs that are not self-adhesive type with mechanical fasteners appropriate to the location and substrate.
2. Unless otherwise indicated, provide a single line of text with 1/2-inch- high letters on 1-1/2-inch- high sign; where two lines of text are required, use labels 2 inches high.

R. Cable Ties: General purpose, for attaching tags, except as listed below:

1. Outdoors: UV-stabilized nylon.
2. In Spaces Handling Environmental Air: Plenum rated.

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3.3 IDENTIFICATION SCHEDULE

- A. Install identification materials and devices at locations for most convenient viewing without interference with operation and maintenance of equipment. Install access doors or panels to provide view of identifying devices.
- B. Identify conductors, cables, and terminals in enclosures and at junctions, terminals, pull points, and locations of high visibility. Identify by system and circuit designation.
- C. Accessible Raceways and Metal-Clad Cables, 600 V or Less, for Service, Feeder, and Branch Circuits, More Than 30 A and 120 V to Ground: Identify with self-adhesive raceway labels.
  - 1. Locate identification at changes in direction, at penetrations of walls and floors, at 50-foot maximum intervals in straight runs, and at 25-foot maximum intervals in congested areas.
- D. Accessible Fittings for Raceways and Cables within Buildings: Identify the covers of each junction and pull box of the following systems with self-adhesive labels containing the wiring system legend and system voltage. System legends shall be as follows:
  - 1. "EMERGENCY POWER."
  - 2. "OPTIONAL STANDBY POWER."
  - 3. "POWER."
  - 4. "UPS."
  - 5. "COPS."
  - 6. "FIRE ALARM."
  - 7. "CONTROL."
- E. Power-Circuit Conductor Identification, 600 V or Less: For conductors in pull and junction boxes, and manholes, use self-adhesive vinyl tape to identify the phase.
  - 1. Locate identification at changes in direction, at penetrations of walls and floors, at 50-foot maximum intervals in straight runs, and at 25-foot maximum intervals in congested areas.
- F. Control-Circuit Conductor Identification: For conductors and cables in pull and junction boxes, and manholes, use self-adhesive labels with the conductor or cable designation, origin, and destination.
- G. Control-Circuit Conductor Termination Identification: For identification at terminations, provide self-adhesive labels with the conductor designation.
- H. Conductors to Be Extended in the Future: Attach marker tape to conductors and list source.
- I. Auxiliary Electrical Systems Conductor Identification: Self-adhesive vinyl tape that is uniform and consistent with system used by manufacturer for factory-installed connections.
  - 1. Identify conductors, cables, and terminals in enclosures and at junctions, terminals, and pull points. Identify by system and circuit designation.

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- J. Instructional Signs: Self-adhesive labels, including the color code for grounded and ungrounded conductors.
- K. Warning Labels for Indoor Cabinets, Boxes, and Enclosures for Power and Lighting: Self-adhesive labels.
  - 1. Apply to exterior of door, cover, or other access.
  - 2. For equipment with multiple power or control sources, apply to door or cover of equipment, including, but not limited to, the following:
    - a. Power-transfer switches.
    - b. Controls with external control power connections.
- L. Arc Flash Warning Labeling: Self-adhesive labels.
- M. Operating Instruction Signs: Laminated acrylic or melamine plastic signs.
- N. Emergency Operating Instruction Signs: Laminated acrylic or melamine plastic signs with legend and background as indicated with minimum 3/8-inch- high letters for emergency instructions at equipment used for power transfer and emergency operations.
- O. Equipment Identification Labels:
  - 1. Indoor Equipment: Laminated acrylic or melamine plastic sign.
  - 2. Outdoor Equipment: Laminated acrylic or melamine sign.
  - 3. Equipment to Be Labeled:
    - a. Panelboards: Typewritten directory of circuits in the location provided by panelboard manufacturer. Panelboard identification shall be in the form of a self-adhesive, engraved, laminated acrylic or melamine label.
    - b. Enclosures and electrical cabinets.
    - c. Access doors and panels for concealed electrical items.
    - d. Switchboards.
    - e. Emergency system boxes and enclosures.
    - f. Enclosed switches.
    - g. Enclosed circuit breakers.
    - h. Push-button stations.
    - i. Power-transfer equipment.
    - j. Contactors.
    - k. Power-generating units.
    - l. Monitoring and control equipment.

END OF SECTION

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SECTION 260573.13 - SHORT-CIRCUIT STUDIES

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

- A. Section includes a computer-based, fault-current study to determine the minimum interrupting capacity of circuit protective devices.

1.3 DEFINITIONS

- A. Existing to Remain: Existing items of construction that are not to be removed and that are not otherwise indicated to be removed and salvaged, or removed and reinstalled. Existing to remain items shall remain functional throughout the construction period.
- B. Field Adjusting Agency: An independent electrical testing agency with full-time employees and the capability to adjust devices and conduct testing indicated and that is a member company of NETA.
- C. One-Line Diagram: A diagram that shows, by means of single lines and graphic symbols, the course of an electric circuit or system of circuits and the component devices or parts used therein.
- D. Power System Analysis Software Developer: An entity that commercially develops, maintains, and distributes computer software used for power system studies.
- E. Power Systems Analysis Specialist: Professional engineer in charge of performing the study and documenting recommendations, licensed in the state where Project is located.
- F. Protective Device: A device that senses when an abnormal current flow exists and then removes the affected portion of the circuit from the system.
- G. SCCR: Short-circuit current rating.
- H. Service: The conductors and equipment for delivering electric energy from the serving utility to the wiring system of the premises served.
- I. Single-Line Diagram: See "One-Line Diagram."

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1.4 ACTION SUBMITTALS

- A. Submittals shall comply with the requirements of Section 013300 “Submittal Procedures” and the individual sections specifying the work.
- B. Product Data:
  - 1. For computer software program to be used for studies.
  - 2. Submit the following after the approval of system protective devices submittals. Submittals may be in digital form.
    - a. Short-circuit study input data, including completed computer program input data sheets.
    - b. Short-circuit study and equipment evaluation report; signed, dated, and sealed by a qualified professional engineer.
      - 1) Submit study report for action prior to receiving final approval of distribution equipment submittals. If formal completion of studies will cause delay in equipment manufacturing, obtain approval from Architect for preliminary submittal of sufficient study data to ensure that selection of devices and associated characteristics is satisfactory.
      - 2) Revised one-line diagram, reflecting field investigation results and results of short-circuit study.

1.5 INFORMATIONAL SUBMITTALS

- A. Submittals shall comply with the requirements of Section 013300 “Submittal Procedures” and the individual sections specifying the work.
- B. Qualification Data:
  - 1. For Power Systems Analysis Software Developer.
  - 2. For Power System Analysis Specialist.
  - 3. For Field Adjusting Agency.
- C. Product Certificates: For short-circuit study software, certifying compliance with IEEE 399.

1.6 CLOSEOUT SUBMITTALS

- A. Submittals shall comply with the requirements of Section 013300 “Submittal Procedures” and the individual sections specifying the work.
- B. Operation and Maintenance Data:
  - 1. For overcurrent protective devices to include in emergency, operation, and maintenance manuals.
  - 2. The following are from the Short-Circuit Study Report:
    - a. Final one-line diagram.

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- b. Final Short-Circuit Study Report.
- c. Short-circuit study data files.
- d. Power system data.

1.7 QUALITY ASSURANCE

- A. Study shall be performed using commercially developed and distributed software designed specifically for power system analysis.
- B. Software algorithms shall comply with requirements of standards and guides specified in this Section.
- C. Manual calculations are unacceptable.
  - 1. Power System Analysis Software Qualifications: Computer program shall be designed to perform short-circuit studies or have a function, component, or add-on module designed to perform short-circuit studies.
  - 2. Computer program shall be developed under the charge of a licensed professional engineer who holds IEEE Computer Society's Certified Software Development Professional certification.
- D. Power Systems Analysis Specialist Qualifications: Professional engineer licensed in the state where Project is located. All elements of the study shall be performed under the direct supervision and control of this professional engineer.
- E. Short-Circuit Study Certification: Short-Circuit Study Report shall be signed and sealed by Power Systems Analysis Specialist.
- F. Field Adjusting Agency Qualifications:
  - 1. Employer of a NETA ETT-Certified Technician Level III or NICET Electrical Power Testing Level III certification responsible for all field adjusting of the Work.
  - 2. A member company of NETA.
  - 3. Acceptable to authorities having jurisdiction.

PART 2 - PRODUCTS

2.1 POWER SYSTEM ANALYSIS SOFTWARE DEVELOPERS

- A. Manufacturers: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to the following:
  - 1. CGI CYME.
  - 2. EDSA Micro Corporation.
  - 3. ESA Inc.
  - 4. Operation Technology, Inc.
  - 5. Power Analytics, Corporation.
  - 6. SKM Systems Analysis, Inc.

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- B. Comply with IEEE 399 and IEEE 551.
  - 1. Analytical features of power systems analysis software program shall have capability to calculate "mandatory," "very desirable," and "desirable" features as listed in IEEE 399.
- C. Computer software program shall be capable of plotting and diagramming time-current-characteristic curves as part of its output.

2.2 SHORT-CIRCUIT STUDY REPORT CONTENTS

- A. Executive summary of study findings.
- B. Study descriptions, purpose, basis, and scope. Include case descriptions, definition of terms, and guide for interpretation of results.
- C. One-line diagram of modeled power system, showing the following:
  - 1. Protective device designations and ampere ratings.
  - 2. Conductor types, sizes, and lengths.
  - 3. Transformer kilovolt ampere (kVA) and voltage ratings.
  - 4. Motor and generator designations and kVA ratings.
  - 5. Switchboard and panelboard designations and ratings.
  - 6. Derating factors and environmental conditions.
  - 7. Any revisions to electrical equipment required by the study.
- D. Comments and recommendations for system improvements or revisions in a written document, separate from one-line diagram.
- E. Protective Device Evaluation:
  - 1. Evaluate equipment and protective devices and compare to available short-circuit currents. Verify that equipment withstand ratings exceed available short-circuit current at equipment installation locations.
  - 2. Tabulations of circuit breaker, fuse, and other protective device ratings versus calculated short-circuit duties.
  - 3. For 600-V overcurrent protective devices, ensure that interrupting ratings are equal to or higher than calculated 1/2-cycle symmetrical fault current.
  - 4. For devices and equipment rated for asymmetrical fault current, apply multiplication factors listed in standards to 1/2-cycle symmetrical fault current.
  - 5. Verify adequacy of phase conductors at maximum three-phase bolted fault currents; verify adequacy of equipment grounding conductors and grounding electrode conductors at maximum ground-fault currents. Ensure that short-circuit withstand ratings are equal to or higher than calculated 1/2-cycle symmetrical fault current.
- F. Short-Circuit Study Input Data:
  - 1. One-line diagram of system being studied.
  - 2. Power sources available.
  - 3. Manufacturer, model, and interrupting rating of protective devices.
  - 4. Conductors.

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5. Transformer data.

G. Short-Circuit Study Output Reports:

1. Low-Voltage Fault Report: Three-phase and unbalanced fault calculations, showing the following for each overcurrent device location:
  - a. Voltage.
  - b. Calculated fault-current magnitude and angle.
  - c. Fault-point X/R ratio.
  - d. Equivalent impedance.
2. Momentary Duty Report: Three-phase and unbalanced fault calculations, showing the following for each overcurrent device location:
  - a. Voltage.
  - b. Calculated symmetrical fault-current magnitude and angle.
  - c. Fault-point X/R ratio.
  - d. Calculated asymmetrical fault currents:
    - 1) Based on fault-point X/R ratio.
    - 2) Based on calculated symmetrical value multiplied by 1.6.
    - 3) Based on calculated symmetrical value multiplied by 2.7.
3. Interrupting Duty Report: Three-phase and unbalanced fault calculations, showing the following for each overcurrent device location:
  - a. Voltage.
  - b. Calculated symmetrical fault-current magnitude and angle.
  - c. Fault-point X/R ratio.
  - d. No AC Decrement (NACD) ratio.
  - e. Equivalent impedance.
  - f. Multiplying factors for 2-, 3-, 5-, and 8-cycle circuit breakers rated on a symmetrical basis.
  - g. Multiplying factors for 2-, 3-, 5-, and 8-cycle circuit breakers rated on a total basis.

PART 3 - EXECUTION

3.1 POWER SYSTEM DATA

- A. Obtain all data necessary for conduct of the study.
  1. Verify completeness of data supplied on one-line diagram. Call any discrepancies to Architect's attention.
  2. For equipment included as Work of this Project, use characteristics submitted under provisions of action submittals and information submittals for this Project.
  3. For equipment that is existing to remain, obtain required electrical distribution system data that is not indicated on the drawings by field investigation and surveys, conducted

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by qualified technicians and engineers. Qualifications of technicians and engineers shall be as defined by NFPA 70E.

- B. Gather and tabulate the required input data to support the short-circuit study. Comply with requirements in Section 017839 "Project Record Documents" for recording circuit protective device characteristics. Record data on a Record Document copy of one-line diagram. Comply with recommendations in IEEE 551 as to the amount of detail that is required to be acquired in the field. Field data gathering shall be under direct supervision and control of the engineer in charge of performing the study, and shall be by the engineer or its representative who holds NETA ETT-Certified Technician Level III or NICET Electrical Power Testing Level III certification. Data include, but are not limited to, the following:
1. Product Data for Project's overcurrent protective devices involved in overcurrent protective device coordination studies. Use equipment designation tags that are consistent with electrical distribution system diagrams, overcurrent protective device submittals, input and output data, and recommended device settings.
  2. Obtain electrical power utility impedance at the service.
  3. Power sources and ties.
  4. For transformers, include kVA, primary and secondary voltages, connection type, impedance, X/R ratio, taps measured in percent, and phase shift.
  5. For circuit breakers and fuses, provide manufacturer and model designation. List type of breaker, type of trip, SCCR, current rating, and breaker settings.
  6. Generator short-circuit current contribution data, including short-circuit reactance, rated kVA, rated voltage, and X/R ratio.
  7. Motor horsepower and NEMA MG 1 code letter designation.
  8. Conductor sizes, lengths, number, conductor material and conduit material (magnetic or nonmagnetic).
  9. Derating factors.

3.2 SHORT-CIRCUIT STUDY

- A. Perform study following the general study procedures contained in IEEE 399.
- B. Calculate short-circuit currents according to IEEE 551.
- C. Base study on device characteristics supplied by device manufacturer.
- D. Extent of electrical power system to be studied is indicated on Drawings.
- E. Study electrical distribution system from normal and alternate power sources throughout electrical distribution system for Project. Study all cases of system-switching configurations and alternate operations that could result in maximum fault conditions.
- F. Include the ac fault-current decay from induction motors, synchronous motors, and asynchronous generators and apply to low- and medium-voltage, three-phase ac systems. Also account for the fault-current dc decrement to address asymmetrical requirements of interrupting equipment.
- G. Calculate short-circuit momentary and interrupting duties for a three-phase bolted fault and a single line-to-ground fault at each equipment indicated on one-line diagram.

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1. For grounded systems, provide a bolted line-to-ground fault-current study for areas as defined for the three-phase bolted fault short-circuit study.

H. Include in the report identification of any protective device applied outside its capacity.

3.3 ADJUSTING

A. Make minor modifications to equipment as required to accomplish compliance with short-circuit study.

3.4 DEMONSTRATION

A. Train Owner's operating and maintenance personnel in the use of study results.

END OF SECTION



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SECTION 260573.16 - COORDINATION STUDIES

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

- A. Section includes computer-based, overcurrent protective device coordination studies to determine overcurrent protective devices and to determine overcurrent protective device settings for selective tripping.

1.3 DEFINITIONS

- A. Existing to Remain: Existing items of construction that are not to be removed and that are not otherwise indicated to be removed, removed and salvaged, or removed and reinstalled. Existing to remain items shall remain functional throughout the construction period.
- B. Field Adjusting Agency: An independent electrical testing agency with full-time employees and the capability to adjust devices and conduct testing indicated and that is a member company of NETA.
- C. One-Line Diagram: A diagram that shows, by means of single lines and graphic symbols, the course of an electric circuit or system of circuits and the component devices or parts used therein.
- D. Power System Analysis Software Developer: An entity that commercially develops, maintains, and distributes computer software used for power system studies.
- E. Power System Analysis Specialist: Professional engineer in charge of performing the study and documenting recommendations, licensed in the state where Project is located.
- F. Protective Device: A device that senses when an abnormal current flow exists and then removes the affected portion of the circuit from the system.
- G. SCCR: Short-circuit current rating.
- H. Service: The conductors and equipment for delivering electric energy from the serving utility to the wiring system of the premises served.
- I. Single-Line Diagram: See "One-Line Diagram."

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1.4 ACTION SUBMITTALS

- A. Submittals shall comply with the requirements of Section 013300 “Submittal Procedures” and the individual sections specifying the work.
- B. Product Data:
  - 1. For computer software program to be used for studies.
  - 2. Submit the following after the approval of system protective devices submittals. Submittals may be in digital form.
    - a. Coordination-study input data, including completed computer program input data sheets.
    - b. Study and equipment evaluation reports.
  - 3. Overcurrent protective device coordination study report; signed, dated, and sealed by a qualified professional engineer.
    - a. Submit study report for action prior to receiving final approval of distribution equipment submittals. If formal completion of studies will cause delay in equipment manufacturing, obtain approval from Architect for preliminary submittal of sufficient study data to ensure that selection of devices and associated characteristics is satisfactory.

1.5 INFORMATIONAL SUBMITTALS

- A. Submittals shall comply with the requirements of Section 013300 “Submittal Procedures” and the individual sections specifying the work.
- B. Qualification Data:
  - 1. For Power System Analysis Software Developer.
  - 2. For Power Systems Analysis Specialist.
  - 3. For Field Adjusting Agency.
- C. Product Certificates: For overcurrent protective device coordination study software, certifying compliance with IEEE 399.

1.6 CLOSEOUT SUBMITTALS

- A. Submittals shall comply with the requirements of Section 013300 “Submittal Procedures” and the individual sections specifying the work.
- B. Operation and Maintenance Data: For overcurrent protective devices to include in emergency, operation, and maintenance manuals.
  - 1. The following are from the Coordination Study Report:
    - a. Final one-line diagram.

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- b. Final protective device coordination study.
- c. Coordination study data files.
- d. List of all protective device settings.
- e. Time-current coordination curves.
- f. Power system data.

1.7 QUALITY ASSURANCE

- A. Studies shall be performed using commercially developed and distributed software designed specifically for power system analysis.
- B. Software algorithms shall comply with requirements of standards and guides specified in this Section.
- C. Manual calculations are unacceptable.
- D. Power System Analysis Software Qualifications:
  - 1. Computer program shall be designed to perform coordination studies or have a function, component, or add-on module designed to perform coordination studies.
  - 2. Computer program shall be developed under the charge of a licensed professional engineer who holds IEEE Computer Society's Certified Software Development Professional certification.
- E. Power Systems Analysis Specialist Qualifications: Professional engineer licensed in the state where Project is located. All elements of the study shall be performed under the direct supervision and control of this professional engineer.
- F. Field Adjusting Agency Qualifications:
  - 1. Employer of a NETA ETT-Certified Technician Level III responsible for all field adjusting of the Work.
  - 2. A member company of NETA.
  - 3. Acceptable to authorities having jurisdiction.

PART 2 - PRODUCTS

2.1 POWER SYSTEM ANALYSIS SOFTWARE DEVELOPERS

- A. Manufacturers: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to the following:
  - 1. CGI CYME.
  - 2. EDSA Micro Corporation.
  - 3. ESA Inc.
  - 4. Operation Technology, Inc.
  - 5. Power Analytics, Corporation.
  - 6. SKM Systems Analysis, Inc.

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- B. Comply with IEEE 242 and IEEE 399.
- C. Analytical features of device coordination study computer software program shall have the capability to calculate "mandatory," "very desirable," and "desirable" features as listed in IEEE 399.
- D. Computer software program shall be capable of plotting and diagramming time-current-characteristic curves as part of its output. Computer software program shall report device settings and ratings of all overcurrent protective devices and shall demonstrate selective coordination by computer-generated, time-current coordination plots.
  - 1. Optional Features:
    - a. Arcing faults.
    - b. Simultaneous faults.
    - c. Explicit negative sequence.
    - d. Mutual coupling in zero sequence.

2.2 COORDINATION STUDY REPORT CONTENTS

- A. Executive summary of study findings.
- B. Study descriptions, purpose, basis, and scope. Include case descriptions, definition of terms, and guide for interpretation of results.
- C. One-line diagram of modeled power system, showing the following:
  - 1. Protective device designations and ampere ratings.
  - 2. Conductor types, sizes, and lengths.
  - 3. Transformer kilovolt ampere (kVA) and voltage ratings.
  - 4. Motor and generator designations and kVA ratings.
  - 5. Switchboard and panelboard designations.
  - 6. Any revisions to electrical equipment required by the study.
  - 7. Study Input Data: As described in "Power System Data" Article.
    - a. Short-Circuit Study Output: As specified in "Short-Circuit Study Output Reports" Paragraph in "Short-Circuit Study Report Contents" Article in Section 260573.13 "Short-Circuit Studies."
- D. Protective Device Coordination Study:
  - 1. Report recommended settings of protective devices, ready to be applied in the field. Use manufacturer's data sheets for recording the recommended setting of overcurrent protective devices when available.
    - a. Phase and Ground Relays:
      - 1) Device tag.
      - 2) Relay current transformer ratio and tap, time dial, and instantaneous pickup value.

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- 3) Recommendations on improved relaying systems, if applicable.
  - b. Circuit Breakers:
    - 1) Adjustable pickups and time delays (long time, short time, and ground).
    - 2) Adjustable time-current characteristic.
    - 3) Adjustable instantaneous pickup.
    - 4) Recommendations on improved trip systems, if applicable.
  - c. Fuses: Show current rating, voltage, and class.
- E. Time-Current Coordination Curves: Determine settings of overcurrent protective devices to achieve selective coordination. Graphically illustrate that adequate time separation exists between devices installed in series, including power utility company's upstream devices. Prepare separate sets of curves for the switching schemes and for emergency periods where the power source is local generation. Show the following information:
1. Device tag and title, one-line diagram with legend identifying the portion of the system covered.
  2. Terminate device characteristic curves at a point reflecting maximum symmetrical or asymmetrical fault current to which the device is exposed.
  3. Identify the device associated with each curve by manufacturer type, function, and, if applicable, tap, time delay, and instantaneous settings recommended.
  4. Plot the following listed characteristic curves, as applicable:
    - a. Power utility's overcurrent protective device.
    - b. Medium-voltage equipment overcurrent relays.
    - c. Medium- and low-voltage fuses including manufacturer's minimum melt, total clearing, tolerance, and damage bands.
    - d. Low-voltage equipment circuit-breaker trip devices, including manufacturer's tolerance bands.
    - e. Transformer full-load current, magnetizing inrush current, and ANSI through-fault protection curves.
    - f. Cables and conductors damage curves.
    - g. Ground-fault protective devices.
    - h. Motor-starting characteristics and motor damage points.
    - i. Generator short-circuit decrement curve and generator damage point.
    - j. The largest feeder circuit breaker in each panelboard.
  5. Maintain selectivity for tripping currents caused by overloads.
  6. Provide adequate time margins between device characteristics such that selective operation is achieved.
  7. Comments and recommendations for system improvements.

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PART 3 - EXECUTION

3.1 EXAMINATION

- A. Examine Project overcurrent protective device submittals for compliance with electrical distribution system coordination requirements and other conditions affecting performance of the Work. Devices to be coordinated are indicated on Drawings.
  - 1. Proceed with coordination study only after relevant equipment submittals have been assembled. Overcurrent protective devices that have not been submitted and approved prior to coordination study may not be used in study.

3.2 POWER SYSTEM DATA

- A. Obtain all data necessary for conduct of the overcurrent protective device study.
  - 1. Verify completeness of data supplied in one-line diagram on Drawings. Call any discrepancies to Architect's attention.
  - 2. For equipment included as Work of this Project, use characteristics submitted under provisions of action submittals and information submittals for this Project.
  - 3. For equipment that is existing to remain, obtain required electrical distribution system data that is not indicated on the drawings by field investigation and surveys, conducted by qualified technicians and engineers. Qualifications of technicians and engineers shall be as defined by NFPA 70E.
- B. Gather and tabulate all required input data to support the coordination study. List below is a guide. Comply with recommendations in IEEE 551 for the amount of detail required to be acquired in the field. Field data gathering shall be under direct supervision and control of the engineer in charge of performing the study, and shall be by the engineer or its representative who holds NETA ETT-Certified Technician Level III or NICET Electrical Power Testing Level III certification. Data include, but are not limited to, the following:
  - 1. Product Data for overcurrent protective devices specified in other Sections and involved in overcurrent protective device coordination studies. Use equipment designation tags that are consistent with electrical distribution system diagrams, overcurrent protective device submittals, input and output data, and recommended device settings.
  - 2. Electrical power utility impedance at the service.
  - 3. Power sources and ties.
  - 4. Short-circuit current at each system bus (three phase and line to ground).
  - 5. Full-load current of all loads.
  - 6. Voltage level at each bus.
  - 7. For transformers, include kVA, primary and secondary voltages, connection type, impedance, X/R ratio, taps measured in percent, and phase shift.
  - 8. For reactors, provide manufacturer and model designation, voltage rating, and impedance.
  - 9. For circuit breakers and fuses, provide manufacturer and model designation. List type of breaker, type of trip and available range of settings, SCCR, current rating, and breaker settings.

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10. Generator short-circuit current contribution data, including short-circuit reactance, rated kVA, rated voltage, and X/R ratio.
11. For relays, provide manufacturer and model designation, current transformer ratios, potential transformer ratios, and relay settings.
12. Maximum demands from service meters.
13. Motor horsepower and NEMA MG 1 code letter designation.
14. Low-voltage cable sizes, lengths, number, conductor material, and conduit material (magnetic or nonmagnetic).
15. Data sheets to supplement electrical distribution system one-line diagram, cross-referenced with tag numbers on diagram, showing the following:
  - a. Special load considerations, including starting inrush currents and frequent starting and stopping.
  - b. Transformer characteristics, including primary protective device, magnetic inrush current, and overload capability.
  - c. Motor full-load current, locked rotor current, service factor, starting time, type of start, and thermal-damage curve.
  - d. Generator thermal-damage curve.
  - e. Ratings, types, and settings of utility company's overcurrent protective devices.
  - f. Special overcurrent protective device settings or types stipulated by utility company.
  - g. Time-current-characteristic curves of devices indicated to be coordinated.
  - h. Manufacturer, frame size, interrupting rating in amperes root mean square (rms) symmetrical, ampere or current sensor rating, long-time adjustment range, short-time adjustment range, and instantaneous adjustment range for circuit breakers.
  - i. Manufacturer and type, ampere-tap adjustment range, time-delay adjustment range, instantaneous attachment adjustment range, and current transformer ratio for overcurrent relays.
  - j. Switchboards and panelboards ampacity, and SCCR in amperes rms symmetrical.

3.3 COORDINATION STUDY

- A. Comply with IEEE 242 for calculating short-circuit currents and determining coordination time intervals.
- B. Comply with IEEE 399 for general study procedures.
- C. Base study on device characteristics supplied by device manufacturer.
- D. Extent of electrical power system to be studied is indicated on Drawings.
- E. Study electrical distribution system from normal and alternate power sources throughout electrical distribution system for Project. Study all cases of system-switching configurations and alternate operations that could result in maximum fault conditions.
- F. Transformer Primary Overcurrent Protective Devices:
  1. Device shall not operate in response to the following:
    - a. Inrush current when first energized.

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- b. Self-cooled, full-load current or forced-air-cooled, full-load current, whichever is specified for that transformer.
    - c. Permissible transformer overloads according to IEEE C57.96 if required by unusual loading or emergency conditions.
  - 2. Device settings shall protect transformers according to IEEE C57.12.00, for fault currents.
  - G. Motor Protection:
    - 1. Select protection for low-voltage motors according to IEEE 242 and NFPA 70.
  - H. Conductor Protection: Protect cables against damage from fault currents according to ICEA P-32-382, ICEA P-45-482, and protection recommendations in IEEE 242. Demonstrate that equipment withstands the maximum short-circuit current for a time equivalent to the tripping time of the primary relay protection or total clearing time of the fuse. To determine temperatures that damage insulation, use curves from cable manufacturers or from listed standards indicating conductor size and short-circuit current.
  - I. Generator Protection: Select protection according to manufacturer's written instructions and to IEEE 242.
  - J. Include the ac fault-current decay from induction motors, synchronous motors, and asynchronous generators and apply to low- and medium-voltage, three-phase ac systems. Also account for fault-current dc decrement, to address asymmetrical requirements of interrupting equipment.
  - K. Calculate short-circuit momentary and interrupting duties for a three-phase bolted fault and a single line-to-ground fault at each equipment indicated on one-line diagram.
    - 1. For grounded systems, provide a bolted line-to-ground fault-current study for areas as defined for the three-phase bolted fault short-circuit study.
  - L. Protective Device Evaluation:
    - 1. Evaluate equipment and protective devices and compare to short-circuit ratings.
    - 2. Adequacy of switchgear and panelboard bus bars to withstand short-circuit stresses.
    - 3. Include in the report identification of any protective device applied outside its capacity.
- 3.4 FIELD ADJUSTING
- A. Adjust relay and protective device settings according to recommended settings provided by the coordination study. Field adjustments shall be completed by the engineering service division of equipment manufacturer under the "Startup and Acceptance Testing" contract portion.
  - B. Make minor modifications to equipment as required to accomplish compliance with short-circuit and protective device coordination studies.

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- C. Testing and adjusting shall be by a full-time employee of the Field Adjusting Agency, who holds NETA ETT-Certified Technician Level III or NICET Electrical Power Testing Level III certification.
  - 1. Perform each visual and mechanical inspection and electrical test stated in NETA ATS. Certify compliance with test parameters. Perform NETA tests and inspections for all adjustable overcurrent protective devices.

3.5 DEMONSTRATION

- A. Engage Power Systems Analysis Specialist to train Owner's maintenance personnel in the following:
  - 1. Acquaint personnel in fundamentals of operating the power system in normal and emergency modes.
  - 2. Hand-out and explain the coordination study objectives, study descriptions, purpose, basis, and scope. Include case descriptions, definition of terms, and guide for interpreting time-current coordination curves.
  - 3. For Owner's maintenance staff certified as NETA ETT-Certified Technicians Level III or NICET Electrical Power Testing Level III Technicians, teach how to adjust, operate, and maintain overcurrent protective device settings.

END OF SECTION



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SECTION 260573.19 - ARC-FLASH HAZARD ANALYSIS

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

- A. Section includes a computer-based, arc-flash study to determine the arc-flash hazard distance and the incident energy to which personnel could be exposed during work on or near electrical equipment.

1.3 DEFINITIONS

- A. Existing to Remain: Existing items of construction that are not to be removed and that are not otherwise indicated to be removed, removed and salvaged, or removed and reinstalled.
- B. Field Adjusting Agency: An independent electrical testing agency with full-time employees and the capability to adjust devices and conduct testing indicated and that is a member company of NETA.
- C. One-Line Diagram: A diagram that shows, by means of single lines and graphic symbols, the course of an electric circuit or system of circuits and the component devices or parts used therein.
- D. Power System Analysis Software Developer: An entity that commercially develops, maintains, and distributes computer software used for power system studies.
- E. Power Systems Analysis Specialist: Professional engineer in charge of performing the study and documenting recommendations, licensed in the state where Project is located.
- F. Protective Device: A device that senses when an abnormal current flow exists and then removes the affected portion from the system.
- G. SCCR: Short-circuit current rating.
- H. Service: The conductors and equipment for delivering electric energy from the serving utility to the wiring system of the premises served.
- I. Single-Line Diagram: See "One-Line Diagram."

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1.4 ACTION SUBMITTALS

- A. Submittals shall comply with the requirements of Section 013300 "Submittal Procedures" and the individual sections specifying the work.
- B. Product Data: For computer software program to be used for studies.
- C. Study Submittals: Submit the following submittals after the approval of system protective devices submittals. Submittals may be in digital form:
  - 1. Arc-flash study input data, including completed computer program input data sheets.
  - 2. Arc-flash study report; signed, dated, and sealed by Power Systems Analysis Specialist.
  - 3. Submit study report for action prior to receiving final approval of distribution equipment submittals. If formal completion of studies will cause delay in equipment manufacturing, obtain approval from Architect for preliminary submittal of sufficient study data to ensure that selection of devices and associated characteristics is satisfactory.

1.5 INFORMATIONAL SUBMITTALS

- A. Submittals shall comply with the requirements of Section 013300 "Submittal Procedures" and the individual sections specifying the work.
- B. Qualification Data:
  - 1. For Power Systems Analysis Software Developer.
  - 2. For Power System Analysis Specialist.
  - 3. For Field Adjusting Agency.
- C. Product Certificates: For arc-flash hazard analysis software, certifying compliance with IEEE 1584 and NFPA 70E.

1.6 CLOSEOUT SUBMITTALS

- A. Submittals shall comply with the requirements of Section 013300 "Submittal Procedures" and the individual sections specifying the work.
- B. Operation and Maintenance Data:
  - 1. Provide maintenance procedures in equipment manuals according to requirements in NFPA 70E.
  - 2. Operation and Maintenance Procedures: In addition to items specified in Section 017823 "Operation and Maintenance Data," provide maintenance procedures for use by Owner's personnel that comply with requirements in NFPA 70E.

1.7 QUALITY ASSURANCE

- A. Study shall be performed using commercially developed and distributed software designed specifically for power system analysis.

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- B. Software algorithms shall comply with requirements of standards and guides specified in this Section.
- C. Manual calculations are unacceptable.
- D. Power System Analysis Software Qualifications: An entity that owns and markets computer software used for studies, having performed successful studies of similar magnitude on electrical distribution systems using similar devices.
  - 1. Computer program shall be designed to perform arc-flash analysis or have a function, component, or add-on module designed to perform arc-flash analysis.
  - 2. Computer program shall be developed under the charge of a licensed professional engineer who holds IEEE Computer Society's Certified Software Development Professional certification.
- E. Power Systems Analysis Specialist Qualifications: Professional engineer in charge of performing the arc-flash study, analyzing the arc flash, and documenting recommendations, licensed in the state where Project is located. All elements of the study shall be performed under the direct supervision and control of this professional engineer.
- F. Arc-Flash Study Certification: Arc-Flash Study Report shall be signed and sealed by Power Systems Analysis Specialist.
- G. Field Adjusting Agency Qualifications:
  - 1. Employer of a NETA ETT-Certified Technician Level III or NICET Electrical Power Testing Level III certification responsible for all field adjusting of the Work.
  - 2. A member company of NETA.
  - 3. Acceptable to authorities having jurisdiction.

PART 2 - PRODUCTS

2.1 COMPUTER SOFTWARE DEVELOPERS

- A. Manufacturers: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to the following:
  - 1. CGI CYME.
  - 2. EDSA Micro Corporation.
  - 3. ESA Inc.
  - 4. Operation Technology, Inc.
  - 5. Power Analytics, Corporation.
  - 6. SKM Systems Analysis, Inc.
- B. Comply with IEEE 1584 and NFPA 70E.
- C. Analytical features of device coordination study computer software program shall have the capability to calculate "mandatory," "very desirable," and "desirable" features as listed in IEEE 399.

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2.2 ARC-FLASH STUDY REPORT CONTENT

- A. Executive summary of study findings.
- B. Study descriptions, purpose, basis, and scope. Include case descriptions, definition of terms, and guide for interpretation of results.
- C. One-line diagram, showing the following:
  - 1. Protective device designations and ampere ratings.
  - 2. Conductor types, sizes, and lengths.
  - 3. Transformer kilovolt ampere (kVA) and voltage ratings, including derating factors and environmental conditions.
  - 4. Motor and generator designations and kVA ratings.
  - 5. Switchboard, panelboard designations, and ratings.
- D. Study Input Data: As described in "Power System Data" Article.
- E. Short-Circuit Study Output Data: As specified in "Short-Circuit Study Output Reports" Paragraph in "Short-Circuit Study Report Contents" Article in Section 260573.13 "Short-Circuit Studies."
- F. Protective Device Coordination Study Report Contents: As specified in "Coordination Study Report Contents" Article in Section 260573.16 "Coordination Studies."
- G. Arc-Flash Study Output Reports:
  - 1. Interrupting Duty Report: Three-phase and unbalanced fault calculations, showing the following for each equipment location included in the report:
    - a. Voltage.
    - b. Calculated symmetrical fault-current magnitude and angle.
    - c. Fault-point X/R ratio.
    - d. No AC Decrement (NACD) ratio.
    - e. Equivalent impedance.
    - f. Multiplying factors for 2-, 3-, 5-, and 8-cycle circuit breakers rated on a symmetrical basis.
    - g. Multiplying factors for 2-, 3-, 5-, and 8-cycle circuit breakers rated on a total basis.
- H. Incident Energy and Flash Protection Boundary Calculations:
  - 1. Arcing fault magnitude.
  - 2. Protective device clearing time.
  - 3. Duration of arc.
  - 4. Arc-flash boundary.
  - 5. Restricted approach boundary.
  - 6. Limited approach boundary.
  - 7. Working distance.
  - 8. Incident energy.
  - 9. Hazard risk category.
  - 10. Recommendations for arc-flash energy reduction.

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- I. Fault study input data, case descriptions, and fault-current calculations including a definition of terms and guide for interpretation of computer printout.

2.3 ARC-FLASH WARNING LABELS

- A. Comply with requirements in Section 260553 "Identification for Electrical Systems" for self-adhesive equipment labels. Produce a 3.5-by-5-inch self-adhesive equipment label for each work location included in the analysis.
- B. Label shall have an orange header with the wording, "WARNING, ARC-FLASH HAZARD," and shall include the following information taken directly from the arc-flash hazard analysis:
  1. Location designation.
  2. Nominal voltage.
  3. Protection boundaries.
    - a. Arc-flash boundary.
    - b. Restricted approach boundary.
    - c. Limited approach boundary.
  4. Arc flash PPE category.
  5. Required minimum arc rating of PPE in Cal/cm squared.
  6. Available incident energy.
  7. Working distance.
  8. Engineering report number, revision number, and issue date.
- C. Labels shall be machine printed, with no field-applied markings.

PART 3 - EXECUTION

3.1 EXAMINATION

- A. Examine Project overcurrent protective device submittals. Proceed with arc-flash study only after relevant equipment submittals have been assembled. Overcurrent protective devices that have not been submitted and approved prior to arc-flash study may not be used in study.

3.2 ARC-FLASH HAZARD ANALYSIS

- A. Comply with NFPA 70E and its Annex D for hazard analysis study.
- B. Preparatory Studies: Perform the Short-Circuit and Protective Device Coordination studies prior to starting the Arc-Flash Hazard Analysis.
  1. Short-Circuit Study Output: As specified in "Short-Circuit Study Output Reports" Paragraph in "Short-Circuit Study Report Contents" Article in Section 260573.13 "Short-Circuit Studies."

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2. Coordination Study Report Contents: As specified in "Coordination Study Report Contents" Article in Section 260573.16 "Coordination Studies."
- C. Calculate maximum and minimum contributions of fault-current size.
1. Maximum calculation shall assume a maximum contribution from the utility and shall assume motors to be operating under full-load conditions.
  2. Calculate arc-flash energy at 85 percent of maximum short-circuit current according to IEEE 1584 recommendations.
  3. Calculate arc-flash energy at 38 percent of maximum short-circuit current according to NFPA 70E recommendations.
  4. Calculate arc-flash energy with the utility contribution at a minimum and assume no motor contribution.
- D. Calculate the arc-flash protection boundary and incident energy at locations in electrical distribution system where personnel could perform work on energized parts.
- E. Include medium- and low-voltage equipment locations, except equipment rated 240 V ac or less fed from transformers less than 125 kVA.
- F. Calculate the limited, restricted, and prohibited approach boundaries for each location.
- G. Incident energy calculations shall consider the accumulation of energy over time when performing arc-flash calculations on buses with multiple sources. Iterative calculations shall take into account the changing current contributions, as the sources are interrupted or decremented with time. Fault contribution from motors and generators shall be decremented as follows:
1. Fault contribution from induction motors shall not be considered beyond three to five cycles.
  2. Fault contribution from synchronous motors and generators shall be decayed to match the actual decrement of each as closely as possible (for example, contributions from permanent magnet generators will typically decay from 10 per unit to three per unit after 10 cycles).
- H. Arc-flash energy shall generally be reported for the maximum of line or load side of a circuit breaker. However, arc-flash computation shall be performed and reported for both line and load side of a circuit breaker as follows:
1. When the circuit breaker is in a separate enclosure.
  2. When the line terminals of the circuit breaker are separate from the work location.
- I. Base arc-flash calculations on actual overcurrent protective device clearing time. Cap maximum clearing time at two seconds based on IEEE 1584, Section B.1.2.

3.3 POWER SYSTEM DATA

- A. Obtain all data necessary for conduct of the arc-flash hazard analysis.

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1. Verify completeness of data supplied on one-line diagram on Drawings and under "Preparatory Studies" Paragraph in "Arc-Flash Hazard Analysis" Article. Call discrepancies to Architect's attention.
  2. For new equipment, use characteristics from approved submittals under provisions of action submittals and information submittals for this Project.
  3. For existing equipment, whether or not relocated, obtain required electrical distribution system data that is not indicated on the drawings by field investigation and surveys conducted by qualified technicians and engineers.
- B. Electrical Survey Data: Gather and tabulate the following input data to support study. Comply with recommendations in IEEE 1584 and NFPA 70E as to the amount of detail that is required to be acquired in the field. Field data gathering shall be under the direct supervision and control of the engineer in charge of performing the study, and shall be by the engineer or its representative who holds NETA ETT-Certified Technician Level III or NICET Electrical Power Testing Level III certification. Data include, but are not limited to, the following:
1. Product Data for overcurrent protective devices specified in other Sections and involved in overcurrent protective device coordination studies. Use equipment designation tags that are consistent with electrical distribution system diagrams, overcurrent protective device submittals, input and output data, and recommended device settings.
  2. Obtain electrical power utility impedance or available short circuit current at the service.
  3. Power sources and ties.
  4. Short-circuit current at each system bus (three phase and line to ground).
  5. Full-load current of all loads.
  6. Voltage level at each bus.
  7. For transformers, include kVA, primary and secondary voltages, connection type, impedance, X/R ratio, taps measured in percent, and phase shift.
  8. For circuit breakers and fuses, provide manufacturer and model designation. List type of breaker, type of trip and available range of settings, SCCR, current rating, and breaker settings.
  9. Generator short-circuit current contribution data, including short-circuit reactance, rated kVA, rated voltage, and X/R ratio.
  10. For relays, provide manufacturer and model designation, current transformer ratios, potential transformer ratios, and relay settings.
  11. Motor horsepower and NEMA MG 1 code letter designation.
  12. Low-voltage conductor sizes, lengths, number, conductor material and conduit material (magnetic or nonmagnetic).
  13. Medium-voltage conductor sizes, lengths, conductor material, conductor construction and metallic shield performance parameters, and conduit material (magnetic or nonmagnetic).

### 3.4 LABELING

- A. Apply arc-flash label on the front cover of each section of the equipment and on side or rear covers with accessible live parts and hinged doors or removable plates for each equipment included in the study. Base arc-flash label data on highest values calculated at each location.
- B. Each piece of equipment listed below shall have an arc-flash label applied to it:
  1. Low-voltage switchboards.
  2. Applicable panelboards and safety switches under 250 V.

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3. Control panel.

C. Note on record Drawings the location of equipment where the personnel could be exposed to arc-flash hazard during their work.

1. Indicate arc-flash energy.
2. Indicate protection level required.

3.5 APPLICATION OF WARNING LABELS

A. Install arc-flash warning labels under the direct supervision and control of Power System Analysis Specialist.

3.6 DEMONSTRATION

A. Engage Power Systems Analysis Specialist to train Owner's maintenance personnel in potential arc-flash hazards associated with working on energized equipment and the significance of arc-flash warning labels.

END OF SECTION

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SECTION 260800 - COMMISSIONING OF ELECTRICAL SYSTEMS

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and other Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

- A. Section includes Cx process requirements for the following electrical components, systems, assemblies, and equipment:

1. Electrical equipment connected to Normal power systems, including the following:

- a. Transformers.
- b. Secondary service electrical systems.
- c. Distribution and branch-circuit panelboards.
- d. Grounding systems.

2. Electrical equipment connected to Essential power systems that provide an alternative source of power in the absence of power from the Normal power system, including the following:

- a. Secondary service electrical systems.
- b. Distribution and branch-circuit panelboards.
- c. Grounding systems.
- d. Generators and automatic transfer switches.

3. Controls and instrumentation, including the following:

- a. Equipment monitoring systems.
- b. Electrical metering and metering system.
- c. Lighting control systems.
- d. Fire-alarm systems.

4. Systems testing and verification, including Normal and Essential power systems, and transitions from Normal to Essential power systems and back.

- B. Related Requirements:

1. Section 019113 "General Commissioning Requirements" for general Cx process requirements and CxA responsibilities.

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1.3 DEFINITIONS

- A. BoD: Basis-of-Design Document, as defined in Section 019113 "General Commissioning Requirements."
- B. Cx: Commissioning, as defined in Section 019113 "General Commissioning Requirements."
- C. CxA: Commissioning Authority, as defined in Section 019113 "General Commissioning Requirements."
- D. Essential Power Systems: A power system that a facility transitions to in the absence of Normal power. This power includes all systems classified as "standby" or "emergency," including "legally required" and "critical operations power systems (COPS)."
- E. Low Voltage: 600 V and below.
- F. Medium Voltage: 601 V and above.
- G. Normal Power Systems: A power system that provides primary power to a facility.
- H. OPR: Owner's Project Requirements, as defined in Section 019113 "General Commissioning Requirements."
- I. "Systems," "Assemblies," "Subsystems," "Equipment," and "Components": Where these terms are used together or separately, they shall mean "as-built" systems, assemblies, subsystems, equipment, and components.

1.4 INFORMATIONAL SUBMITTALS

- A. Submittals shall comply with the requirements of Section 013300 "Submittal Procedures" and the individual sections specifying the work.
- B. Qualification Data: For electrical testing technician.
- C. Construction Checklists: Draft construction checklists will be created by CxA for Contractor review. The following shall be included as a minimum:
  - 1. Instrumentation and control for electrical systems.
  - 2. Instrumentation and control for lighting control systems.
  - 3. Low-voltage power cables.
  - 4. Control voltage power cables.
  - 5. Electrical feeders and branch circuits.
  - 6. Liquid-filled transformers.
  - 7. Switchboard assemblies rated 1200 A or greater.
  - 8. Low-voltage surge protective devices.
  - 9. Metering devices.
  - 10. Circuit breakers.
  - 11. Disconnect switches.
  - 12. Grounding systems.
  - 13. Panelboards.

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14. Receptacles and devices.
15. Engine generators.
16. Automatic transfer switches.
17. Lighting.

1.5 CLOSEOUT SUBMITTALS

- A. Submittals shall comply with the requirements of Section 013300 "Submittal Procedures" and the individual sections specifying the work.
- B. Operation and Maintenance Data: For electrical systems and components to include in operation and maintenance manuals.

1.6 QUALITY ASSURANCE

- A. Electrical Testing Technician Qualifications: Technicians to perform electrical Construction Checklist verification tests, Construction Checklist verification test demonstrations, Cx tests, and Cx test demonstrations shall have the following minimum qualifications:
  1. Journey level or equivalent skill level. Vocational school four-year-program graduate or an Associate's degree in electrical systems, or similar field. Degree may be offset by three years' experience as an apprentice or a journey-level electrician. Generally, required knowledge includes electrical and HVAC&R concepts, building operations, and application and use of tools and instrumentation to measure performance of electrical equipment, assemblies, and systems.
  2. Minimum three years' experience installing, servicing, and operating systems manufactured by approved manufacturer.
- B. Testing Equipment and Instrumentation Quality and Calibration: For test equipment and instrumentation required to perform electrical Cx work, perform the following:
  1. Submit test equipment and instrumentation list. For each equipment or instrument, identify the following:
    - a. Equipment/instrument identification number.
    - b. Planned Cx application or use.
    - c. Manufacturer, make, model, and serial number.
    - d. Calibration history, including certificates from agencies that calibrate the equipment and instrumentation.
  2. Test equipment and instrumentation shall meet the following criteria:
    - a. Capable of testing and measuring performance within the specified acceptance criteria.
    - b. Be calibrated at manufacturer's recommended intervals with current calibration tags permanently affixed to the instrument being used.
    - c. Be maintained in good repair and operating condition throughout duration of use on Project.
    - d. Be recalibrated/repared if dropped or damaged in any way since last calibrated.

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C. Proprietary Test Instrumentation and Tools:

1. Equipment Manufacturer's Proprietary Instrumentation and Tools: For installed equipment included in the Cx process, test instrumentation and tools manufactured or prescribed by equipment manufacturer to service, calibrate, adjust, repair, or otherwise work on its equipment or required as a condition of equipment warranty, perform the following:
  - a. Submit proprietary instrumentation and tools list. For each instrument or tool, identify the following:
    - 1) Instrument or tool identification number.
    - 2) Equipment schedule designation of equipment for which the instrument or tool is required.
    - 3) Manufacturer, make, model, and serial number.
    - 4) Calibration history, including certificates from agencies that calibrate the instrument or tool, where appropriate.
  - b. Include a separate list of proprietary test instrumentation and tools in operation and maintenance manuals.
  - c. Electrical proprietary test instrumentation and tools become property of Owner at the time of Substantial Completion.

PART 2 - PRODUCTS (Not Used)

PART 3 - EXECUTION

3.1 CONSTRUCTION CHECKLIST REVIEW

- A. Review and provide written comments on draft construction checklists. CxA will create required draft construction checklists and provide them to Contractor.
- B. Return draft Construction Checklist review comments within 10 days of receipt.
- C. When review comments have been resolved, CxA will provide final construction checklists, marked "Approved for Use, (date)."
- D. Use only construction checklists, marked "Approved for Use, (date)."

3.2 GENERAL TESTING REQUIREMENTS

- A. Certify that electrical systems, subsystems, and equipment have been installed, calibrated, and started and that they are operating according to the Contract Documents and approved Shop Drawings and submittals.

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- B. Certify that electrical instrumentation and control systems have been completed and calibrated, that they are operating according to the Contract Documents and approved Shop Drawings and submittals, and that pretest set points have been recorded.
- C. Set systems, subsystems, and equipment into operating mode to be tested according to approved test procedures (for example, normal shutdown, normal auto position, normal manual position, unoccupied cycle, emergency power, and alarm conditions).
- D. Measure capacities and effectiveness of systems, assemblies, subsystems, equipment, and components, including operational and control functions to verify compliance with acceptance criteria.
- E. Test systems, assemblies, subsystems, equipment, and components operating modes, interlocks, control responses, and responses to abnormal or emergency conditions, and response according to acceptance criteria.
- F. Construction Checklists: Prepare and submit detailed construction checklists for electrical systems, subsystems, equipment, and components.
  - 1. Contributors to development of construction checklists shall include, but are not limited to, the following:
    - a. Electrical systems and equipment installers.
    - b. Electrical instrumentation and controls installers.
- G. Perform tests using design conditions, whenever possible.
  - 1. Simulated conditions may, with approval of Architect, be imposed using an artificial load when it is impractical to test under design conditions. Before simulating conditions, calibrate testing instruments. Provide equipment to simulate loads. Set simulated conditions as directed by CxA, and document simulated conditions and methods of simulation. After tests, return configurations and settings to normal operating conditions.
  - 2. Cx test procedures may direct that set points be altered when simulating conditions is impractical.
  - 3. Cx test procedures may direct that sensor values be altered with a signal generator when design or simulating conditions and altering set points are impractical.
- H. If tests cannot be completed because of a deficiency outside the scope of the electrical system, document the deficiency and report it to Owner. After deficiencies are resolved, reschedule tests.
- I. If seasonal testing is specified, complete appropriate initial performance tests and documentation and schedule seasonal tests.
- J. Coordinate schedule with, and perform Cx activities at the direction of the CxA. Make adjustments to the system, if requested.
- K. Comply with Construction Checklist requirements, including material verification, installation checks, startup, and performance tests requirements specified in Sections specifying electrical systems and equipment.

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- L. Provide technicians, instrumentation, tools, and equipment to complete and document the following:
  - 1. Performance tests.
  - 2. Demonstration of a sample of performance tests.
  - 3. Cx tests.
  - 4. Cx test demonstrations.

3.3 Cx TESTS FOR ELECTRICAL SYSTEMS

A. Verification of Normal Power System Operation:

- 1. Prerequisites: Acceptance of results for construction checklists for Division 26 electrical components associated with Normal power system.
- 2. Verify equipment has been adjusted in accordance with the requirements of the coordination study. Refer to Section 260573.16 "Coordination Studies."
- 3. Equipment and Systems to Be Tested: Division 26 electrical equipment.
- 4. Test Purpose: Verify operation of Normal power system.
- 5. Test Conditions: Energize components of Normal power system, one at a time.
- 6. Acceptance Criteria: Proper operation of Normal power system over a 24-hour period.

B. Verification of Essential Power System Operation:

- 1. Prerequisites:
  - a. Acceptance of results for construction checklists for Division 26 electrical components associated with Essential power system.
  - b. Completion of "Verification of Normal Power System Operation" tests.
- 2. Equipment and Systems to Be Tested: Division 26 electrical equipment.
- 3. Test Purpose: Verify operation of Essential power system.
- 4. Test Conditions:
  - a. Energize components of Normal power system.
  - b. Simulate a failure of Normal power system.
- 5. Acceptance Criteria: Transfer of power from Normal to Essential power system within OPR.

C. Verification of Control and Instrumentation.

- 1. Prerequisites: Acceptance of results for construction checklists.

D. Test Purpose: Verify operation of control and monitoring systems for Normal and Essential power systems.

E. Test Conditions:

- 1. Energize components of Normal power system.
- 2. Test operation of equipment.

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F. Acceptance Criteria: Operation of equipment according to OPR.

END OF SECTION



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SECTION 261219 - PAD-MOUNTED, LIQUID-FILLED, MEDIUM-VOLTAGE TRANSFORMERS

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

- A. Section includes coordination with the local utility and preparation for the installation and testing of utility provided pad-mounted, liquid-filled, medium-voltage distribution transformer.

1.3 DEFINITIONS

- A. BIL: Basic Impulse Insulation Level.
- B. Bushing: An insulating structure including a central conductor, or providing a central passage for a conductor, with provision for mounting on a barrier, conducting or otherwise, for the purpose of insulating the conductor from the barrier and conducting current from one side of the barrier to the other.
- C. Bushing Elbow: An insulated device used to connect insulated conductors to separable insulated connectors on dead-front, pad-mounted transformers and to provide a fully insulated connection. This is also called an "elbow connector."
- D. Bushing Insert: That component of a separable insulated connector that is inserted into a bushing well to complete a dead-front, load break or nonload break, separable insulated connector (bushing).
- E. Bushing Well: A component of a separable insulated connector, either permanently welded or clamped to an enclosure wall or barrier, having a cavity that receives a replaceable component (bushing insert) to complete the separable insulated connector (bushing).
- F. Elbow Connector: See "bushing elbow" above.

1.4 INFORMATIONAL SUBMITTALS

- A. Submittals shall comply with the requirements of Section 013300 "Submittal Procedures" and the individual sections specifying the work.
- B. Coordination Drawings:
  - 1. Utilities site plan, drawn to scale, showing heavy equipment or truck access paths for maintenance and replacement.

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PART 2 - PRODUCTS

2.1 SYSTEM DESCRIPTION

- A. Electrical Components, Devices, and Accessories: Listed and labeled as defined in NFPA 70, by a qualified testing agency, and marked for intended location and application.
- B. Comply with IEEE C2.
- C. Comply with IEEE C57.12.00.

2.2 THREE-PHASE, PAD-MOUNTED TRANSFORMERS

- A. Provided by the local utility.
- B. Metering: Coordinated with and complying with requirements of Section 262713 "Electricity Metering." Coordinate with the utility to install the following:
  - a. Sensors.
  - b. Kilowatt-hour meter.
  - c. Kilowatt-hour demand meter.

2.3 WARNING LABELS AND SIGNS

- A. Comply with requirements for labels and signs specified in Section 260553 "Identification for Electrical Systems."
  - 1. High-Voltage Warning Label: Provide self-adhesive warning signs on outside of high-voltage compartment door(s). Sign legend shall be "DANGER HIGH VOLTAGE" printed in two lines of nominal 2-inch-high letters. The word "DANGER" shall be in white letters on a red background and the words "HIGH VOLTAGE" shall be in black letters on a white background.
  - 2. Arc Flash Warning Label: Provide self-adhesive warning signs on outside of high-voltage compartment door(s), warning of potential electrical arc flash hazards and appropriate personal protective equipment required.

PART 3 - EXECUTION

3.1 EXAMINATION

- A. Examine areas and space conditions for compliance with requirements for pad-mounted, liquid-filled, medium-voltage transformers, local utility requirements and other conditions affecting performance of the Work.
- B. Examine roughing-in of conduits and grounding systems to verify the following:
  - 1. Wiring entries comply with layout requirements.

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2. Entries are within conduit-entry tolerances specified by manufacturer and local utility, and no feeders will cross section barriers to reach load or line lugs.
- C. Examine concrete bases for suitable conditions for transformer installation.
- D. Verify that ground connections are in place and that requirements in Section 260526 "Grounding and Bonding for Electrical Systems" and local utility have been met. Maximum ground resistance shall be 5 ohms at transformer location.
- E. Proceed with installation only after unsatisfactory conditions have been corrected.

3.2 CONNECTIONS

- A. Ground equipment according to Section 260526 "Grounding and Bonding for Electrical Systems" and local utility requirements.
  1. Make joints in grounding conductors and loops by exothermic weld or compression connector.
  2. Terminate grounding and bonding conductors on a common equipment grounding terminal on transformer enclosure.
  3. Complete transformer tank grounding and lightning arrester connections prior to making other electrical connections.
- B. Connect wiring according to Section 260519 "Low-Voltage Electrical Power Conductors and Cables" and local utility requirements.
  1. Maintain air clearances between energized live parts and between live parts and ground for exposed connections in accordance with manufacturer recommendations.
  2. Bundle associated phase, neutral, and equipment grounding conductors together within transformer enclosure. Arrange conductors such that there is not excessive strain that could cause loose connections. Allow adequate slack for expansion and contraction of conductors.

3.3 SIGNS AND LABELS

- A. Comply with installation requirements for labels and signs specified in Section 260553 "Identification for Electrical Systems."
- B. Install warning signs as required to comply with 29 CFR 1910.269.

3.4 FIELD QUALITY CONTROL

- A. Assist local utility with testing, as required.

END OF SECTION



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SECTION 262413 - SWITCHBOARDS

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

A. Section Includes:

1. Service and distribution switchboards rated 600 V and less.
2. Disconnecting and overcurrent protective devices.
3. Instrumentation.
4. Accessory components and features.
5. Identification.

B. Related Requirements

1. Section 260573.19 "Arc-Flash Hazard Analysis" for arc-flash analysis and arc-flash label requirements.

1.3 ACTION SUBMITTALS

- A. Submittals shall comply with the requirements of Section 013300 "Submittal Procedures" and the individual sections specifying the work.

B. Product Data: For each switchboard, overcurrent protective device, accessory, and component.

1. Include dimensions and manufacturers' technical data on features, performance, electrical characteristics, ratings, accessories, and finishes.

C. Shop Drawings: For each switchboard and related equipment.

1. Include dimensioned plans, elevations, sections, and details, including required clearances and service space around equipment. Show tabulations of installed devices, equipment features, and ratings.
2. Detail bus configuration, current, and voltage ratings.
3. Detail short-circuit current rating of switchboards and overcurrent protective devices.
4. Detail features, characteristics, ratings, and factory settings of individual overcurrent protective devices and auxiliary components.
5. Include time-current coordination curves for each type and rating of overcurrent protective device included in switchboards. Include selectable ranges for each type of overcurrent protective device.
6. Include schematic and wiring diagrams for power, signal, and control wiring.

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- D. Delegated Design Submittal:
  - 1. For arc-flash hazard analysis.
  - 2. For arc-flash labels.

1.4 INFORMATIONAL SUBMITTALS

- A. Submittals shall comply with the requirements of Section 013300 "Submittal Procedures" and the individual sections specifying the work.
- B. Qualification Data: For testing agency.
- C. Seismic Qualification Data: Certificates, for switchboards, overcurrent protective devices, accessories, and components, from manufacturer.
  - 1. Basis for Certification: Indicate whether withstand certification is based on actual test of assembled components or on calculation.
  - 2. Dimensioned Outline Drawings of Equipment Unit: Identify center of gravity and locate and describe mounting and anchorage provisions.
  - 3. Detailed description of equipment anchorage devices on which the certification is based and their installation requirements.
- D. Field Quality-Control Reports:
  - 1. Test procedures used.
  - 2. Test results that comply with requirements.
  - 3. Results of failed tests and corrective action taken to achieve test results that comply with requirements.

1.5 CLOSEOUT SUBMITTALS

- A. Submittals shall comply with the requirements of Section 013300 "Submittal Procedures" and the individual sections specifying the work.
- B. Operation and Maintenance Data: For switchboards and components to include in emergency, operation, and maintenance manuals.
  - 1. In addition to items specified in Section 017823 "Operation and Maintenance Data," include the following:
    - a. Routine maintenance requirements for switchboards and all installed components.
    - b. Manufacturer's written instructions for testing and adjusting overcurrent protective devices.
    - c. Time-current coordination curves for each type and rating of overcurrent protective device included in switchboards. Submit on translucent log-log graft paper; include selectable ranges for each type of overcurrent protective device.

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1.6 QUALITY ASSURANCE

- A. Installer Qualifications: An employer of workers qualified as defined in NEMA PB 2.1 and trained in electrical safety as required by NFPA 70E.
- B. Testing Agency Qualifications: Accredited by NETA.
  - 1. Testing Agency's Field Supervisor: Certified by NETA to supervise on-site testing.

1.7 DELIVERY, STORAGE, AND HANDLING

- A. Deliver switchboards in sections or lengths that can be moved past obstructions in delivery path.
- B. Handle and prepare switchboards for installation according to NEMA PB 2.1.

1.8 FIELD CONDITIONS

- A. Installation Pathway: Remove and replace doors, lift-out panels, and structures to provide pathway for moving switchboards into place.
- B. Environmental Limitations:
  - 1. Do not deliver or install switchboards until wet work in spaces is complete and dry, work above switchboards is complete, and HVAC system is operating and maintaining ambient temperature and humidity conditions at occupancy levels during the remainder of the construction period.
  - 2. Rate equipment for continuous operation under the following conditions unless otherwise indicated:
    - a. Ambient Temperature: Not exceeding 104 deg F.
    - b. Altitude: Not exceeding 6600 feet.
- C. Interruption of Existing Electric Service: Do not interrupt electric service to facilities occupied by Owner or others unless permitted under the following conditions and then only after arranging to provide temporary electric service according to requirements indicated:
  - 1. Notify Owner no fewer than seven days in advance of proposed interruption of electric service.
  - 2. Indicate method of providing temporary electric service.
  - 3. Do not proceed with interruption of electric service without Owner's written permission.
  - 4. Comply with NFPA 70E.

1.9 COORDINATION

- A. Coordinate layout and installation of switchboards and components with existing systems that penetrate walls or are supported by them, including electrical and other types of equipment, raceways, piping, duct work, encumbrances to workspace clearance requirements, and adjacent

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surfaces. Maintain required workspace clearances and required clearances for equipment access doors and panels.

- B. Coordinate sizes and locations of concrete bases with actual equipment provided. Cast anchor-bolt inserts into bases. Concrete, reinforcement, and formwork requirements are specified with concrete.

1.10 WARRANTY

- A. Manufacturer's Warranty: Manufacturer agrees to repair or replace switchboard enclosures, buswork, overcurrent protective devices, accessories, and factory installed interconnection wiring that fail in materials or workmanship within specified warranty period.
  - 1. Warranty Period: Three years from date of Substantial Completion.

PART 2 - PRODUCTS

2.1 SWITCHBOARDS

- A. Manufacturers: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to the following:
  - 1. Eaton.
  - 2. Schneider Electric USA (Square D).
  - 3. Siemens Industry, Inc., Energy Management Division.
- B. Source Limitations: Obtain switchboards, overcurrent protective devices, components, and accessories from single source from single manufacturer.
- C. Electrical Components, Devices, and Accessories: Listed and labeled as defined in NFPA 70, by a qualified testing agency, and marked for intended location and application.
- D. Comply with NEMA PB 2.
- E. Comply with NFPA 70.
- F. Comply with UL 891.
- G. Front-Connected, Front-Accessible Switchboards:
  - 1. Main Devices: Fixed, individually mounted.
  - 2. Branch Devices: Panel mounted.
  - 3. Sections front and rear aligned.
  - 4. Provide adjacent pull section, as required.
- H. Nominal System Voltage: 208Y/120 V.
- I. Main-Bus Continuous: As indicated.

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- J. Indoor Enclosures: Steel, NEMA 250, Type 1.
- K. Enclosure Finish for Indoor Units: Factory-applied finish in manufacturer's standard gray finish over a rust-inhibiting primer on treated metal surface.
- L. Service Entrance Rating: Switchboards intended for use as service entrance equipment shall contain from one to six service disconnecting means with overcurrent protection, a neutral bus with disconnecting link, a grounding electrode conductor terminal, and a main bonding jumper.
- M. Customer Metering Compartment: A separate customer metering compartment and section with front hinged door, for indicated metering, and current transformers for meter. Current transformer secondary wiring shall be terminated on shorting-type terminal blocks.
- N. Bus Transition and Incoming Pull Sections: Matched and aligned with basic switchboard.
- O. Hinged Front Panels: Allow access to circuit breaker, metering, accessory, and blank compartments.
- P. Pull Box on Top of Switchboard:
  - 1. Adequate ventilation to maintain temperature in pull box within same limits as switchboard.
  - 2. Removable covers shall form top, front, and sides. Top covers at rear shall be easily removable for drilling and cutting.
  - 3. Bottom shall be insulating, fire-resistive material with separate holes for cable drops into switchboard.
  - 4. Cable supports shall be arranged to facilitate cabling and adequate to support cables indicated, including those for future installation.
- Q. Buses and Connections: Three phase, four wire unless otherwise indicated.
  - 1. Provide phase bus arrangement A, B, C from front to back, top to bottom, and left to right when viewed from the front of the switchboard.
  - 2. Phase- and Neutral-Bus Material: Hard-drawn copper of 98 percent conductivity.
  - 3. Copper feeder circuit-breaker line connections.
  - 4. Ground Bus: Size required by UL 891, plus additional length to accommodate spares and spaces indicated, hard-drawn copper of 98 percent conductivity, equipped with mechanical connectors for feeder and branch-circuit ground conductors.
  - 5. Main-Phase Buses and Equipment-Ground Buses: Uniform capacity for entire length of switchboard's main and distribution sections. Provide for future extensions from both ends.
  - 6. Disconnect Links:
    - a. Isolate neutral bus from incoming neutral conductors.
    - b. Bond neutral bus to equipment-ground bus for switchboards utilized as service equipment or separately derived systems.
  - 7. Neutral Buses: 100 percent of the ampacity of phase buses unless otherwise indicated, equipped with mechanical connectors for outgoing circuit neutral cables. Brace bus extensions for busway feeder neutral bus.

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- R. Future Devices: Equip compartments with mounting brackets, supports, bus connections, and appurtenances at full rating of circuit-breaker compartment.

2.2 DISCONNECTING AND OVERCURRENT PROTECTIVE DEVICES

- A. Molded-Case Circuit Breaker (MCCB): Comply with UL 489, with interrupting capacity to meet available fault currents.
  - 1. Thermal-Magnetic Circuit Breakers: Inverse time-current element for low-level overloads and instantaneous magnetic trip element for short circuits. Adjustable magnetic trip setting for circuit-breaker frame sizes 250 A and larger.
  - 2. Adjustable Instantaneous-Trip Circuit Breakers: Magnetic trip element with front-mounted, field-adjustable trip setting.
  - 3. Electronic trip circuit breakers with rms sensing; field-replaceable rating plug or field-replicable electronic trip; and the following field-adjustable settings:
    - a. Instantaneous trip.
    - b. Long- and short-time pickup levels.
    - c. Long and short time adjustments.
  - 4. MCCB Features and Accessories:
    - a. Standard frame sizes, trip ratings, and number of poles.
    - b. Lugs: Mechanical style, suitable for number, size, trip ratings, and conductor material.
    - c. Application Listing: Appropriate for application; Type SWD for switching fluorescent lighting loads; Type HID for feeding fluorescent and high-intensity discharge (HID) lighting circuits.

2.3 INSTRUMENTATION

- A. Instrument Transformers: NEMA EI 21.1, and the following:
  - 1. Current Transformers: NEMA EI 21.1; 5 A, 60 Hz, secondary; bar or window type; double secondary winding and secondary shorting device. Burden and accuracy shall be consistent with connected metering and relay devices.
  - 2. Control-Power Transformers: Dry type, mounted in separate compartments for units larger than 3 kVA.
- B. Multifunction Digital-Metering Monitor: Microprocessor-based unit suitable for three- or four-wire systems and with the following features:
  - 1. Switch-selectable digital display of the following values with maximum accuracy tolerances as indicated:
    - a. Phase Currents, Each Phase: Plus or minus 0.5 percent.
    - b. Phase-to-Phase Voltages, Three Phase: Plus or minus 0.5 percent.
    - c. Phase-to-Neutral Voltages, Three Phase: Plus or minus 0.5 percent.
    - d. Megawatts: Plus or minus 1 percent.

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- e. Megavars: Plus or minus 1 percent.
  - f. Power Factor: Plus or minus 1 percent.
  - g. Frequency: Plus or minus 0.1 percent.
  - h. Accumulated Energy, Megawatt Hours: Plus or minus 1 percent; accumulated values unaffected by power outages up to 72 hours.
  - i. Megawatt Demand: Plus or minus 1 percent; demand interval programmable from five to 60 minutes.
  - j. Contact devices to operate remote impulse-totalizing demand meter.
2. Meter shall log and store maximum building energy demand over a one year period. Provide the ability to access data via a communications port.
  3. Mounting: Display and control unit flush or semiflush mounted in instrument compartment door.

C. Impulse-Totalizing Demand Meter:

1. Comply with ANSI C12.1.
2. Suitable for use with switchboard watt-hour meter, including two-circuit totalizing relay.
3. Cyclometer.
4. Four-dial, totalizing kilowatt-hour register.
5. Positive chart drive mechanism.
6. Capillary pen holding a minimum of one month's ink supply.
7. Roll chart with minimum 31-day capacity; appropriate multiplier tag.
8. Capable of indicating and recording five-minute integrated demand of totalized system.

2.4 ACCESSORY COMPONENTS AND FEATURES

- A. Portable Test Set: For testing functions of solid-state trip devices without removing from switchboard. Include relay and meter test plugs suitable for testing switchboard meters and switchboard class relays.
- B. Mounting Accessories: For anchors, mounting channels, bolts, washers, and other mounting accessories, comply with manufacturer's instructions.

2.5 IDENTIFICATION

- A. Service Equipment Label: NRTL labeled for use as service equipment for switchboards with one or more service disconnecting and overcurrent protective devices.

PART 3 - EXECUTION

3.1 EXAMINATION

- A. Receive, inspect, handle, and store switchboards according to NEMA PB 2.1.

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1. Lift or move switchboards with spreader bars and manufacturer-supplied lifting straps following manufacturer's instructions.
  2. Use rollers, slings, or other manufacturer-approved methods if lifting straps are not furnished.
  3. Protect from moisture, dust, dirt, and debris during storage and installation.
  4. Install temporary heating during storage per manufacturer's instructions.
- B. Examine switchboards before installation. Reject switchboards that are moisture damaged or physically damaged.
- C. Examine elements and surfaces to receive switchboards for compliance with installation tolerances and other conditions affecting performance of the Work or that affect the performance of the equipment.
- D. Proceed with installation only after unsatisfactory conditions have been corrected.

### 3.2 INSTALLATION

- A. Install switchboards and accessories according to NEMA PB 2.1.
- B. Equipment Mounting: Install switchboards on concrete base, 4-inch nominal thickness, unless otherwise indicated. Comply with requirements for concrete base specified on the Drawings.
1. Install dowel rods to connect concrete base to concrete floor. Unless otherwise indicated, install dowel rods on 18-inch centers around the full perimeter of concrete base.
  2. For supported equipment, install epoxy-coated anchor bolts that extend through concrete base and anchor into structural concrete floor.
  3. Place and secure anchorage devices. Use setting drawings, templates, diagrams, instructions, and directions furnished with items to be embedded.
  4. Install anchor bolts to elevations required for proper attachment to switchboards.
  5. Anchor switchboard to building structure at the top of the switchboard if required or recommended by the manufacturer.
- C. Temporary Lifting Provisions: Remove temporary lifting eyes, channels, straps and brackets, and temporary blocking of moving parts from switchboard units and components.
- D. Operating Instructions: Frame and mount the printed basic operating instructions for switchboards, including control and emergency procedures. Fabricate frame of finished wood or metal and cover instructions with clear acrylic plastic. Mount on front of switchboards.
- E. Install filler plates in unused spaces of panel-mounted sections.
- F. Install overcurrent protective devices, and instrumentation.
1. Set field-adjustable circuit-breaker trip ranges in accordance with coordination study requirements.
- G. Comply with NECA 1.

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3.3 CONNECTIONS

- A. Bond conduits entering underneath the switchboard to the equipment ground bus with a bonding conductor sized per NFPA 70.
- B. Support and secure conductors within the switchboard according to NFPA 70.
- C. Extend insulated equipment grounding cable to busway ground connection and support cable at intervals in vertical run.

3.4 IDENTIFICATION

- A. Identify field-installed conductors, interconnecting wiring, and components; provide warning signs complying with requirements for identification specified in Section 260553 "Identification for Electrical Systems."
- B. Switchboard Nameplates: Label each switchboard compartment with a nameplate complying with requirements for identification specified in Section 260553 "Identification for Electrical Systems."
- C. Device Nameplates: Label each disconnecting and overcurrent protective device and each meter and control device mounted in compartment doors with a nameplate complying with requirements for identification specified in Section 260553 "Identification for Electrical Systems."

3.5 FIELD QUALITY CONTROL

- A. Testing Agency: Engage a qualified testing agency to perform tests and inspections.
- B. Tests and Inspections:
  - 1. Acceptance Testing:
    - a. Test insulation resistance for each switchboard bus, component, connecting supply, feeder, and control circuit. Open control and metering circuits within the switchboard, and remove neutral connection to electronic devices prior to insulation test. Reconnect after test.
    - b. Test continuity of each circuit.
  - 2. Perform each visual and mechanical inspection and electrical test stated in NETA Acceptance Testing Specification. Certify compliance with test parameters.
  - 3. Correct malfunctioning units on-site where possible, and retest to demonstrate compliance; otherwise, replace with new units and retest.
  - 4. Perform the following infrared scan tests and inspections, and prepare reports:
    - a. Initial Infrared Scanning: After Substantial Completion, but not more than 60 days after Final Acceptance, perform an infrared scan of each switchboard. Remove front panels so joints and connections are accessible to portable scanner.

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- b. Follow-up Infrared Scanning: Perform an additional follow-up infrared scan of each switchboard 11 months after date of Substantial Completion.
  - c. Instruments and Equipment:
    - 1) Use an infrared scanning device designed to measure temperature or to detect significant deviations from normal values. Provide calibration record for device.
  - 5. Test and adjust controls and safeties. Replace damaged and malfunctioning controls and equipment.
  - C. Switchboard will be considered defective if it does not pass tests and inspections.
  - D. Prepare test and inspection reports, including a certified report that identifies switchboards included and that describes scanning results. Include notation of deficiencies detected, remedial action taken, and observations after remedial action.
- 3.6 ADJUSTING
- A. Adjust moving parts and operable components to function smoothly, and lubricate as recommended by manufacturer.
  - B. Set field-adjustable circuit-breaker trip ranges as specified in Section 260573.16 "Coordination Studies."
- 3.7 PROTECTION
- A. Temporary Heating: Apply temporary heat, to maintain temperature according to manufacturer's written instructions, until switchboard is ready to be energized and placed into service.
- 3.8 DEMONSTRATION
- A. Engage a factory-authorized service representative to train Owner's maintenance personnel to adjust, operate, and maintain switchboards, overcurrent protective devices, instrumentation, and accessories, and to use and reprogram microprocessor-based trip, monitoring, and communication units.

END OF SECTION

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SECTION 262416 - PANELBOARDS

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

- A. Section Includes:
  - 1. Distribution panelboards.
  - 2. Lighting and appliance branch-circuit panelboards.
  - 3. Load centers.

1.3 DEFINITIONS

- A. ATS: Acceptance testing specification.
- B. GFCI: Ground-fault circuit interrupter.
- C. GFEP: Ground-fault equipment protection.
- D. HID: High-intensity discharge.
- E. MCCB: Molded-case circuit breaker.
- F. SPD: Surge protective device.
- G. VPR: Voltage protection rating.

1.4 ACTION SUBMITTALS

- A. Submittals shall comply with the requirements of Section 013300 "Submittal Procedures" and the individual sections specifying the work.
- B. Product Data: For each type of panelboard.
  - 1. Include materials, overcurrent protective devices, accessories, and components indicated.
  - 2. Include dimensions and manufacturers' technical data on features, performance, electrical characteristics, ratings, and finishes.
- C. Shop Drawings: For each panelboard and related equipment.

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1. Include dimensioned plans, elevations, sections, and details.
2. Show tabulations of installed devices with nameplates, conductor termination sizes, equipment features, and ratings.
3. Detail enclosure types including mounting and anchorage, environmental protection, knockouts, corner treatments, covers and doors, gaskets, hinges, and locks.
4. Detail bus configuration, current, and voltage ratings.
5. Short-circuit current rating of panelboards and overcurrent protective devices.
6. Detail features, characteristics, ratings, and factory settings of individual overcurrent protective devices and auxiliary components.
7. Include wiring diagrams for power, signal, and control wiring.

1.5 INFORMATIONAL SUBMITTALS

- A. Submittals shall comply with the requirements of Section 013300 "Submittal Procedures" and the individual sections specifying the work.
- B. Qualification Data: For testing agency.
- C. Panelboard Schedules: For installation in panelboards.

1.6 CLOSEOUT SUBMITTALS

- A. Submittals shall comply with the requirements of Section 013300 "Submittal Procedures" and the individual sections specifying the work.
- B. Operation and Maintenance Data: For panelboards and components to include in emergency, operation, and maintenance manuals. In addition to items specified in Section 017823 "Operation and Maintenance Data," include the following:
  1. Manufacturer's written instructions for testing and adjusting overcurrent protective devices.
  2. Time-current curves, including selectable ranges for each type of overcurrent protective device that allows adjustments.

1.7 MAINTENANCE MATERIAL SUBMITTALS

- A. Submittals shall comply with the requirements of Section 013300 "Submittal Procedures" and the individual sections specifying the work.
- B. Furnish extra materials that match products installed and that are packaged with protective covering for storage and identified with labels describing contents.
  1. Keys: Two spares for each type of panelboard cabinet lock.

1.8 QUALITY ASSURANCE

- A. Manufacturer Qualifications: ISO 9001 or ISO 9002 certified.

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1.9 DELIVERY, STORAGE, AND HANDLING

- A. Remove loose packing and flammable materials from inside panelboards; install temporary electric heating (250 W per panelboard) to prevent condensation.
- B. Handle and prepare panelboards for installation according to NEMA PB 1.

1.10 FIELD CONDITIONS

- A. Environmental Limitations:
  - 1. Do not deliver or install panelboards until wet work in spaces is complete and dry, work above panelboards is complete, and HVAC system is operating and maintaining ambient temperature and humidity conditions at occupancy levels during the remainder of the construction period.
  - 2. Rate equipment for continuous operation under the following conditions unless otherwise indicated:
    - a. Ambient Temperature: Not exceeding 23 deg F to plus 104 deg F.
    - b. Altitude: Not exceeding 6600 feet.
- B. Interruption of Existing Electric Service: Do not interrupt electric service to facilities occupied by Owner or others unless permitted under the following conditions and then only after arranging to provide temporary electric service according to requirements indicated:
  - 1. Notify Owner no fewer than seven days in advance of proposed interruption of electric service.
  - 2. Do not proceed with interruption of electric service without Owner's written permission.
  - 3. Comply with NFPA 70E.

1.11 WARRANTY

- A. Manufacturer's Warranty: Manufacturer agrees to repair or replace panelboards that fail in materials or workmanship within specified warranty period.
  - 1. Panelboard Warranty Period: 18 months from date of Substantial Completion.

PART 2 - PRODUCTS

2.1 PANELBOARDS AND LOAD CENTERS COMMON REQUIREMENTS

- A. Fabricate and test panelboards according to IEEE 344 to withstand seismic forces defined in Section 260548 "Vibration and Seismic Controls for Electrical Systems."
- B. Electrical Components, Devices, and Accessories: Listed and labeled as defined in NFPA 70, by a qualified testing agency, and marked for intended location and application.
- C. Comply with NEMA PB 1.

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- D. Comply with NFPA 70.
- E. Enclosures: Surface-mounted, dead-front cabinets.
  - 1. Rated for environmental conditions at installed location.
    - a. Indoor Dry and Clean Locations: NEMA 250, Type 1.
  - 2. Height: 84 inches maximum.
  - 3. Front: Secured to box with concealed trim clamps. For surface-mounted fronts, match box dimensions; for flush-mounted fronts, overlap box. Trims shall cover all live parts and shall have no exposed hardware.
  - 4. Finishes:
    - a. Panels and Trim: Steel and galvanized steel, factory finished immediately after cleaning and pretreating with manufacturer's standard two-coat, baked-on finish consisting of prime coat and thermosetting topcoat.
    - b. Back Boxes: Galvanized steel.
- F. Incoming Mains:
  - 1. Location: Top or bottom.
  - 2. Main Breaker: Main lug interiors up to 400 amperes shall be field convertible to main breaker.
- G. Phase, Neutral, and Ground Buses:
  - 1. Material: Hard-drawn copper, 98 percent conductivity.
    - a. Plating shall run entire length of bus.
    - b. Bus shall be fully rated the entire length.
  - 2. Interiors shall be factory assembled into a unit. Replacing switching and protective devices shall not disturb adjacent units or require removing the main bus connectors.
  - 3. Equipment Ground Bus: Adequate for feeder and branch-circuit equipment grounding conductors; bonded to box.
  - 4. Full-Sized Neutral: Mount electrically isolated from enclosure. Do not mount neutral bus in gutter.
- H. Conductor Connectors: Suitable for use with conductor material and sizes.
  - 1. Material: Hard-drawn copper, 98 percent conductivity.
  - 2. Terminations shall allow use of 75 deg C rated conductors without derating.
  - 3. Size: Lugs suitable for indicated conductor sizes, with additional gutter space, if required, for larger conductors.
  - 4. Main and Neutral Lugs: Mechanical type, with a lug on the neutral bar for each pole in the panelboard.
  - 5. Ground Lugs and Bus-Configured Terminators: Mechanical type, with a lug on the bar for each pole in the panelboard.
  - 6. Feed-Through Lugs: Mechanical type, suitable for use with conductor material. Locate at opposite end of bus from incoming lugs or main device.

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7. Subfeed (Double) Lugs: Mechanical type suitable for use with conductor material. Locate at same end of bus as incoming lugs or main device.
  8. Gutter-Tap Lugs: Mechanical type suitable for use with conductor material and with matching insulating covers. Locate at same end of bus as incoming lugs or main device.
- I. Future Devices: Panelboards or load centers shall have mounting brackets, bus connections, filler plates, and necessary appurtenances required for future installation of devices.
1. Percentage of Future Space Capacity: 20 percent or as indicated.
- J. Panelboard Short-Circuit Current Rating: Fully rated to interrupt symmetrical short-circuit current available at terminals. Assembly listed by an NRTL for 100 percent interrupting capacity.
1. Panelboards and overcurrent protective devices rated 240 V or less shall have short-circuit ratings as shown on Drawings, but not less than 10,000 A rms symmetrical.

2.2 PERFORMANCE REQUIREMENTS

- A. Seismic Performance: Panelboards shall withstand the effects of earthquake motions determined according to ASCE/SEI 7.
1. The term "withstand" means "the unit will remain in place without separation of any parts from the device when subjected to the seismic forces specified."

2.3 POWER PANELBOARDS

- A. Manufacturers: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to, the following:
1. Eaton.
  2. General Electric Company; GE Energy Management - Electrical Distribution.
  3. Siemens Industry, Inc., Energy Management Division.
- B. Panelboards: NEMA PB 1, distribution type.
- C. Doors: Secured with vault-type latch with tumbler lock; keyed alike.
1. For doors more than 36 inches high, provide two latches, keyed alike.
- D. Mains: As indicated.
- E. Branch Overcurrent Protective Devices for Circuit-Breaker Frame Sizes 125 A and Smaller: Bolt-on circuit breakers.
- F. Branch Overcurrent Protective Devices for Circuit-Breaker Frame Sizes Larger Than 125 A: Bolt-on circuit breakers.

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2.4 LIGHTING AND APPLIANCE BRANCH-CIRCUIT PANELBOARDS

- A. Manufacturers: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to the following:
  - 1. Eaton.
  - 2. General Electric Company; GE Energy Management - Electrical Distribution.
  - 3. Siemens Industry, Inc., Energy Management Division.
- B. Panelboards: NEMA PB 1, lighting and appliance branch-circuit type.
- C. Mains: As indicated.
- D. Branch Overcurrent Protective Devices: Bolt-on circuit breakers, replaceable without disturbing adjacent units.
- E. Doors: Concealed hinges; secured with flush latch with tumbler lock; keyed alike.

2.5 LOAD CENTERS

- A. Manufacturers: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to the following:
  - 1. Eaton.
  - 2. General Electric Company; GE Energy Management - Electrical Distribution.
  - 3. Siemens Industry, Inc., Energy Management Division.
- B. Load Centers: Comply with UL 67.
- C. Mains: Circuit breaker.
- D. Branch Overcurrent Protective Devices: Plug-in circuit breakers, replaceable without disturbing adjacent units.
- E. Doors: Concealed hinges secured with flush latch with tumbler lock; keyed alike.
- F. Conductor Connectors: Mechanical type for main, neutral, and ground lugs and buses.

2.6 DISCONNECTING AND OVERCURRENT PROTECTIVE DEVICES

- A. Manufacturers: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to the following:
  - 1. Eaton.
  - 2. General Electric Company; GE Energy Management - Electrical Distribution.
  - 3. Siemens Industry, Inc., Energy Management Division.
  - 4. Square D; by Schneider Electric.
- B. MCCB: Comply with UL 489, with interrupting capacity to meet available fault currents.

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1. Thermal-Magnetic Circuit Breakers:
  - a. Inverse time-current element for low-level overloads.
  - b. Instantaneous magnetic trip element for short circuits.
  - c. Adjustable magnetic trip setting for circuit-breaker frame sizes 250 A and larger.
2. Adjustable Instantaneous-Trip Circuit Breakers: Magnetic trip element with front-mounted, field-adjustable trip setting.
3. Subfeed Circuit Breakers: Vertically mounted.
4. MCCB Features and Accessories:
  - a. Standard frame sizes, trip ratings, and number of poles.
  - b. Breaker handle indicates tripped status.
  - c. Lugs: Mechanical style, suitable for number, size, trip ratings, and conductor materials.
  - d. Application Listing: Appropriate for application; Type SWD for switching fluorescent lighting loads; Type HID for feeding fluorescent and HID lighting circuits.
  - e. Rating Plugs: Three-pole breakers with ampere ratings greater than 150 amperes shall have interchangeable rating plugs.
  - f. Multipole units enclosed in a single housing with a single handle.
  - g. Handle Clamp: Loose attachment, for holding circuit-breaker handle in on position.

2.7 IDENTIFICATION

- A. Panelboard Label: Manufacturer's name and trademark, voltage, amperage, number of phases, and number of poles shall be located on the interior of the panelboard door.
- B. Breaker Labels: Faceplate shall list current rating, UL and IEC certification standards, and AIC rating.
- C. Circuit Directory: Computer-generated circuit directory mounted inside panelboard door with transparent plastic protective cover.
  1. Circuit directory shall identify specific purpose with detail sufficient to distinguish it from all other circuits.

2.8 ACCESSORY COMPONENTS AND FEATURES

- A. Accessory Set: Include tools and miscellaneous items required for overcurrent protective device test, inspection, maintenance, and operation.
- B. Portable Test Set: For testing functions of solid-state trip devices without removing from panelboard. Include relay and meter test plugs suitable for testing panelboard meters and switchboard class relays.

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PART 3 - EXECUTION

3.1 EXAMINATION

- A. Verify actual conditions with field measurements prior to ordering panelboards to verify that equipment fits in allocated space in, and comply with, minimum required clearances specified in NFPA 70.
- B. Receive, inspect, handle, and store panelboards according to NEMA PB 1.1.
- C. Examine panelboards before installation. Reject panelboards that are damaged, rusted, or have been subjected to water saturation.
- D. Examine elements and surfaces to receive panelboards for compliance with installation tolerances and other conditions affecting performance of the Work.
- E. Proceed with installation only after unsatisfactory conditions have been corrected.

3.2 INSTALLATION

- A. Coordinate layout and installation of panelboards and components with other construction that penetrates walls or is supported by them, including electrical and other types of equipment, raceways, piping, encumbrances to workspace clearance requirements, and adjacent surfaces. Maintain required workspace clearances and required clearances for equipment access doors and panels.
- B. Comply with NECA 1.
- C. Install panelboards and accessories according to NEMA PB 1.1.
- D. Equipment Mounting:
  - 1. Attach panelboard to the vertical finished or structural surface behind the panelboard.
  - 2. Comply with requirements for seismic control devices specified in Section 260548 "Vibration and Seismic Controls for Electrical Systems."
- E. Comply with mounting and anchoring requirements specified in Section 260548 "Vibration and Seismic Controls for Electrical Systems."
- F. Mount top of trim 90 inches above finished floor unless otherwise indicated. Ensure the operating handle of the top-most circuit breaker, in the on position, is not higher than 79 inches above the finished floor.
- G. Mount panelboard cabinet plumb and rigid without distortion of box.
- H. Mount surface-mounted panelboards to steel slotted supports 5/8 inch in depth. Orient steel slotted supports vertically.
- I. Install overcurrent protective devices and controllers not already factory installed.

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1. Set field-adjustable, circuit-breaker trip ranges in accordance with coordination study requirements.
  2. Tighten bolted connections and circuit breaker connections using calibrated torque wrench or torque screwdriver per manufacturer's written instructions.
- J. Make connections to ground bars.
- K. Install filler plates in unused spaces.
- L. Arrange conductors in gutters into groups and bundle and wrap with wire ties.

3.3 IDENTIFICATION

- A. Identify field-installed conductors, interconnecting wiring, and components; install warning signs complying with requirements in Section 260553 "Identification for Electrical Systems."
- B. Create a directory to indicate installed circuit loads; incorporate Owner's final room designations. Obtain approval before installing. Handwritten directories are not acceptable. Install directory inside panelboard door.
- C. Panelboard Nameplates: Label each panelboard with a nameplate complying with requirements for identification specified in Section 260553 "Identification for Electrical Systems."
- D. Device Nameplates: Label each branch circuit device in power panelboards with a nameplate complying with requirements for identification specified in Section 260553 "Identification for Electrical Systems."
- E. Install warning signs complying with requirements in Section 260553 "Identification for Electrical Systems" identifying source of remote circuit.

3.4 FIELD QUALITY CONTROL

- A. Testing Agency: Engage a qualified testing agency to perform tests and inspections.
- B. Tests and Inspections:
1. Acceptance Testing Preparation:
    - a. Inspect components, assemblies, and equipment installations, including connections.
    - b. Test insulation resistance for each panelboard bus, component, connecting supply, feeder, and control circuit.
    - c. Test continuity of each circuit.
  2. Tests and Inspections:
  3. Perform each visual and mechanical inspection and electrical test for low-voltage air circuit breakers stated in NETA ATS, Paragraph 7.6 Circuit Breakers. Perform optional tests. Certify compliance with test parameters.

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4. Correct malfunctioning units on-site, where possible, and retest to demonstrate compliance; otherwise, replace with new units and retest.
  5. Perform the following infrared scan tests and inspections and prepare reports:
    - a. Initial Infrared Scanning: After Substantial Completion, but not more than 60 days after Final Acceptance, perform an infrared scan of each panelboard. Remove front panels so joints and connections are accessible to portable scanner.
    - b. Follow-up Infrared Scanning: Perform an additional follow-up infrared scan of each panelboard 11 months after date of Substantial Completion.
    - c. Instruments and Equipment:
      - 1) Use an infrared scanning device designed to measure temperature or to detect significant deviations from normal values. Provide calibration record for device.
- C. Panelboards will be considered defective if they do not pass tests and inspections.
- D. Prepare test and inspection reports, including a certified report that identifies panelboards included and that describes scanning results, with comparisons of the two scans. Include notation of deficiencies detected, remedial action taken, and observations after remedial action.

3.5 ADJUSTING

- A. Adjust moving parts and operable components to function smoothly, and lubricate as recommended by manufacturer.
- B. Set field-adjustable circuit-breaker trip ranges as specified in Section 260573.16 "Coordination Studies."

END OF SECTION

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SECTION 262713 - ELECTRICITY METERING

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

- A. Section includes work to accommodate utility company revenue meters, and Owner's electricity meters used to manage the electrical power system.

1.3 DEFINITIONS

- A. KY or KYZ Pulse: Term used by the metering industry to describe a method of measuring consumption of electricity (kWh) that is based on a relay opening and closing in response to the rotation of the disk in the meter. Electronic meters generate pulses electronically.

1.4 ACTION SUBMITTALS

- A. Submittals shall comply with the requirements of Section 013300 "Submittal Procedures" and the individual sections specifying the work.
- B. Product Data:
  - 1. For each type of meter.
  - 2. For metering infrastructure components.
  - 3. For metering software.
- C. Shop Drawings: For electricity-metering equipment.
  - 1. Include elevation views of front panels of control and indicating devices and control stations.
  - 2. Include diagrams for power, signal, and control wiring.
  - 3. Wire Termination Diagrams and Schedules: Include diagrams for power, signal, and control wiring. Identify terminals and wiring designations and color-codes to facilitate installation, operation, and maintenance. Indicate recommended types, wire sizes, and circuiting arrangements for field-installed wiring, and show circuit protection features. Differentiate between manufacturer-installed and field-installed wiring.

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1.5 INFORMATIONAL SUBMITTALS

- A. Submittals shall comply with the requirements of Section 013300 "Submittal Procedures" and the individual sections specifying the work.
- B. Field quality-control reports.
- C. Sample Warranty: For special warranty.

1.6 CLOSEOUT SUBMITTALS

- A. Submittals shall comply with the requirements of Section 013300 "Submittal Procedures" and the individual sections specifying the work.
- B. Operation and Maintenance Data: In addition to items specified in Section 017823 "Operation and Maintenance Data," include the following:
  - 1. Application and operating software documentation.
  - 2. Software licenses.
  - 3. Software service agreement.
  - 4. Device address list.
  - 5. Hard copies of manufacturer's operating specifications, user's guides for software and hardware, and PDF files on a USB storage device of hard-copy Submittal.
  - 6. Meter data sheet for each meter, listing nameplate data and serial number, accuracy certification, and test results.

1.7 FIELD CONDITIONS

- A. Interruption of Existing Electrical Service: Do not interrupt electrical service to facilities occupied by Owner or others unless permitted under the following conditions and then only after arranging to provide temporary electrical service according to requirements indicated:
  - 1. Owner shall be notified and issued written permission no fewer than seven days in advance of proposed interruption of electrical service.

1.8 WARRANTY

- A. Special Warranty: Manufacturer agrees to repair or replace components of metering equipment that fail in materials or workmanship within specified warranty period.
  - 1. Failures include, but are not limited to, the following:
    - a. Damage from transient voltage surges.
  - 2. Warranty Period: Cost to repair or replace any parts for two years from date of Substantial Completion.

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3. Extended Warranty Period: Cost of replacement parts (materials only, f.o.b. the nearest shipping point to Project site), for eight years, that failed in service due to transient voltage surges.

1.9 COORDINATION

A. Electrical Service Connections:

1. Coordinate with utility companies and utility-furnished components.
  - a. Comply with requirements of utility providing electrical power services.
  - b. Coordinate installation and connection of utilities and services, including provision for electricity-metering components.

PART 2 - PRODUCTS

2.1 SYSTEM DESCRIPTION

- A. Electrical Components, Devices, and Accessories: Listed and labeled as defined in NFPA 70, by a qualified testing agency, and marked for intended location and application.
- B. Comply with UL 916.

2.2 UTILITY METERING INFRASTRUCTURE

- A. Install metering accessories furnished by the utility company, complying with its requirements.
- B. Utility-Furnished Meters: Connect data transmission facility of metering equipment installed by the Utility.
  1. Data Transmission: Transmit pulse data over control-circuit conductors, classified as Class 1 per NFPA 70, Article 725. Comply with Section 260523 "Control-Voltage Electrical Power Cables."
- C. Current-Transformer Cabinets: Provided by the utility company as part of the pad-mounted transformer.
- D. Meter Sockets:
  1. Comply with requirements of electrical-power utility company.
  2. Meter Sockets: Steady-state and short-circuit current ratings shall meet indicated circuit ratings.
- E. Arc-Flash Warning Labels:
  1. Labels: Comply with requirements for "Arc-Flash Warning Labels" in Section 260573.19 "Arc-Flash Studies" and Section 260553 "Identification for Electrical Systems." Apply a

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3-1/2-by-5-inch thermal transfer label of high-adhesion polyester for each work location included in the analysis.

- a. The label shall have an orange header with the wording, "WARNING, ARC-FLASH HAZARD," and shall include the following information taken directly from the arc-flash hazard analysis:

- 1) Location designation.
- 2) Nominal voltage.
- 3) Flash protection boundary.
- 4) Hazard risk category.
- 5) Incident energy.
- 6) Working distance.
- 7) Engineering report number, revision number, and issue date.

2.3 ELECTRICITY METERS

- A. System Description: Service Meter: Provided by utility. Panelboard Meters: Able to meter designated activity loads, with or without external alarm, control, and communication capabilities, or other optional features.

- B. Manufacturers: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to the following:

1. ABB (Electrification Products Division).
2. Davidge Controls.
3. Eaton.
4. E-Mon.
5. General Electric Company (GE Power).
6. Leviton Manufacturing Co., Inc.
7. National Meter Industries.
8. Schneider Electric USA (Square D).
9. Sensus Metering Systems.
10. Siemens Industry, Inc., Energy Management Division.

- C. Capabilities and Characteristics:

1. Comply with ANSI C12.1 and ANSI C12.20, 0.5 accuracy class.
2. Ambient Temperature: Minus 22 deg F to plus 158 deg F.
3. Humidity: Zero to 95 percent, noncondensing.
4. Circuit: 120/208-V ac, 400 A.
5. Measure: kWh, onboard LED display.
6. Remote-Reading Options: None.

- D. General Requirements for Meters:

1. Certify that meters comply with ANSI C12.20 requirements by a laboratory accredited by the National Voluntary Laboratory Accreditation Program (NVLAP) of the National Institute of Standards and Technology (NIST). The laboratory shall use test equipment that is certified annually and is traceable to NIST standards.

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2. Enclosure: Supplied by meter manufacturer, NEMA 250, Type 1 minimum, with provisions for locking or sealing.
3. Identification: Comply with requirements in Section 260553 "Identification for Electrical Systems."
4. Onboard Nonvolatile Data Storage: kWh, until reset.
5. Sensors: Current-sensing type, supplied by electronic meter manufacturer, with current or voltage output, selected for optimum range and accuracy for meters indicated for this application.
  - a. Type: Split core, complying with recommendation of meter manufacturer.

E. kWh Meter: Electronic three-phase meters, measuring electricity use.

1. Voltage and Phase Configuration: Meter shall be designed for use on circuits with voltage rating and phase configuration indicated for its application.
2. Display: LCD with characters not less than 0.25 inch high, indicating accumulative kWh and current kilowatt load. Retain accumulated kWh in a nonvolatile memory, until reset.

F. kWhd Meter: Electronic three-phase meters, measuring electricity use and demand. Demand shall be integrated over a 15-minute interval.

1. Voltage and Phase Configuration: Meter shall be designed for use on circuits with voltage rating and phase configuration indicated for its application.
2. Display: LCD with characters not less than 0.25 inch high, indicating the following:
  - a. Accumulative kWh.
  - b. Current time and date.
  - c. Current demand.
  - d. Historic peak demand.
  - e. Time and date of historic peak demand.
3. Retain accumulated kWh and historic peak demand in a nonvolatile memory, until reset.

G. Data Transmission Cable: Comply with requirements in Section 260523 "Control-Voltage Electrical Power Cables."

2.4 SERVICE METER SOCKET AND PEDESTAL

- A. Furnished by utility company. Install in accordance with utility company requirements.

PART 3 - EXECUTION

3.1 INSTALLATION

- A. Comply with equipment installation requirements in NECA 1.

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- B. Install meters furnished by utility company. Install raceways and equipment according to utility company's written instructions. Provide empty conduits for metering leads and extend grounding connections as required by utility company.
- C. Install service meter socket and pedestal.
- D. Install arc-flash labels as required by NFPA 70.
- E. Wiring Method:
  - 1. Comply with requirements in Section 260519 "Low-Voltage Electrical Power Conductors and Cables."

3.2 IDENTIFICATION

- A. Comply with requirements for identification specified in Section 260553 "Identification for Electrical Systems."
  - 1. Series Combination Warning Label: Self-adhesive labels, with text as required by NFPA 70.
  - 2. Equipment Identification Labels: Self-adhesive labels with clear protective overlay.

3.3 FIELD QUALITY CONTROL

- A. Perform tests and inspections with the assistance of a factory-authorized service representative for panelboard meters.
- B. Panelboard Meter Tests and Inspections:
  - 1. Equipment and Software Setup:
    - a. Set meter date and time clock.
    - b. Test, calibrate, and connect pulse metering system.
    - c. Report settings and calibration results.
  - 2. Connect a load of known kilowatt rating, 1.5 kW minimum, to a circuit supplied by metered feeder.
  - 3. Turn off circuits supplied by metered feeder and secure them in off condition.
  - 4. Coordinate with the Owner and run test load continuously for four hours minimum, or longer, during off hours to obtain a measurable meter indication. Use test-load placement and setting that ensures continuous, safe operation.
  - 5. Check and record meter reading at end of test period and compare with actual electricity used, based on test-load rating, duration of test, and sample measurements of supply voltage at test-load connection. Record test results.
- C. Electricity metering will be considered defective if it does not pass tests and inspections.
- D. Prepare test and inspection reports.

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3.4 DEMONSTRATION

- A. Train Owner's clerical and maintenance personnel to use, adjust, operate, and maintain the electronic metering.

END OF SECTION



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SECTION 262726 - WIRING DEVICES

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

- A. Section Includes:
  - 1. Straight-blade convenience receptacles.
  - 2. GFCI receptacles.
  - 3. Toggle switches.
  - 4. Wall plates.

1.3 DEFINITIONS

- A. Abbreviations of Manufacturers' Names:
  - 1. Cooper: Cooper Wiring Devices; Division of Cooper Industries, Inc.
  - 2. Hubbell: Hubbell Incorporated; Wiring Devices-Kellems.
  - 3. Leviton: Leviton Mfg. Company, Inc.
  - 4. Pass & Seymour: Pass& Seymour/Legrand.
- B. EMI: Electromagnetic interference.
- C. GFCI: Ground-fault circuit interrupter.
- D. Pigtail: Short lead used to connect a device to a branch-circuit conductor.
- E. RFI: Radio-frequency interference.
- F. UTP: Unshielded twisted pair.

1.4 ACTION SUBMITTALS

- A. Submittals shall comply with the requirements of Section 013300 "Submittal Procedures" and the individual sections specifying the work.
- B. Product Data: For each type of product.

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1.5 INFORMATIONAL SUBMITTALS

- A. Submittals shall comply with the requirements of Section 013300 “Submittal Procedures” and the individual sections specifying the work.
- B. Field quality-control reports.

1.6 CLOSEOUT SUBMITTALS

- A. Submittals shall comply with the requirements of Section 013300 “Submittal Procedures” and the individual sections specifying the work.
- B. Operation and Maintenance Data: For wiring devices to include in all manufacturers' packing-label warnings and instruction manuals that include labeling conditions.

PART 2 - PRODUCTS

2.1 GENERAL WIRING-DEVICE REQUIREMENTS

- A. Wiring Devices, Components, and Accessories: Listed and labeled as defined in NFPA 70, by a qualified testing agency, and marked for intended location and application.
- B. Comply with NFPA 70.
- C. Source Limitations: Obtain each type of wiring device and associated wall plate from single source from single manufacturer.

2.2 STRAIGHT-BLADE RECEPTACLES

- A. Duplex Convenience Receptacles: 125 V, 20 A commercial, specification grade; comply with NEMA WD 1, NEMA WD 6 Configuration 5-20R, UL 498, and FS W-C-596.
  - 1. Products: Subject to compliance with requirements, available products that may be incorporated into the Work include, but are not limited to the following:
    - a. Eaton (Arrow Hart); Commercial Grade Receptacles 20A-125V NEMA 5-20R - BR20.
    - b. Hubbell Incorporated; Wiring Device-Kellems; HBL 5362.
    - c. Leviton Manufacturing Co., Inc.; 5362 (duplex).
    - d. Pass & Seymour/Legrand (Pass & Seymour); 5362 (duplex).

2.3 GFCI RECEPTACLES

- A. General Description:
  - 1. 125 V, 20 A, straight blade, non-feed-through type. Commercial, specification grade.

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2. Comply with NEMA WD 1, NEMA WD 6 Configuration 5-20R, UL 498, UL 943 Class A, and FS W-C-596.
3. Include a red, amber or flashing indicator light that shows when the GFCI has malfunctioned and no longer provides proper GFCI protection.
4. Include green indicator light that illuminates when there is power to the receptacle.
5. Color shall match straight blade receptacles.

B. Duplex GFCI Convenience Receptacles:

1. Manufacturers: Subject to compliance with requirements, available manufacturers that may be incorporated into the Work include, but are not limited to the following:
  - a. Eaton (Arrow Hart).
  - b. Hubbell Incorporated; Wiring Device-Kellems.
  - c. Leviton Manufacturing Co., Inc.
  - d. Pass & Seymour/Legrand (Pass & Seymour).

C. Duplex, Weather-Resistant, Tamper-Resistant, GFCI Convenience Receptacles:

1. Manufacturers: Subject to compliance with requirements, available manufacturers that may be incorporated into the Work include, but are not limited to the following:
  - a. Cooper Wiring Devices, Inc.; Division of Cooper Industries, Inc.
  - b. Hubbell Incorporated; Wiring Device-Kellems.
  - c. Leviton Manufacturing Co., Inc.
  - d. Pass & Seymour/Legrand (Pass & Seymour).

2.4 TOGGLE SWITCHES

A. Comply with NEMA WD 1, UL 20, and FS W-S-896.

B. Switches, 120/277 V, 20 A:

1. Single Pole:
  - a. Products: Subject to compliance with requirements, available products that may be incorporated into the Work include, but are not limited to the following:
    - 1) Eaton (Arrow Hart); Back & Side Wire Switches CSB120.
    - 2) Hubbell Incorporated; Wiring Device-Kellems; HBL1221.
    - 3) Leviton Manufacturing Co., Inc.; 1221-2.
    - 4) Pass & Seymour/Legrand (Pass & Seymour); CSB20AC1.
2. Three Way:
  - a. Products: Subject to compliance with requirements, available products that may be incorporated into the Work include, but are not limited to the following:
    - 1) Eaton (Arrow Hart); Back & Side Wire Switches CSB120.
    - 2) Hubbell Incorporated; Wiring Device-Kellems; HBL1223.

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- 3) Leviton Manufacturing Co., Inc.; 1223-2.
- 4) Pass & Seymour/Legrand (Pass & Seymour); CSB20AC3.

2.5 WALL PLATES

- A. Single and combination types shall match corresponding wiring devices.
  1. Plate-Securing Screws: Metal with head color to match plate finish.
  2. Material for Finished Spaces: Smooth, high-impact thermoplastic. White, unless otherwise indicated to be blue for COPS receptacles.
  3. Material for Unfinished Spaces: Galvanized steel.
- B. Wet-Location, Weatherproof Cover Plates: NEMA 250, complying with Type 3R, weather-resistant, weatherproof while-in-use, die-cast aluminum with lockable cover.

2.6 FINISHES

- A. Device Color:
  1. Wiring Devices: White unless otherwise indicated or required by NFPA 70 or device listing.

PART 3 - EXECUTION

3.1 INSTALLATION

- A. Comply with NECA 1, including mounting heights listed in that standard, unless otherwise indicated.
- B. Coordination with Other Trades:
  1. Protect installed devices and their boxes. Do not place wall finish materials over device boxes and do not cut holes for boxes with routers that are guided by riding against outside of boxes.
  2. Keep outlet boxes free of plaster, drywall joint compound, mortar, cement, concrete, dust, paint, and other material that may contaminate the raceway system, conductors, and cables.
  3. Install device boxes in brick or block walls so that the cover plate does not cross a joint unless the joint is troweled flush with the face of the wall.
  4. Install wiring devices after wall preparation, including painting, is complete.
- C. Conductors:
  1. Do not strip insulation from conductors until right before they are spliced or terminated on devices.
  2. Strip insulation evenly around the conductor using tools designed for the purpose. Avoid scoring or nicking of solid wire or cutting strands from stranded wire.

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3. The length of free conductors at outlets for devices shall meet provisions of NFPA 70, Article 300, without pigtails.

D. Device Installation:

1. Replace devices that have been in temporary use during construction and that were installed before building finishing operations were complete.
2. Keep each wiring device in its package or otherwise protected until it is time to connect conductors.
3. Do not remove surface protection, such as plastic film and smudge covers, until the last possible moment.
4. Connect devices to branch circuits using pigtails that are not less than 6 inches in length.
5. When there is a choice, use side wiring with binding-head screw terminals. Wrap solid conductor tightly clockwise, two-thirds to three-fourths of the way around terminal screw.
6. Use a torque screwdriver when a torque is recommended or required by manufacturer.
7. When conductors larger than No. 12 AWG are installed on 20-A circuits, splice No. 12 AWG pigtails for device connections.
8. Tighten unused terminal screws on the device.
9. When mounting into metal boxes, remove the fiber or plastic washers used to hold device-mounting screws in yokes, allowing metal-to-metal contact.

E. Receptacle Orientation:

1. Install ground pin of vertically mounted receptacles up, and on horizontally mounted receptacles to the right.

F. Device Plates: Do not use oversized or extra-deep plates. Repair wall finishes and remount outlet boxes when standard device plates do not fit flush or do not cover rough wall opening.

G. Arrangement of Devices: Unless otherwise indicated, mount flush, with long dimension vertical and with grounding terminal of receptacles on top. Group adjacent switches under single, multigang wall plates.

3.2 GFCI RECEPTACLES

- A. Install non-feed-through-type GFCI receptacles.

3.3 IDENTIFICATION

- A. Comply with Section 260553 "Identification for Electrical Systems."
- B. Identify each receptacle with panelboard identification and circuit number. On white face plates, use clear label with black-filled lettering on face plate. Use white-filled lettering on blue face plates. Use durable wire markers or tags inside outlet boxes at all locations.

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3.4 FIELD QUALITY CONTROL

- A. Test Instruments: Use instruments that comply with UL 1436.
- B. Test Instrument for Convenience Receptacles: Digital wiring analyzer with digital readout or illuminated digital-display indicators of measurement.
- C. Perform the following tests and inspections:
  - 1. Test Instruments: Use instruments that comply with UL 1436.
  - 2. Test Instrument for Convenience Receptacles: Digital wiring analyzer with digital readout or illuminated digital-display indicators of measurement.
- D. Tests for Convenience Receptacles:
  - 1. Line Voltage: Acceptable range is 105 to 132 V.
  - 2. Percent Voltage Drop under 15-A Load: A value of 6 percent or higher is unacceptable.
  - 3. Ground Impedance: Values of up to 2 ohms are acceptable.
  - 4. GFCI Trip: Test for tripping values specified in UL 1436 and UL 943.
  - 5. Using the test plug, verify that the device and its outlet box are securely mounted.
  - 6. Tests shall be diagnostic, indicating damaged conductors, high resistance at the circuit breaker, poor connections, inadequate fault current path, defective devices, or similar problems. Correct circuit conditions, remove malfunctioning units and replace with new ones, and retest as specified above.
  - 7. Confirm green indicator light is illuminated on COPS receptacles.
- E. Wiring device will be considered defective if it does not pass tests and inspections. Replace defective devices.
- F. Prepare test and inspection reports.

END OF SECTION

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SECTION 262816 - ENCLOSED SWITCHES AND CIRCUIT BREAKERS

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and other Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

- A. Section Includes:
  - 1. Nonfusible switches.
  - 2. Molded-case circuit breakers (MCCBs).
  - 3. Enclosures.

1.3 DEFINITIONS

- A. NC: Normally closed.
- B. NO: Normally open.
- C. SPDT: Single pole, double throw.

1.4 ACTION SUBMITTALS

- A. Submittals shall comply with the requirements of Section 013300 "Submittal Procedures" and the individual sections specifying the work.
- B. Product Data: For each type of enclosed switch, circuit breaker, accessory, and component indicated. Include nameplate ratings, dimensioned elevations, sections, weights, and manufacturers' technical data on features, performance, electrical characteristics, ratings, accessories, and finishes.
  - 1. Enclosure types and details for types other than NEMA 250, Type 1.
  - 2. Current and voltage ratings.
  - 3. Short-circuit current ratings (interrupting and withstand, as appropriate).
  - 4. Detail features, characteristics, ratings, and factory settings of individual overcurrent protective devices, accessories, and auxiliary components.
  - 5. Include time-current coordination curves (average melt) for each type and rating of overcurrent protective device; include selectable ranges for each type of overcurrent protective device. Provide in PDF and electronic format.
- C. Shop Drawings: For enclosed switches and circuit breakers.

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1. Include plans, elevations, sections, details, and attachments to other work.
2. Include wiring diagrams for power, signal, and control wiring.

1.5 INFORMATIONAL SUBMITTALS

- A. Submittals shall comply with the requirements of Section 013300 "Submittal Procedures" and the individual sections specifying the work.
- B. Qualification Data: For qualified testing agency.
- C. Seismic Qualification Data: Certificates, for enclosed switches and circuit breakers, accessories, and components, from manufacturer.
  1. Basis for Certification: Indicate whether withstand certification is based on actual test of assembled components or on calculation.
  2. Dimensioned Outline Drawings of Equipment Unit: Identify center of gravity and locate and describe mounting and anchorage provisions.
  3. Detailed description of equipment anchorage devices on which the certification is based and their installation requirements.
- D. Field quality-control reports.

1.6 CLOSEOUT SUBMITTALS

- A. Submittals shall comply with the requirements of Section 013300 "Submittal Procedures" and the individual sections specifying the work.
- B. Operation and Maintenance Data: For enclosed switches and circuit breakers to include in emergency, operation, and maintenance manuals.
  1. In addition to items specified in Section 017823 "Operation and Maintenance Data," include the following:
    - a. Manufacturer's written instructions for testing and adjusting enclosed switches and circuit breakers.
    - b. Time-current coordination curves (average melt) for each type and rating of overcurrent protective device; include selectable ranges for each type of overcurrent protective device. Provide in PDF and electronic format.

1.7 QUALITY ASSURANCE

- A. Testing Agency Qualifications: Accredited by NETA.
  1. Testing Agency's Field Supervisor: Currently certified by NETA to supervise on-site testing.

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1.8 FIELD CONDITIONS

- A. Environmental Limitations: Rate equipment for continuous operation under the following conditions unless otherwise indicated:
  - 1. Ambient Temperature: Not less than minus 22 deg F and not exceeding 104 deg F.
  - 2. Altitude: Not exceeding 6600 feet.

1.9 WARRANTY

- A. Manufacturer's Warranty: Manufacturer and Installer agree to repair or replace components that fail in materials or workmanship within specified warranty period.
  - 1. Warranty Period: One year from date of Substantial Completion.

PART 2 - PRODUCTS

2.1 PERFORMANCE REQUIREMENTS

- A. Seismic Performance: Enclosed switches and circuit breakers shall withstand the effects of earthquake motions determined according to ASCE/SEI 7.
  - 1. The term "withstand" means "the unit will remain in place without separation of any parts from the device when subjected to the seismic forces specified and the unit will be fully operational after the seismic event."

2.2 GENERAL REQUIREMENTS

- A. Source Limitations: Obtain enclosed switches and circuit breakers, overcurrent protective devices, components, and accessories, within same product category, from single manufacturer.
- B. Electrical Components, Devices, and Accessories: Listed and labeled as defined in NFPA 70, by an NRTL, and marked for intended location and application.
- C. Comply with NFPA 70.

2.3 NONFUSIBLE SWITCHES

- A. Manufacturers: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to the following:
  - 1. ABB (Electrification Products Division).
  - 2. Eaton.
  - 3. Schneider Electric USA (Square D).
  - 4. Siemens Industry, Inc., Energy Management Division.
  - 5. PSI Control Solutions.

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- B. Type HD, Heavy Duty, Three Pole, Single Throw, 240-V ac, 2000 A and Smaller: UL 98 and NEMA KS 1, horsepower rated, lockable handle, and interlocked with cover in closed position.
- C. Accessories:
  - 1. Equipment Ground Kit: Internally mounted and labeled for copper and aluminum ground conductors.
  - 2. Neutral Kit: Internally mounted; insulated, capable of being grounded and bonded; labeled for copper and aluminum neutral conductors.
  - 3. Lugs: Mechanical type, suitable for number, size, and conductor material.
  - 4. Service-Rated Switches: Labeled for use as service equipment.

2.4 MOLDED-CASE CIRCUIT BREAKERS

- A. Manufacturers: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to the following:
  - 1. Eaton.
  - 2. General Electric Company.
  - 3. Schneider Electric USA (Square D).
  - 4. Siemens Industry, Inc., Energy Management Division.
- B. Circuit breakers shall be constructed using glass-reinforced insulating material. Current carrying components shall be completely isolated from the handle and the accessory mounting area.
- C. Circuit breakers shall have a toggle operating mechanism with common tripping of all poles, which provides quick-make, quick-break contact action. The circuit-breaker handle shall be over center, be trip free, and reside in a tripped position between on and off to provide local trip indication. Circuit-breaker escutcheon shall be clearly marked on and off in addition to providing international I/O markings. Equip circuit breaker with a push-to-trip button, located on the face of the circuit breaker to mechanically operate the circuit-breaker tripping mechanism for maintenance and testing purposes.
- D. The maximum ampere rating and UL, IEC, or other certification standards with applicable voltage systems and corresponding interrupting ratings shall be clearly marked on face of circuit breaker. Circuit breakers shall be 100 percent rated.
- E. MCCBs shall be equipped with a device for locking in the isolated position.
- F. Lugs shall be suitable for 167 deg F rated wire.
- G. Standard: Comply with UL 489 with interrupting capacity to comply with available fault currents.
- H. Thermal-Magnetic Circuit Breakers: Inverse time-current thermal element for low-level overloads and instantaneous magnetic trip element for short circuits. Adjustable magnetic trip setting for circuit-breaker frame sizes 250 A and larger.
- I. Adjustable, Instantaneous-Trip Circuit Breakers: Magnetic trip element with front-mounted, field-adjustable trip setting.

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- J. Current-Limiting Circuit Breakers: Frame sizes 400 A and smaller, and let-through ratings less than NEMA FU 1, RK-5.
- K. Features and Accessories:
  - 1. Standard frame sizes, trip ratings, and number of poles.
  - 2. Lugs: Mechanical type, suitable for number, size, trip ratings, and conductor material.
  - 3. Application Listing: Appropriate for application; Type SWD for switching fluorescent lighting loads; Type HID for feeding fluorescent and high-intensity discharge lighting circuits.

2.5 ENCLOSURES

- A. Enclosed Switches and Circuit Breakers: UL 489, NEMA KS 1, NEMA 250, and UL 50, to comply with environmental conditions at installed location.
- B. Enclosure Finish: The enclosure shall be gray baked enamel paint, electrodeposited on cleaned, phosphatized steel (NEMA 250 Type 1) or a brush finish on Type 304 stainless steel (NEMA 250 Type 4-4X stainless steel).
- C. Conduit Entry: NEMA 250 Type 4X enclosures shall contain no knockouts.
- D. Operating Mechanism: The circuit-breaker operating handle shall be directly operable through the front cover of the enclosure (NEMA 250 Type 1). The cover interlock mechanism shall have an externally operated override. The override shall not permanently disable the interlock mechanism, which shall return to the locked position once the override is released. The tool used to override the cover interlock mechanism shall not be required to enter the enclosure in order to override the interlock.
- E. Enclosures designated as NEMA 250 Type 4X stainless steel, shall have a dual cover interlock mechanism to prevent unintentional opening of the enclosure cover when the circuit breaker is ON and to prevent turning the circuit breaker ON when the enclosure cover is open.

PART 3 - EXECUTION

3.1 EXAMINATION

- A. Examine elements and surfaces to receive enclosed switches and circuit breakers for compliance with installation tolerances and other conditions affecting performance of the Work.
- B. Proceed with installation only after unsatisfactory conditions have been corrected.
  - 1. Commencement of work shall indicate Installer's acceptance of the areas and conditions as satisfactory.

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3.2 PREPARATION

- A. Interruption of Existing Electric Service: Do not interrupt electric service to facilities occupied by Owner or others unless permitted under the following conditions and then only after arranging to provide temporary electric service according to requirements indicated:
1. Notify Owner no fewer than seven days in advance of proposed interruption of electric service.
  2. Indicate method of providing temporary electric service.
  3. Do not proceed with interruption of electric service without Owner's written permission.
  4. Comply with NFPA 70E.

3.3 ENCLOSURE ENVIRONMENTAL RATING APPLICATIONS

- A. Enclosed Switches and Circuit Breakers: Provide enclosures at installed locations with the following environmental ratings.
1. Indoor, Dry and Clean Locations: NEMA 250, Type 1.
  2. Outdoor Locations: NEMA 250, Type 4X, stainless steel.

3.4 INSTALLATION

- A. Coordinate layout and installation of switches, circuit breakers, and components with equipment served and adjacent surfaces. Maintain required workspace clearances and required clearances for equipment access doors and panels.
- B. Install individual wall-mounted switches and circuit breakers with tops at uniform height unless otherwise indicated.
- C. Comply with mounting and anchoring requirements specified in Section 260548.16 "Vibration and Seismic Controls for Electrical Systems."
- D. Temporary Lifting Provisions: Remove temporary lifting of eyes, channels, and brackets and temporary blocking of moving parts from enclosures and components.
- E. Comply with NFPA 70 and NECA 1.

3.5 IDENTIFICATION

- A. Comply with requirements in Section 260553 "Identification for Electrical Systems."
1. Identify field-installed conductors, interconnecting wiring, and components; provide warning signs.
  2. Label each enclosure with engraved metal or laminated-plastic nameplate.

3.6 FIELD QUALITY CONTROL

- A. Testing Agency: Engage a qualified testing agency to perform tests and inspections.

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B. Tests and Inspections for Switches:

1. Visual and Mechanical Inspection:

- a. Inspect physical and mechanical condition.
- b. Inspect anchorage, alignment, grounding, and clearances.
- c. Verify that the unit is clean.
- d. Verify blade alignment, blade penetration, travel stops, and mechanical operation.
- e. Inspect bolted electrical connections for high resistance using one of the two following methods:
  - 1) Use a low-resistance ohmmeter.
    - a) Compare bolted connection resistance values to values of similar connections. Investigate values that deviate from those of similar bolted connections by more than 50 percent of the lowest value.
  - 2) Verify tightness of accessible bolted electrical connections by calibrated torque-wrench method in accordance with manufacturer's published data or NETA ATS Table 100.12.
    - a) Bolt-torque levels shall be in accordance with manufacturer's published data. In the absence of manufacturer's published data, use NETA ATS Table 100.12.
- f. Verify that operation and sequencing of interlocking systems is as described in the Specifications and shown on the Drawings.
- g. Verify correct phase barrier installation.
- h. Verify lubrication of moving current-carrying parts and moving and sliding surfaces.

2. Electrical Tests:

- a. Perform resistance measurements through bolted connections with a low-resistance ohmmeter. Compare bolted connection resistance values to values of similar connections. Investigate values that deviate from adjacent poles or similar switches by more than 50 percent of the lowest value.
- b. Perform insulation-resistance tests for one minute on each pole, phase-to-phase and phase-to-ground with switch closed, and across each open pole. Apply voltage in accordance with manufacturer's published data. In the absence of manufacturer's published data, use Table 100.1 from the NETA ATS. Investigate values of insulation resistance less than those published in Table 100.1 or as recommended in manufacturer's published data.
- c. Measure fuse resistance. Investigate fuse-resistance values that deviate from each other by more than 15 percent.

C. Tests and Inspections for Molded Case Circuit Breakers:

1. Visual and Mechanical Inspection:

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- a. Verify that equipment nameplate data are as described in the Specifications and shown on the Drawings.
  - b. Inspect physical and mechanical condition.
  - c. Inspect anchorage, alignment, grounding, and clearances.
  - d. Verify that the unit is clean.
  - e. Operate the circuit breaker to ensure smooth operation.
  - f. Inspect bolted electrical connections for high resistance using one of the two following methods:
    - 1) Use a low-resistance ohmmeter.
      - a) Compare bolted connection resistance values to values of similar connections. Investigate values that deviate from those of similar bolted connections by more than 50 percent of the lowest value.
    - 2) Verify tightness of accessible bolted electrical connections by calibrated torque-wrench method in accordance with manufacturer's published data or NETA ATS Table 100.12.
      - a) Bolt-torque levels shall be in accordance with manufacturer's published data. In the absence of manufacturer's published data, use NETA ATS Table 100.12.
  - g. Inspect operating mechanism, contacts, and chutes in unsealed units.
  - h. Perform adjustments for final protective device settings in accordance with the coordination study.
2. Electrical Tests:
- a. Perform resistance measurements through bolted connections with a low-resistance ohmmeter. Compare bolted connection resistance values to values of similar connections. Investigate values that deviate from adjacent poles or similar switches by more than 50 percent of the lowest value.
  - b. Perform insulation-resistance tests for one minute on each pole, phase-to-phase and phase-to-ground with circuit breaker closed, and across each open pole. Apply voltage in accordance with manufacturer's published data. In the absence of manufacturer's published data, use Table 100.1 from the NETA ATS. Investigate values of insulation resistance less than those published in Table 100.1 or as recommended in manufacturer's published data.
  - c. Perform a contact/pole resistance test. Drop values shall not exceed the high level of the manufacturer's published data. If manufacturer's published data are not available, investigate values that deviate from adjacent poles or similar switches by more than 50 percent of the lowest value.
  - d. Perform insulation resistance tests on all control wiring with respect to ground. Applied potential shall be 500-V dc for 300-V rated cable and 1000-V dc for 600-V rated cable. Test duration shall be one minute. For units with solid state components, follow manufacturer's recommendation. Insulation resistance values shall be no less than two megohms.
  - e. Determine the following by primary current injection:

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- 1) Long-time pickup and delay. Pickup values shall be as specified. Trip characteristics shall not exceed manufacturer's published time-current characteristic tolerance band, including adjustment factors.
  - 2) Short-time pickup and delay. Short-time pickup values shall be as specified. Trip characteristics shall not exceed manufacturer's published time-current characteristic tolerance band, including adjustment factors.
  - 3) Instantaneous pickup. Instantaneous pickup values shall be as specified and within manufacturer's published tolerances.
- f. Test functionality of the trip unit by means of primary current injection. Pickup values and trip characteristics shall be as specified and within manufacturer's published tolerances.
3. Correct malfunctioning units on-site, where possible, and retest to demonstrate compliance; otherwise, replace with new units and retest.
  4. Perform the following infrared scan tests and inspections and prepare reports:
    - a. Initial Infrared Scanning: After Substantial Completion, but not more than 60 days after Final Acceptance, perform an infrared scan of each enclosed switch and circuit breaker. Remove front panels so joints and connections are accessible to portable scanner.
    - b. Follow-up Infrared Scanning: Perform an additional follow-up infrared scan of each enclosed switch and circuit breaker 11 months after date of Substantial Completion.
    - c. Instruments and Equipment: Use an infrared scanning device designed to measure temperature or to detect significant deviations from normal values. Provide calibration record for device.
  5. Test and adjust controls, remote monitoring, and safeties. Replace damaged and malfunctioning controls and equipment.
- D. Enclosed switches and circuit breakers will be considered defective if they do not pass tests and inspections.
- E. Prepare test and inspection reports.
1. Test procedures used.
  2. Include identification of each enclosed switch and circuit breaker tested and describe test results.
  3. List deficiencies detected, remedial action taken, and observations after remedial action.
- 3.7 ADJUSTING
- A. Adjust moving parts and operable components to function smoothly, and lubricate as recommended by manufacturer.
  - B. Set field-adjustable circuit-breaker trip ranges as specified in Section 260573.16 "Coordination Studies."

END OF SECTION



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SECTION 263213.13 - DIESEL-ENGINE-DRIVEN GENERATOR SETS

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

A. Section Includes:

1. Diesel engine.
2. Diesel fuel-oil system.
3. Control and monitoring.
4. Generator overcurrent and fault protection.
5. Generator, exciter, and voltage regulator.
6. Outdoor engine generator enclosure.
7. Vibration isolation devices.
8. Finishes.

B. Related Requirements:

1. Section 260800 "Commissioning of Electrical Systems" for commissioning of generator.
2. Section 260573 "Overcurrent Protective Device Coordination Study" for coordination of generator and building circuit breakers.
3. Section 263600 "Transfer Switches" for transfer switches including sensors and relays to initiate automatic-starting and -stopping signals for engine generators.

1.3 DEFINITIONS

- A. EPS: Emergency power supply.
- B. EPSS: Emergency power supply system.
- C. Operational Bandwidth: The total variation from the lowest to highest value of a parameter over the range of conditions indicated, expressed as a percentage of the nominal value of the parameter.

1.4 ACTION SUBMITTALS

- A. Submittals shall comply with the requirements of Section 013300 "Submittal Procedures" and the individual sections specifying the work.
- B. Product Data: For each type of product.

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1. Include rated capacities, operating characteristics, electrical characteristics, and furnished specialties and accessories.
2. Include thermal damage curve for generator.
3. Include time-current characteristic curves for generator protective devices.
4. Include fuel consumption in gallons per hour at 0.8 power factor at 0.5, 0.75, and 1.0 times generator capacity.
5. Include generator efficiency at 0.8 power factor at 0.5, 0.75, and 1.0 times generator capacity.
6. Include airflow requirements for cooling and combustion air in cubic feet per minute at 0.8 power factor, with air-supply temperature of 95, 80, 70, and 50 deg F. Provide Drawings indicating requirements and limitations for location of air intake and exhausts.
7. Include generator characteristics, including, but not limited to, kilowatt rating, efficiency, reactances, and short-circuit current capability.
8. Include enclosure devices, including but not limited to: load center, LED lights, emergency lighting units, heater, and exhaust fan.

C. Shop Drawings:

1. Include plans and elevations for engine generator, enclosure, load center, LED lights, emergency lighting units, heater, exhaust fan, exterior platforms, and other components specified. Indicate access requirements affected by height of subbase fuel tank.
2. Include details of equipment assemblies. Indicate dimensions, weights, loads, required clearances, method of field assembly, components, and location and size of each field connection.
3. Identify fluid drain ports and clearance requirements for proper fluid drain.
4. Design calculations for selecting vibration isolators and seismic restraints and for designing vibration isolation bases.
5. Vibration Isolation Base Details: Detail fabrication including anchorages and attachments to structure and to supported equipment. Include base weights.
6. Include diagrams for power, signal, and control wiring. Complete schematic, wiring, and interconnection diagrams showing terminal markings for engine generators and functional relationship between all electrical components.
7. Include rigging requirements.

1.5 INFORMATIONAL SUBMITTALS

- A. Submittals shall comply with the requirements of Section 013300 "Submittal Procedures" and the individual sections specifying the work.
- B. Qualification Data:
  1. For Installer, manufacturer and testing agency.
  2. For the contractor installing the above ground storage tank, as required by the State of New Hampshire.
- C. Permit: For installation of aboveground storage tank greater than 660 gallons. Permit shall be filed 45 days (minimum) before construction start.
- D. Seismic Qualification Data: Certificates, for engine generator, accessories, and components, from manufacturer.

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1. Basis for Certification: Indicate whether withstand certification is based on actual test of assembled components or on calculation.
2. Dimensioned Outline Drawings of Equipment Unit: With engine and generator mounted on rails, identify center of gravity and total weight, including full fuel tank, supplied enclosure, external silencer, subbase-mounted fuel tank, and each piece of equipment not integral to the engine generator, and locate and describe mounting and anchorage provisions.
3. Detailed description of equipment anchorage devices on which the certification is based and their installation requirements.

E. Source Quality-Control Reports: Including, but not limited to, the following:

1. Certified summary of prototype-unit test report.
2. Certified Test Reports: For components and accessories that are equivalent, but not identical, to those tested on prototype unit.
3. Certified Summary of Performance Tests: Certify compliance with specified requirement to meet performance criteria for sensitive loads.
4. Report of factory test on units to be shipped for this Project, showing evidence of compliance with specified requirements.
5. Report of sound generation.
6. Report of exhaust emissions showing compliance with applicable regulations.
7. Certified Torsional Vibration Compatibility: Comply with NFPA 110.

F. Field quality-control reports.

G. Warranty: For special warranty.

1.6 CLOSEOUT SUBMITTALS

A. Submittals shall comply with the requirements of Section 013300 "Submittal Procedures" and the individual sections specifying the work.

B. Operation and Maintenance Data: For packaged engine generators to include in emergency, operation, and maintenance manuals.

1. In addition to items specified in Section 017823 "Operation and Maintenance Data," include the following:
  - a. List of tools and replacement items recommended to be stored at Project for ready access. Include part and drawing numbers, current unit prices, and source of supply.
  - b. Operating instructions laminated and mounted adjacent to generator location.
  - c. Training plan.
  - d. Two sets of instruction manuals for major components of the system. Manuals shall include:
    - 1) A detailed explanation of the operation of the system.
    - 2) Instructions for routine maintenance.
    - 3) Detailed instructions for repair of the system and components.
    - 4) An illustrated parts list and part numbers.

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- 5) Illustrated and schematic drawings of electrical wiring systems, including operating and safety devices, control panels, instrumentation, and annunciators.

1.7 MAINTENANCE MATERIAL SUBMITTALS

- A. Submittals shall comply with the requirements of Section 013300 "Submittal Procedures" and the individual sections specifying the work.
- B. Furnish extra materials that match products installed and that are packaged with protective covering for storage and identified with labels describing contents.
  1. Fuses: One for every 10 of each type and rating, but no fewer than one of each.
  2. Indicator Lamps: Two for every six of each type used, but no fewer than two of each.
  3. Filters: One set each of lubricating oil, fuel, and combustion-air filters.
  4. Tools: Each tool listed by part number in operations and maintenance manual.

1.8 QUALITY ASSURANCE

- A. Installer Qualifications: An authorized representative who is trained and approved by manufacturer.
- B. Testing Agency Qualifications: Accredited by NETA.
  1. Testing Agency's Field Supervisor: Certified by NETA to supervise on-site testing.

1.9 WARRANTY

- A. Manufacturer's Warranty: Manufacturer agrees to repair or replace components of packaged engine generators and associated auxiliary components that fail in materials or workmanship within specified warranty period.
  1. Warranty Period: Three years from date of Substantial Completion.

PART 2 - PRODUCTS

2.1 MANUFACTURERS

- A. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
  1. Caterpillar, Inc.; Electric Power Division.
  2. Cummins Power Generation.
  3. Kohler Power Systems.
- B. Source Limitations: Obtain packaged engine generators and auxiliary components from single source from single manufacturer.

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2.2 PERFORMANCE REQUIREMENTS

- A. Seismic Performance: Engine generator housing, subbase fuel tank, engine generator, batteries, battery racks, silencers, sound attenuating equipment, accessories, and components shall withstand the effects of earthquake motions determined according to ASCE/SEI 7.
  - 1. The term "withstand" means "the unit will remain in place without separation of any parts when subjected to the seismic forces specified and the unit will be fully operational after the seismic event."
  - 2. Shake-table testing shall comply with ICC-ES AC156. Testing shall be performed with all fluids at worst-case normal levels. Water shall be substituted for diesel fuel in fuel tank during test.
  - 3. Component Importance Factor: 1.5.
- B. B11 Compliance: Comply with B11.19.
- C. NFPA Compliance:
  - 1. Comply with NFPA 37.
  - 2. Comply with NFPA 70.
  - 3. Comply with NFPA 110 requirements for Level 1 EPSS.
- D. UL Compliance: Comply with UL 2200.
- E. Engine Exhaust Emissions: Comply with EPA Tier 2 requirements and applicable state and local government requirements.
- F. Noise Emission: Comply with applicable state and local government requirements for maximum noise level at adjacent property boundaries due to sound emitted by engine generator including engine, engine exhaust, engine cooling-air intake and discharge, and other components of installation.
- G. Environmental Conditions: Engine generator system shall withstand the following environmental conditions without mechanical or electrical damage or degradation of performance capability:
  - 1. Ambient Temperature: 41 to 104 deg F.
  - 2. Relative Humidity: Zero to 95 percent.
  - 3. Altitude: Sea level to 1000 feet.

2.3 ENGINE GENERATOR ASSEMBLY DESCRIPTION

- A. Factory-assembled and -tested, water-cooled engine, with brushless generator and accessories.
- B. Electrical Components, Devices, and Accessories: Listed and labeled as defined in NFPA 70, by a qualified testing agency, and marked for intended location and use.
- C. Power Rating: Standby.

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- D. EPSS Class for the COPS Branch: Engine generator shall be classified as a Class 72 according to NFPA 110. The COPS branch is rated for 125kW of the total generator capacity. Fuel capacity, at 100% load, for 72 hours is required for this portion of the system.
- E. Service Load: As indicated.
- F. Power Factor: 0.8, lagging.
- G. Frequency: 60 Hz.
- H. Voltage: 208-V ac.
- I. Phase: Three-phase, four wire, wye.
- J. Induction Method: Turbocharged.
- K. Governor: Adjustable isochronous, with speed sensing.
- L. Mounting Frame: Structural steel framework to maintain alignment of mounted components without depending on concrete foundation. Provide lifting attachments sized and spaced to prevent deflection of base during lifting and moving.
  - 1. Rigging Diagram: Inscribed on metal plate permanently attached to mounting frame to indicate location and lifting capacity of each lifting attachment and engine generator center of gravity.
- M. Capacities and Characteristics:
  - 1. Power Output Ratings: Nominal ratings as indicated at 0.8 power factor excluding power required for the continued and repeated operation of the unit and auxiliaries, with capacity as required to operate as a unit as evidenced by records of prototype testing.
  - 2. Nameplates: For each major system component to identify manufacturer's name and address, and model and serial number of component.
- N. Engine Generator Performance:
  - 1. Steady-State Voltage Operational Bandwidth: 3 percent of rated output voltage from no load to full load.
  - 2. Transient Voltage Performance: Not more than 20 percent variation for 50 percent step-load increase or decrease. Voltage shall recover and remain within the steady-state operating band within three seconds.
  - 3. Steady-State Frequency Operational Bandwidth: 0.5 percent of rated frequency from no load to full load.
  - 4. Steady-State Frequency Stability: When system is operating at any constant load within the rated load, there shall be no random speed variations outside the steady-state operational band and no hunting or surging of speed.
  - 5. Transient Frequency Performance: Less than 5 percent variation for 50 percent step-load increase or decrease. Frequency shall recover and remain within the steady-state operating band within five seconds.

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6. Output Waveform: At no load, harmonic content measured line to line or line to neutral shall not exceed 5 percent total and 3 percent for single harmonics. Telephone influence factor, determined according to NEMA MG 1, shall not exceed 50 percent.
7. Sustained Short-Circuit Current: For a three-phase, bolted short circuit at system output terminals, system shall supply a minimum of 250 percent of rated full-load current for not less than 10 seconds and then clear the fault automatically, without damage to generator system components.
8. Start Time:
  - a. Comply with NFPA 110, Type U system requirements.

O. Engine Generator Performance for Sensitive Loads:

1. Oversizing generator compared with the rated power output of the engine is permissible to meet specified performance.
  - a. Nameplate Data for Oversized Generator: Show ratings required by the Contract Documents rather than ratings that would normally be applied to generator size installed.
2. Steady-State Voltage Operational Bandwidth: 1 percent of rated output voltage from no load to full load.
3. Transient Voltage Performance: Not more than 10 percent variation for 50 percent step-load increase or decrease. Voltage shall recover and remain within the steady-state operating band within 0.5 second.
4. Steady-State Frequency Operational Bandwidth: Plus or minus 0.25 percent of rated frequency from no load to full load.
5. Steady-State Frequency Stability: When system is operating at any constant load within the rated load, there shall be no random speed variations outside the steady-state operational band and no hunting or surging of speed.
6. Transient Frequency Performance: Less than 2-Hz variation for 50 percent step-load increase or decrease. Frequency shall recover and remain within the steady-state operating band within three seconds.
7. Output Waveform: At no load, harmonic content measured line to neutral shall not exceed 2 percent total with no slot ripple. Telephone influence factor, determined according to NEMA MG 1, shall not exceed 50 percent.
8. Sustained Short-Circuit Current: For a three-phase, bolted short circuit at system output terminals, system shall supply a minimum of 300 percent of rated full-load current for not less than 10 seconds and then clear the fault automatically, without damage to winding insulation or other generator system components.
9. Excitation System: Performance shall be unaffected by voltage distortion caused by nonlinear load.
  - a. Provide permanent magnet excitation for power source to voltage regulator.
10. Start Time:
  - a. Comply with NFPA 110, Type U system requirements.

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2.4 DIESEL ENGINE

- A. Fuel: ASTM D975, diesel fuel oil, Grade 2-D S15.
- B. Rated Engine Speed: 1800 rpm.
- C. Lubrication System: Engine or skid-mounted.
  - 1. Filter and Strainer: Rated to remove 90 percent of particles 5 micrometers and smaller while passing full flow.
  - 2. Thermostatic Control Valve: Control flow in system to maintain optimum oil temperature. Unit shall be capable of full flow and is designed to be fail-safe.
  - 3. Crankcase Drain: Arranged for complete gravity drainage to an easily removable container with no disassembly and without use of pumps, siphons, special tools, or appliances.
- D. Jacket Coolant Heater: Electric-immersion type, factory installed in coolant jacket system. Comply with UL 499 and with NFPA 110 requirements for Level 1 equipment for heater capacity.
- E. Integral Cooling System: Closed loop, liquid cooled, with radiator factory mounted on engine generator set mounting frame and integral engine-driven coolant pump.
  - 1. Coolant: Solution of 50 percent ethylene-glycol-based antifreeze and 50 percent water, with anticorrosion additives as recommended by engine manufacturer.
  - 2. Size of Radiator: Adequate to contain expansion of total system coolant from cold start to 110 percent load condition.
  - 3. Expansion Tank: Constructed of welded steel plate and rated to withstand maximum closed-loop coolant system pressure for engine used. Equip with gage glass and petcock.
  - 4. Temperature Control: Self-contained, thermostatic-control valve modulates coolant flow automatically to maintain optimum constant coolant temperature as recommended by engine manufacturer.
  - 5. Coolant Hose: Flexible assembly with inside surface of nonporous rubber and outer covering of aging-, UV-, and abrasion-resistant fabric.
    - a. Rating: 50-psig maximum working pressure with coolant at 180 deg F, and noncollapsible under vacuum.
    - b. End Fittings: Flanges or steel pipe nipples with clamps to suit piping and equipment connections.
- F. Muffler/Silencer:
  - 1. Critical type, sized as recommended by engine and enclosure manufacturer and selected with exhaust piping system to not exceed engine manufacturer's engine backpressure requirements.
    - a. Minimum sound attenuation of 25 dB at 500 Hz.
    - b. Sound level measured at a distance of 100 feet from exhaust discharge after installation is complete shall be 45 dBA or less.

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- G. Air-Intake Filter: Heavy-duty, engine-mounted air cleaner with replaceable dry-filter element and "blocked filter" indicator.
- H. Starting System: 24-V electric, with negative ground.
1. Components: Sized so they are not damaged during a full engine-cranking cycle with ambient temperature at maximum specified in "Performance Requirements" Article.
  2. Cranking Motor: Heavy-duty unit that automatically engages and releases from engine flywheel without binding.
  3. Cranking Cycle: As required by NFPA 110 for system level specified.
  4. Battery: Lead acid, with capacity within ambient temperature range specified in "Performance Requirements" Article to provide specified cranking cycle at least twice without recharging.
  5. Battery Cable: Size as recommended by engine manufacturer for cable length indicated. Include required interconnecting conductors and connection accessories.
  6. Battery Compartment: Factory fabricated of metal with acid-resistant finish and thermal insulation. Thermostatically controlled heater shall be arranged to maintain battery above 50 deg F regardless of external ambient temperature within range specified in "Performance Requirements" Article. Include accessories required to support and fasten batteries in place. Provide ventilation to exhaust battery gases.
  7. Battery Stand: Factory-fabricated, two-tier metal with acid-resistant finish designed to hold the quantity of battery cells required and to maintain the arrangement to minimize lengths of battery interconnections.
  8. Battery-Charging Alternator: Factory mounted on engine with solid-state voltage regulation and 35-A minimum continuous rating.
  9. Battery Charger: Current-limiting, automatic-equalizing, and float-charging type designed for lead-acid batteries. Unit shall comply with UL 1236 and include the following features:
    - a. Operation: Equalizing-charging rate of 10 A shall be initiated automatically after battery has lost charge until an adjustable equalizing voltage is achieved at battery terminals. Unit shall then be automatically switched to a lower float-charging mode and shall continue to operate in that mode until battery is discharged again.
    - b. Automatic Temperature Compensation: Adjust float and equalize voltages for variations in ambient temperature from minus 40 to 140 deg F to prevent overcharging at high temperatures and undercharging at low temperatures.
    - c. Automatic Voltage Regulation: Maintain constant output voltage regardless of input voltage variations up to plus or minus 10 percent.
    - d. Ammeter and Voltmeter: Flush mounted in door. Meters shall indicate charging rates.
    - e. Safety Functions: Sense abnormally low battery voltage and close contacts providing low battery voltage indication on control and monitoring panel. Sense high battery voltage and loss of ac input or dc output of battery charger. Either condition shall close contacts that provide a battery-charger malfunction indication at system control and monitoring panel.
    - f. Enclosure and Mounting: NEMA 250, Type 1, wall-mounted cabinet.

2.5 DIESEL FUEL-OIL SYSTEM

- A. Comply with NFPA 37.

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- B. Piping: Fuel-oil piping shall be Schedule 40 black steel, complying with requirements in Section 231113 "Facility Fuel-Oil Piping." Cast iron, aluminum, copper, and galvanized steel shall not be used in the fuel-oil system.
- C. Main Fuel Pump: Mounted on engine to provide primary fuel flow under starting and load conditions.
- D. Fuel Filtering: Remove water and contaminants larger than 1 micron.
- E. Relief-Bypass Valve: Automatically regulates pressure in fuel line and returns excess fuel to source.
- F. Subbase-Mounted, Double-Wall, Fuel-Oil Tank: Factory installed below the enclosure floor and piped, complying with UL 142 fuel-oil tank. Features include the following:
  - 1. Tank level indicator.
  - 2. Fuel-Tank Capacity: As indicated.
  - 3. Leak detection in interstitial space.
  - 4. Vandal-resistant fill cap.
  - 5. Containment Provisions: Comply with requirements of authorities having jurisdiction. Secondary fuel containment shall have a nominal capacity of 120% of the primary fuel tank.
  - 6. Provide external fuel fill with 5 gallon spill basin, an overflow prevention valve, drainage plumbing, supply/return lines to the engine, and supply valve control. Valves shall be stainless steel.
  - 7. Fuel tank vent shall be extended through the roof of the enclosure and terminate with a vent cap at a point that measures at least 12-feet above grade. The emergency vents shall include a spring load pressure release vent cap.
  - 8. Provide an electronic monitoring fuel monitoring system for the fuel tank. The system shall be comprised of a programmable, digital process meter, and an electronic fuel level sender. The system shall be powered by nominally 11 to 38 VDC. Output from the sender shall be 4-20 mA and shall be wired directly to the input of the process meter. Local digital LED display shall read directly in U.S. gallons. The standard configuration shall include local indication of "FUEL FULL" and Form A output contacts for "HIGH LEVEL" and "LOW LEVEL". An independent float operated contact shall be supplied for indication of "RUPTURE" (leak) condition. In addition, an annunciator panel shall be beside the external fuel fill to the tank with a visual and audible alarm to signal "HIGH" (or full) condition. The enclosure manufacturer shall make the required connections to the generator battery and connect the alarms to a connection box in the vicinity of the generator control cabinet.
  - 9. The enclosure, fuel tank base and external fuel fill station shall be provided with proper NFPA signage in accordance with State of New Hampshire regulations.

2.6 CONTROL AND MONITORING

- A. Automatic Starting System Sequence of Operation: When mode-selector switch on the control and monitoring panel is in the automatic position, remote-control contacts in one or more separate automatic transfer switches initiate starting and stopping of engine generator. When mode-selector switch is switched to the on position, engine generator starts. The off position of same switch initiates engine generator shutdown. When engine generator is running, specified

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system or equipment failures or derangements automatically shut down engine generator and initiate alarms.

- B. Provide minimum run time control set for 30 minutes with override only by operation of a remote emergency-stop switch.
- C. Comply with UL 508A.
- D. Configuration:
  - 1. Operating and safety indications, protective devices, basic system controls, and engine gages shall be grouped in a common control and monitoring panel mounted on the engine generator. Mounting method shall isolate the control panel from engine generator vibration. Panel shall be powered from the engine generator battery.
- E. Control and Monitoring Panel:
  - 1. Digital engine generator controller with integrated LCD display, controls, and microprocessor, capable of local and remote control, monitoring, and programming, with battery backup.
  - 2. Analog control panel with dedicated gages and indicator lights for the instruments and alarms indicated below.
  - 3. Instruments: Located on the control and monitoring panel and viewable during operation.
    - a. Engine lubricating-oil pressure gage.
    - b. Engine-coolant temperature gage.
    - c. DC voltmeter (alternator battery charging).
    - d. Running-time meter.
    - e. AC voltmeter, for each phase.
    - f. AC ammeter, for each phase.
    - g. AC frequency meter.
    - h. Generator-voltage adjusting rheostat.
  - 4. Controls and Protective Devices: Controls, shutdown devices, and common alarm indication, including the following:
    - a. Cranking control equipment.
    - b. Run-Off-Auto switch.
    - c. Control switch not in automatic position alarm.
    - d. Overcrank alarm.
    - e. Overcrank shutdown device.
    - f. Low-water temperature alarm.
    - g. High engine temperature prealarm.
    - h. High engine temperature.
    - i. High engine temperature shutdown device.
    - j. Overspeed alarm.
    - k. Overspeed shutdown device.
    - l. Low fuel main tank.
      - 1) Low-fuel-level alarm shall be initiated when the level falls below that required for operation for duration required for the indicated EPSS class.

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- m. Coolant low-level alarm.
- n. Coolant low-level shutdown device.
- o. Coolant high-temperature prealarm.
- p. Coolant high-temperature alarm.
- q. Coolant low-temperature alarm.
- r. Coolant high-temperature shutdown device.
- s. EPS load indicator.
- t. Battery high-voltage alarm.
- u. Low cranking voltage alarm.
- v. Battery-charger malfunction alarm.
- w. Battery low-voltage alarm.
- x. Lamp test.
- y. Contacts for local and remote common alarm.
- z. Low-starting air pressure alarm.
- aa. Remote manual stop shutdown device.
- bb. Air shutdown damper alarm when used.
- cc. Air shutdown damper shutdown device when used.
- dd. Generator overcurrent-protective-device not-closed alarm.
- ee. Hours of operation.
- ff. Engine generator metering, including voltage, current, hertz, kilowatt, kilovolt ampere, and power factor.

F. Connection to Datalink:

- 1. A separate terminal block, factory wired to Form C dry contacts, for each alarm and status indication.
- 2. Provide connections for datalink transmission of indications to remote data terminals. Coordinate protocol with fire alarm control panel and Owner.
  - a. Provide capability for owner to receive email and text alerts of generator run, fuel consumption, fuel level, engine percent load and trouble signals (at a minimum) via cellular connection.

G. Remote Alarm Annunciator: An LED indicator light labeled with proper alarm conditions shall identify each alarm event, and a common audible signal shall sound for each alarm condition. Silencing switch in face of panel shall silence signal without altering visual indication. Connect so that after an alarm is silenced, clearing of initiating condition will reactivate alarm until silencing switch is reset. Cabinet and faceplate are surface- or flush-mounting type to suit mounting conditions indicated.

- 1. Overcrank alarm.
- 2. Low water-temperature alarm.
- 3. High engine temperature prealarm.
- 4. High engine temperature alarm.
- 5. Low lube oil pressure alarm.
- 6. Overspeed alarm.
- 7. Low fuel main tank alarm.
- 8. Low coolant level alarm.
- 9. Low cranking voltage alarm.
- 10. Contacts for local and remote common alarm.
- 11. Audible-alarm silencing switch.

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12. Air shutdown damper when used.
  13. Run-Off-Auto switch.
  14. Control switch not in automatic position alarm.
  15. Fuel tank derangement alarm.
  16. Fuel tank high-level shutdown of fuel supply alarm.
  17. Lamp test.
  18. Low-cranking voltage alarm.
  19. Generator overcurrent-protective-device not-closed alarm.
- H. Supporting Items: Include sensors, transducers, terminals, relays, and other devices and include wiring required to support specified items. Locate sensors and other supporting items on engine or generator unless otherwise indicated.
- I. Remote Emergency-Stop Switch: Weatherproof; wall mounted unless otherwise indicated; and labeled. Push button shall be protected from accidental operation. Locate as directed by the Owner.
- J. Provide generator engine start module to monitor the control wires between the generator and each of the automatic transfer switches. Generator shall start upon loss of control wiring.

2.7 GENERATOR OVERCURRENT AND FAULT PROTECTION

- A. Overcurrent protective devices shall be coordinated to optimize selective tripping when a short circuit occurs.
1. Overcurrent protective devices for the entire EPSS shall be coordinated to optimize selective tripping when a short circuit occurs. Coordination of protective devices shall consider both utility and EPSS as the voltage source.
  2. Overcurrent protective devices for the EPSS shall be accessible only to authorized personnel.
- B. Provide generator circuit breakers in three isolated compartments.
- C. Generator Overcurrent Protective Device:
1. Molded-case circuit breaker, electronic-trip type; 100 percent rated; complying with UL 489:
    - a. Tripping Characteristics: Adjustable long-time and short-time delay and instantaneous.
    - b. Trip Settings: Selected to coordinate with generator thermal damage curve.
    - c. Shunt Trip: Connected to trip breaker when engine generator is shut down by other protective devices.
    - d. Mounting: Adjacent to, or integrated with, control and monitoring panel.
- D. Generator Protector: Microprocessor-based unit shall continuously monitor current level in each phase of generator output, integrate generator heating effect over time, and predict when thermal damage of alternator will occur. When signaled by generator protector or other engine generator protective devices, a shunt-trip device in the generator disconnect switch shall open

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the switch to disconnect the generator from load circuits. Protector performs the following functions:

1. Initiates a generator overload alarm when generator has operated at an overload equivalent to 110 percent of full-rated load for 60 seconds. Indication for this alarm is integrated with other engine generator malfunction alarms. Contacts shall be available for load shed functions.
2. Under single- or three-phase fault conditions, regulates generator to 300 percent of rated full-load current for up to 10 seconds.
3. As overcurrent heating effect on the generator approaches the thermal damage point of the unit, protector switches the excitation system off, opens the generator disconnect device, and shuts down the engine generator.
4. Senses clearing of a fault by other overcurrent devices and controls recovery of rated voltage to avoid overshoot.

2.8 GENERATOR, EXCITER, AND VOLTAGE REGULATOR

- A. Comply with NEMA MG 1.
- B. Drive: Generator shaft shall be directly connected to engine shaft. Exciter shall be rotated integrally with generator rotor.
- C. Electrical Insulation: Class H.
- D. Stator-Winding Leads: Brought out to terminal box to permit future reconnection for other voltages if required. Provide 12-lead alternator.
- E. Range: Provide extended range of output voltage by adjusting the excitation level.
- F. Construction shall prevent mechanical, electrical, and thermal damage due to vibration, overspeed up to 125 percent of rating, and heat during operation at 110 percent of rated capacity.
- G. Enclosure: Drip proof.
- H. Instrument Transformers: Mounted within generator enclosure.
- I. Voltage Regulator: Solid-state type, separate from exciter, providing performance as specified and as required by NFPA 110.
  1. Adjusting Rheostat on Control and Monitoring Panel: Provide plus or minus 5 percent adjustment of output-voltage operating band.
  2. Maintain voltage within 15 percent on one step, full load.
  3. Provide anti-hunt provision to stabilize voltage.
  4. Maintain frequency within 5 percent and stabilize at rated frequency within 2 seconds.
- J. Strip Heater: Thermostatically controlled unit arranged to maintain stator windings above dew point.

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- K. Windings: Two-thirds pitch stator winding and fully linked amortisseur winding.
- L. Subtransient Reactance: 12 percent, maximum.

2.9 OUTDOOR ENGINE GENERATOR ENCLOSURE

A. Description:

1. Weatherproof, sound attenuated, prefabricated or pre-engineered, walk-in enclosure; erected off site, with the generator, and installed in the field on concrete foundation.
  - a. Provide an enclosure with a roof, two sidewalls, two end walls, and a fuel tank base. Provide prepainted, aluminum stressed-skin, semi-monocoque construction and application-specific acoustic insulation, lining and air handling equipment designed to provide the specified level of sound attenuation.
  - b. Roof shall incorporate a positive camber and be comprised of a mill-finish 6063-T6 extruded aluminum perimeter channel or "roof rail" with 16 gauge (minimum) roll-formed galvanized cross members or "roof bows" mechanically fastened to the roof rails. The roof skin shall be a nominal 0.040-inch thick 3003-H16 or 3105-H14 mill-finish aluminum sheet and fastened to the roof bows and roof rails. The top skin shall be rolled over the perimeter of the roof rails so as to provide additional weather protection. A weatherproof mastic/sealant shall be used at the perimeter, as well as any joints required in the roof skin. The top skin shall be comprised of separate sheets of roof skin joined with lock-seam construction. This joint(s) shall include a high performance mastic tape or sealant for both joint structural integrity and weather protection. Roof load equal to 50 lbs./ft<sup>2</sup>.
  - c. The walls shall be manufactured utilizing mill-prepainted 0.040 inch thick (nominal) 3004-H36 aluminum panels hard-riveted to fabricated aluminized steel "Z" section wall posts located on 24 inch (maximum) centers. The enclosure walls shall incorporate an extruded structural "panel-cap" of mill finish extruded 6063-T6 aluminum. The panel-cap shall interlock into the adjoining roof rail for a weatherproof structural connection between the roof and sidewalls. The bottom exterior of the sidewalls will incorporate a mill finish extruded 6063-T6 aluminum "rub rail" for a structural connection of the sidewalls to the base perimeter.
  - d. Corner posts shall be pairs of mating/interlocking mill finish 6063-T6 aluminum extrusions with one-half of each pair attached to the end of the wall. The halves will interlock upon assembly forming a structural, weatherproof corner.
  - e. To the greatest extent practical, the enclosure shall be manufactured to accommodate removal of the assembly of the walls and roof from the base to facilitate major equipment installation and maintenance.
  - f. Provide thermo-acoustic insulation and composite material installed on the interior roof and wall panels of a weight and thickness consistent with the specified level of noise reduction. The insulation shall be covered with mill finish 0.032-inch thick (nominal) perforated aluminum interior lining for the purpose of protecting the insulating medium as well as allowing noise to permeate the absorptive material.
  - g. The floor structure shall be rated for a minimum distributed load of 200 lbs./ft<sup>2</sup> and reinforced as required to support prevailing point-loading. The floor and underframe assembly shall consist of fabricated steel or structural steel welded to form the outer perimeter. This perimeter shall be combined with formed or

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structural steel cross members (nominal 16-inch centers) so as to create a welded steel support structure for the installed power generation equipment. Steel channels shall be incorporated into the floor structure for adequate structural support and attachment of the generator set and vibration isolators.

- h. The cross members shall be overlaid with a composite of (2) layers of 0.72-inch thick (nominal) oriented strand board (OSB) covered by 14 gauge (minimum) diamond plate steel for the purpose of load distribution, vibration isolation and sound attenuation. The steel sheet shall be coated with a wear-resistant, high quality anti-corrosive material. Truss head screws shall be inserted in optimal locations through the diamond plate sheets to establish a ground connection to the underframe cross members. In addition, a minimum of 3 inches of acoustic insulation shall be secured under the floor and between the cross members to provide the required level of acoustic performance.
  - i. Rain test equal to 4 inches per hour.
- B. Structural Design and Anchorage: Comply with ASCE/SEI 7 for wind loads up to 120 mph.
  - C. Seismic Design: Comply with seismic requirements in Section 260548 "Vibration and Seismic Controls for Electrical Systems" and provide IBC seismic certification and New Hampshire PE stamped drawings.
  - D. Fire Protection: Design and provide fire protection according to Section 212200 "Clean-Agent Fire-Extinguishing Systems."
  - E. Hinged Doors: Provide two (2) access doors. Door shall consist of an extruded aluminum frame with skin construction and material matching enclosure. Doors shall be fully gasketed to form a weathertight perimeter seal. Hinges shall be forged aluminum with stainless steel pins, handle shall be stainless steel and padlockable, and lock mechanism shall be three-point, with panic hardware to allow opening from inside even when padlocked. Doors shall include a positive restraint to prevent the door from opening more than 100 degrees in normal operation. Door openings shall include an overhead rain gutter for channeling rainwater away from the enclosure.
  - F. Load Center: Provide 120/208V, 3 phase, 4 wire load center. Provide a 1-1/2" (minimum) conduit stub-up through the fuel tank base and floor to the load center.
  - G. Space Heaters: Thermostatically controlled and sized to prevent condensation and to maintain winter interior temperature within operating limits required by engine generator components. Factory-wired to enclosure load center in EMT conduit.
  - H. Lighting: Provide weather-resistant LED lighting with 50 fc average maintained. Provide compatible controls at each entry door.
  - I. Thermal Insulation: Manufacturer's standard materials and thickness selected in coordination with space heater to maintain winter interior temperature within operating limits required by engine generator components.
  - J. Muffler Location: Within enclosure.

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- K. Engine-Cooling Airflow through Enclosure: Maintain temperature rise of system components within required limits when unit operates at 110 percent of rated load for two hours with ambient temperature at top of range specified in system service conditions.
1. Inlet air shall enter the rear of the enclosure through an internal, horizontal duct silencer and motor-operated damper providing the necessary level of attenuation. The air inlet opening shall include an overhanging weather protective hood to minimize the entrance of rain and snow as well as screen to prevent the entrance of rodents, miscellaneous debris, etc.
  2. Air discharged from the enclosure shall be through a gravity damper and horizontal duct silencer to provide the necessary level of attenuation. Discharge opening shall be protected with screen.
  3. Inlet and exhaust air handling equipment shall be designed so as to maintain a combined total maximum static pressure drop of 0.5 inches of water gauge through the enclosure. In addition, third party test data shall be available to support the devices used within the air handling system to insure that the maximum allowable 0.5 inches water gauge static pressure drop has not been exceeded.
  4. Enclosure manufacturer shall provide the necessary means to internally mount the specified engine combustion exhaust silencer. The silencer must be sized and selected with an acoustic performance exceeding the performance of the enclosure. Proper insulation shall be provided on exhaust system for personnel protection and to prevent excessive heat rejection to enclosure interior.
  5. Ventilation: Provide temperature-controlled exhaust fan interlocked to prevent operation when engine is running. Factory-wired to enclosure load center in EMT conduit
- L. Interior Lights with Switches: Factory-wired, to enclosure load center in EMT conduit, vapor-proof luminaires within housing; arranged to illuminate controls and accessible interior.
1. AC lighting system and connection point for operation when remote source is available.
  2. Emergency battery units for operation when remote source is unavailable.
- M. Convenience Outlets: Factory-wired, GFCI. Factory-wired to enclosure load center in EMT conduit.
- N. Provide lift rings at the tank perimeter for the purpose of lifting the complete enclosure with installed genset and empty fuel tank into place. The lift rings shall be fabricated of 1.25-inch thick (nominal) steel plate and welded into the rupture basin perimeter at 4 locations. The lift rings shall be labeled "For lifting only with tank empty."

2.10 VIBRATION ISOLATION DEVICES

- A. Restrained Spring Isolators: Freestanding, steel, open-spring isolators.
1. Housing: Steel with resilient vertical-limit stops to prevent spring extension due to wind loads or if weight is removed; factory-drilled baseplate bonded to 1/4-inch- (6-mm-) thick, elastomeric isolator pad attached to baseplate underside; and adjustable equipment mounting and leveling bolt that acts as blocking during installation.
  2. Outside Spring Diameter: Not less than 80 percent of compressed height of the spring at rated load.
  3. Minimum Additional Travel: 50 percent of required deflection at rated load.

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4. Lateral Stiffness: More than 80 percent of rated vertical stiffness.
5. Overload Capacity: Support 200 percent of rated load, fully compressed, without deformation or failure.

B. Vibration isolation devices shall not be used to accommodate misalignments or to make bends.

2.11 FINISHES

A. Outdoor Enclosures and Components: Manufacturer's standard finish over corrosion-resistant pretreatment and compatible primer. Architect shall select color from Manufacturer's full range.

2.12 PLATFORM STAIRS

A. Provide enclosure manufacturer's standard platform with stairs at each entry door. Tread and deck surface shall be aluminum with a punched, raised hole pattern. Provide railings.

2.13 SOURCE QUALITY CONTROL

A. Prototype Testing: Factory test engine generator using same engine model, constructed of identical or equivalent components and equipped with identical or equivalent accessories.

1. Tests: Comply with IEEE 115 and with NFPA 110, Level 1 Energy Converters.

B. Project-Specific Equipment Tests: Before shipment, factory test engine generator and other system components and accessories manufactured specifically for this Project. Perform tests at rated load and power factor. Include the following tests:

1. Test components and accessories furnished with installed unit and enclosure that are not identical to those on tested prototype to demonstrate compatibility and reliability.
2. Test generator, exciter, and voltage regulator as a unit.
3. Full load run.
4. Maximum power.
5. Voltage regulation.
6. Transient and steady-state governing.
7. Single-step load pickup.
8. Safety shutdown.
9. Provide 14 days' advance notice of tests and opportunity for observation of tests by Owner's representative.
10. Report factory test results within 10 days of completion of test.

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PART 3 - EXECUTION

3.1 EXAMINATION

- A. Examine areas, equipment bases, and conditions, with Installer present, for compliance with requirements for installation and other conditions affecting packaged engine generator performance.
- B. Examine roughing-in for piping systems and electrical connections. Verify actual locations of connections before packaged engine generator installation.
- C. Proceed with installation only after unsatisfactory conditions have been corrected.

3.2 PREPARATION

- A. Interruption of Existing Electrical Service: Do not interrupt electrical service to facilities occupied by Owner or others unless permitted under the following conditions and then only after arranging to provide temporary electrical service according to requirements indicated:
  - 1. Notify Owner no fewer than seven working days in advance of proposed interruption of electrical service.
  - 2. Do not proceed with interruption of electrical service without Owner's written permission.

3.3 INSTALLATION

- A. Comply with NECA 1 and NECA 404.
- B. Comply with packaged engine generator manufacturers' written installation and alignment instructions and with NFPA 110.
- C. Equipment Mounting:
  - 1. Install packaged engine generators on cast-in-place concrete equipment bases. Comply with requirements for equipment bases and foundations specified on the Drawings.
  - 2. Coordinate size and location of concrete bases for packaged engine generators. Cast anchor-bolt inserts into bases. Concrete, reinforcement, and formwork requirements are specified with concrete.
  - 3. Install engine generator in a walk-in enclosure with elastomeric isolator pads having a minimum deflection of 1 inch on concrete base as indicated. Secure enclosure to anchor bolts installed in concrete bases. Concrete base construction is specified in Section 260548 "Vibration and Seismic Controls for Electrical Systems" as indicated on drawings.
- D. Install packaged engine generator to provide access, without removing connections or accessories, for periodic maintenance.
- E. Install and secure platform stairs.

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- F. Exhaust System: Install Schedule 40 black steel piping with welded joints and connect to engine muffler. Install thimble at wall. Piping shall be same diameter as muffler outlet.
  - 1. Piping materials and installation shall be per manufacturer's requirements.
  - 2. Install flexible connectors and steel piping materials according to manufacturer's requirements.
  - 3. Insulate muffler/silencer and exhaust system components according to manufacturer's requirements.
  - 4. Install isolating thimbles where exhaust piping penetrates combustible surfaces with a minimum of 9 inches of clearance from combustibles.
- G. Drain Piping: Install condensate drain piping to muffler drain outlet full size of drain connection with a shutoff valve, stainless-steel flexible connector, and Schedule 40 black steel pipe with welded joints.
  - 1. Piping materials and installation shall be per manufacturer's requirements.
  - 2. Drain piping valves, connectors, and installation shall be per manufacturer's requirements.
- H. Fuel Piping:
  - 1. Diesel storage tanks, tank accessories, piping, valves, and specialties for fuel systems shall be as recommended by the equipment manufacturer and meet the State of New Hampshire requirements for above ground storage tanks.
  - 2. Copper and galvanized steel shall not be used in the fuel-oil piping system.
- I. Install electrical devices furnished by equipment manufacturers but not specified to be factory mounted.

3.4 CONNECTIONS

- A. Piping installation shall be per manufacturer requirements.
- B. Connect fuel, cooling-system, and exhaust-system piping adjacent to packaged engine generator to allow space for service and maintenance.
- C. Connect cooling-system water piping to engine generator and heat exchanger with flexible connectors.
- D. Connect engine exhaust pipe to engine with flexible connector.
- E. Connect fuel piping to engines with a gate valve and union and flexible connector.
- F. Ground equipment according to Section 260526 "Grounding and Bonding for Electrical Systems."
- G. Connect wiring according to Section 260519 "Low-Voltage Electrical Power Conductors and Cables." Provide a minimum of one 90-degree bend in flexible conduit routed to the engine generator from a stationary element.

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- H. Connect/set up generator alert and trouble signals to send information to text and email. Coordinate with the Owner for numbers and email addresses. Test for proper operation.

3.5 IDENTIFICATION

- A. Identify system components according to Section 260553 "Identification for Electrical Systems."

3.6 FIELD QUALITY CONTROL

A. Testing Agency:

- 1. Engage a qualified testing agency to perform tests and inspections. Electrical Contractor shall assist.
- 2. Provide adjustable load bank and diesel fuel for testing and commissioning.

B. Tests and Inspections:

- 1. Provide 14 days' advance notice of tests and opportunity for observation of tests by Owner's representative.
- 2. Testing agency shall perform tests recommended by manufacturer and each visual and mechanical inspection and electrical and mechanical test listed in first two subparagraphs below, as specified in NETA ATS. Certify compliance with test parameters.
  - a. Visual and Mechanical Inspection:
    - 1) Compare equipment nameplate data with Drawings and the Specifications.
    - 2) Inspect physical and mechanical condition of unit and enclosure.
    - 3) Inspect anchorage, alignment, and grounding of unit and enclosure.
    - 4) Verify that the unit and enclosure are clean.
  - b. Electrical and Mechanical Tests:
    - 1) Perform insulation-resistance tests according to IEEE 43.
      - a) Machines Larger Than 200 hp: Test duration shall be 10 minutes. Calculate polarization index.
    - 2) Test protective relay devices.
    - 3) Verify phase rotation, phasing, and synchronized operation as required by the application.
    - 4) Functionally test engine shutdown for low oil pressure, over temperature, overspeed, and other protection features as applicable.
    - 5) Perform vibration test for each main bearing cap.
    - 6) Verify correct functioning of the governor and regulator.
- 3. NFPA 110 Acceptance Tests: Perform tests required by NFPA 110 that are additional to those specified here, including, but not limited to, single-step full-load pickup test.

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4. Battery Tests: Equalize charging of battery cells according to manufacturer's written instructions. Record individual cell voltages.
    - a. Measure charging voltage and voltages between available battery terminals for full-charging and float-charging conditions. Check electrolyte level and specific gravity under both conditions.
    - b. Test for contact integrity of all connectors. Perform an integrity load test and a capacity load test for the battery.
    - c. Verify acceptance of charge for each element of the battery after discharge.
    - d. Verify that measurements are within manufacturer's specifications.
  5. Battery-Charger Tests: Verify specified rates of charge for both equalizing and float-charging conditions.
  6. System Integrity Tests: Methodically verify proper installation, connection, and integrity of each element of engine generator system before and during system operation. Check for air, exhaust, and fluid leaks.
  7. Exhaust Emissions Test: Comply with applicable government test criteria.
  8. Voltage and Frequency Transient Stability Tests: Use recording oscilloscope to measure voltage and frequency transients for 50 and 100 percent step-load increases and decreases, and verify that performance is as specified.
  9. Harmonic-Content Tests: Measure harmonic content of output voltage at 25 and 100 percent of rated linear load. Verify that harmonic content is within specified limits.
  10. Noise Level Tests: Measure A-weighted level of noise emanating from engine generator installation, including engine exhaust and cooling-air intake and discharge, at eight locations evenly spaced along the property line, and compare measured levels with required values.
- C. Coordinate tests with tests for transfer switches and run them concurrently.
- D. Test instruments shall have been calibrated within the past 12 months, traceable to NIST Calibration Services, and adequate for making positive observation of test results. Make calibration records available for examination on request.
- E. Leak Test: After installation, charge exhaust, coolant, and fuel systems and test for leaks. Repair leaks and retest until no leaks exist.
- F. Operational Test: After electrical circuitry has been energized, start units to confirm proper motor rotation and unit operation for generator and associated equipment.
- G. Test and adjust controls and safeties. Replace damaged and malfunctioning controls and equipment.
- H. Remove and replace malfunctioning units and retest as specified above.
- I. Retest: Correct deficiencies identified by tests and observations, and retest until specified requirements are met.
- J. Report results of tests and inspections in writing. Record adjustable relay settings and measured insulation resistances, time delays, and other values and observations. Attach a label or tag to each tested component indicating satisfactory completion of tests.

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- K. Infrared Scanning: After Substantial Completion, but not more than 60 days after final acceptance, perform an infrared scan of each power wiring termination and each bus connection while running with maximum load. Remove all access panels so terminations and connections are accessible to portable scanner.
  - 1. Follow-up Infrared Scanning: Perform an additional follow-up infrared scan 11 months after date of Substantial Completion.
  - 2. Instrument: Use an infrared scanning device designed to measure temperature or to detect significant deviations from normal values. Provide calibration record for device.
  - 3. Record of Infrared Scanning: Prepare a certified report that identifies terminations and connections checked and that describes scanning results. Include notation of deficiencies detected, remedial action taken, and observations after remedial action.

3.7 MAINTENANCE SERVICE

- A. Initial Maintenance Service: Beginning at Substantial Completion, maintenance service shall include 12 months' full maintenance by skilled employees of manufacturer's authorized service representative. Include quarterly preventive maintenance and exercising to check for proper starting, load transfer, and running under load. Include routine preventive maintenance as recommended by manufacturer and adjusting as required for proper operation. Parts shall be manufacturer's authorized replacement parts and supplies.

3.8 DEMONSTRATION

- A. Engage a factory-authorized service representative to train Owner's maintenance personnel to adjust, operate, and maintain packaged engine generators.

END OF SECTION



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SECTION 263600 - TRANSFER SWITCHES

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

- A. Section includes automatic and manual transfer switches rated 600 V and less, including the following:
  - 1. Bypass/isolation switches.
- B. Related Requirements:
  - 1. Section 260572 "Overcurrent Protective Device Short-Circuit Study" for calculated short-circuit ratings.
  - 2. Section 260548 "Vibration and Seismic Controls for Electrical Systems."

1.3 ACTION SUBMITTALS

- A. Submittals shall comply with the requirements of Section 013300 "Submittal Procedures" and the individual sections specifying the work.
- B. Product Data: For each type of product.
  - 1. Include construction details, material descriptions, dimensions of individual components and profiles, and finishes for transfer switches.
  - 2. Include rated capacities, operating characteristics, electrical characteristics, and accessories.
- C. Shop Drawings:
  - 1. Include plans, elevations, sections, details showing minimum clearances, conductor entry provisions, gutter space, and installed features and devices.
  - 2. Include material lists for each switch specified.
  - 3. Single-Line Diagram: Show connections between transfer switch, bypass/isolation switch, power sources, and load; and show interlocking provisions for each combined transfer switch and bypass/isolation switch.
  - 4. Riser Diagram: Show interconnection wiring between transfer switches and bypass/isolation switches.

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1.4 INFORMATIONAL SUBMITTALS

- A. Submittals shall comply with the requirements of Section 013300 "Submittal Procedures" and the individual sections specifying the work.
- B. Qualification Data: For testing agency.
- C. Seismic Qualification Data: Certificates, for transfer switches, accessories, and components, from manufacturer.
  - 1. Basis for Certification: Indicate whether withstand certification is based on actual test of assembled components or on calculation.
  - 2. Dimensioned Outline Drawings of Equipment Unit: Identify center of gravity and locate and describe mounting and anchorage provisions.
  - 3. Detailed description of equipment anchorage devices on which the certification is based and their installation requirements.
- D. Field quality-control reports.

1.5 CLOSEOUT SUBMITTALS

- A. Submittals shall comply with the requirements of Section 013300 "Submittal Procedures" and the individual sections specifying the work.
- B. Operation and Maintenance Data: For each type of product to include in emergency, operation, and maintenance manuals.
  - 1. In addition to items specified in Section 017823 "Operation and Maintenance Data," include the following:
    - a. Features and operating sequences, both automatic and manual.
    - b. List of all factory settings of relays; provide relay-setting and calibration instructions, including software, where applicable.

1.6 QUALITY ASSURANCE

- A. Testing Agency Qualifications:
  - 1. Member company of NETA.
    - a. Testing Agency's Field Supervisor: Certified by NETA to supervise on-site testing.

1.7 FIELD CONDITIONS

- A. Interruption of Existing Electrical Service: Do not interrupt electrical service to facilities occupied by Owner or others unless permitted under the following conditions and then only after arranging to provide temporary electrical service:

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1. Notify Owner no fewer than seven days in advance of proposed interruption of electrical service.
2. Do not proceed with interruption of electrical service without Owner's written permission.

1.8 WARRANTY

- A. Manufacturer's Warranty: Manufacturer agrees to repair or replace components of transfer switch or transfer switch components that fail in materials or workmanship within specified warranty period.

1. Warranty Period: Two years from date of Substantial Completion.

PART 2 - PRODUCTS

2.1 PERFORMANCE REQUIREMENTS

- A. Electrical Components, Devices, and Accessories: Listed and labeled as defined in NFPA 70, by a qualified testing agency, and marked for intended location and application.
- B. Comply with NEMA ICS 1.
- C. Comply with NFPA 110.
- D. Comply with UL 1008 unless requirements of these Specifications are stricter.
- E. Indicated Current Ratings: Apply as defined in UL 1008 for continuous loading and total system transfer, including tungsten filament lamp loads not exceeding 30 percent of switch ampere rating, unless otherwise indicated.
- F. Tested Fault-Current Closing and Short-Circuit Ratings: Adequate for duty imposed by protective devices at installation locations in Project under the fault conditions indicated, based on testing according to UL 1008.
1. Where transfer switch includes internal fault-current protection, rating of switch and trip unit combination shall exceed indicated fault-current value at installation location.
  2. Short-time withstand capability for 30 cycles.
- G. Repetitive Accuracy of Solid-State Controls: All settings shall be plus or minus 1 percent or better over an operating temperature range of minus 20 to plus 60 deg C.
- H. Resistance to Damage by Voltage Transients: Components shall meet or exceed voltage-surge withstand capability requirements when tested according to IEEE C62.62. Components shall meet or exceed voltage-impulse withstand test of NEMA ICS 1.
- I. Electrical Operation: Accomplish by a nonfused, momentarily energized solenoid or electric-motor-operated mechanism. Switches for emergency or standby purposes shall be mechanically

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and electrically interlocked in both directions to prevent simultaneous connection to both power sources unless closed transition.

- J. Neutral Terminal: Solid and fully rated unless otherwise indicated.
- K. Annunciation, Control, and Programming Interface Components: Devices at transfer switches for communicating with remote programming devices, annunciators, or annunciator and control panels shall have communication capability matched with remote device.
- L. Factory Wiring: Train and bundle factory wiring and label, consistent with Shop Drawings, by color-code or by numbered or lettered wire and cable with printed markers at terminations. Color-coding and wire and cable markers are specified in Section 260553 "Identification for Electrical Systems."
  - 1. Designated Terminals: Pressure type, suitable for types and sizes of field wiring indicated.
  - 2. Power-Terminal Arrangement and Field-Wiring Space: Suitable for top, side, or bottom entrance of feeder conductors as indicated.
  - 3. Control Wiring: Equipped with lugs suitable for connection to terminal strips.
  - 4. Accessible via front access.
- M. Enclosures: General-purpose NEMA 250, Type 1 or Type 4X, complying with NEMA ICS 6 and UL 508, unless otherwise indicated.

2.2 CONTACTOR-TYPE AUTOMATIC TRANSFER SWITCHES

- A. Basis-of-Design Product: Subject to compliance with requirements, provide product indicated on Drawings or comparable product by one of the following:
  - 1. Caterpillar, Inc.; Electric Power Division.
  - 2. Cummins Power Generation.
  - 3. Eaton.
  - 4. Emerson.
  - 5. GE Zenith Controls.
  - 6. General Electric Company.
  - 7. Kohler Power Systems.
  - 8. Russelectric, Inc.
- B. Comply with Level 1 equipment according to NFPA 110.
- C. Switch Characteristics: Designed for continuous-duty repetitive transfer of full-rated current between active power sources.
  - 1. Limitation: Switches using molded-case switches or circuit breakers or insulated-case circuit-breaker components are unacceptable.
  - 2. Switch Action: Double throw; mechanically held in both directions.
  - 3. Contacts: Silver composition or silver alloy for load-current switching. Contactor-style automatic transfer-switch units, rated 600 A and higher, shall have separate arcing contacts.
  - 4. Conductor Connectors: Suitable for use with conductor material and sizes.

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5. Material: Tin-plated aluminum or hard-drawn copper, 98 percent conductivity.
  6. Main and Neutral Lugs: Mechanical type.
  7. Ground Lugs and Bus-Configured Terminators: Mechanical type.
  8. Ground bar.
  9. Connectors shall be marked for conductor size and type according to UL 1008.
- D. Automatic Delayed-Transition Transfer Switches (ATS-OS): Pauses or stops in intermediate position to momentarily disconnect both sources, with transition controlled by programming in the automatic transfer-switch controller. Interlocked to prevent the load from being closed on both sources at the same time.
1. Adjustable Time Delay: For override of normal-source voltage sensing to delay transfer and engine start signals for alternative source. Adjustable from zero to six seconds, and factory set for one second.
  2. Sources shall be mechanically and electrically interlocked to prevent closing both sources on the load at the same time.
  3. Fully automatic break-before-make operation with center off position.
- E. Automatic Closed-Transition Transfer Switches (ATS-EM and ATS-COPS): Connect both sources to load momentarily. Transition is controlled by programming in the automatic transfer-switch controller.
1. Fully automatic make-before-break operation when transferring between two available power sources.
  2. Load transfer without interruption, through momentary interconnection of both power sources not exceeding 100 ms.
  3. Initiation of No-Interruption Transfer: Controlled by in-phase monitor and sensors confirming both sources are present and acceptable.
    - a. Automatic transfer-switch controller takes active control of generator to match frequency, phase angle, and voltage.
    - b. Controls ensure that closed-transition load transfer closure occurs only when the two sources are within plus or minus 5 electrical degrees maximum, and plus or minus 5 percent maximum voltage difference.
  4. Failure of power source serving load initiates automatic break-before-make transfer.
- F. Manual Switch Operation: A manual operator shall be provided for maintenance purposes only with switch de-energized and door open. Transfer time is same as for electrical operation. Control circuit automatically disconnects from electrical operator during manual operation.
- G. Electric Nonautomatic Switch Operation: Electrically actuated by push buttons designated "Normal Source" and "Alternative Source." Switch shall be capable of transferring load in either direction with either or both sources energized.
- H. Signal-Before-Transfer Contacts: A set of normally open/normally closed dry contacts operates in advance of retransfer to normal source. Interval shall be adjustable from 1 to 30 seconds.
- I. Digital Communication Interface: Matched to capability of fire alarm control panel.
- J. Automatic Transfer-Switch Controller Features:

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1. Controller operates through a period of loss of control power.
2. Undervoltage Sensing for Each Phase of Normal and Alternate Source: Sense low phase-to-ground voltage on each phase. Pickup voltage shall be adjustable from 85 to 100 percent of nominal, and dropout voltage shall be adjustable from 70 to 98 percent of pickup value. Factory set for pickup at 90 percent and dropout at 85 percent.
3. Voltage/Frequency Lockout Relay: Prevent premature transfer to generator. Pickup voltage shall be adjustable from 85 to 100 percent of nominal. Factory set for pickup at 90 percent. Pickup frequency shall be adjustable from 90 to 100 percent of nominal. Factory set for pickup at 95 percent.
4. Time Delay for Retransfer to Normal Source: Adjustable from zero to 60 minutes, and factory set for 10 minutes. Override shall automatically defeat delay on loss of voltage or sustained undervoltage of emergency source, provided normal supply has been restored.
5. Test Switch: Simulate normal-source failure.
6. Switch-Position Pilot Lights: Indicate source to which load is connected.
7. Source-Available Indicating Lights: Supervise sources via transfer-switch normal- and emergency-source sensing circuits.
  - a. Normal Power Supervision: Green light with nameplate engraved "Normal Source Available."
  - b. Emergency Power Supervision: Red light with nameplate engraved "Emergency Source Available."
8. Unassigned Auxiliary Contacts: Two normally open, single-pole, double-throw contacts for each switch position, rated 10 A at 240-V ac.
9. Transfer Override Switch: Overrides automatic retransfer control so transfer switch will remain connected to emergency power source regardless of condition of normal source. Pilot light indicates override status.
10. Engine Starting Contacts: One isolated and normally closed, and one isolated and normally open; rated 10 A at 32-V dc minimum.
11. Engine Shutdown Contacts:
  - a. Time delay adjustable from zero to five minutes, and factory set for five minutes. Contacts shall initiate shutdown at remote engine-generator controls after retransfer of load to normal source.
12. Engine-Generator Exerciser: Solid-state, programmable-time switch starts engine generator and transfers load to it from normal source for a preset time, then retransfers and shuts down engine after a preset cool-down period. Initiates exercise cycle at preset intervals adjustable from 7 to 30 days. Running periods shall be adjustable from 10 to 30 minutes. Factory settings shall be for 7-day exercise cycle, 20-minute running period, and 5-minute cool-down period. Exerciser features include the following:
  - a. Exerciser Transfer Selector Switch: Permits selection of exercise with and without load transfer.
  - b. Push-button programming control with digital display of settings.
  - c. Integral battery operation of time switch when normal control power is unavailable.
13. A four line, 20 character LCD display and keypad shall be an integral part of the controller for viewing all available data and setting desired operational parameters. Operational parameters shall also be available for viewing and limited control through the

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serial communications input port. The following parameters shall only be adjustable via DIP switches on the controller:

- a. Nominal line voltage and frequency.
- b. Single or three phase sensing.
- c. Operating parameter protection.
- d. Transfer operating mode configuration (Open transition, Closed Transition, or Delayed Transition).

K. Large-Motor-Load Power Transfer:

1. In-Phase Monitor: Factory-wired, internal relay controls transfer so contacts close only when the two sources are synchronized in phase and frequency. Relay shall compare phase relationship and frequency difference between normal and emergency sources and initiate transfer when both sources are within 15 electrical degrees, and only if transfer can be completed within 60 electrical degrees. Transfer shall be initiated only if both sources are within 2 Hz of nominal frequency and 70 percent or more of nominal voltage.
2. Motor Disconnect and Timing Relay Controls: Designated starters in loss of power scenario shall disconnect motors before transfer and reconnect them selectively at an adjustable time interval after transfer. Control connection to motor starters shall be through wiring external to automatic transfer switch. Provide adjustable time delay between 1 and 60 seconds for reconnecting individual motor loads. Provide relay contacts rated for motor-control circuit inrush and for actual seal currents to be encountered.

2.3 MANUAL TRANSFER SWITCHES

A. Manufacturers: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to the following:

1. Caterpillar, Inc.; Electric Power Division.
2. Eaton.
3. ESL Power Systems, Inc.
4. Russelectric, Inc.

B. Manually Operated: Molded case circuit breaker type meeting UL 498. Mechanically interlocked. Manual handles provide quick-make, quick-break manual-switching action. Switch shall be capable of transferring load in either direction with either or both sources energized.

C. Handle cover to prevent unauthorized use.

D. Switch Characteristics: Designed for continuous-duty repetitive transfer of full-rated current between active power sources.

1. Switch Action: Two mechanically interlocked molded case circuit breakers.
2. Contacts: Silver composition or silver alloy for load-current switching.
3. Conductor Connectors: Suitable for use with conductor material and sizes.
4. Material: Tin-plated aluminum or hard-drawn copper, 98 percent conductivity.
5. Main and Neutral Lugs: Mechanical type.
6. Ground Lugs and Bus-Configured Terminators: Mechanical type.
7. Connectors shall be marked for conductor size and type according to UL 1008.

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2.4 TRANSFER SWITCH ACCESSORIES

A. Bypass/Isolation Switches:

1. Source Limitations: Same manufacturer as transfer switch in which installed.
2. Comply with requirements for Level 1 equipment according to NFPA 110.
3. Description: Manual type, arranged to select and connect either source of power directly to load, isolating transfer switch from load and from both power sources. Include the following features for each combined automatic transfer switch and bypass/isolation switch:
  - a. Means to lock bypass/isolation switch in the position that isolates transfer switch with an arrangement that permits complete electrical testing of transfer switch while isolated. Interlocks shall prevent transfer-switch operation, except for testing or maintenance, while automatic transfer switch is isolated.
  - b. Provide means to make power available to transfer-switch control circuit for testing and maintenance purposes.
  - c. Drawout Arrangement for Transfer Switch: Provide physical separation from live parts and accessibility for testing and maintenance operations. Transfer switch and bypass/isolation switch shall be in isolated compartments.
  - d. Transition: Provide closed-transition operation when transferring from main transfer switch to bypass/isolation switch on the same power source.
  - e. Bypass/Isolation Switch Current, Voltage, Closing, and Short-Circuit Withstand Ratings: Equal to or greater than those of associated automatic transfer switch, and with same phase arrangement and number of poles.
  - f. Contact temperatures of bypass/isolation switches shall not exceed those of automatic transfer-switch contacts when they are carrying rated load.
  - g. Manual Control: Constructed so load bypass and transfer-switch isolation can be performed by one person in no more than two operations in 15 seconds or less. Operating handles shall be externally operated.
  - h. Automatic and Nonautomatic Control: Automatic transfer-switch controller shall also control the bypass/isolation switch.
  - i. Legend: Manufacturer's standard legend for control labels and instruction signs shall describe operating instructions.
  - j. Maintainability: Fabricate to allow convenient removal of major components from front without removing other parts or main power conductors.
4. Interconnection of Bypass/Isolation Switches with Automatic Transfer Switches: Factory-installed copper bus bars; plated at connection points and braced for the indicated available short-circuit current.

B. Interconnection:

1. Interface with fire alarm control panel to provide switch position.
2. Provide engine start module in each automatic transfer switch and compatible generator engine start module in the generator.

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2.5 SOURCE QUALITY CONTROL

- A. Factory Tests: Test and inspect components, assembled switches, and associated equipment according to UL 1008. Ensure proper operation. Check transfer time and voltage, frequency, and time-delay settings for compliance with specified requirements. Perform dielectric strength test complying with NEMA ICS 1.
- B. Prepare test and inspection reports.
  - 1. For each of the tests required by UL 1008, performed on representative devices, for emergency and critical operations power (COPS) systems. Include results of test for the following conditions:
    - a. Overvoltage.
    - b. Undervoltage.
    - c. Loss of supply voltage.
    - d. Reduction of supply voltage.
    - e. Alternative supply voltage or frequency is at minimum acceptable values.
    - f. Temperature rise.
    - g. Dielectric voltage-withstand; before and after short-circuit test.
    - h. Overload.
    - i. Contact opening.
    - j. Endurance.
    - k. Short circuit.
    - l. Short-time current capability.
    - m. Receptacle withstand capability.
    - n. Insulating base and supports damage.

PART 3 - EXECUTION

3.1 INSTALLATION

- A. Floor-Mounting Automatic Transfer Switch: Anchor to floor by bolting. Strut/Leg-Mounting Manual Transfer Switch: Anchor to steel slotted strut support and concrete pad.
  - 1. Install transfer switches on cast-in-place concrete equipment base(s). Comply with requirements for equipment bases and foundations specified on the Drawings.
  - 2. Anchor equipment in accordance with the more stringent requirement of either the manufacturer's written instructions or by the analysis results of the delegated design.
  - 3. Comply with requirements for seismic control devices specified in Section 260548 "Vibration and Seismic Controls for Electrical Systems." Importance Factor IP = 1.5.
  - 4. Coordinate size and location of concrete bases. Cast anchor-bolt inserts into bases.
  - 5. Provide workspace and clearances required by NFPA 70.
- B. Identify components according to Section 260553 "Identification for Electrical Systems."
- C. Set field-adjustable intervals and delays, relays, and engine exerciser clock.
- D. Comply with NECA 1.

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3.2 CONNECTIONS

- A. Wiring to Remote Components: Match type and number of cables and conductors to generator sets, fire alarm control panel, and communication requirements of transfer switches as recommended by manufacturer. Increase raceway sizes at no additional cost to Owner if necessary to accommodate required wiring.
- B. Wiring Method: Install cables in raceways and cable trays except within electrical enclosures. Conceal raceway and cables except in unfinished spaces.
  - 1. Comply with requirements for raceways and boxes specified in Section 260533 "Raceways and Boxes for Electrical Systems."
- C. Wiring within Enclosures: Bundle, lace, and train conductors to terminal points with no excess and without exceeding manufacturer's limitations on bending radii.
- D. Ground equipment according to Section 260526 "Grounding and Bonding for Electrical Systems."
- E. Connect wiring according to Section 260519 "Low-Voltage Electrical Power Conductors and Cables."
- F. Connect twisted pair cable according to Section 260523 "Control-Voltage Electrical Power Cables."
- G. Route and brace conductors according to manufacturer's written instructions and Section 260529 "Hangers and Supports for Electrical Systems." Do not obscure manufacturer's markings and labels.
- H. Brace and support equipment according to Section 260548 "Vibration and Seismic Controls for Electrical Systems."
- I. Final connections to equipment shall be made with liquidtight, flexible metallic conduit no more than 18 inches in length.
- J. Provide wiring and connections between the automatic transfer switch engine start modules and the generator engine start module.

3.3 FIELD QUALITY CONTROL

- A. Testing Agency: Engage a qualified testing agency to perform tests and inspections.
- B. Testing Agency shall perform the following tests and inspections:
  - 1. After installing equipment, test for compliance with requirements according to NETA ATS.
  - 2. Visual and Mechanical Inspection:
    - a. Compare equipment nameplate data with Drawings and Specifications.
    - b. Inspect physical and mechanical condition.

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- c. Inspect anchorage, alignment, grounding, and required clearances.
  - d. Verify that the unit is clean.
  - e. Verify appropriate lubrication on moving current-carrying parts and on moving and sliding surfaces.
  - f. Verify that manual transfer warnings are attached and visible.
  - g. Verify tightness of all control connections.
  - h. Inspect bolted electrical connections for high resistance using one of the following methods, or both:
    - 1) Use of low-resistance ohmmeter.
    - 2) Verify tightness of accessible bolted electrical connections by calibrated torque-wrench method according to manufacturer's published data.
  - i. Perform manual transfer operation.
  - j. Verify positive mechanical interlocking between normal and alternate sources.
  - k. Perform visual and mechanical inspection of surge arresters.
  - l. Inspect control power transformers.
    - 1) Inspect for physical damage, cracked insulation, broken leads, tightness of connections, defective wiring, and overall general condition.
    - 2) Verify that primary and secondary fuse or circuit-breaker ratings match Drawings.
    - 3) Verify correct functioning of drawout disconnecting contacts, grounding contacts, and interlocks.
3. Electrical Tests:
- a. Perform insulation-resistance tests on all control wiring with respect to ground.
  - b. Perform a contact/pole-resistance test. Compare measured values with manufacturer's acceptable values.
  - c. Verify settings and operation of control devices.
  - d. Calibrate and set all relays and timers.
  - e. Verify phase rotation, phasing, and synchronized operation.
  - f. Perform automatic transfer tests.
  - g. Verify correct operation and timing of the following functions:
    - 1) Normal source voltage-sensing and frequency-sensing relays.
    - 2) Engine start sequence.
    - 3) Time delay on transfer.
    - 4) Alternative source voltage-sensing and frequency-sensing relays.
    - 5) Automatic transfer operation.
    - 6) Interlocks and limit switch function.
    - 7) Time delay and retransfer on normal power restoration.
    - 8) Engine cool-down and shutdown feature.
4. Measure insulation resistance phase-to-phase and phase-to-ground with insulation-resistance tester. Include external annunciation and control circuits. Use test voltages and procedure recommended by manufacturer. Comply with manufacturer's specified minimum resistance.
- a. Check for electrical continuity of circuits and for short circuits.

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- b. Inspect for physical damage, proper installation and connection, and integrity of barriers, covers, and safety features.
  - c. Verify that manual transfer warnings are properly placed.
  - d. Perform manual transfer operation.
5. After energizing circuits, perform each electrical test for transfer switches stated in NETA ATS and demonstrate interlocking sequence and operational function for each switch at least three times.
- a. Simulate power failures of normal source to automatic transfer switches and retransfer from emergency source with normal source available.
  - b. Simulate loss of phase-to-ground voltage for each phase of normal source.
  - c. Verify time-delay settings.
  - d. Verify pickup and dropout voltages by data readout or inspection of control settings.
  - e. Test bypass/isolation unit functional modes and related automatic transfer-switch operations.
  - f. Perform contact-resistance test across main contacts and correct values exceeding 500 microhms and values for one pole deviating by more than 50 percent from other poles.
  - g. Verify proper sequence and correct timing of automatic engine starting, transfer time delay, retransfer time delay on restoration of normal power, and engine cool-down and shutdown.
- C. Coordinate tests with tests of generator and run them concurrently.
- D. Report results of tests and inspections in writing. Record adjustable relay settings and measured insulation and contact resistances and time delays. Attach a label or tag to each tested component indicating satisfactory completion of tests.
- E. Transfer switches will be considered defective if they do not pass tests and inspections.
- F. Remove and replace malfunctioning units and retest as specified above.
- G. Prepare test and inspection reports.
- H. Infrared Scanning: After Substantial Completion, but not more than 60 days after Final Acceptance, perform an infrared scan of each switch. Remove all access panels so joints and connections are accessible to portable scanner.
1. Instrument: Use an infrared scanning device designed to measure temperature or to detect significant deviations from normal values. Provide calibration record for device.
  2. Record of Infrared Scanning: Prepare a certified report that identifies switches checked and that describes scanning results. Include notation of deficiencies detected, remedial action taken, and observations after remedial action.
  3. Follow-up Infrared Scanning: Perform an additional follow-up infrared scan of each switch 11 months after date of Substantial Completion.

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3.4 DEMONSTRATION

- A. Engage a factory-authorized service representative to train Owner's maintenance personnel to adjust, operate, and maintain transfer switches and related equipment.
- B. Coordinate this training with that for generator equipment.

END OF SECTION



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SECTION 264313 - SURGE PROTECTION FOR LOW-VOLTAGE ELECTRICAL POWER  
CIRCUITS

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

- A. Section includes:
  - 1. Type 2 surge protective devices.
  - 2. Enclosures.
  - 3. Conductors and cables.

1.3 DEFINITIONS

- A. Inominal: Nominal discharge current.
- B. MCOV: Maximum continuous operating voltage.
- C. Mode(s), also Modes of Protection: air of electrical connections where the VPR applies.
- D. MOV: Metal-oxide varistor; an electronic component with a significant non-ohmic current-voltage characteristic.
- E. NRTL: Nationally recognized testing laboratory.
- F. OCPD: Overcurrent protective device.
- G. SCCR: Short-circuit current rating.
- H. SPD: Surge protective device.
- I. Type 2 SPDs: Permanently connected SPDs intended for installation on the load side of the service disconnect overcurrent device, including SPDs located at the branch panel.
- J. VPR: Voltage protection rating.

1.4 ACTION SUBMITTALS

- A. Submittals shall comply with the requirements of Section 013300 "Submittal Procedures" and the individual sections specifying the work.

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- B. Product Data: For each type of product.
  - 1. Include electrical characteristics, specialties, and accessories for SPDs.
  - 2. NRTL certification of compliance with UL 1449.
    - a. Tested values for VPRs.
    - b. Inominal ratings.
    - c. MCOV, type designations.
    - d. OCPD requirements.
    - e. Manufacturer's model number.
    - f. System voltage.
    - g. Modes of protection.

1.5 INFORMATIONAL SUBMITTALS

- A. Submittals shall comply with the requirements of Section 013300 "Submittal Procedures" and the individual sections specifying the work.
- B. Field quality-control reports.
- C. Sample Warranty: For manufacturer's special warranty.

1.6 CLOSEOUT SUBMITTALS

- A. Submittals shall comply with the requirements of Section 013300 "Submittal Procedures" and the individual sections specifying the work.
- B. Maintenance Data: For SPDs to include in maintenance manuals.

1.7 WARRANTY

- A. Manufacturer's Warranty: Manufacturer agrees to repair or replace SPDs that fail in materials or workmanship within 10 years from date of Substantial Completion.

PART 2 - PRODUCTS

2.1 TYPE 2 SURGE PROTECTIVE DEVICES (SPDs)

- A. Manufacturers: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to the following:
  - 1. ABB (Electrification Products Division).
  - 2. Advanced Protection Technologies Inc. (APT).
  - 3. ALLTEC.
  - 4. Citel, Inc.
  - 5. Eaton.
  - 6. Leviton Manufacturing Co., Inc.

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7. Liebert; a brand of Vertiv.
8. Mersen USA.
9. Schneider Electric USA, Inc.
10. Siemens Industry, Inc., Energy Management Division.
11. SSI, an ILSCO Company.

B. Source Limitations: Obtain devices from single source from single manufacturer.

C. Standards:

1. Listed and labeled by an NRTL acceptable to authorities having jurisdiction as complying with UL 1449, Type 2.
2. Comply with UL 1283.

D. Product Options:

1. Include LED indicator lights for power and protection status.
2. Include internal thermal protection that disconnects the SPD before damaging internal suppressor components.
3. Include NEMA ICS 5, dry Form C contacts rated at 2 A and 24 V ac for remote monitoring of protection status.
4. Include surge counter.

E. Performance Criteria:

1. MCOV: Not less than 125 percent of nominal system voltage for 208Y/120 V power systems.
2. Peak Surge Current Rating: Minimum single-pulse surge current withstand rating per phase must not be less than 150 kA. Peak surge current rating must be arithmetic sum of the ratings of individual MOVs in a given mode.
3. Protection modes and UL 1449 VPR for grounded wye circuits with 208Y/120 V, three-phase, four-wire circuits must not exceed the following:
  - a. Line to Neutral: 700 V for 208Y/120 V.
  - b. Line to Ground: 700 V for 208Y/120 V.
  - c. Neutral to Ground: 700 V for 208Y/120 V.
  - d. Line to Line: 1200 V for 208Y/120 V.
4. SCCR: Equal or exceed 200 kA.
5. Inominal Rating: 20 kA.

## 2.2 ENCLOSURES

A. Indoor Enclosures: NEMA 250, Type 1.

## 2.3 CONDUCTORS AND CABLES

A. Power Wiring: Same size as SPD leads, complying with Section 260519 "Low-Voltage Electrical Power Conductors and Cables."

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PART 3 - EXECUTION

3.1 INSTALLATION

- A. Comply with NECA 1.
- B. Provide OCPD and disconnect for installation of SPD in accordance with UL 1449 and manufacturer's written instructions.
- C. Install leads between disconnects and SPDs short, straight, twisted, and in accordance with manufacturer's written instructions. Comply with wiring methods in Section 260519 "Low-Voltage Electrical Power Conductors and Cables."
  - 1. Do not splice and extend SPD leads unless specifically permitted by manufacturer.
  - 2. Do not exceed manufacturer's recommended lead length.
  - 3. Do not bond neutral and ground.
- D. Use crimped connectors and splices only. Wire nuts are unacceptable.

3.2 FIELD QUALITY CONTROL

- A. Perform the following tests and inspections with the assistance of a factory-authorized service representative:
  - 1. Compare equipment nameplate data for compliance with Drawings and the Specifications.
  - 2. Inspect anchorage, alignment, grounding, and clearances.
  - 3. Verify that electrical wiring installation complies with manufacturer's written installation requirements.
- B. SPDs that do not pass tests and inspections will be considered defective.
- C. Prepare test and inspection reports.

3.3 STARTUP SERVICE

- A. Complete startup checks in accordance with manufacturer's written instructions.
- B. Do not perform insulation-resistance tests of the distribution wiring equipment with SPDs installed. Disconnect SPDs before conducting insulation-resistance tests; reconnect them immediately after the testing is over.
- C. Energize SPDs after power system has been energized, stabilized, and tested.

3.4 DEMONSTRATION

- A. Train Owner's maintenance personnel to operate and maintain SPDs.

END OF SECTION

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SECTION 265119 - LED INTERIOR LIGHTING

PART 1 - GENERAL

1.1 SUMMARY

- A. Section includes LED luminaires.

1.2 ACTION SUBMITTALS

- A. Product Data: For each type of product.
  - 1. Manufacturers' Certified Data: Photometric data certified by manufacturer's laboratory with a current accreditation under the National Voluntary Laboratory Accreditation Program for Energy Efficient Lighting Products.

1.3 INFORMATIONAL SUBMITTALS

- A. Seismic Qualification Data: For luminaires, accessories, and components, from manufacturer.
- B. Product Certificates: For each type of luminaire.
- C. Product test reports.
- D. Sample warranty.

1.4 CLOSEOUT SUBMITTALS

- A. Operation and maintenance data.

1.5 QUALITY ASSURANCE

- A. Luminaire Photometric Data Testing Laboratory Qualifications: Luminaire manufacturer's laboratory that is accredited under the NVLAP for Energy Efficient Lighting Products.
- B. Provide luminaires from a single manufacturer for each luminaire type.
- C. Each luminaire type shall be binned within a three-step MacAdam Ellipse to ensure color consistency among luminaires.

1.6 WARRANTY

- A. Warranty: Manufacturer and Installer agree to repair or replace components of luminaires that fail in materials or workmanship within specified warranty period.

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- B. Warranty Period: Five year(s) from date of Substantial Completion.

PART 2 - PRODUCTS

2.1 PERFORMANCE REQUIREMENTS

- A. Seismic Performance: Luminaires shall withstand the effects of earthquake motions determined in accordance with ASCE/SEI 7.
- B. Seismic Performance: Luminaires and lamps shall be labeled vibration and shock resistant.
  - 1. The term "withstand" means "the luminaire will remain in place without separation of any parts when subjected to the seismic forces specified and the luminaire will be fully operational during and after the seismic event."
- C. Ambient Temperature: 41 to 104 deg F.
  - 1. Relative Humidity: Zero to 95 percent.
- D. Altitude: Sea level to 1000 feet.

2.2 LUMINAIRE REQUIREMENTS

- A. Electrical Components, Devices, and Accessories: Listed and labeled as defined in NFPA 70, by a qualified testing agency, and marked for intended location and application.
- B. Factory-Applied Labels: Comply with UL 1598. Include recommended lamps. Locate labels where they will be readily visible to service personnel, but not seen from normal viewing angles when lamps are in place.
- C. Standards:
  - 1. Recessed luminaires shall comply with NEMA LE 4.
  - 2. DesignLights Consortium (DLC) Qualified.
- D. CRI of minimum 80. CCT of 3500K.
- E. Rated lamp life of 60,000 hours at L80.
- F. Internal driver.
- G. Nominal Operating Voltage: 120 Vac.
- H. Provide luminaires in Lighting Fixture Schedule or approved equal.

2.3 MATERIALS

- A. Metal Parts:

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1. Free of burrs and sharp corners and edges.
2. Sheet metal components shall be steel unless otherwise indicated.
3. Form and support to prevent warping and sagging.

B. Steel:

1. ASTM A36/A36M for carbon structural steel.
2. ASTM A568/A568M for sheet steel.

C. Galvanized Steel: ASTM A653/A653M.

D. Aluminum: ASTM B209.

2.4 METAL FINISHES

- A. Variations in finishes are unacceptable in the same piece. Variations in finishes of adjoining components are acceptable if they are within the range of approved Samples and if they can be and are assembled or installed to minimize contrast.

2.5 LUMINAIRE SUPPORT

- A. Comply with requirements in Section 260529 "Hangers and Supports for Electrical Systems" for channel supports.
- B. Single-Stem Hangers: 1/2-inch steel tubing with swivel ball fittings and ceiling canopy. Finish same as luminaire.
- C. Wires: ASTM A641/A641M, Class 3, soft temper, zinc-coated steel, 12 gage.
- D. Rod Hangers: 3/16-inch minimum diameter, cadmium-plated, threaded steel rod.

PART 3 - EXECUTION

3.1 INSTALLATION

- A. Comply with NECA 1.
- B. Install luminaires level, plumb, and square with ceilings and walls unless otherwise indicated.
- C. Install lamps in each luminaire.
- D. Supports:
1. Sized and rated for luminaire weight.
  2. Able to maintain luminaire position after cleaning and relamping.
  3. Provide support for luminaire without causing deflection of ceiling or wall.
  4. Luminaire-mounting devices shall be capable of supporting a horizontal force of 100 percent of luminaire weight and a vertical force of 400 percent of luminaire weight.

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E. Suspended Luminaire Support:

1. Stem-Mounted, Single-Unit Luminaires: Suspend with twin-stem hangers. Support with approved outlet box and accessories that hold stem and provide damping of luminaire oscillations. Support outlet box vertically to building structure using approved devices.

F. Ceiling-Grid-Mounted Luminaires:

1. Secure to required outlet box.
2. Secure luminaire to the luminaire opening using approved fasteners in a minimum of four locations, spaced near corners of luminaire.
3. Use approved devices and support components to connect luminaire to ceiling grid and building structure in a minimum of four locations, spaced near corners of luminaire.

G. Comply with requirements in Section 260519 "Low-Voltage Electrical Power Conductors and Cables" for wiring connections.

3.2 IDENTIFICATION

- A. Identify system components, wiring, cabling, and terminals. Comply with requirements for identification specified in Section 260553 "Identification for Electrical Systems."

3.3 FIELD QUALITY CONTROL

A. Perform the following tests and inspections:

1. Operational Test: After installing luminaires, switches, and accessories, and after electrical circuitry has been energized, test units to confirm proper operation.
2. Test for Emergency Lighting: Interrupt power supply to demonstrate proper operation. Verify transfer from normal power to battery power and retransfer to normal.

B. Luminaire will be considered defective if it does not pass operation tests and inspections.

C. Prepare test and inspection reports.

END OF SECTION

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SECTION 265213 - EMERGENCY AND EXIT LIGHTING

PART 1 - GENERAL

1.1 SUMMARY

- A. Section Includes:
  - 1. Emergency lighting units.
  - 2. Luminaire supports.

1.2 DEFINITIONS

- A. CCT: Correlated color temperature.
- B. CRI: Color Rendering Index.
- C. Emergency Lighting Unit: A lighting unit with integral or remote emergency battery powered supply and the means for controlling and charging the battery and unit operation.
- D. Fixture: See "Luminaire" Paragraph.
- E. Lumen: Measured output of lamp and luminaire, or both.
- F. Luminaire: Complete lighting unit, including lamp, reflector, and housing.

1.3 ACTION SUBMITTALS

- A. Submittals shall comply with the requirements of Section 013300 "Submittal Procedures" and the individual sections specifying the work.
- B. Product Data: For each type of emergency lighting unit, and emergency lighting support, arranged by designation.

1.4 INFORMATIONAL SUBMITTALS

- A. Submittals shall comply with the requirements of Section 013300 "Submittal Procedures" and the individual sections specifying the work.
- B. Seismic Qualification Data: Certificates, for luminaires, accessories, and components, from manufacturer.
- C. Sample Warranty.

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1.5 CLOSEOUT SUBMITTALS

- A. Submittals shall comply with the requirements of Section 013300 “Submittal Procedures” and the individual sections specifying the work.
- B. Operation and maintenance data.

1.6 WARRANTY

- A. Warranty: Manufacturer and Installer agree to repair or replace components of luminaires that fail in materials or workmanship within specified warranty period.
  - 1. Warranty Period: Three years from date of Substantial Completion. LED’s shall have a lifetime warranty.

PART 2 - PRODUCTS

2.1 GENERAL REQUIREMENTS FOR EMERGENCY LIGHTING

- A. Electrical Components, Devices, and Accessories: Listed and labeled as defined in NFPA 70, by a qualified testing agency, and marked for intended location and application.
- B. NRTL Compliance: Fabricate and label emergency lighting units, and batteries to comply with UL 924.
- C. Comply with NFPA 70 and NFPA 101.

2.2 EMERGENCY LIGHTING

- A. General Requirements for Emergency Lighting Units: Self-contained units.
- B. Emergency Lighting Unit:
  - 1. Basis-of-Design Product: Subject to compliance with requirements, provide product indicated on Drawings or comparable product by one of the following:
    - a. Eaton (Lighting).
    - b. Lithonia Lighting; Acuity Brands Lighting, Inc.
  - 2. Emergency Lighting Unit: As indicated on Lighting Fixture Schedule.
  - 3. Operating at nominal voltage of 120 V ac.
  - 4. Wall with universal junction box adaptor.
  - 5. UV stable thermoplastic housing, rated for damp locations.
  - 6. Two LED lamp heads.
  - 7. Internal emergency power unit.

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2.3 MATERIALS

A. Metal Parts:

1. Free of burrs and sharp corners and edges.
2. Sheet metal components shall be steel unless otherwise indicated.
3. Form and support to prevent warping and sagging.

B. Doors, Frames, and Other Internal Access:

1. Smooth operating, free of light leakage under operating conditions.
2. Designed to permit relamping without use of tools.
3. Designed to prevent doors, frames, lenses, diffusers, and other components from falling accidentally during relamping and when secured in operating position.

C. Lamp-head:

1. Front Half: Die-cast aluminum.
2. Back Half: Thermoplastic.
3. Lens: Acrylic.

D. Housings:

1. Injection molded thermoplastic.

2.4 LUMINAIRE SUPPORT COMPONENTS

- A. Comply with requirements in Section 260529 "Hangers and Supports for Electrical Systems" for channel supports.

PART 3 - EXECUTION

3.1 INSTALLATION

- A. Comply with NECA 1.

- B. Install luminaires level, plumb, and square with ceilings and walls unless otherwise indicated.

- C. Install lamps in each luminaire.

D. Supports:

1. Sized and rated for luminaire weight.
2. Able to maintain luminaire position when testing emergency power unit.

E. Wall-Mounted Luminaire Support:

1. Attached to structural members in walls.
2. Do not attach fixtures directly to gypsum board.

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- F. Identify system components, wiring, cabling, and terminals. Comply with requirements for identification specified in Section 260553 "Identification for Electrical Systems."

3.2 FIELD QUALITY CONTROL

- A. Perform the following tests and inspections:
  - 1. Test for Emergency Lighting: Interrupt power supply to demonstrate proper operation. Verify transfer from normal power to battery power and retransfer to normal.
- B. Luminaire will be considered defective if it does not pass operation tests and inspections.
- C. Prepare test and inspection reports.

END OF SECTION

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SECTION 284621.11 - ADDRESSABLE FIRE-ALARM SYSTEMS

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

A. Section Includes:

1. Existing fire-alarm system to be modified.
2. Addressable fire-alarm system.
3. Fire-alarm control unit (FACU).
4. System smoke detectors.
5. Air-sampling smoke detectors.
6. Fire-alarm notification appliances.
7. Fire-alarm addressable interface devices.

B. Related Requirements:

1. Section 212200 "Clean-Agent Fire-Extinguishing Systems" for protection of the generator enclosure.
2. Section 260519 "Low-Voltage Electrical Power Conductors and Cables" or Section 260523 "Control Voltage Electrical Power Cables" for cables and conductors for fire-alarm systems.

1.3 DEFINITIONS

- A. DACT: Digital alarm communicator transmitter.
- B. EMT: Electrical metallic tubing.
- C. FACU: Fire-alarm control unit.
- D. NICET: National Institute for Certification in Engineering Technologies.
- E. PC: Personal computer.
- F. Voltage Class: For specified circuits and equipment, voltage classes are defined as follows:
1. Control Voltage: Listed and labeled for use in remote-control, signaling, and power-limited circuits supplied by a Class 2 or Class 3 power supply having rated output not greater than 150 V and 5 A, allowing use of alternate wiring methods complying with NFPA 70, Article 725.

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2. Low Voltage: Listed and labeled for use in circuits supplied by a Class 1 or other power supply having rated output not greater than 1000 V, requiring use of wiring methods complying with NFPA 70, Article 300, Part I.

1.4 SEQUENCING AND SCHEDULING

- A. Existing Fire-Alarm Equipment: Maintain existing equipment fully operational until new equipment has been tested and accepted. When new equipment is installed, label it "NOT IN SERVICE" until it is accepted. Remove labels from new equipment when put into service.

1.5 ACTION SUBMITTALS

- A. Submittals shall comply with the requirements of Section 013300 "Submittal Procedures" and the individual sections specifying the work.
- B. Approved Permit Submittal: Submittals must be approved by authorities having jurisdiction prior to submitting them to Architect.
- C. Product Data: For each type of product, including furnished options and accessories.
  1. Include construction details, material descriptions, dimensions, profiles, and finishes.
  2. Include rated capacities, operating characteristics, and electrical characteristics.
- D. Shop Drawings: For fire-alarm system.
  1. Comply with recommendations and requirements in "Documentation" section of "Fundamentals" chapter in NFPA 72.
  2. Include plans, elevations, sections, and details, including details of attachments to other Work.
  3. Include details of equipment assemblies. Indicate dimensions, weights, loads, required clearances, method of field assembly, components, and locations. Indicate conductor sizes, indicate termination locations and requirements, and distinguish between factory and field wiring.
  4. Include performance parameters and installation details for each detector.
  5. Provide program report showing that air-sampling detector pipe layout balances pneumatically within airflow range of air-sampling detector.

1.6 INFORMATIONAL SUBMITTALS

- A. Submittals shall comply with the requirements of Section 013300 "Submittal Procedures" and the individual sections specifying the work.
- B. Field quality-control reports.
- C. Qualification Statements: For Installer.
- D. Sample Warranty: Submittal must include line item pricing for replacement parts and labor.

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1.7 CLOSEOUT SUBMITTALS

- A. Submittals shall comply with the requirements of Section 013300 "Submittal Procedures" and the individual sections specifying the work.
- B. Operation and Maintenance Data: For fire-alarm systems and components to include in emergency, operation, and maintenance manuals.
  - 1. In addition to items specified in Section 017823 "Operation and Maintenance Data," include the following:
    - a. Comply with "Records" section of "Inspection, Testing and Maintenance" chapter in NFPA 72.
    - b. Provide "Fire-Alarm and Emergency Communications System Record of Completion Documents" in accordance with "Completion Documents" Article in "Documentation" section of "Fundamentals" chapter in NFPA 72.
    - c. Complete wiring diagrams showing connections between devices and equipment. Each conductor must be numbered at every junction point with indication of origination and termination points.
    - d. Riser diagram.
    - e. Device addresses.
    - f. Air-sampling system sample port locations and modeling program report showing layout meets performance criteria.
    - g. Record copy of site-specific software.
    - h. Provide "Inspection and Testing Form" in accordance with "Inspection, Testing and Maintenance" chapter in NFPA 72, and include the following:
      - 1) Equipment tested.
      - 2) Frequency of testing of installed components.
      - 3) Frequency of inspection of installed components.
      - 4) Requirements and recommendations related to results of maintenance.
      - 5) Manufacturer's user training manuals.
    - i. Manufacturer's required maintenance related to system warranty requirements.

1.8 MAINTENANCE MATERIAL SUBMITTALS

- A. Submittals shall comply with the requirements of Section 013300 "Submittal Procedures" and the individual sections specifying the work.
- B. Extra Stock Material: Furnish extra materials that match products installed and that are packaged with protective covering for storage and identified with labels describing contents.
  - 1. Lamps for Strobe Units: Quantity equal to 10 percent of amount installed, but no fewer than one unit.
  - 2. Smoke Detectors, Fire Detectors: Quantity equal to 10 percent of amount of each type installed, but no fewer than one unit of each type.
  - 3. Detector Bases: Quantity equal to two percent of amount of each type installed, but no fewer than one unit of each type.
  - 4. Keys and Tools: One extra set for access to locked or tamperproofed components.

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5. Audible and Visual Notification Appliances: One of each type installed.
6. Fuses: Two of each type installed in system. Provide in box or cabinet with compartments marked with fuse types and sizes.
7. Filters for Air-Sampling Detectors: Quantity equal to two percent of amount of each type installed, but no fewer than one unit of each type.

1.9 QUALITY ASSURANCE

A. Installer Qualifications:

1. Personnel must be trained and certified by manufacturer for installation of units required for this Project.
2. Installation must be by personnel certified by NICET as fire-alarm Level III or Level IV technician.
3. Obtain certification by NRTL in accordance with NFPA 72.
4. Licensed or certified by authorities having jurisdiction.
5. Approved and confirmed by the Owner to ensure the existing maintenance contract is not voided.

1.10 FIELD CONDITIONS

A. Seismic Conditions: Unless otherwise indicated on Contract Documents, specified Work in this Section must withstand the seismic hazard design loads determined in accordance with ASCE/SEI 7 for installed elevation above or below grade.

1. The term "withstand" means "unit must remain in place without separation of parts from unit when subjected to specified seismic design loads and unit must be fully operational after seismic event."

1.11 WARRANTY

A. Special Warranty: Manufacturer agrees to repair or replace fire-alarm system equipment and components that fail because of defects in materials or workmanship within specified warranty period.

1. Warranty Period: Five years from date of Substantial Completion.

PART 2 - PRODUCTS

2.1 EXISTING FIRE-ALARM SYSTEM TO BE MODIFIED

- A. Basis for Pricing: General Electric (Fireworx).
- B. Description: Addressable fire alarm control system. Main panel is addressable, General Electric, Fireworx.

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- C. Source Limitations for Fire-Alarm System and Components: Components must be compatible with, and operate as extension of, existing system. Provide system manufacturer's certification that components provided have been tested as, and will operate as, a system.

2.2 ADDRESSABLE FIRE-ALARM SYSTEM

A. Description:

- 1. Existing addressable system, with multiplexed signal transmission and horn-and-strobe notification for evacuation.

B. Performance Criteria:

1. Regulatory Requirements:

- a. Fire-Alarm Components, Devices, and Accessories: Listed and labeled by a NRTL in accordance with NFPA 70 for use with selected fire-alarm system and marked for intended location and application.

2. General Characteristics:

- a. Fire-alarm signal initiation shall remain as programmed. In addition, initiation must be by the following devices and systems:
  - 1) Clean agent system.
- b. Existing fire-alarm signal initiation actions shall remain.
- c. Supervisory signal initiation must be by one or more of the following devices and actions:
  - 1) Existing supervisory signal initiation shall remain.
  - 2) Generator running.
  - 3) Generator fault.
  - 4) Generator switch in non-automatic position.
- d. System trouble signal initiation actions: Existing trouble signal initiation actions to remain.
- e. System Supervisory Signal Actions: Existing supervisory signal actions to remain.

2.3 FIRE-ALARM CONTROL UNIT (FACU)

A. Description: Existing to remain addressable, General Electric, Fireworx panel.

B. Performance Criteria:

- 1. Regulatory Requirements: Comply with NFPA 72 and UL 864.
- 2. General Characteristics:

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- a. Initiating-Device, Notification-Appliance, and Signaling-Line Circuits:
  - 1) Pathway Class Designations: NFPA 72, Class A (field verify and match existing).
  - 2) Pathway Survivability: Match existing.
  - 3) Install no more than 50 addressable devices on each signaling-line circuit.
  - 4) Install fault circuit isolators to comply with circuit performance requirements of NFPA 72 or with manufacturer's written instructions, whichever is more conservative.
- b. Notification-Appliance Circuit:
  - 1) Audible appliances must sound in three-pulse temporal pattern, as defined in NFPA 72.
  - 2) Visual alarm appliances must flash in synchronization where multiple appliances are in same field of view, as defined in NFPA 72.
- c. Transmission to Remote Alarm Receiving Station: Automatically transmit alarm, supervisory, and trouble signals to remote alarm station.

C. Accessories:

1. Clean Agent System Functionality:
  - a. Initiate Presignal Alarm: This function must cause audible and visual alarm and indication to be provided at FACU. Activation of initiation device connected as part of clean agent system must be annunciated at FACU only, without activation of general evacuation alarm.

2.4 FIRE-ALARM NOTIFICATION APPLIANCES

A. Fire-Alarm Audible Notification Appliances:

1. Manufacturers: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to the following:
  - a. Eaton (Life Safety - Wheelock).
  - b. Federal Signal Corporation.
  - c. Gentex Corporation.
  - d. Harrington Signal, Inc.
  - e. Honeywell International (Notifier).
  - f. Mircom Technologies, Ltd.
  - g. Potter Electric Signal Company, LLC.
  - h. Siemens Industry, Inc. (Building Technologies Division).
  - i. Tyco International (Johnson Controls - Autocall).
  - j. Tyco International (Johnson Controls - SimplexGrinnell).
  - k. United Technologies Corporation (UTC Climate, Controls & Security - Edwards).
  - l. Valcom, Inc. (Keltron Corporation).

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2. Description: Horns, bells, or other notification devices that cannot output voice messages.
3. Performance Criteria:
  - a. Regulatory Requirements:
    - 1) NFPA 72.
  - b. General Characteristics:
    - 1) Individually addressed, connected to signaling-line circuit, equipped for mounting as indicated, and with screw terminals for system connections.
    - 2) Connected to notification-appliance signal circuits, zoned as indicated, equipped for mounting as indicated, and with screw terminals for system connections.
    - 3) Horns: Electric-vibrating-polarized type, 24 V(dc); with provision for housing operating mechanism behind grille. Comply with UL 464. Horns must produce sound-pressure level of 90 dB(A-weighted), measured 10 ft. from horn, using coded signal prescribed in UL 464 test protocol.
    - 4) Combination Devices: Factory-integrated audible and visible devices in single-mounting assembly, equipped for mounting as indicated, and with screw terminals for system connections.

B. Fire-Alarm Visible Notification Appliances:

1. Manufacturers: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to the following:
  - a. Eaton (Life Safety - Wheelock).
  - b. Federal Signal Corporation.
  - c. Gentex Corporation.
  - d. Harrington Signal, Inc.
  - e. Honeywell International (Notifier).
  - f. Mircom Technologies, Ltd.
  - g. Potter Electric Signal Company, LLC.
  - h. Siemens Industry, Inc. (Building Technologies Division).
  - i. Tyco International (Johnson Controls - Autocall).
  - j. Tyco International (Johnson Controls - SimplexGrinnell).
  - k. United Technologies Corporation (UTC Climate, Controls & Security - Edwards).
  - l. Valcom, Inc. (Keltron Corporation).
2. Performance Criteria:
  - a. Regulatory Requirements:
    - 1) NFPA 72.
    - 2) UL 1971.
  - b. General Characteristics:

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- 1) Rated Light Output: As determined by delegated design of clean agent system.
- 2) Clear polycarbonate lens mounted on aluminum faceplate.
- 3) Mounting: Wall mounted unless otherwise indicated.
- 4) Flashing must be in temporal pattern, synchronized with other units.
- 5) Strobe Leads: Factory connected to screw terminals.
- 6) Mounting Faceplate: Factory finished, red.

2.5 FIRE-ALARM ADDRESSABLE INTERFACE DEVICES

- A. Manufacturers: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to the following:
1. Bosch Security Systems, Inc.
  2. Honeywell International (Notifier).
- B. Performance Criteria:
1. Regulatory Requirements:
    - a. NFPA 72.
  2. General Characteristics:
    - a. Include address-setting means on module.
    - b. Store internal identifying code for control panel use to identify module type.
    - c. Monitor Module: Microelectronic module providing system address for alarm-initiating devices for wired applications with normally open contacts.
    - d. Control Module:
      - 1) Operate notification devices.
      - 2) Operate solenoids for use in sprinkler service.

PART 3 - EXECUTION

3.1 EXAMINATION

- A. Examine areas and conditions for compliance with requirements for ventilation, temperature, humidity, and other conditions affecting performance of the Work.
1. Verify that manufacturer's written instructions for environmental conditions have been permanently established in spaces where equipment and wiring are installed, before installation begins.
- B. Examine roughing-in for electrical connections to verify actual locations of connections before installation.
- C. Proceed with installation only after unsatisfactory conditions have been corrected.

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3.2 PREPARATION

- A. Preinstallation Testing: Perform verification of functionality of installed components of existing system prior to starting work. Document equipment or components not functioning as designed.
- B. Interruption of Existing Fire-Alarm Service: Do not interrupt fire-alarm service to facilities occupied by Owner or others unless permitted under the following conditions and then only after arranging to provide temporary guard service in accordance with requirements indicated:
  - 1. Notify Owner no fewer than seven days in advance of proposed interruption of fire-alarm service.
  - 2. Do not proceed with interruption of fire-alarm service without Owner's written permission.
- C. Protection of In-Place Conditions: Protect devices during construction unless devices are placed in service to protect facility during construction.

3.3 INSTALLATION OF EQUIPMENT

- A. Comply with NECA 305, NFPA 72, NFPA 101, and requirements of authorities having jurisdiction for installation and testing of fire-alarm equipment. Install electrical wiring to comply with requirements in NFPA 70 including, but not limited to, Article 760, "Fire Alarm Systems."
  - 1. Devices placed in service before other trades have completed cleanup must be replaced.
  - 2. Devices installed, but not yet placed, in service must be protected from construction dust, debris, dirt, moisture, and damage in accordance with manufacturer's written storage instructions.
- B. Connecting to Existing Equipment: Verify that existing fire-alarm system is operational before making changes or connections.
  - 1. Connect new equipment to existing control panel in existing part of building.
  - 2. Connect new equipment to existing monitoring equipment at supervising station.
  - 3. Expand, modify, and supplement existing control and monitoring equipment as necessary to extend existing control and monitoring functions to new points. New components must be capable of merging with existing configuration without degrading performance of either system.
- C. Install wall-mounted equipment, with tops of cabinets not more than 78 inch above finished floor.
- D. Smoke-Detector Spacing:
  - 1. Comply with "Smoke-Sensing Fire Detectors" section in "Initiating Devices" chapter in NFPA 72, for smoke-detector spacing.
  - 2. Smooth ceiling spacing must not exceed 30 ft.
  - 3. HVAC: Locate detectors not closer than 36 inch from air-supply diffuser or return-air opening.

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4. Lighting Fixtures: Locate detectors not closer than 12 inch from lighting fixture and not directly above pendant mounted or indirect lighting.
- E. Install cover on each smoke detector that is not placed in service during construction. Cover must remain in place except during system testing. Remove cover prior to system turnover.
- F. Air-Sampling Smoke Detectors: If using multiple pipe runs, runs must be pneumatically balanced.
- G. Remote Status and Alarm Indicators: Install in visible location near each smoke detector, sprinkler water-flow switch, and valve-tamper switch that is not readily visible from normal viewing position.
- H. Audible Alarm-Indicating Devices: Install not less than 6 inch below ceiling. Install horns on flush-mounted back boxes with device-operating mechanism concealed behind grille. Install devices at same height unless otherwise indicated.
- I. Visible Alarm-Indicating Devices: Install adjacent to each alarm horn and at least 6 inch below ceiling. Install devices at same height unless otherwise indicated.

3.4 ELECTRICAL CONNECTIONS

- A. Connect wiring in accordance with Section 260519 "Low-Voltage Electrical Power Conductors and Cables."
- B. Ground equipment in accordance with Section 260526 "Grounding and Bonding for Electrical Systems."
- C. Install electrical devices furnished by manufacturer, but not factory mounted, in accordance with NFPA 70 and NECA 1.
- D. Install nameplate for each electrical connection, indicating electrical equipment designation and circuit number feeding connection.
  1. Nameplate must be laminated acrylic or melamine plastic signs, as specified in Section 260553 "Identification for Electrical Systems."

3.5 CONTROL CONNECTIONS

- A. Install control and electrical power wiring to field-mounted control devices.
- B. Connect control wiring in accordance with Section 260523 "Control-Voltage Electrical Power Cables."
- C. Install nameplate for each control connection, indicating field control panel designation and I/O control designation feeding connection.

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3.6 PATHWAYS

- A. Pathways shall be installed in EMT.
- B. Exposed EMT shall be painted red enamel.

3.7 CONNECTIONS

- A. Make addressable connections with supervised interface device to the following devices and systems. Install interface device less than 36 inch from device controlled. Make addressable confirmation connection when such feedback is available at device or system being controlled.
  - 1. Supervisory connections for generator, as indicated.
  - 2. Supervisory connections at valve supervisory switches.
  - 3. Supervisory connections at clean agent suppression system in generator enclosure.

3.8 IDENTIFICATION

- A. Identify system components, wiring, cabling, and terminals. Comply with requirements for identification specified in Section 270553 "Identification for Communications Systems."

3.9 GROUNDING

- A. Ground FACU and associated circuits in accordance with Section 260526 "Grounding and Bonding for Electrical Systems."
- B. Ground shielded cables at control panel location only. Insulate shield at device location.

3.10 FIELD QUALITY CONTROL

- A. Field tests must be witnessed by authorities having jurisdiction.
- B. Administrant for Tests and Inspections:
  - 1. Engage factory-authorized service representative to administer and perform tests and inspections on components, assemblies, and equipment installations, including connections.
  - 2. Administer and perform tests and inspections with assistance of factory-authorized service representative.
- C. Tests and Inspections:
  - 1. Visual Inspection: Conduct visual inspection prior to testing.
    - a. Inspection must be based on completed record Drawings and system documentation that is required by "Completion Documents, Preparation" table in "Documentation" section of "Fundamentals" chapter in NFPA 72.

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- b. Comply with "Visual Inspection Frequencies" table in "Inspection" section of "Inspection, Testing and Maintenance" chapter in NFPA 72; retain "Initial/Reacceptance" column and list only installed components.
  2. System Testing: Comply with "Test Methods" table in "Testing" section of "Inspection, Testing and Maintenance" chapter in NFPA 72.
  3. Test audible appliances for public operating mode in accordance with manufacturer's written instructions. Perform test using portable sound-level meter complying with Type 2 requirements in ASA S1.4 Part 1/IEC 61672-1.
  4. Test visible appliances for public operating mode in accordance with manufacturer's written instructions.
  5. Factory-authorized service representative must prepare "Fire Alarm System Record of Completion" in "Documentation" section of "Fundamentals" chapter in NFPA 72 and "Inspection and Testing Form" in "Records" section of "Inspection, Testing and Maintenance" chapter in NFPA 72.
- D. Reacceptance Testing: Perform reacceptance testing to verify proper operation of added or replaced devices and appliances.
- E. Fire-alarm system will be considered defective if it does not pass tests and inspections.
- F. Prepare test and inspection reports.

3.11 DEMONSTRATION

- A. Engage a factory-authorized service representative to train Owner's maintenance personnel to adjust, operate, and maintain fire-alarm system. Allow Owner to record training.

END OF SECTION

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SECTION 311000 - SITE CLEARING

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

A. Section Includes:

1. Protecting existing vegetation to remain.
2. Removing existing vegetation.
3. Clearing and grubbing.
4. Stripping and stockpiling topsoil.
5. Removing above- and below-grade site improvements.
6. Disconnecting, capping or sealing, and removing site utilities.
7. Temporary erosion and sedimentation control.

1.3 DEFINITIONS

- A. Subsoil: Soil beneath the level of subgrade; soil beneath the topsoil layers of a naturally occurring soil profile, typified by less than 1 percent organic matter and few soil organisms.
- B. Surface Soil: Soil that is present at the top layer of the existing soil profile. In undisturbed areas, surface soil is typically called "topsoil," but in disturbed areas such as urban environments, the surface soil can be subsoil.
- C. Topsoil: Top layer of the soil profile consisting of existing native surface topsoil or existing in-place surface soil; the zone where plant roots grow. Its appearance is generally friable, pervious, and black or a darker shade of brown, gray, or red than underlying subsoil; reasonably free of subsoil, clay lumps, gravel, and other objects larger than 2 inches in diameter; and free of weeds, roots, toxic materials, or other nonsoil materials.
- D. Plant-Protection Zone: Area surrounding individual trees, groups of trees, shrubs, or other vegetation to be protected during construction and indicated on Drawings.
- E. Vegetation: Trees, shrubs, groundcovers, grass, and other plants.

1.4 MATERIAL OWNERSHIP

- A. Except for materials indicated to be stockpiled or otherwise remain Owner's property, cleared materials shall become Contractor's property and shall be removed from Project site.

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1.5 INFORMATIONAL SUBMITTALS

- A. Submittals shall comply with the requirements of Section 013300 "Submittal Procedures" and the individual sections specifying the work.
- B. Existing Conditions: Documentation of existing trees and plantings, adjoining construction, and site improvements that establishes preconstruction conditions that might be misconstrued as damage caused by site clearing.
  - 1. Use sufficiently detailed photographs or video recordings.
- C. Record Drawings: Identifying and accurately showing locations of capped utilities and other subsurface structural, electrical, and mechanical conditions.

1.6 FIELD CONDITIONS

- A. Traffic: Minimize interference with adjoining roads, streets, walks, and other adjacent occupied or used facilities during site-clearing operations.
  - 1. Do not close or obstruct streets, walks, or other adjacent occupied or used facilities without permission from Owner and authorities having jurisdiction.
  - 2. Provide alternate routes around closed or obstructed trafficways if required by Owner or authorities having jurisdiction.
- B. Salvageable Improvements: Carefully remove items indicated to be salvaged and store on Owner's premises.
- C. Utility Locator Service: Notify Dig Safe System for area where Project is located before site clearing.
- D. Do not commence site clearing operations until temporary erosion- and sedimentation-control measures are in place.
- E. Soil Stripping, Handling, and Stockpiling: Perform only when the soil is dry or slightly moist.

PART 2 - PRODUCTS

2.1 MATERIALS

- A. Satisfactory Soil Material: Requirements for satisfactory soil material are specified in Section 312000 "Earth Moving."

PART 3 - EXECUTION

3.1 PREPARATION

- A. Protect and maintain benchmarks and survey control points from disturbance during construction.

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- B. Protect existing site improvements to remain from damage during construction.
  - 1. Restore damaged improvements to their original condition, as acceptable to Owner.

3.2 TEMPORARY EROSION AND SEDIMENTATION CONTROL

- A. Provide temporary erosion- and sedimentation-control measures to prevent soil erosion and discharge of soil-bearing water runoff or airborne dust to adjacent properties and walkways, according to erosion- and sedimentation-control Drawings and requirements of authorities having jurisdiction.
- B. Inspect, maintain, and repair erosion- and sedimentation-control measures during construction until permanent vegetation has been established.
- C. Remove erosion and sedimentation controls, and restore and stabilize areas disturbed during removal.

3.3 TREE AND PLANT PROTECTION

- A. Protect trees and plants remaining on-site.
- B. Repair or replace trees, shrubs, and other vegetation indicated to remain or be relocated that are damaged by construction operations.

3.4 EXISTING UTILITIES

- A. Locate, identify, disconnect, and seal or cap utilities indicated to be removed or abandoned in place.
  - 1. Arrange with utility companies to shut off indicated utilities.
- B. Locate, identify, and disconnect utilities indicated to be abandoned in place.
- C. Interrupting Existing Utilities: Do not interrupt utilities serving facilities occupied by Owner or others, unless permitted under the following conditions and then only after arranging to provide temporary utility services according to requirements indicated:
  - 1. Notify Owner not less than two days in advance of proposed utility interruptions.
  - 2. Do not proceed with utility interruptions without Owner's written permission.
- D. Excavate for and remove underground utilities indicated to be removed.

3.5 CLEARING AND GRUBBING

- A. Remove obstructions, trees, shrubs, and other vegetation to permit installation of new construction.
  - 1. Do not remove trees, shrubs, and other vegetation indicated to remain or to be relocated.

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- B. Fill depressions caused by clearing and grubbing operations with satisfactory soil material unless further excavation or earthwork is indicated.
  - 1. Place fill material in horizontal layers not exceeding a loose depth of 8 inches, and compact each layer to a density equal to adjacent original ground.

3.6 TOPSOIL STRIPPING

- A. Remove sod and grass before stripping topsoil.
- B. Strip topsoil to depth of 6 inches in a manner to prevent intermingling with underlying subsoil or other waste materials.
- C. Stockpile topsoil away from edge of excavations without intermixing with subsoil or other materials. Grade and shape stockpiles to drain surface water. Cover to prevent windblown dust and erosion by water.
  - 1. Dispose of surplus topsoil. Surplus topsoil is that which exceeds quantity indicated to be stockpiled or reused.

3.7 SITE IMPROVEMENTS

- A. Remove existing above- and below-grade improvements as indicated and necessary to facilitate new construction.
- B. Remove slabs, paving, curbs, and aggregate base as indicated.
  - 1. Unless existing full-depth joints coincide with line of demolition, neatly saw-cut along line of existing pavement to remain before removing adjacent existing pavement. Saw-cut faces vertically.
  - 2. Paint cut ends of steel reinforcement in concrete to remain with two coats of antirust coating, following coating manufacturer's written instructions.

3.8 DISPOSAL OF SURPLUS AND WASTE MATERIALS

- A. Remove surplus soil material, unsuitable topsoil, obstructions, demolished materials, and waste materials including trash and debris, and legally dispose of them off Owner's property.
- B. Separate recyclable materials produced during site clearing from other nonrecyclable materials. Store or stockpile without intermixing with other materials, and transport them to recycling facilities. Do not interfere with other Project work.

END OF SECTION

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SECTION 312000 - EARTH MOVING

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.
- B. State of New Hampshire Department of Transportation Standard Specifications for Road and Bridge Construction, latest edition (NHDOT).

1.2 SUMMARY

A. Section Includes:

- 1. Excavating and filling for rough grading the Site.
- 2. Preparing subgrades for slabs-on-grade, walks, pavements, and turf and grasses.
- 3. Excavating and backfilling for buildings and structures.
- 4. Subbase course for concrete walks and pavements.
- 5. Subbase course and base course for asphalt paving.
- 6. Excavating and backfilling trenches for utilities and pits for buried utility structures.

B. Related Requirements:

- 1. Section 311000 "Site Clearing" for site stripping, grubbing, stripping and stockpiling topsoil, and removal of above- and below-grade improvements and utilities.
- 2. Section 329200 "Turf and Grasses" for finish grading in turf and grass areas, including preparing and placing planting soil for turf areas.

1.3 DEFINITIONS

A. Backfill: Soil material or controlled low-strength material used to fill an excavation.

- 1. Initial Backfill: Backfill placed beside and over pipe in a trench, including haunches to support sides of pipe.
- 2. Final Backfill: Backfill placed over initial backfill to fill a trench.

B. Base Course: Aggregate layer placed between the subbase course and hot-mix asphalt paving.

C. Bedding Course: Aggregate layer placed over the excavated subgrade in a trench before laying pipe.

D. Borrow Soil: Satisfactory soil imported from off-site for use as fill or backfill.

E. Drainage Course: Aggregate layer supporting the slab-on-grade that also minimizes upward capillary flow of pore water.

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- F. Excavation: Removal of material encountered above subgrade elevations and to lines and dimensions indicated.
1. Authorized Additional Excavation: Excavation below subgrade elevations or beyond indicated lines and dimensions as directed by Owner. Authorized additional excavation and replacement material will be paid for according to Contract provisions for changes in the Work.
  2. Bulk Excavation: Excavation more than 10 feet in width and more than 30 feet in length.
  3. Unauthorized Excavation: Excavation below subgrade elevations or beyond indicated lines and dimensions without direction by Owner. Unauthorized excavation, as well as remedial work directed by Owner, shall be without additional compensation.
- G. Fill: Soil materials used to raise existing grades.
- H. Rock: Rock material in beds, ledges, unstratified masses, conglomerate deposits, and boulders of rock material that exceed 1 cu. yd. for bulk excavation or 3/4 cu. yd. for footing, trench, and pit excavation that cannot be removed by rock-excavating equipment equivalent to the following in size and performance ratings, without systematic drilling, ram hammering, ripping, or blasting, when permitted:
1. Equipment for Footing, Trench, and Pit Excavation: Late-model, track-mounted hydraulic excavator; equipped with a 42-inch- maximum-width, short-tip-radius rock bucket; rated at not less than 138-hp flywheel power with bucket-curling force of not less than 28,700 lbf and stick-crowd force of not less than 18,400 lbf with extra-long reach boom.
  2. Equipment for Bulk Excavation: Late-model, track-mounted loader; rated at not less than 230-hp flywheel power and developing a minimum of 47,992-lbf breakout force with a general-purpose bare bucket.
- I. Structures: Buildings, footings, foundations, retaining walls, slabs, tanks, curbs, mechanical and electrical appurtenances, or other man-made stationary features constructed above or below the ground surface.
- J. Subbase Course: Aggregate layer placed between the subgrade and base course for hot-mix asphalt pavement, or aggregate layer placed between the subgrade and a cement concrete pavement or a cement concrete or hot-mix asphalt walk.
- K. Subgrade: Uppermost surface of an excavation or the top surface of a fill or backfill immediately below subbase, drainage fill, drainage course, or topsoil materials.
- L. Utilities: On-site underground pipes, conduits, ducts, and cables as well as underground services within buildings.

1.4 ACTION SUBMITTALS

- A. Submittals shall comply with the requirements of Section 013300 "Submittal Procedures" and the individual sections specifying the work.
- B. Product Data: For each type of the following manufactured products required:

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1. Geotextiles.
2. Controlled low-strength material, including design mixture.
3. Warning tapes.

C. Samples for Verification: For the following products, in sizes indicated below:

1. Geotextile: 12 by 12 inches.
2. Warning Tape: 12 inches long; of each color.

#### 1.5 INFORMATIONAL SUBMITTALS

- A. Submittals shall comply with the requirements of Section 013300 "Submittal Procedures" and the individual sections specifying the work.
- B. Qualification Data: For qualified testing agency.
- C. Material Test Reports: For each on-site and borrow soil material proposed for fill and backfill as follows:
  1. Classification according to ASTM D2487.
  2. Laboratory compaction curve according to ASTM D1557.
- D. Preexcavation Photographs or Videotape: Show existing conditions of adjoining construction and site improvements, including finish surfaces that might be misconstrued as damage caused by earth-moving operations. Submit before earth moving begins.

#### 1.6 QUALITY ASSURANCE

- A. Blasting: No blasting will be allowed.
- B. Geotechnical Testing Agency Qualifications: Qualified according to ASTM E329 and ASTM D3740 for testing indicated.

#### 1.7 FIELD CONDITIONS

- A. Traffic: Minimize interference with adjoining roads, streets, walks, and other adjacent occupied or used facilities during earth-moving operations.
  1. Do not close or obstruct streets, walks, or other adjacent occupied or used facilities without permission from Owner and authorities having jurisdiction.
  2. Provide alternate routes around closed or obstructed traffic ways if required by Owner or authorities having jurisdiction.
- B. Utility Locator Service: Notify "Dig Safe System" for area where Project is located before beginning earth-moving operations.
- C. Do not commence earth-moving operations until temporary site fencing and erosion- and sedimentation-control measures specified in Section 311000 "Site Clearing" are in place.

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PART 2 - PRODUCTS

2.1 SOIL MATERIALS

- A. General: Provide borrow soil materials when sufficient satisfactory soil materials are not available from excavations.
- B. Satisfactory Soils: Soil Classification Groups GW, GP, GM, SW, SP, and SM according to ASTM D2487, or a combination of these groups; free of rock or gravel larger than 3 inches in any dimension, debris, waste, frozen materials, vegetation, and other deleterious matter.
- C. Unsatisfactory Soils: Soil Classification Groups GC, SC, CL, ML, OL, CH, MH, OH, and PT according to ASTM D2487, or a combination of these groups.
  - 1. Unsatisfactory soils also include satisfactory soils not maintained within 2 percent of optimum moisture content at time of compaction.
- D. Subbase Material: Conform to NHDOT Section 304, Gradation 304.2, Gravel.
- E. Base Course: Conform to NHDOT Section 304, Gradation 304.3, Crushed Gravel or NHDOT Gradation 304.4 Crushed Stone (Fine).
- F. Structural Fill: Naturally or artificially well-graded sand and gravel mixture free of roots, topsoil, loam, organic material, and any other deleterious materials, as well as clods of silt or clay, and meets the gradation requirements of NHDOT Section 304.2, Gravel with the maximum particle size limited to 3 inches.
- G. Bedding Course: Naturally or artificially graded mixture of natural or crushed gravel, crushed stone, and natural or crushed sand; ASTM D2940/D2940M; except with 100 percent passing a 1-inch sieve and not more than 8 percent passing a No. 200 sieve.
- H. Drainage Course: Narrowly graded mixture of washed crushed stone, or crushed or uncrushed gravel; ASTM D448; coarse-aggregate grading Size 57; with 100 percent passing a 1-1/2-inch sieve and zero to 5 percent passing a No. 8 sieve.
- I. Sand: ASTM C33/C33M; fine aggregate.
- J. Crushed Stone: Shall be rock of uniform quality and shall consist of clear angular fragments of quarried rock, free from soft disintegrated pieces or the objectionable matter conforming to the following gradation:

Sieve Size	Percent Finer by Weight
1 inch	100
3/4 inch	90-100
3/8 inch	10-30
No. 4	0-5

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2.2 GEOTEXTILES

- A. Subsurface Drainage Geotextile: Nonwoven needle-punched geotextile, manufactured for subsurface drainage applications, made from polyolefins or polyesters; with elongation greater than 50 percent; complying with AASHTO M 288 and the following, measured per test methods referenced:
1. Survivability: As follows:
    - a. Grab Tensile Strength: 157 lbf; ASTM D4632.
    - b. Sewn Seam Strength: 142 lbf; ASTM D4632.
    - c. Tear Strength: 56 lbf; ASTM D4533.
    - d. Puncture Strength: 56 lbf; ASTM D4833.
  2. Apparent Opening Size: No. 40 sieve, maximum; ASTM D4751.
  3. Permittivity: 0.2 per second, minimum; ASTM D4491.
  4. UV Stability: 50 percent after 500 hours' exposure; ASTM D4355.
- B. Separation Geotextile: Woven geotextile fabric, manufactured for separation applications, made from polyolefins or polyesters; with elongation less than 50 percent; complying with AASHTO M 288 and the following, measured per test methods referenced:
1. Survivability: As follows:
    - a. Grab Tensile Strength: 247 lbf; ASTM D4632.
    - b. Sewn Seam Strength: 222 lbf; ASTM D4632.
    - c. Tear Strength: 90 lbf; ASTM D4533.
    - d. Puncture Strength: 90 lbf; ASTM D4833.
  2. Apparent Opening Size: No. 60 sieve, maximum; ASTM D4751.
  3. Permittivity: 0.02 per second, minimum; ASTM D4491.
  4. UV Stability: 50 percent after 500 hours' exposure; ASTM D4355.

2.3 CONTROLLED LOW-STRENGTH MATERIAL

- A. Controlled Low-Strength Material: Self-compacting, flowable concrete material produced from the following:
1. Portland Cement: ASTM C150/C150M, Type I or Type II.
  2. Fly Ash: ASTM C618, Class C or F.
  3. Normal-Weight Aggregate: ASTM C33/C33M, 3/4-inch nominal maximum aggregate size.
  4. Water: ASTM C94/C94M.
  5. Air-Entraining Admixture: ASTM C260/C260M.
- B. Produce conventional-weight, controlled low-strength material with 140-psi compressive strength when tested according to ASTM C495/C495M.

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2.4 ACCESSORIES

A. Detectable Warning Tape: Acid- and alkali-resistant, polyethylene film warning tape manufactured for marking and identifying underground utilities, a minimum of 6 inches wide and 4 mils thick, continuously inscribed with a description of the utility, with metallic core encased in a protective jacket for corrosion protection, detectable by metal detector when tape is buried up to 30 inches deep; colored as follows:

1. Red: Electric.
2. Yellow: Gas, oil, steam, and dangerous materials.
3. Orange: Telephone and other communications.
4. Blue: Water systems.
5. Green: Sewer systems.

PART 3 - EXECUTION

3.1 PREPARATION

- A. Protect structures, utilities, sidewalks, pavements, and other facilities from damage caused by settlement, lateral movement, undermining, washout, and other hazards created by earth-moving operations.
- B. Protect and maintain erosion and sedimentation controls during earth-moving operations.
- C. Protect subgrades and foundation soils from freezing temperatures and frost. Remove temporary protection before placing subsequent materials.

3.2 DEWATERING

- A. Provide dewatering system of sufficient scope, size, and capacity to control hydrostatic pressures and to lower, control, remove, and dispose of ground water and permit excavation and construction to proceed on dry, stable subgrades.
- B. Prevent surface water and ground water from entering excavations, from ponding on prepared subgrades, and from flooding Project site and surrounding area.
- C. Protect subgrades from softening, undermining, washout, and damage by rain or water accumulation.
  1. Reroute surface water runoff away from excavated areas. Do not allow water to accumulate in excavations. Do not use excavated trenches as temporary drainage ditches.
- D. Dispose of water removed by dewatering in a manner that avoids endangering public health, property, and portions of work under construction or completed. Dispose of water and sediment in a manner that avoids inconvenience to others.

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3.3 EXPLOSIVES

- A. Explosives: Do not use explosives.

3.4 EXCAVATION, GENERAL

- A. Unclassified Excavation: Excavate to subgrade elevations regardless of the character of surface and subsurface conditions encountered. Unclassified excavated materials may include rock, soil materials, and obstructions. No changes in the Contract Sum or the Contract Time will be authorized for rock excavation or removal of obstructions.
1. If excavated materials intended for fill and backfill include unsatisfactory soil materials and rock, replace with satisfactory soil materials.
  2. Rock excavation includes removal and disposal of rock. Remove rock to lines and subgrade elevations indicated to permit installation of permanent construction without exceeding the following dimensions:
    - a. 24 inches outside of concrete forms other than at footings.
    - b. 12 inches outside of concrete forms at footings.
    - c. 6 inches outside of minimum required dimensions of concrete cast against grade.
    - d. Outside dimensions of concrete walls indicated to be cast against rock without forms or exterior waterproofing treatments.
    - e. 6 inches beneath bottom of concrete slabs-on-grade.
    - f. 6 inches beneath pipe in trenches and the greater of 24 inches wider than pipe or 42 inches wide.

3.5 EXCAVATION FOR STRUCTURES

- A. Excavate to indicated elevations and dimensions within a tolerance of plus or minus 1 inch. If applicable, extend excavations a sufficient distance from structures for placing and removing concrete formwork, for installing services and other construction, and for inspections.
1. Excavations for Footings and Foundations: Do not disturb bottom of excavation. Excavate by hand to final grade just before placing concrete reinforcement. Trim bottoms to required lines and grades to leave solid base to receive other work.
  2. Excavation for Underground Mechanical or Electrical Utility Structures: Excavate to elevations and dimensions indicated within a tolerance of plus or minus 1 inch. Do not disturb bottom of excavations intended as bearing surfaces.

3.6 EXCAVATION FOR WALKS AND PAVEMENTS

- A. Excavate surfaces under walks and pavements to indicated lines, cross sections, elevations, and subgrades.

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3.7 EXCAVATION FOR UTILITY TRENCHES

- A. Excavate trenches to indicated gradients, lines, depths, and elevations.
- B. Excavate trenches to uniform widths to provide the following clearance on each side of pipe or conduit. Excavate trench walls vertically from trench bottom to 12 inches higher than top of pipe or conduit unless otherwise indicated.
  - 1. Clearance: 12 inches each side of pipe or conduit.
- C. Trench Bottoms: Excavate trenches 6 inches deeper than bottom of pipe and conduit elevations to allow for bedding course. Hand-excavate deeper for bells of pipe.
  - 1. Excavate trenches 6 inches deeper than elevation required in rock or other unyielding bearing material to allow for bedding course.

3.8 SUBGRADE INSPECTION

- A. Notify Owner when excavations have reached required subgrade.
- B. If Owner determines that unsatisfactory soil is present, continue excavation and replace with compacted backfill or fill material as directed.
- C. Authorized additional excavation and replacement material will be paid for according to Contract provisions for changes in the Work.
- D. Reconstruct subgrades damaged by freezing temperatures, frost, rain, accumulated water, or construction activities, as directed by Architect, without additional compensation.

3.9 UNAUTHORIZED EXCAVATION

- A. Fill unauthorized excavation under foundations or wall footings by extending bottom elevation of concrete foundation or footing to excavation bottom, without altering top elevation. Lean concrete fill, with 28-day compressive strength of 2500 psi, may be used when approved by Owner.
  - 1. Fill unauthorized excavations under other construction, pipe, or conduit as directed by Owner.

3.10 STORAGE OF SOIL MATERIALS

- A. Stockpile borrow soil materials and excavated satisfactory soil materials without intermixing. Place, grade, and shape stockpiles to drain surface water. Cover to prevent windblown dust.
  - 1. Stockpile soil materials away from edge of excavations. Do not store within drip line of remaining trees.

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3.11 BACKFILL

- A. Place and compact backfill in excavations promptly, but not before completing the following:
  - 1. Construction below finish grade including, where applicable, subdrainage, dampproofing, waterproofing, and perimeter insulation.
  - 2. Surveying locations of underground utilities for Record Documents.
  - 3. Testing and inspecting underground utilities.
  - 4. Removing concrete formwork.
  - 5. Removing trash and debris.
  - 6. Removing temporary shoring, bracing, and sheeting.
  - 7. Installing permanent or temporary horizontal bracing on horizontally supported walls.
- B. Place backfill on subgrades free of mud, frost, snow, or ice.

3.12 UTILITY TRENCH BACKFILL

- A. Place backfill on subgrades free of mud, frost, snow, or ice.
- B. Place and compact bedding course on trench bottoms and where indicated. Shape bedding course to provide continuous support for bells, joints, and barrels of pipes and for joints, fittings, and bodies of conduits.
- C. Backfill voids with satisfactory soil while removing shoring and bracing.
- D. Initial Backfill:
  - 1. Soil Backfill: Place and compact initial backfill of bedding material, free of particles larger than 1 inch in any dimension, to a height of 6 inches over the pipe or conduit.
    - a. Carefully compact initial backfill under pipe haunches and compact evenly up on both sides and along the full length of piping or conduit to avoid damage or displacement of piping or conduit. Coordinate backfilling with utilities testing.
- E. Final Backfill:
  - 1. Soil Backfill: Place and compact final backfill of satisfactory soil to final subgrade elevation.
- F. Warning Tape: Install warning tape directly above utilities, 12 inches below finished grade, except 6 inches below subgrade under pavements and slabs.

3.13 SOIL FILL

- A. Plow, scarify, bench, or break up sloped surfaces steeper than 1 vertical to 4 horizontal so fill material will bond with existing material.
- B. Place and compact fill material in layers to required elevations as follows:
  - 1. Under grass and planted areas, use satisfactory soil material.

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2. Under walks and pavements, use satisfactory soil material.

C. Place soil fill on subgrades free of mud, frost, snow, or ice.

3.14 SOIL MOISTURE CONTROL

A. Uniformly moisten or aerate subgrade and each subsequent fill or backfill soil layer before compaction to within 2 percent of optimum moisture content.

1. Do not place backfill or fill soil material on surfaces that are muddy, frozen, or contain frost or ice.
2. Remove and replace, or scarify and air dry, otherwise satisfactory soil material that exceeds optimum moisture content by 2 percent and is too wet to compact to specified dry density.

3.15 COMPACTION OF SOIL BACKFILLS AND FILLS

A. Place backfill and fill soil materials in layers not more than 6 inches in loose depth for material compacted by heavy compaction equipment and not more than 4 inches in loose depth for material compacted by hand-operated tampers.

B. Place backfill and fill soil materials evenly on all sides of structures to required elevations and uniformly along the full length of each structure.

C. Compact soil materials to not less than the following percentages of maximum dry unit density according to ASTM D1557:

1. Under generator foundation scarify and recompact top 12" of existing subgrade and each layer of soil material at 98 percent.
2. Under structures and pavements, scarify and recompact top 12 inches of existing subgrade and each layer of backfill or fill soil material at 95 percent.
3. Under walkways, scarify and recompact top 6 inches below subgrade and compact each layer of backfill or fill soil material at 92 percent.
4. Under turf or unpaved areas, scarify and recompact top 6 inches below subgrade and compact each layer of backfill or fill soil material at 85 percent.

3.16 GRADING

A. General: Uniformly grade areas to a smooth surface, free of irregular surface changes. Comply with compaction requirements and grade to cross sections, lines, and elevations indicated.

1. Provide a smooth transition between adjacent existing grades and new grades.
2. Cut out soft spots, fill low spots, and trim high spots to comply with required surface tolerances.

B. Site Rough Grading: Slope grades to direct water away from buildings and to prevent ponding. Finish subgrades to elevations required to achieve indicated finish elevations, within the following subgrade tolerances:

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1. Turf or Unpaved Areas: Plus or minus 1 inch.
2. Walks: Plus or minus 1 inch.
3. Pavements: Plus or minus 1/2 inch.

3.17 SUBBASE AND BASE COURSES UNDER PAVEMENTS AND WALKS

- A. Place subbase course and base course on subgrades free of mud, frost, snow, or ice.
- B. On prepared subgrade, place subbase course and base course under pavements and walks as follows:
  1. Place base course material over subbase course under hot-mix asphalt pavement.
  2. Shape subbase course and base course to required crown elevations and cross-slope grades.
  3. Place subbase course and base course 6 inches or less in compacted thickness in a single layer.
  4. Place subbase course and base course that exceeds 6 inches in compacted thickness in layers of equal thickness, with no compacted layer more than 6 inches thick or less than 3 inches thick.
  5. Compact subbase course and base course at optimum moisture content to required grades, lines, cross sections, and thickness to not less than 95 percent of maximum dry density according to ASTM D1557.

3.18 FIELD QUALITY CONTROL

- A. Testing Agency: Engage a qualified geotechnical engineering testing agency to perform tests and inspections.
- B. Allow testing agency to inspect and test subgrades and each fill or backfill layer. Proceed with subsequent earth moving only after test results for previously completed work comply with requirements.
- C. Footing Subgrade: At footing subgrades, at least one test of each soil stratum will be performed to verify design bearing capacities. Subsequent verification and approval of other footing subgrades may be based on a visual comparison of subgrade with tested subgrade when approved by Owner.
- D. Testing agency will test compaction of soils in place according to ASTM D1556, ASTM D2167, ASTM D2937, and ASTM D6938, as applicable. Tests will be performed at the following locations and frequencies:
  1. Paved Areas: At subgrade and at each compacted fill and backfill layer, at least one test for every 2000 sq. ft. or less of paved area but in no case fewer than three tests.
  2. Foundation Wall Backfill: At each compacted backfill layer, at least one test for every 100 feet or less of wall length but no fewer than two tests.
  3. Trench Backfill: At each compacted initial and final backfill layer, at least one test for every 150 feet or less of trench length but no fewer than two tests.

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- E. When testing agency reports that subgrades, fills, or backfills have not achieved degree of compaction specified, scarify and moisten or aerate, or remove and replace soil materials to depth required; recompact and retest until specified compaction is obtained.

3.19 PROTECTION

- A. Protecting Graded Areas: Protect newly graded areas from traffic, freezing, and erosion. Keep free of trash and debris.
- B. Repair and reestablish grades to specified tolerances where completed or partially completed surfaces become eroded, rutted, settled, or where they lose compaction due to subsequent construction operations or weather conditions.
  - 1. Scarify or remove and replace soil material to depth as directed by Owner; reshape and recompact.
- C. Where settling occurs before Project correction period elapses, remove finished surfacing, backfill with additional soil material, compact, and reconstruct surfacing.
  - 1. Restore appearance, quality, and condition of finished surfacing to match adjacent work, and eliminate evidence of restoration to greatest extent possible.

3.20 DISPOSAL OF SURPLUS AND WASTE MATERIALS

- A. Remove surplus satisfactory soil and waste materials, including unsatisfactory soil, trash, and debris, and legally dispose of them off Owner's property.

END OF SECTION

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SECTION 321216 - ASPHALT PAVING

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

A. Section Includes:

1. Hot-mix asphalt paving.
2. Hot-mix asphalt overlay.
3. Cold milling of existing asphalt pavement.
4. Hot-mix asphalt patching.

B. Related Requirements:

1. Section 312000 "Earth Moving" for subgrade preparation, fill material, separation geotextiles, unbound-aggregate subbase and base courses, and aggregate pavement shoulders.
2. Section 321313 "Concrete Paving" for concrete pads.

1.3 ACTION SUBMITTALS

- A. Submittals shall comply with the requirements of Section 013300 "Submittal Procedures" and the individual sections specifying the work.

1. Certification, by authorities having jurisdiction, of approval of each hot-mix asphalt design proposed for the Work.

1.4 INFORMATIONAL SUBMITTALS

- A. Submittals shall comply with the requirements of Section 013300 "Submittal Procedures" and the individual sections specifying the work.

- B. Qualification Data: For paving-mix manufacturer and testing agency.

C. Material Certificates:

1. Aggregates.
2. Asphalt binder.
3. Tack coat.

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- D. Field quality-control reports.

1.5 QUALITY ASSURANCE

- A. Manufacturer Qualifications: A paving-mix manufacturer registered with and approved by NHDOT.
- B. Testing Agency Qualifications: Qualified in accordance with ASTM D3666 for testing indicated.
- C. Regulatory Requirements: Comply with materials, workmanship, and other applicable requirements of NHDOT for asphalt paving work.
  - 1. Measurement and payment provisions and safety program submittals included in standard specifications do not apply to this Section.

1.6 FIELD CONDITIONS

- A. Environmental Limitations: Do not apply asphalt materials if subgrade is wet or excessively damp, if rain is imminent or expected before time required for adequate cure, or if the following conditions are not met:
  - 1. Tack Coat: Minimum surface temperature of 60 deg F.
  - 2. Asphalt Base Course and Binder Course: Minimum surface temperature of 40 deg F and rising at time of placement.
  - 3. Asphalt Surface Course: Minimum surface temperature of 60 deg F at time of placement.

PART 2 - PRODUCTS

2.1 AGGREGATES

- A. General: Use materials and gradations that have performed satisfactorily in previous installations. Conform to NHDOT Standards.

2.2 ASPHALT MATERIALS

- A. Asphalt Binder: AASHTO M 320 binder designation PG 64-28 in accordance with NHDOT specifications.
- B. Tack Coat: AASHTO M 140 emulsified asphalt, or AASHTO M 208 cationic emulsified asphalt, slow setting, diluted in water, of suitable grade and consistency for application.
- C. Water: Potable.

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2.3 MIXES

- A. Hot-Mix Asphalt: Dense-graded, hot-laid, hot-mix asphalt plant mixes approved by owner and complying with the following requirements:
  - 1. Provide mixes with a history of satisfactory performance in geographical area where Project is located.
  - 2. Binder Course: NHDOT 401, 3/4 inch mix.
  - 3. Wearing Course: NHDOT 401, 1/2 inch mix.

PART 3 - EXECUTION

3.1 EXAMINATION

- A. Verify that subgrade is dry and in suitable condition to begin paving.
- B. Proceed with paving only after unsatisfactory conditions have been corrected.

3.2 PREPARATION

- A. Protection: Provide protective materials, procedures, and worker training to prevent asphalt materials from spilling, coating, or building up on curbs, driveway aprons, manholes, and other surfaces adjacent to the Work.

3.3 COLD MILLING

- A. Clean existing pavement surface of loose and deleterious material immediately before cold milling. Remove existing asphalt pavement by cold milling to grades and cross sections indicated.
  - 1. Mill to a depth of 1-1/2 inches.
  - 2. Mill to a uniform finished surface free of excessive gouges, grooves, and ridges.
  - 3. Control rate of milling to prevent tearing of existing asphalt course.
  - 4. Repair or replace curbs, driveway aprons, manholes, and other construction damaged during cold milling.
  - 5. Excavate and trim unbound-aggregate base course, if encountered, and keep material separate from milled hot-mix asphalt.
  - 6. Patch surface depressions deeper than 1 inch after milling, before wearing course is laid.
  - 7. Keep milled pavement surface free of loose material and dust.
  - 8. Do not allow milled materials to accumulate on-site.

3.4 PATCHING

- A. Asphalt Pavement: Saw cut perimeter of patch and excavate existing pavement section to sound base. Excavate rectangular or trapezoidal patches, extending 12 inches into perimeter of

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adjacent sound pavement, unless otherwise indicated. Cut excavation faces vertically. Remove excavated material. Recompact existing unbound-aggregate base course to form new subgrade.

- B. Tack Coat: Before placing patch material, apply tack coat uniformly to vertical asphalt surfaces abutting the patch. Apply at a rate of 0.05 to 0.15 gal./sq. yd.
  - 1. Allow tack coat to cure undisturbed before applying hot-mix asphalt paving.
  - 2. Avoid smearing or staining adjoining surfaces, appurtenances, and surroundings. Remove spillages and clean affected surfaces.
- C. Placing Two-Course Patch Material: Partially fill excavated pavements with hot-mix asphalt base course mix and, while still hot, compact. Cover asphalt base course with compacted layer of hot-mix asphalt surface course, finished flush with adjacent surfaces.

### 3.5 REPAIRS

- A. Leveling Course: Install and compact leveling course consisting of hot-mix asphalt surface course to level sags and fill depressions deeper than 1 inch in existing pavements.
  - 1. Install leveling wedges in compacted lifts not exceeding 3 inches thick.
- B. Crack and Joint Filling: Remove existing joint filler material from cracks or joints to a depth of 1/4 inch.
  - 1. Clean cracks and joints in existing hot-mix asphalt pavement.
  - 2. Use emulsified-asphalt slurry to seal cracks and joints less than 1/4 inch wide. Fill flush with surface of existing pavement and remove excess.
  - 3. Use hot-applied joint sealant to seal cracks and joints more than 1/4 inch wide. Fill flush with surface of existing pavement and remove excess.

### 3.6 SURFACE PREPARATION

- A. Immediately before placing asphalt materials, remove loose and deleterious material from substrate surfaces.
- B. Tack Coat: Apply uniformly to surfaces of existing pavement at a rate of 0.05 to 0.15 gal./sq. yd.
  - 1. Allow tack coat to cure undisturbed before applying hot-mix asphalt paving.
  - 2. Avoid smearing or staining adjoining surfaces, appurtenances, and surroundings. Remove spillages and clean affected surfaces.

### 3.7 HOT-MIX ASPHALT PLACEMENT

- A. Machine place hot-mix asphalt on prepared surface, spread uniformly, and strike off. Place asphalt mix by hand in areas inaccessible to equipment in a manner that prevents segregation of mix. Place each course to required grade, cross section, and thickness when compacted.

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1. Place hot-mix asphalt binder and wearing courses in number of lifts and thicknesses indicated.
  2. Spread mix at a minimum temperature of 250 deg F.
  3. Begin applying mix along centerline of crown for crowned sections and on high side of one-way slopes unless otherwise indicated.
  4. Regulate paver machine speed to obtain smooth, continuous surface free of pulls and tears in asphalt-paving mat.
- B. Place paving in consecutive strips not less than 10 feet wide unless infill edge strips of a lesser width are required.
1. After first strip has been placed and rolled, place succeeding strips and extend rolling to overlap previous strips. Overlap mix placement about 1 to 1-1/2 inches from strip to strip to ensure proper compaction of mix along longitudinal joints.
  2. Complete a section of asphalt binder course before placing asphalt wearing.
- C. Promptly correct surface irregularities in paving course behind paver. Use suitable hand tools to remove excess material forming high spots. Fill depressions with hot-mix asphalt to prevent segregation of mix; use suitable hand tools to smooth surface.

### 3.8 JOINTS

- A. Construct joints to ensure a continuous bond between adjoining paving sections. Construct joints free of depressions, with same texture and smoothness as other sections of hot-mix asphalt course.
1. Clean contact surfaces and apply tack coat to joints.
  2. Offset longitudinal joints, in successive courses, a minimum of 6 inches.
  3. Offset transverse joints, in successive courses, a minimum of 24 inches.
  4. Construct transverse joints at each point where paver ends a day's work and resumes work at a subsequent time. Construct these joints using either "bulkhead" or "papered" method in accordance with AIMS-22, for both "Ending a Lane" and "Resumption of Paving Operations."
  5. Compact joints as soon as hot-mix asphalt will bear roller weight without excessive displacement.
  6. Compact asphalt at joints to a density within 2 percent of specified course density.

### 3.9 COMPACTION

- A. General: Begin compaction as soon as placed hot-mix paving will bear roller weight without excessive displacement. Compact hot-mix paving with hot hand tampers or with vibratory-plate compactors in areas inaccessible to rollers.
1. Complete compaction before mix temperature cools to 185 deg F.
- B. Breakdown Rolling: Complete breakdown or initial rolling immediately after rolling joints and outside edge. Examine surface immediately after breakdown rolling for indicated crown, grade, and smoothness. Correct laydown and rolling operations to comply with requirements.

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- C. Intermediate Rolling: Begin intermediate rolling immediately after breakdown rolling while hot-mix asphalt is still hot enough to achieve specified density. Continue rolling until hot-mix asphalt course has been uniformly compacted to the following density:
  - 1. Average Density, Rice Test Method: 92 percent of reference maximum theoretical density in accordance with ASTM D2041/D2041M, but not less than 90 percent or greater than 96 percent.
- D. Finish Rolling: Finish roll paved surfaces to remove roller marks while hot-mix asphalt is still warm.
- E. Edge Shaping: While surface is being compacted and finished, trim edges of pavement to proper alignment. Bevel edges while asphalt is still hot; compact thoroughly.
- F. Repairs: Remove paved areas that are defective or contaminated with foreign materials and replace with fresh, hot-mix asphalt. Compact by rolling to specified density and surface smoothness.
- G. Protection: After final rolling, do not permit vehicular traffic on pavement until it has cooled and hardened.
- H. Erect barricades to protect paving from traffic until mixture has cooled enough not to become marked.

3.10 INSTALLATION TOLERANCES

- A. Pavement Thickness: Compact each course to produce thickness indicated within the following tolerances:
  - 1. Binder Course: Plus or minus 1/2 inch.
  - 2. Wearing Course: Plus 1/4 inch, no minus.
- B. Pavement Surface Smoothness: Compact each course to produce surface smoothness within the following tolerances as determined by using a 10-foot straightedge applied transversely or longitudinally to paved areas:
  - 1. Binder Course: 1/4 inch.
  - 2. Wearing Course: 1/8 inch.
  - 3. Crowned Surfaces: Test with crowned template centered and at right angle to crown. Maximum allowable variance from template is 1/4 inch.

3.11 FIELD QUALITY CONTROL

- A. Testing Agency: Engage a qualified testing agency to perform tests and inspections.
- B. Thickness: In-place compacted thickness of hot-mix asphalt courses will be determined in accordance with ASTM D3549/D3549M.
- C. Surface Smoothness: Finished surface of each hot-mix asphalt course will be tested for compliance with smoothness tolerances.

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- D. In-Place Density: Testing agency will take samples of uncompacted paving mixtures and compacted pavement in accordance with ASTM D979/D979M or AASHTO T 168.
  - 1. Reference maximum theoretical density will be determined by averaging results from four samples of hot-mix asphalt-paving mixture delivered daily to site, prepared in accordance with ASTM D2041/D2041M, and compacted in accordance with job-mix specifications.
  - 2. In-place density of compacted pavement will be determined by testing core samples in accordance with ASTM D1188 or ASTM D2726/D2726M.
    - a. One core sample will be taken for every 1000 sq. yd. or less of installed pavement, with no fewer than three cores taken.
    - b. Field density of in-place compacted pavement may also be determined by nuclear method in accordance with ASTM D2950/D2950M and coordinated with ASTM D1188 or ASTM D2726/D2726M.
- E. Replace and compact hot-mix asphalt where core tests were taken.
- F. Remove and replace or install additional hot-mix asphalt where test results or measurements indicate that it does not comply with specified requirements.

3.12 WASTE HANDLING

- A. General: Handle asphalt-paving waste in accordance with approved waste management plan.

END OF SECTION



SECTION 323223 - SEGMENTAL RETAINING WALLS

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

- A. This Section includes single-depth segmental retaining walls without soil reinforcement.
- B. Related Requirements:
  - 1. Section 312000 "Earth Moving" for excavation for segmental retaining walls.

1.3 PREINSTALLATION MEETINGS

- A. Preinstallation Conference: Conduct conference at Project site.

1.4 ACTION SUBMITTALS

- A. Submittals shall comply with the requirements of Section 013300 "Submittal Procedures" and the individual sections specifying the work.
- B. Product Data: For each type of product.
- C. Samples: For each color and texture of concrete unit specified. Submit sections of units not less than 12 inches square.
- D. Delegated-Design Submittal: For segmental retaining walls indicated to comply with performance requirements and design criteria, including analysis data signed and sealed by the qualified professional engineer responsible for their preparation,.
  - 1. Compliance Review: Qualified professional engineer responsible for segmental retaining wall design shall review and approve submittals and source and field quality-control reports for compliance of materials and construction with design.

1.5 INFORMATIONAL SUBMITTALS

- A. Submittals shall comply with the requirements of Section 013300 "Submittal Procedures" and the individual sections specifying the work.
- B. Qualification Data: For testing agency.
- C. Product Certificates: For each type of segmental retaining wall unit from manufacturer.

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1. Include test data for shear strength between segmental retaining wall units according to ASTM D 6916.
  2. Include test data for connection strength between segmental retaining wall units and soil reinforcement according to ASTM D 6638.
- D. Product Test Reports: For each type of segmental retaining wall unit, for tests performed by a qualified testing agency.
1. Include test data for freeze-thaw durability of segmental retaining wall units.
  2. Include test data for shear strength between segmental retaining wall units according to ASTM D 6916.
- E. Research/Evaluation Reports: For segmental retaining wall units, from ICC-ES.
- F. Preconstruction test reports.
- G. Source quality-control reports.
- H. Field quality-control reports.

1.6 QUALITY ASSURANCE

- A. Testing Agency Qualifications: Qualified according to ASTM E 329 for testing indicated.
- B. Mockups: Build mockups to verify selections made under Sample submittals and to demonstrate aesthetic effects.
1. Build mockup of segmental retaining wall approximately 60 inches long by not less than 48 inches high above finished grade at front of wall.
    - a. Include typical soil bedding and backfill.
    - b. Include typical base and cap or finished top construction.
    - c. Include backfill to typical finished grades at both sides of wall.
    - d. Include typical end construction at one end of mockup.
  2. Subject to compliance with requirements, approved mockups may become part of the completed Work if undisturbed at time of Substantial Completion.

1.7 PRECONSTRUCTION TESTING

- A. Preconstruction Testing Service: Unless information provided in geotechnical report is used, engage a qualified testing agency to perform the following preconstruction testing:
1. Test soil reinforcement and backfill materials for pullout resistance according to ASTM D 6706.
  2. Test soil reinforcement and backfill materials for coefficient of friction according to ASTM D 5321.

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1.8 DELIVERY, STORAGE, AND HANDLING

- A. Store and handle concrete units and accessories to prevent deterioration or damage due to contaminants, breaking, chipping, or other causes.
- B. Store geosynthetics in manufacturer's original packaging with labels intact. Store and handle geosynthetics to prevent deterioration or damage due to sunlight, chemicals, flames, temperatures above 160 deg F or below 32 deg F, and other conditions that might damage them. Verify identification of geosynthetics before use, and examine them for defects as material is placed.

PART 2 - PRODUCTS

2.1 PERFORMANCE REQUIREMENTS

- A. Basis of Design: Design of segmental retaining walls is based on products indicated.
- B. Delegated Design: Engage a qualified state of NH licensed professional engineer, as defined in Section 014000 "Quality Requirements," to design segmental retaining walls.
- C. Compliance Review: Qualified professional engineer responsible for segmental retaining wall design shall review and approve submittals and source and field quality-control reports for compliance of materials and construction with design.
- D. Structural Performance: Engineering design shall be based on the following loads and be according to NCMA's "Design Manual for Segmental Retaining Walls."
  - 1. Gravity loads due to soil pressures resulting from grades indicated.
  - 2. Superimposed live loads (surcharge) 250 pounds per square foot (PSF).
  - 3. Horizontal Peak Ground Acceleration (A) for Project: Seismic Site Class "D".

2.2 SEGMENTAL RETAINING WALL UNITS

- A. Concrete Units: ASTM C 1372, Normal Weight, except that maximum water absorption shall not exceed 7 percent by weight and units shall not differ in height more than plus or minus 1/16 inch from specified dimension.
  - 1. Basis-of-Design Product: Subject to compliance with requirements, provide the following or approved equal:
    - a. Redi-Rock Retaining Wall System, type cobblestone finish manufactured by Redi-Rock Walls of New England.
  - 2. Provide units that comply with requirements in ASTM C 1372 for freeze-thaw durability as determined by testing.
  - 3. Provide units that interlock with courses above and below by means of integral lugs, domes, lips, or tongues and grooves.
- B. Color: New England Cobblestone.

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- C. Shape and Texture: Provide units matching basic shape, dimensions, and face texture of basis-of-design product.
- D. Batter: Provide units that offset from course below to provide batter as required by manufacturer and designer.
- E. Special Units: Provide corner units, end units, and other shapes as needed to produce segmental retaining walls of dimensions and profiles indicated and to provide texture on exposed surfaces matching face or as indicated. Provide for fence posts and/or sleeves as indicated.

2.3 INSTALLATION MATERIALS

- A. Leveling Base: Comply with requirements in Section 312000 "Earth Moving" for crushed stone.
- B. Nonreinforced-Soil Fill: Comply with requirements in Section 312000 "Earth Moving" for drainage course soil material.
- C. Drainage Geotextile: Nonwoven needle-punched geotextile, manufactured for subsurface drainage applications, made from polyolefins or polyesters; with elongation greater than 50 percent.
  - 1. Apparent Opening Size: No. 70 to 100 sieve, maximum; ASTM D 4751.
  - 2. Minimum Grab Tensile Strength: 110 lb; ASTM D 4632.
  - 3. Minimum Weight: 4 oz./sq. yd.
- D. Subdrainage Pipe and Filter Fabric: Comply with requirements in Section 334600 "Subdrainage."

PART 3 - EXECUTION

3.1 EXAMINATION

- A. Examine areas and conditions, with Installer present, for compliance with requirements for excavation tolerances, condition of subgrades, and other conditions affecting performance of the Work.
- B. Proceed with installation only after unsatisfactory conditions have been corrected.

3.2 RETAINING WALL INSTALLATION

- A. General: Place units according to NCMA's "Segmental Retaining Wall Installation Guide" and segmental retaining wall unit manufacturer's written instructions.
  - 1. Lay units in running bond or bond pattern indicated.
  - 2. Form corners and ends by using special units.
- B. Do not use units with chips, cracks, or other defects that are visible at a distance of 10 feet where such defects are exposed in the completed Work.

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- C. Leveling Base: Place and compact base material to thickness indicated and with not less than 95 percent maximum dry unit weight according to ASTM D 1557.
  - 1. Leveling Course: At Contractor's option, unreinforced lean concrete may be substituted for upper 1 to 2 inches of base. Place unreinforced lean concrete over leveling base 1 to 2 inches thick. Compact and screed concrete to a smooth, level surface.
- D. First Course: Place first course of segmental retaining wall units for full length of wall. Place units in firm contact with each other, properly aligned and level.
  - 1. Tamp units into leveling base as necessary to bring tops of units into a level plane.
- E. Subsequent Courses: Remove excess fill and debris from tops of units in course below. Place units in firm contact, properly aligned, and directly on course below.
  - 1. For units with lugs designed to fit into holes in adjacent units, lay units so lugs are accurately aligned with holes, and bedding surfaces are firmly seated on beds of units below.
  - 2. For units with lips at front of units, slide units as far forward as possible for firm contact with lips of units below.
  - 3. For units with lips at bottom rear of units, slide units as far forward as possible for firm contact of lips with units below.
- F. Cap Units: Place cap units and secure with cap adhesive. Provide cutouts for fence posts.

### 3.3 FILL PLACEMENT

- A. General: Comply with requirements in Section 312000 "Earth Moving," with NCMA's "Segmental Retaining Wall Installation Guide," and with segmental retaining wall unit manufacturer's written instructions.
- B. Fill voids between and within units with drainage course material. Place fill as each course of units is laid.
- C. Place, spread, and compact drainage course material and soil fill in uniform lifts for full width and length of embankment as wall is laid. Place and compact fills without disturbing alignment of units. Where both sides of wall are indicated to be filled, place fills on both sides at same time. Begin at wall, and place and spread fills toward embankment.
  - 1. Use only hand-operated compaction equipment within 48 inches of wall, or one-half of height above bottom of wall, whichever is greater.
  - 2. Compact reinforced-soil fill to not less than 95 percent maximum dry unit weight according to ASTM D 1557.
  - 3. Compact nonreinforced-soil fill to comply with Section 312000 "Earth Moving."
- D. Place drainage geotextile against back of wall and place a layer of drainage fill at least 12 inches wide behind wall to within 12 inches of finished grade. Place another layer of drainage geotextile between drainage fill and soil fill.
- E. Wrap subdrainage pipe with filter fabric and place in drainage as indicated, sloped not less than 0.5 percent to drain.

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- F. Slope grade at top of wall away from wall unless otherwise indicated. Slope grade at wall base away from wall. Provide uniform slopes that prevent ponding.

3.4 CONSTRUCTION TOLERANCES

- A. Variation from Level: For bed-joint lines along walls, do not exceed 1-1/4 inches in 10 feet, 3 inches maximum.
- B. Variation from Indicated Batter: For slope of wall face, do not vary from indicated slope by more than 1-1/4 inches in 10 feet.
- C. Variation from Indicated Wall Line: For walls indicated as straight, do not vary from straight line by more than 1-1/4 inches in 10 feet.
- D. Maximum Gap between Units: 1/8 inch.

3.5 FIELD QUALITY CONTROL

- A. Testing Agency: Engage a qualified testing agency to perform tests and inspections.
- B. Comply with requirements in Section 312000 "Earth Moving" for field quality control.
  - 1. In each compacted backfill layer, perform at least one field in-place compaction test for each 50 feet or less of segmental retaining wall length.
  - 2. In each compacted backfill layer, perform at least one field in-place compaction test for each 12 inches of fill depth and each 50 feet or less of segmental retaining wall length.

3.6 ADJUSTING

- A. Remove and replace segmental retaining wall construction of the following descriptions:
  - 1. Broken, chipped, stained, or otherwise damaged units. Units may be repaired if Owner approves methods and results.
  - 2. Segmental retaining walls that do not match approved Samples and mockups.
  - 3. Segmental retaining walls that do not comply with other requirements indicated.
- B. Replace units so segmental retaining wall matches approved Samples and mockups, complies with other requirements, and shows no evidence of replacement.

END OF SECTION

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SECTION 329115 - SOIL PREPARATION (PERFORMANCE SPECIFICATION)

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

- A. Section includes planting soils specified according to performance requirements of the mixes.
- B. Related Requirements:
  - 1. Section 311000 "Site Clearing" for topsoil stripping and stockpiling.
  - 2. Section 329200 "Turf and Grasses" for placing planting soil for turf and grasses.

1.3 DEFINITIONS

- A. Backfill: The earth used to replace or the act of replacing earth in an excavation. This can be amended or unamended soil as indicated.
- B. CEC: Cation exchange capacity.
- C. Compost: The product resulting from the controlled biological decomposition of organic material that has been sanitized through the generation of heat and stabilized to the point that it is beneficial to plant growth.
- D. Imported Soil: Soil that is transported to Project site for use.
- E. NAPT: North American Proficiency Testing Program. An SSSA program to assist soil-, plant-, and water-testing laboratories through interlaboratory sample exchanges and statistical evaluation of analytical data.
- F. Organic Matter: The total of organic materials in soil exclusive of undecayed plant and animal tissues, their partial decomposition products, and the soil biomass; also called "humus" or "soil organic matter."
- G. Planting Soil: Existing, on-site soil; imported soil; or manufactured soil that has been modified as specified with soil amendments and perhaps fertilizers to produce a soil mixture best for plant growth.
- H. SSSA: Soil Science Society of America.
- I. Subgrade: Surface or elevation of subsoil remaining after excavation is complete, or the top surface of a fill or backfill before planting soil is placed.

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- J. Subsoil: Soil beneath the level of subgrade; soil beneath the topsoil layers of a naturally occurring soil profile, typified by less than 1 percent organic matter and few soil organisms.
- K. Surface Soil: Soil that is present at the top layer of the existing soil profile. In undisturbed areas, surface soil is typically called "topsoil"; but in disturbed areas such as urban environments, the surface soil can be subsoil.
- L. USCC: U.S. Composting Council.

1.4 ACTION SUBMITTALS

- A. Submittals shall comply with the requirements of Section 013300 "Submittal Procedures" and the individual sections specifying the work.
- B. Product Data: For each type of product.
  - 1. Include recommendations for application and use.
  - 2. Include test data substantiating that products comply with requirements.
  - 3. Include sieve analyses for aggregate materials.
  - 4. Material Certificates: For each type of soil amendment and fertilizer before delivery to the site, according to the following:
    - a. Manufacturer's qualified testing agency's certified analysis of standard products.
    - b. Analysis of fertilizers, by a qualified testing agency, made according to AAPFCO methods for testing and labeling and according to AAPFCO's SUIP #25.
    - c. Analysis of nonstandard materials, by a qualified testing agency, made according to SSSA methods, where applicable.

1.5 INFORMATIONAL SUBMITTALS

- A. Submittals shall comply with the requirements of Section 013300 "Submittal Procedures" and the individual sections specifying the work.
- B. Qualification Data: For each testing agency.
- C. Preconstruction Test Reports: For preconstruction soil analyses specified in "Preconstruction Testing" Article.
- D. Field quality-control reports.

1.6 QUALITY ASSURANCE

- A. Testing Agency Qualifications: An independent, state-operated, or university-operated laboratory; experienced in soil science, soil testing, and plant nutrition; with the experience and capability to conduct the testing indicated; and that specializes in types of tests to be performed.

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1.7 PRECONSTRUCTION TESTING

- A. Preconstruction Testing Service: Engage a qualified testing agency to perform preconstruction soil analyses on imported soil.
- B. Preconstruction Soil Analyses: For each unamended soil type, perform testing on soil samples and furnish soil analysis and a written report containing soil-amendment and fertilizer recommendations by a qualified testing agency performing the testing according to "Soil-Sampling Requirements" and "Testing Requirements" articles.
  - 1. Have testing agency identify and label samples and test reports according to sample collection and labeling requirements.

1.8 SOIL-SAMPLING REQUIREMENTS

- A. General: Extract soil samples according to requirements in this article.
- B. Sample Collection and Labeling: Have samples taken and labeled by Contractor under the direction of the testing agency.
  - 1. Number and Location of Samples: Minimum of three representative soil samples from varied locations for each soil to be used or amended for landscaping purposes.
  - 2. Procedures and Depth of Samples: According to USDA-NRCS's "Field Book for Describing and Sampling Soils."
  - 3. Labeling: Label each sample with the date, location keyed to a site plan or other location system, visible soil condition, and sampling depth.

1.9 TESTING REQUIREMENTS

- A. General: Perform tests on soil samples according to requirements in this article.
- B. Physical Testing:
  - 1. Soil Texture: Soil-particle, size-distribution analysis by the following methods according to SSSA's "Methods of Soil Analysis - Part 1-Physical and Mineralogical Methods":
    - a. Sieving Method: Report sand-gradation percentages for very coarse, coarse, medium, fine, and very fine sand; and fragment-gradation (gravel) percentages for fine, medium, and coarse fragments; according to USDA sand and fragment sizes.
    - b. Hydrometer Method: Report percentages of sand, silt, and clay.
- C. Fertility Testing: Soil fertility analysis according to standard laboratory protocol of SSSA NAPT NEC-67, including the following:
  - 1. Percentage of organic matter.
  - 2. CEC, calcium percent of CEC, and magnesium percent of CEC.
  - 3. Soil reaction (acidity/alkalinity pH value).
  - 4. Buffered acidity or alkalinity.
  - 5. Nitrogen ppm.

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6. Phosphorous ppm.
7. Potassium ppm.
8. Manganese ppm.
9. Manganese-availability ppm.
10. Zinc ppm.
11. Zinc availability ppm.
12. Copper ppm.
13. Sodium ppm.
14. Soluble-salts ppm.
15. Presence and quantities of problem materials including salts and metals cited in the Standard protocol. If such problem materials are present, provide additional recommendations for corrective action.
16. Other deleterious materials, including their characteristics and content of each.

D. Organic-Matter Content: Analysis using loss-by-ignition method according to SSSA's "Methods of Soil Analysis - Part 3-Chemical Methods."

E. Recommendations: Based on the test results, state recommendations for soil treatments and soil amendments to be incorporated to produce satisfactory planting soil suitable for healthy, viable plants indicated. Include, at a minimum, recommendations for nitrogen, phosphorous, and potassium fertilization, and for micronutrients.

1. Fertilizers and Soil Amendment Rates: State recommendations in weight per 1000 sq. ft. for 6-inch depth of soil.
2. Soil Reaction: State the recommended liming rates for raising pH or sulfur for lowering pH according to the buffered acidity or buffered alkalinity in weight per 1000 sq. ft. for 6-inch depth of soil.

#### 1.10 DELIVERY, STORAGE, AND HANDLING

A. Packaged Materials: Deliver packaged materials in original, unopened containers showing weight, certified analysis, name and address of manufacturer, and compliance with state and Federal laws if applicable.

B. Bulk Materials:

1. Do not dump or store bulk materials near structures, utilities, walkways and pavements, or on existing turf areas or plants.
2. Provide erosion-control measures to prevent erosion or displacement of bulk materials, discharge of soil-bearing water runoff, and airborne dust reaching adjacent properties, water conveyance systems, or walkways.
3. Do not move or handle materials when they are wet or frozen.

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PART 2 - PRODUCTS

2.1 PLANTING SOILS SPECIFIED ACCORDING TO PERFORMANCE REQUIREMENTS

- A. Planting-Soil Type: Imported, naturally formed soil from off-site sources and consisting of sandy loam or loam soil according to USDA textures; and modified to produce viable planting soil. Amend imported soil with materials specified in other articles of this Section to become planting soil complying with the following requirements:
1. Sources: Take imported, unamended soil from sources that are naturally well-drained sites where topsoil occurs at least 4 inches deep, not from agricultural land, bogs, or marshes; and that do not contain undesirable organisms; disease-causing plant pathogens; or obnoxious weeds and invasive plants including, but not limited to, quackgrass, Johnsongrass, poison ivy, nutsedge, nimblewill, Canada thistle, bindweed, bentgrass, wild garlic, ground ivy, perennial sorrel, and brome grass.
  2. Additional Properties of Imported Soil before Amending: Minimum of 6 percent organic-matter content, friable, and with sufficient structure to give good tilth and aeration. Clean soil to be of the following:
    - a. Unacceptable Materials: Concrete slurry, concrete layers or chunks, cement, plaster, building debris, oils, gasoline, diesel fuel, paint thinner, turpentine, tar, roofing compound, acid, and other extraneous materials that are harmful to plant growth.
    - b. Unsuitable Materials: Stones, roots, plants, sod, clay lumps, and pockets of coarse sand that exceed a combined maximum of 8 percent by dry weight of the imported soil.
    - c. Large Materials: Stones, clods, roots, clay lumps, and pockets of coarse sand exceeding 2 inches in any dimension.
  3. Percentage of Organic Matter: Minimum 10 percent by volume.
  4. Soil Reaction: pH of 6 to 7.
  5. CEC of Total Soil: Minimum 10 meq/100 mL at pH of 7.0.
  6. Soluble-Salt Content: 5 to 10 dS/m measured by electrical conductivity.

2.2 INORGANIC SOIL AMENDMENTS

- A. Lime: ASTM C602, agricultural liming material containing a minimum of 80 percent calcium carbonate equivalent and as follows:
1. Class: T, with a minimum of 99 percent passing through a No. 8 sieve and a minimum of 75 percent passing through a No. 60 sieve.
  2. Form: Provide lime in form of ground dolomitic limestone.
- B. Iron Sulfate: Granulated ferrous sulfate containing a minimum of 20 percent iron and 10 percent sulfur.
- C. Agricultural Gypsum: Minimum 90 percent calcium sulfate, finely ground with 90 percent passing through a No. 50 sieve.

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- D. Sand: Clean, washed, natural or manufactured, free of toxic materials, and according to ASTM C33/C33M.

2.3 ORGANIC SOIL AMENDMENTS

- A. Compost: Well-composted, stable, and weed-free organic matter produced by composting feedstock, and bearing USCC's "Seal of Testing Assurance," and as follows:
  - 1. Organic-Matter Content: 50 to 60 percent of dry weight.
  - 2. Particle Size: Minimum of 98 percent passing through a 1-inch sieve.
- B. Sphagnum Peat: Partially decomposed sphagnum peat moss, finely divided or of granular texture with 100 percent passing through a 1/2-inch sieve, a pH of 3.4 to 4.8.
- C. Wood Derivatives: Shredded and composted, nitrogen-treated sawdust, ground bark, or wood waste; of uniform texture and free of chips, stones, sticks, soil, or toxic materials.
- D. Manure: Well-rotted, unleached, stable or cattle manure containing not more than 25 percent by volume of straw, sawdust, or other bedding materials; free of toxic substances, stones, sticks, soil, weed seed, debris, and material harmful to plant growth.

2.4 FERTILIZERS

- A. Slow-Release Fertilizer: Granular or pelleted fertilizer consisting of 50 percent water-insoluble nitrogen, phosphorus, and potassium in the following composition:
  - 1. Composition: Nitrogen, phosphorous, and potassium in amounts recommended in soil reports from a qualified testing agency.

PART 3 - EXECUTION

3.1 GENERAL

- A. Place planting soil and fertilizers according to requirements in other Specification Sections.
- B. Verify that no foreign or deleterious material or liquid such as paint, paint washout, concrete slurry, concrete layers or chunks, cement, plaster, oils, gasoline, diesel fuel, paint thinner, turpentine, tar, roofing compound, or acid has been deposited in planting soil.
- C. Proceed with placement only after unsatisfactory conditions have been corrected.

3.2 PREPARATION OF UNAMENDED, ON-SITE SOIL BEFORE AMENDING

- A. Excavation: Excavate soil from designated area(s) to a depth of 6 inches and stockpile until amended.

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- B. Unacceptable Materials: Clean soil of concrete slurry, concrete layers or chunks, cement, plaster, building debris, oils, gasoline, diesel fuel, paint thinner, turpentine, tar, roofing compound, acid, and other extraneous materials that are harmful to plant growth.
- C. Unsuitable Materials: Clean soil to contain a combined maximum of 8 percent by dry weight of stones, roots, plants, sod, clay lumps, and pockets of coarse sand.

3.3 PLACING AND MIXING PLANTING SOIL OVER EXPOSED SUBGRADE

- A. General: Apply and mix unamended soil with amendments on-site to produce required planting soil. Do not apply materials or till if existing soil or subgrade is frozen, muddy, or excessively wet.
- B. Subgrade Preparation: Till subgrade to a minimum depth of 12 inches. Remove stones larger than 1-1/2 inches in any dimension and sticks, roots, rubbish, and other extraneous matter and legally dispose of them off Owner's property.
- C. Mixing: Spread unamended soil to total depth of 6 inches, but not less than required to meet finish grades after mixing with amendments and natural settlement. Do not spread if soil or subgrade is frozen, muddy, or excessively wet.
  - 1. Amendments: Apply soil amendments and fertilizer, if required, evenly on surface, and thoroughly blend them with unamended soil to produce planting soil.
    - a. Mix lime and sulfur with dry soil before mixing fertilizer.
    - b. Mix fertilizer with planting soil no more than seven days before planting.
  - 2. Lifts: Apply and mix unamended soil and amendments in lifts not exceeding 8 inches in loose depth for material compacted by compaction equipment, and not more than 6 inches in loose depth for material compacted by hand-operated tampers.
- D. Compaction: Compact each blended lift of planting soil to 75 to 82 percent of maximum Standard Proctor density according to ASTM D698.
- E. Finish Grading: Grade planting soil to a smooth, uniform surface plane with loose, uniformly fine texture. Roll and rake, remove ridges, and fill depressions to meet finish grades.

3.4 PROTECTION

- A. Protect areas of in-place soil from additional compaction, disturbance, and contamination. Prohibit the following practices within these areas except as required to perform planting operations:
  - 1. Storage of construction materials, debris, or excavated material.
  - 2. Parking vehicles or equipment.
  - 3. Vehicle traffic.
  - 4. Foot traffic.
  - 5. Erection of sheds or structures.
  - 6. Impoundment of water.

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7. Excavation or other digging unless otherwise indicated.
- B. If planting soil or subgrade is overcompacted, disturbed, or contaminated by foreign or deleterious materials or liquids, remove the planting soil and contamination; restore the subgrade as directed by Architect and replace contaminated planting soil with new planting soil.

3.5 CLEANING

- A. Protect areas adjacent to planting-soil preparation and placement areas from contamination. Keep adjacent paving and construction clean and work area in an orderly condition.
- B. Remove surplus soil and waste material including excess subsoil, unsuitable materials, trash, and debris and legally dispose of them off Owner's property unless otherwise indicated.

END OF SECTION

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SECTION 329200 - TURF AND GRASSES

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

- A. Section Includes:
  - 1. Seeding.
  - 2. Hydroseeding.
  - 3. Turf renovation.
  - 4. Erosion-control materials.

1.3 DEFINITIONS

- A. Finish Grade: Elevation of finished surface of planting soil.
- B. Pesticide: A substance or mixture intended for preventing, destroying, repelling, or mitigating a pest. Pesticides include insecticides, miticides, herbicides, fungicides, rodenticides, and molluscicides. They also include substances or mixtures intended for use as a plant regulator, defoliant, or desiccant.
- C. Pests: Living organisms that occur where they are not desired or that cause damage to plants, animals, or people. Pests include insects, mites, grubs, mollusks (snails and slugs), rodents (gophers, moles, and mice), unwanted plants (weeds), fungi, bacteria, and viruses.
- D. Planting Soil: Existing, on-site soil; imported soil; or manufactured soil that has been modified with soil amendments and perhaps fertilizers to produce a soil mixture best for plant growth. See Section 329115 "Soil Preparation (Performance Specification)" and drawing designations for planting soils.
- E. Subgrade: The surface or elevation of subsoil remaining after excavation is complete, or the top surface of a fill or backfill before planting soil is placed.

1.4 INFORMATIONAL SUBMITTALS

- A. Submittals shall comply with the requirements of Section 013300 "Submittal Procedures" and the individual sections specifying the work.
- B. Certification of Grass Seed: From seed vendor for each grass-seed monostand or mixture, stating the botanical and common name, percentage by weight of each species and variety, and

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percentage of purity, germination, and weed seed. Include the year of production and date of packaging.

- C. Product Certificates: For fertilizers, from manufacturer.
- D. Pesticides and Herbicides: Product label and manufacturer's application instructions specific to Project.

1.5 DELIVERY, STORAGE, AND HANDLING

- A. Seed and Other Packaged Materials: Deliver packaged materials in original, unopened containers showing weight, certified analysis, name and address of manufacturer, and indication of compliance with state and Federal laws, as applicable.

1.6 FIELD CONDITIONS

- A. Planting Restrictions: Plant during one of the following periods. Coordinate planting periods with initial maintenance periods to provide required maintenance from date of planting completion.
  - 1. Spring Planting: April 1 to June 1.
  - 2. Fall Planting: August 15 to October 15.
- B. Weather Limitations: Proceed with planting only when existing and forecasted weather conditions permit planting to be performed when beneficial and optimum results may be obtained. Apply products during favorable weather conditions according to manufacturer's written instructions.

PART 2 - PRODUCTS

2.1 SEED

- A. Grass Seed: Fresh, clean, dry, new-crop seed complying with AOSA's "Rules for Testing Seeds" for purity and germination tolerances.
- B. Seed Species:
  - 1. Quality, Non-State Certified: Seed of grass species as listed below for solar exposure, with not less than 85 percent germination, not less than 95 percent pure seed, and not more than 0.5 percent weed seed:
  - 2. Sun and Partial Shade, Cool-Season Grass: Proportioned by weight as follows:
    - a. 50 percent Kentucky bluegrass (*Poa pratensis*).
    - b. 30 percent chewings red fescue (*Festuca rubra* variety).
    - c. 10 percent perennial ryegrass (*Lolium perenne*).
    - d. 10 percent redtop (*Agrostis alba*).

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2.2 FERTILIZERS

- A. Slow-Release Fertilizer: Granular or pelleted fertilizer consisting of 50 percent water-insoluble nitrogen, phosphorus, and potassium in the following composition:
  - 1. Composition:
    - a. 20 percent nitrogen, 10 percent phosphorous, and 10 percent potassium, by weight.

2.3 MULCHES

- A. Straw Mulch: Provide air-dry, clean, mildew- and seed-free, salt hay or threshed straw of wheat, rye, oats, or barley.
- B. Fiber Mulch: Biodegradable, dyed-wood, cellulose-fiber mulch; nontoxic and free of plant-growth or germination inhibitors; with a maximum moisture content of 15 percent and a pH range of 4.5 to 6.5.
- C. Nonasphaltic Tackifier: Colloidal tackifier recommended by fiber-mulch manufacturer for slurry application; nontoxic and free of plant-growth or germination inhibitors.
- D. Asphalt Emulsion: ASTM D977, Grade SS-1; nontoxic and free of plant-growth or germination inhibitors.

2.4 PESTICIDES

- A. General: Pesticide, registered and approved by the EPA, acceptable to authorities having jurisdiction, and of type recommended by manufacturer for each specific problem and as required for Project conditions and application. Do not use restricted pesticides unless authorized in writing by authorities having jurisdiction.
- B. Pre-Emergent Herbicide (Selective and Nonselective): Effective for controlling the germination or growth of weeds within planted areas at the soil level directly below the mulch layer.
- C. Post-Emergent Herbicide (Selective and Nonselective): Effective for controlling weed growth that has already germinated.

2.5 EROSION-CONTROL MATERIALS

- A. Erosion-Control Blankets: Biodegradable wood excelsior, straw, or coconut-fiber mat enclosed in a photodegradable plastic mesh. Include manufacturer's recommended steel wire staples, 6 inches long.
- B. Erosion-Control Fiber Mesh: Biodegradable burlap or spun-coir mesh, a minimum of 0.92 lb/sq. yd., with 50 to 65 percent open area. Include manufacturer's recommended steel wire staples, 6 inches long.

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- C. Erosion-Control Mats: Cellular, nonbiodegradable slope-stabilization mats designed to isolate and contain small areas of soil over steeply sloped surface, of 3-inch nominal mat thickness. Include manufacturer's recommended anchorage system for slope conditions.

PART 3 - EXECUTION

3.1 EXAMINATION

- A. Examine areas to be planted for compliance with requirements and other conditions affecting installation and performance of the Work.
  - 1. Verify that no foreign or deleterious material or liquid such as paint, paint washout, concrete slurry, concrete layers or chunks, cement, plaster, oils, gasoline, diesel fuel, paint thinner, turpentine, tar, roofing compound, or acid has been deposited in soil within a planting area.
  - 2. Suspend planting operations during periods of excessive soil moisture until the moisture content reaches acceptable levels to attain the required results.
  - 3. Uniformly moisten excessively dry soil that is not workable or which is dusty.
- B. Proceed with installation only after unsatisfactory conditions have been corrected.
- C. If contamination by foreign or deleterious material or liquid is present in soil within a planting area, remove the soil and contamination as directed by Owner and replace with new planting soil.

3.2 PREPARATION

- A. Protect structures; utilities; sidewalks; pavements; and other facilities, trees, shrubs, and plantings from damage caused by planting operations.
  - 1. Protect adjacent and adjoining areas from hydroseeding and hydromulching overspray.
  - 2. Protect grade stakes set by others until directed to remove them.
- B. Install erosion-control measures to prevent erosion or displacement of soils and discharge of soil-bearing water runoff or airborne dust to adjacent properties and walkways.

3.3 TURF AREA PREPARATION

- A. General: Prepare planting area for soil placement and mix planting soil according to Section 329115 "Soil Preparation (Performance Specification)."
- B. Placing Planting Soil: Place and mix planting soil in place over exposed subgrade.
- C. Moisten prepared area before planting if soil is dry. Water thoroughly and allow surface to dry before planting. Do not create muddy soil.
- D. Before planting, obtain Owner's acceptance of finish grading; restore planting areas if eroded or otherwise disturbed after finish grading.

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3.4 PREPARATION FOR EROSION-CONTROL MATERIALS

- A. Prepare area as specified in "Turf Area Preparation" Article.
- B. For erosion-control mats, install planting soil in two lifts, with second lift equal to thickness of erosion-control mats. Install erosion-control mat and fasten as recommended by material manufacturer.
- C. Fill cells of erosion-control mat with planting soil and compact before planting.
- D. For erosion-control blanket or mesh, install from top of slope, working downward, and as recommended by material manufacturer for site conditions. Fasten as recommended by material manufacturer.
- E. Moisten prepared area before planting if surface is dry. Water thoroughly and allow surface to dry before planting. Do not create muddy soil.

3.5 SEEDING

- A. Sow seed with spreader or seeding machine. Do not broadcast or drop seed when wind velocity exceeds 5 mph.
  - 1. Evenly distribute seed by sowing equal quantities in two directions at right angles to each other.
  - 2. Do not use wet seed or seed that is moldy or otherwise damaged.
  - 3. Do not seed against existing trees. Limit extent of seed to outside edge of planting saucer.
- B. Sow seed at a total rate of 3 to 4 lb/1000 sq. ft.
- C. Rake seed lightly into top 1/8 inch of soil, roll lightly, and water with fine spray.
- D. Protect seeded areas with slopes exceeding 1:4 with erosion-control blankets and 1:6 with erosion-control fiber mesh installed and stapled according to manufacturer's written instructions.
- E. Protect seeded areas with erosion-control mats where indicated on Drawings; install and anchor according to manufacturer's written instructions.
- F. Protect seeded areas with slopes not exceeding 1:6 by spreading straw mulch. Spread uniformly at a minimum rate of 2 tons/acre to form a continuous blanket 1-1/2 inches in loose thickness over seeded areas. Spread by hand, blower, or other suitable equipment.
  - 1. Anchor straw mulch by crimping into soil with suitable mechanical equipment.
  - 2. Bond straw mulch by spraying with asphalt emulsion at a rate of 10 to 13 gal./1000 sq. ft. Take precautions to prevent damage or staining of structures or other plantings adjacent to mulched areas. Immediately clean damaged or stained areas.

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3.6 HYDROSEEDING

- A. Hydroseeding: Mix specified seed, slow-release fertilizer, and fiber mulch in water, using equipment specifically designed for hydroseed application. Continue mixing until uniformly blended into homogeneous slurry suitable for hydraulic application.
  - 1. Mix slurry with fiber-mulch manufacturer's recommended tackifier.
  - 2. Spray-apply slurry uniformly to all areas to be seeded in a one-step process. Apply slurry at a rate so that mulch component is deposited at not less than 1500-lb/acre dry weight, and seed component is deposited at not less than the specified seed-sowing rate.
  - 3. Spray-apply slurry uniformly to all areas to be seeded in a two-step process. Apply first slurry coat at a rate so that mulch component is deposited at not less than 500-lb/acre dry weight, and seed component is deposited at not less than the specified seed-sowing rate. Apply slurry cover coat of fiber mulch (hydromulching) at a rate of 1000 lb/acre.

3.7 TURF RENOVATION

- A. Renovate turf damaged by Contractor's operations, such as storage of materials or equipment and movement of vehicles.
  - 1. Reestablish turf where settlement or washouts occur or where minor regrading is required.
  - 2. Install new planting soil as required.
- B. Remove sod and vegetation from diseased or unsatisfactory turf areas; do not bury in soil.
- C. Remove topsoil containing foreign materials, such as oil drippings, fuel spills, stones, gravel, and other construction materials resulting from Contractor's operations, and replace with new planting soil.
- D. Mow, dethatch, core aerate, and rake existing turf.
- E. Remove weeds before seeding. Where weeds are extensive, apply selective herbicides as required. Do not use pre-emergence herbicides.
- F. Remove waste and foreign materials, including weeds, soil cores, grass, vegetation, and turf, and legally dispose of them off Owner's property.
- G. Till stripped, bare, and compacted areas thoroughly to a soil depth of 6 inches.
- H. Apply soil amendments and initial fertilizer required for establishing new turf and mix thoroughly into top 4 inches of existing soil. Install new planting soil to fill low spots and meet finish grades.
  - 1. Soil Amendment(s): According to requirements of Section 329115 "Soil Preparation (Performance Specification)."
  - 2. Initial Fertilizer: Slow-release fertilizer applied according to manufacturer's recommendations.
- I. Apply seed and protect with straw mulch as required for new turf.

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- J. Water newly planted areas and keep moist until new turf is established.

3.8 TURF MAINTENANCE

- A. General: Maintain and establish turf by watering, fertilizing, weeding, mowing, trimming, replanting, and performing other operations as required to establish healthy, viable turf. Roll, regrade, and replant bare or eroded areas and remulch to produce a uniformly smooth turf. Provide materials and installation the same as those used in the original installation.
  - 1. Fill in as necessary soil subsidence that may occur because of settling or other processes. Replace materials and turf damaged or lost in areas of subsidence.
  - 2. In areas where mulch has been disturbed by wind or maintenance operations, add new mulch and anchor as required to prevent displacement.
  - 3. Apply treatments as required to keep turf and soil free of pests and pathogens or disease. Use integrated pest management practices whenever possible to minimize the use of pesticides and reduce hazards.
- B. Watering: Install and maintain temporary piping, hoses, and turf-watering equipment to convey water from sources and to keep turf uniformly moist to a depth of 4 inches.
  - 1. Schedule watering to prevent wilting, puddling, erosion, and displacement of seed or mulch. Lay out temporary watering system to avoid walking over muddy or newly planted areas.
  - 2. Water turf with fine spray at a minimum rate of 1 inch per week unless rainfall precipitation is adequate.
- C. Mow turf as soon as top growth is tall enough to cut. Repeat mowing to maintain specified height without cutting more than one-third of grass height. Remove no more than one-third of grass-leaf growth in initial or subsequent mowings. Do not delay mowing until grass blades bend over and become matted. Do not mow when grass is wet.

3.9 SATISFACTORY TURF

- A. Turf installations shall meet the following criteria as determined by Owner:
  - 1. Satisfactory Seeded Turf: At end of maintenance period, a healthy, uniform, close stand of grass has been established, free of weeds and surface irregularities, with coverage exceeding 90 percent over any 10 sq. ft. and bare spots not exceeding 5 by 5 inches.
  - 2. Satisfactory Sprigged Turf: At end of maintenance period, the required number of sprigs has been established as well-rooted, viable plants, and areas between sprigs are free of weeds and other undesirable vegetation.
- B. Use specified materials to reestablish turf that does not comply with requirements, and continue maintenance until turf is satisfactory.

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3.10 PESTICIDE APPLICATION

- A. Apply pesticides and other chemical products and biological control agents according to requirements of authorities having jurisdiction and manufacturer's written recommendations. Coordinate applications with Owner's operations and others in proximity to the Work. Notify Owner before each application is performed.
- B. Post-Emergent Herbicides (Selective and Nonselective): Apply only as necessary to treat already-germinated weeds and according to manufacturer's written recommendations.

3.11 CLEANUP AND PROTECTION

- A. Promptly remove soil and debris created by turf work from paved areas. Clean wheels of vehicles before leaving site to avoid tracking soil onto roads, walks, or other paved areas.
- B. Remove surplus soil and waste material, including excess subsoil, unsuitable soil, trash, and debris, and legally dispose of them off Owner's property.
- C. Erect temporary fencing or barricades and warning signs as required to protect newly planted areas from traffic. Maintain fencing and barricades throughout initial maintenance period and remove after plantings are established.
- D. Remove nondegradable erosion-control measures after grass establishment period.

END OF SECTION

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SECTION 329300 - PLANTS

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.
- B. State of New Hampshire Department of Transportation Standard Specifications for Road and Bridge Construction, latest edition (NHDOT).

1.2 SUMMARY

- A. Section Includes:
  - 1. Plants.
  - 2. Tree stabilization.
  - 3. Landscape edgings.
- B. Related Requirements:
  - 1. Section 329115 "Soil Preparation" for soil amendment.

1.3 DEFINITIONS

- A. Backfill: The earth used to replace or the act of replacing earth in an excavation.
- B. Balled and Burlapped Stock: Plants dug with firm, natural balls of earth in which they were grown, with a ball size not less than diameter and depth recommended by ANSI Z60.1 for type and size of plant required; wrapped with burlap, tied, rigidly supported, and drum laced with twine with the root flare visible at the surface of the ball as recommended by ANSI Z60.1.
- C. Container-Grown Stock: Healthy, vigorous, well-rooted plants grown in a container, with a well-established root system reaching sides of container and maintaining a firm ball when removed from container. Container shall be rigid enough to hold ball shape and protect root mass during shipping and be sized according to ANSI Z60.1 for type and size of plant required.
- D. Finish Grade: Elevation of finished surface of planting soil.
- E. Pesticide: A substance or mixture intended for preventing, destroying, repelling, or mitigating a pest. Pesticides include insecticides, miticides, herbicides, fungicides, rodenticides, and molluscicides. They also include substances or mixtures intended for use as a plant regulator, defoliant, or desiccant. Some sources classify herbicides separately from pesticides.

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- F. Pests: Living organisms that occur where they are not desired or that cause damage to plants, animals, or people. Pests include insects, mites, grubs, mollusks (snails and slugs), rodents (gophers, moles, and mice), unwanted plants (weeds), fungi, bacteria, and viruses.
- G. Planting Area: Areas to be planted.
- H. Planting Soil: Existing, on-site soil; imported soil; or manufactured soil that has been modified with soil amendments and perhaps fertilizers to produce a soil mixture best for plant growth. See Section 329115 "Soil Preparation (Performance Specification)" for drawing designations for planting soils.
- I. Plant; Plants; Plant Material: These terms refer to vegetation in general, including trees, shrubs, vines, ground covers, ornamental grasses, bulbs, corms, tubers, or herbaceous vegetation.
- J. Root Flare: Also called "trunk flare." The area at the base of the plant's stem or trunk where the stem or trunk broadens to form roots; the area of transition between the root system and the stem or trunk.
- K. Stem Girdling Roots: Roots that encircle the stems (trunks) of trees below the soil surface.
- L. Subgrade: The surface or elevation of subsoil remaining after excavation is complete, or the top surface of a fill or backfill before planting soil is placed.

1.4 ACTION SUBMITTALS

- A. Submittals shall comply with the requirements of Section 013300 "Submittal Procedures" and the individual sections specifying the work.
- B. Product Data: For each type of product.
  - 1. Plant Materials: Include quantities, sizes, quality, and sources for plant materials.
- C. Samples for Verification: For each of the following:
  - 1. Organic Mulch: 1-pint volume of each organic mulch required; in sealed plastic bags labeled with composition of materials by percentage of weight and source of mulch. Each Sample shall be typical of the lot of material to be furnished; provide an accurate representation of color, texture, and organic makeup.

1.5 INFORMATIONAL SUBMITTALS

- A. Submittals shall comply with the requirements of Section 013300 "Submittal Procedures" and the individual sections specifying the work.
- B. Qualification Data: For landscape Installer. Include list of similar projects completed by Installer demonstrating Installer's capabilities and experience. Include project names, addresses, and year completed, and include names and addresses of owners' contact persons.

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- C. Product Certificates: For each type of manufactured product, from manufacturer, and complying with the following:
  - 1. Manufacturer's certified analysis of standard products.
  - 2. Analysis of other materials by a recognized laboratory made according to methods established by the Association of Official Analytical Chemists, where applicable.
- D. Pesticides and Herbicides: Product label and manufacturer's application instructions specific to Project.
- E. Sample Warranty: For special warranty.

1.6 CLOSEOUT SUBMITTALS

- A. Submittals shall comply with the requirements of Section 013300 "Submittal Procedures" and the individual sections specifying the work.
- B. Maintenance Data: Recommended procedures to be established by Owner for maintenance of plants during a calendar year. Submit before expiration of required maintenance periods.

1.7 QUALITY ASSURANCE

- A. Installer Qualifications: A qualified landscape installer whose work has resulted in successful establishment of plants.
  - 1. Professional Membership: Installer shall be a member in good standing of either the Professional Landcare Network or the American Nursery and Landscape Association.
  - 2. Experience: Ten years' experience in landscape installation in addition to requirements in Section 014000 "Quality Requirements."
  - 3. Installer's Field Supervision: Require Installer to maintain an experienced full-time supervisor on Project site when work is in progress.
  - 4. Pesticide Applicator: State licensed, commercial.
- B. Provide quality, size, genus, species, and variety of plants indicated, complying with applicable requirements in ANSI Z60.1.
- C. Measurements: Measure according to ANSI Z60.1. Do not prune to obtain required sizes.
  - 1. Trees and Shrubs: Measure with branches and trunks or canes in their normal position. Take height measurements from or near the top of the root flare for field-grown stock and container-grown stock. Measure main body of tree or shrub for height and spread; do not measure branches or roots tip to tip. Take caliper measurements 6 inches above the root flare for trees up to 4-inch caliper size, and 12 inches above the root flare for larger sizes.
  - 2. Other Plants: Measure with stems, petioles, and foliage in their normal position.
- D. Plant Material Observation: Contract Administrator may observe plant material either at place of growth or at site before planting for compliance with requirements for genus, species, variety, cultivar, size, and quality. Contract Administrator may also observe trees and shrubs further for size and condition of balls and root systems, pests, disease symptoms, injuries, and

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latent defects and may reject unsatisfactory or defective material at any time during progress of work. Remove rejected trees or shrubs immediately from Project site.

1. Notify Contract Administrator of sources of planting materials seven days in advance of delivery to site.

1.8 DELIVERY, STORAGE, AND HANDLING

- A. Conform to NHDOT Section 650.3.2 and the following.
- B. Packaged Materials: Deliver packaged materials in original, unopened containers showing weight, certified analysis, name and address of manufacturer, and indication of compliance with state and Federal laws if applicable.
- C. Bulk Materials:
  1. Do not dump or store bulk materials near structures, utilities, walkways and pavements, or on existing turf areas or plants.
  2. Provide erosion-control measures to prevent erosion or displacement of bulk materials; discharge of soil-bearing water runoff; and airborne dust reaching adjacent properties, water conveyance systems, or walkways.
  3. Accompany each delivery of bulk materials with appropriate certificates.
- D. Do not prune trees and shrubs before delivery. Protect bark, branches, and root systems from sun scald, drying, wind burn, sweating, whipping, and other handling and tying damage. Do not bend or bind-tie trees or shrubs in such a manner as to destroy their natural shape. Provide protective covering of plants during shipping and delivery. Do not drop plants during delivery and handling.
- E. Handle planting stock by root ball.
- F. Wrap trees with burlap fabric over trunks, branches, stems, twigs, and foliage to protect from wind and other damage during digging, handling, and transportation.
- G. Deliver plants after preparations for planting have been completed, and install immediately. If planting is delayed more than six hours after delivery, set plants and trees in their appropriate aspect (sun, filtered sun, or shade), protect from weather and mechanical damage, and keep roots moist.
  1. Set balled stock on ground and cover ball with soil, peat moss, sawdust, or other acceptable material.
  2. Do not remove container-grown stock from containers before time of planting.
  3. Water root systems of plants stored on-site deeply and thoroughly with a fine-mist spray. Water as often as necessary to maintain root systems in a moist, but not overly wet condition.

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1.9 FIELD CONDITIONS

- A. Field Measurements: Verify actual grade elevations, service and utility locations, and dimensions of plantings and construction contiguous with new plantings by field measurements before proceeding with planting work.
- B. Planting Restrictions: Conform to NHDOT Section 650.3.1 and the following. Plant during one of the following periods. Coordinate planting periods with maintenance periods to provide required maintenance from date of Substantial Completion.
  - 1. Spring Planting: April 1 – June 30.
  - 2. Fall Planting: August 15 – November 15.
- C. Weather Limitations: Proceed with planting only when existing and forecasted weather conditions permit planting to be performed when beneficial and optimum results may be obtained. Apply products during favorable weather conditions according to manufacturer's written instructions and warranty requirements.

1.10 WARRANTY

- A. Special Warranty: Installer agrees to repair or replace plantings and accessories that fail in materials, workmanship, or growth within specified warranty period.
  - 1. Failures include, but are not limited to, the following:
    - a. Death and unsatisfactory growth, except for defects resulting from abuse, lack of adequate maintenance, or neglect by Owner.
    - b. Structural failures including plantings falling or blowing over.
    - c. Faulty performance of tree stabilization and edgings.
    - d. Deterioration of metals, metal finishes, and other materials beyond normal weathering.
  - 2. Warranty Periods: From date of planting completion.
    - a. Trees: 12 months.
    - b. Ground Covers, Biennials, Perennials, and Other Plants: 12 months.
  - 3. Include the following remedial actions as a minimum:
    - a. Immediately remove dead plants and replace unless required to plant in the succeeding planting season.
    - b. Replace plants that are more than 25 percent dead or in an unhealthy condition at end of warranty period.
    - c. A limit of one replacement of each plant is required except for losses or replacements due to failure to comply with requirements.
    - d. Provide extended warranty for period equal to original warranty period, for replaced plant material.

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PART 2 - PRODUCTS

2.1 SUBSTITUTIONS

- A. Materials shall be as specified herein, except, consideration shall be given to other products that meet or exceed those specified if requested five (5) business days prior to the date of bid opening in accordance with Section 01600 "Product Requirements."

2.2 PLANT MATERIAL

- A. General: Conform to NHDOT Section 650, Planting.

2.3 FERTILIZERS

- A. Planting Tablets: Tightly compressed chip-type, long-lasting, slow-release, commercial-grade planting fertilizer in tablet form. Tablets shall break down with soil bacteria, converting nutrients into a form that can be absorbed by plant roots.
  - 1. Size: 10-gram tablets.
  - 2. Nutrient Composition: 20 percent nitrogen, 10 percent phosphorous, and 5 percent potassium, by weight plus micronutrients.

2.4 MULCHES

- A. Organic Mulch: Free from deleterious materials and suitable as a top dressing of trees and shrubs, consisting of one of the following:
  - 1. Type: Ground or shredded bark.
  - 2. Size Range: 3 inches maximum, 1/2 inch minimum.
  - 3. Color: Natural, dark brown.

2.5 PESTICIDES

- A. General: Pesticide registered and approved by the EPA, acceptable to authorities having jurisdiction, and of type recommended by manufacturer for each specific problem and as required for Project conditions and application. Do not use restricted pesticides unless authorized in writing by authorities having jurisdiction.
- B. Pre-Emergent Herbicide (Selective and Nonselective): Effective for controlling the germination or growth of weeds within planted areas at the soil level directly below the mulch layer.
- C. Post-Emergent Herbicide (Selective and Nonselective): Effective for controlling weed growth that has already germinated.

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2.6 TREE-STABILIZATION MATERIALS

A. Trunk-Stabilization Materials:

1. Upright and Guy Stakes: Rough-sawn, sound, new hardwood, free of knots, holes, cross grain, and other defects, 2-by-2-inch nominal by length indicated, pointed at one end.
2. Flexible Ties: Wide rubber or elastic bands or straps of length required to reach stakes or turnbuckles.
3. Guys and Tie Wires: ASTM A 641/A 641M, Class 1, galvanized-steel wire, two-strand, twisted, 0.106 inch in diameter.
4. Tree-Tie Webbing: UV-resistant polypropylene or nylon webbing with brass grommets.
5. Flags: Standard surveyor's plastic flagging tape, white, 6 inches long.

2.7 LANDSCAPE EDGINGS

A. Aluminum Edging: Standard-profile extruded-aluminum edging, ASTM B 221, Alloy 6063-T6, fabricated in standard lengths with interlocking sections with loops stamped from face of sections to receive stakes.

1. Edging Size: 3/16 inch thick by 5-1/2 inches deep.
2. Stakes: Aluminum, ASTM B 221, Alloy 6061-T6, approximately 1-1/2 inches wide by 12 inches long.
3. Finish: Mill (natural aluminum).

2.8 MISCELLANEOUS PRODUCTS

A. Burlap: Non-synthetic, biodegradable.

PART 3 - EXECUTION

3.1 CONSTRUCTION REQUIREMENTS:

A. Conform to NHDOT Section 650.

3.2 EXAMINATION

A. Examine areas to receive plants, with Installer present, for compliance with requirements and conditions affecting installation and performance of the Work.

1. Verify that no foreign or deleterious material or liquid such as paint, paint washout, concrete slurry, concrete layers or chunks, cement, plaster, oils, gasoline, diesel fuel, paint thinner, turpentine, tar, roofing compound, or acid has been deposited in soil within a planting area.
2. Verify that plants and vehicles loaded with plants can travel to planting locations with adequate overhead clearance.

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3. Suspend planting operations during periods of excessive soil moisture until the moisture content reaches acceptable levels to attain the required results.
  4. Uniformly moisten excessively dry soil that is not workable or which is dusty.
- B. If contamination by foreign or deleterious material or liquid is present in soil within a planting area, remove the soil and contamination as directed by Contract Administrator and replace with new planting soil.
- C. Proceed with installation only after unsatisfactory conditions have been corrected.

3.3 PREPARATION

- A. Protect structures, utilities, sidewalks, pavements, and other facilities and turf areas and existing plants from damage caused by planting operations.
- B. Install erosion-control measures to prevent erosion or displacement of soils and discharge of soil-bearing water runoff or airborne dust to adjacent properties and walkways.
- C. Lay out plants at locations directed by Contract Administrator. Stake locations of individual trees and shrubs and outline areas for multiple plantings.

3.4 PLANTING AREA ESTABLISHMENT

- A. General: Prepare planting area for soil placement and mix planting soil according to Section 329115 "Soil Preparation (Performance Specification)."
- B. Placing Planting Soil: Place and mix planting soil in-place over exposed subgrade.
- C. Before planting, obtain Contract Administrator's acceptance of finish grading; restore planting areas if eroded or otherwise disturbed after finish grading.

3.5 EXCAVATION FOR TREES AND SHRUBS

- A. Planting Pits and Trenches: Conform to NHDOT Section 650.3.5.

3.6 TREE PLANTING

- A. Inspection: At time of planting, verify that root flare is visible at top of root ball according to ANSI Z60.1. If root flare is not visible, remove soil in a level manner from the root ball to where the top-most root emerges from the trunk. After soil removal to expose the root flare, verify that root ball still meets size requirements.
- B. Roots: Remove stem girdling roots and kinked roots. Remove injured roots by cutting cleanly; do not break.
- C. Balled and Burlapped Stock: Set each plant plumb and in center of planting pit or trench with root flare 2 inches above adjacent finish grades.

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1. Backfill: Planting soil.
2. After placing some backfill around root ball to stabilize plant, carefully cut and remove burlap, rope, and wire baskets from tops of root balls and from sides, but do not remove from under root balls. Remove pallets, if any, before setting. Do not use planting stock if root ball is cracked or broken before or during planting operation.
3. Backfill around root ball in layers, tamping to settle soil and eliminate voids and air pockets. When planting pit is approximately one-half filled, water thoroughly before placing remainder of backfill. Repeat watering until no more water is absorbed.
4. Place planting tablets equally distributed around each planting pit when pit is approximately one-half filled. Place tablets beside the root ball about 1 inch from root tips; do not place tablets in bottom of the hole.
  - a. Quantity: Two per plant.
5. Continue backfilling process. Water again after placing and tamping final layer of soil.

3.7 TREE PRUNING

- A. Prune, thin, and shape trees, shrubs, and vines according to standard professional horticultural and arboricultural practices. Unless otherwise indicated by Contract Administrator, do not cut tree leaders; remove only injured, dying, or dead branches from trees and shrubs; and prune to retain natural character.

3.8 TREE STABILIZATION

- A. Trunk Stabilization by Staking and Guying: Install trunk stabilization as follows unless otherwise indicated on Drawings. Stake and guy trees more than 14 feet in height and more than 3 inches in caliper unless otherwise indicated.
  1. Site-Fabricated, Staking-and-Guying Method: Install no fewer than three guys spaced equally around tree.
    - a. Securely attach guys to stakes 30 inches long, driven to grade. Adjust spacing to avoid penetrating root balls or root masses. Provide turnbuckle for each guy wire and tighten securely.
    - b. Support trees with bands of flexible ties at contact points with tree trunk and reaching to turnbuckle. Allow enough slack to avoid rigid restraint of tree.
    - c. Attach flags to each guy wire, 30 inches above finish grade.

3.9 PLANTING AREA MULCHING

- A. Mulch backfilled surfaces of planting areas and other areas indicated.
  1. Organic Mulch in Planting Areas: Apply 4-inch average thickness of organic mulch over whole surface of planting area, and finish level with adjacent finish grades. Do not place mulch within 3 inches of trunks or stems.

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3.10 EDGING INSTALLATION

- A. Aluminum Edging: Install edging where indicated according to manufacturer's written instructions. Anchor with steel stakes spaced approximately 30 inches apart, driven below top elevation of edging.
- B. Shovel-Cut Edging: Separate mulched areas from wooded areas with a 45-degree, 4- to 6-inch-deep, shovel-cut edge as indicated on Drawings.

3.11 PLANT MAINTENANCE

- A. Maintain plantings by pruning, cultivating, watering, weeding, fertilizing, mulching, adjusting and repairing tree-stabilization devices, resetting to proper grades or vertical position, and performing other operations as required to establish healthy, viable plantings.
- B. Fill in, as necessary, soil subsidence that may occur because of settling or other processes. Replace mulch materials damaged or lost in areas of subsidence.
- C. Apply treatments as required to keep plant materials, planted areas, and soils free of pests and pathogens or disease. Use integrated pest management practices when possible to minimize use of pesticides and reduce hazards. Treatments include physical controls such as hosing off foliage, mechanical controls such as traps, and biological control agents.

3.12 PESTICIDE APPLICATION

- A. Apply pesticides and other chemical products and biological control agents according to authorities having jurisdiction and manufacturer's written recommendations. Coordinate applications with Owner's operations and others in proximity to the Work. Notify Contract Administrator before each application is performed.
- B. Pre-Emergent Herbicides (Selective and Nonselective): Apply to tree, shrub, and ground-cover areas according to manufacturer's written recommendations. Do not apply to seeded areas.
- C. Post-Emergent Herbicides (Selective and Nonselective): Apply only as necessary to treat already-germinated weeds and according to manufacturer's written recommendations.

3.13 REPAIR AND REPLACEMENT

- A. General: Repair or replace existing or new trees and other plants that are damaged by construction operations, in a manner approved by Contract Administrator.
  - 1. Submit details of proposed pruning and repairs.
  - 2. Perform repairs of damaged trunks, branches, and roots within 24 hours, if approved.
  - 3. Replace trees and other plants that cannot be repaired and restored to full-growth status, as determined by Contract Administrator.
- B. Remove and replace trees that are more than 25 percent dead or in an unhealthy condition before the end of the corrections period or are damaged during construction

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operations that Contract Administrator determines are incapable of restoring to normal growth pattern.

1. Provide new trees of same size as those being replaced for each tree of 4 inches or smaller in caliper size.
2. Provide one new tree(s) of 6-inch caliper size for each tree being replaced that measures more than 4 inches in caliper size.
3. fSpecies of Replacement Trees: Species selected by Contract Administrator.

3.14 CLEANING AND PROTECTION

- A. During planting, keep adjacent paving and construction clean and work area in an orderly condition. Clean wheels of vehicles before leaving site to avoid tracking soil onto roads, walks, or other paved areas.
- B. Remove surplus soil and waste material including excess subsoil, unsuitable soil, trash, and debris and legally dispose of them off Owner's property.
- C. Protect plants from damage due to landscape operations and operations of other contractors and trades. Maintain protection during installation and maintenance periods. Treat, repair, or replace damaged plantings.
- D. After installation, remove nursery tags, nursery stakes, tie tape, labels, wire, burlap, and other debris from plant material, planting areas, and Project site.

3.15 MAINTENANCE SERVICE

- A. Maintenance Service for Trees: Provide maintenance by skilled employees of landscape Installer. Maintain as required in "Plant Maintenance" Article. Begin maintenance immediately after plants are installed and continue until plantings are acceptably healthy and well established, but for not less than maintenance period below:
  1. Maintenance Period: 12 months from date of planting completion.

END OF SECTION



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SECTION 334100 - STORM UTILITY DRAINAGE PIPING

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.
- B. Referenced to State of New Hampshire Department of Transportation Standard Specifications for road and bridge construction, latest edition (NHDOT) apply to this section.

1.2 SUMMARY

- A. Section Includes:
  - 1. Pipe and fittings.
  - 2. Nonpressure transition couplings.
  - 3. Cleanouts.
  - 4. Drains.
  - 5. Drain inlets.

1.3 ACTION SUBMITTALS

- A. Submittals shall comply with the requirements of Section 013300 "Submittal Procedures" and the individual sections specifying the work.
- B. Product Data: For each type of product indicated.
  - 1. Drain Inlets: Include plans, elevations, sections, details, and frames.

1.4 INFORMATIONAL SUBMITTALS

- A. Submittals shall comply with the requirements of Section 013300 "Submittal Procedures" and the individual sections specifying the work.
- B. Field quality-control reports.

1.5 DELIVERY, STORAGE, AND HANDLING

- A. Do not store plastic pipe, and fittings in direct sunlight.
- B. Protect pipe, pipe fittings, and seals from dirt and damage.
- C. Handle manholes according to manufacturer's written rigging instructions.

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- D. Handle catch basins according to manufacturer's written rigging instructions.

1.6 PROJECT CONDITIONS

- A. Interruption of Existing Storm Drainage Service: Do not interrupt service to facilities occupied by Owner or others unless permitted under the following conditions and then only after arranging to provide temporary service according to requirements indicated:
  - 1. Notify Owner no fewer than two days in advance of proposed interruption of service.
  - 2. Do not proceed with interruption of service without Owner's written permission.

PART 2 - PRODUCTS

2.1 PE PIPE AND FITTINGS

- A. Corrugated PE Drainage Pipe and Fittings NPS 3 to NPS 10: AASHTO M 252M, Type S, with smooth waterway for coupling joints.
  - 1. Soiltight Couplings: AASHTO M 252M, corrugated, matching tube and fittings.
- B. Corrugated PE Pipe and Fittings NPS 12 to NPS 60: AASHTO M 294M, Type S, with smooth waterway for coupling joints.
  - 1. Soiltight Couplings: AASHTO M 294M, corrugated, matching pipe and fittings.

2.2 PVC PIPE AND FITTINGS

- A. PVC Type PSM Sewer Piping:
  - 1. Pipe: ASTM D 3034, SDR 35, PVC Type PSM sewer pipe with bell-and-spigot ends for gasketed joints.
  - 2. Fittings: ASTM D 3034, PVC with bell ends.
  - 3. Gaskets: ASTM F 477, elastomeric seals.

2.3 NONPRESSURE TRANSITION COUPLINGS

- A. Comply with ASTM C 1173, elastomeric, sleeve-type, reducing or transition coupling, for joining underground nonpressure piping. Include ends of same sizes as piping to be joined, and corrosion-resistant-metal tension band and tightening mechanism on each end.
- B. Sleeve Materials:
  - 1. For Plastic Pipes: ASTM F 477, elastomeric seal or ASTM D 5926, PVC.
  - 2. For Dissimilar Pipes: ASTM D 5926, PVC or other material compatible with pipe materials being joined.
- C. Unshielded, Flexible Couplings:

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1. Description: Elastomeric sleeve with stainless-steel shear ring and corrosion-resistant-metal tension band and tightening mechanism on each end.

D. Shielded, Flexible Couplings:

1. Description: ASTM C 1460, elastomeric or rubber sleeve with full-length, corrosion-resistant outer shield and corrosion-resistant-metal tension band and tightening mechanism on each end.

2.4 CLEANOUTS

A. Cast-Iron Cleanouts:

1. Description: ASME A112.36.2M, round, gray-iron housing with clamping device and round, secured, scoriated, gray-iron cover. Include gray-iron ferrule with inside calk or spigot connection and countersunk, tapered-thread, brass closure plug.
2. Top-Loading Classification(s): Heavy Duty.
3. Sewer Pipe Fitting and Riser to Cleanout: ASTM A 74, Service class, cast-iron soil pipe and fittings.

2.5 DRAIN INLETS

A. Precast Concrete Drain Inlet:

1. Description: ASTM C478, precast, reinforced concrete, of depth indicated, with provision for sealant joints.
2. Size: 24 inches square inside dimension minimum.
3. Joint Sealant: ASTM C990, bitumen or butyl rubber.
4. Resilient Pipe Connectors: ASTM C923 (ASTM C923M), cast or fitted into walls, for each pipe connection.

B. Frames and Covers:

1. Description: Ferrous; 24-inch square by 7- to 9-inch riser with 4-inch- minimum width flange and grate with small square or short-slotted drainage openings.
2. Material: ASTM A536, Grade 60-40-18 ductile iron unless otherwise indicated.

2.6 CONCRETE

A. General: Cast-in-place concrete according to ACI 318, ACI 350/350R, and the following:

1. Cement: ASTM C 150, Type II.
2. Fine Aggregate: ASTM C 33, sand.
3. Coarse Aggregate: ASTM C 33, crushed gravel.
4. Water: Potable.

B. Portland Cement Design Mix: 4000 psi minimum, with 0.45 maximum water/cementitious materials ratio.

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1. Reinforcing Fabric: ASTM A 185/A 185M, steel, welded wire fabric, plain.
2. Reinforcing Bars: ASTM A 615/A 615M, Grade 60 (420 MPa) deformed steel.

PART 3 - EXECUTION

3.1 EARTHWORK

- A. Excavation, trenching, and backfilling are specified in Section 312000 "Earth Moving."

3.2 PIPING INSTALLATION

- A. General Locations and Arrangements: Drawing plans and details indicate general location and arrangement of underground storm drainage piping. Location and arrangement of piping layout take into account design considerations. Install piping as indicated, to extent practical. Where specific installation is not indicated, follow piping manufacturer's written instructions.
- B. Install piping beginning at low point, true to grades and alignment indicated with unbroken continuity of invert. Place bell ends of piping facing upstream. Install gaskets, seals, sleeves, and couplings according to manufacturer's written instructions for use of lubricants, cements, and other installation requirements.
- C. Install proper size increasers, reducers, and couplings where different sizes or materials of pipes and fittings are connected. Reducing size of piping in direction of flow is prohibited.
- D. When installing pipe under streets or other obstructions that cannot be disturbed, use pipe-jacking process of microtunneling.
- E. Install gravity-flow, nonpressure drainage piping according to the following:
  1. Install piping pitched down in direction of flow.
  2. Install piping NPS 6 and larger with restrained joints at tee fittings and at changes in direction. Use corrosion-resistant rods, pipe or fitting manufacturer's proprietary restraint system, or cast-in-place concrete supports or anchors.
  3. Install piping with 36-inch minimum cover.
  4. Install PVC sewer piping according to ASTM D 2321 and ASTM F 1668.
  5. Install PE corrugated piping according to ASTM D 2321.

3.3 PIPE JOINT CONSTRUCTION

- A. Join gravity-flow, nonpressure drainage piping according to the following:
  1. Join corrugated PE piping according to ASTM D 3212 for push-on joints.
  2. Join PVC sewer piping according to ASTM D 2321 and ASTM D 3034 for elastomeric-seal joints or ASTM D 3034 for elastomeric-gasketed joints.
  3. Join dissimilar pipe materials with nonpressure-type flexible couplings.

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3.4 CLEANOUT INSTALLATION

- A. Install cleanouts and riser extensions from sewer pipes to cleanouts at grade. Use cast-iron soil pipe fittings in sewer pipes at branches for cleanouts and cast-iron soil pipe for riser extensions to cleanouts. Install piping so cleanouts open in direction of flow in sewer pipe.
  - 1. Use Heavy-Duty, top-loading classification cleanouts.
- B. Set cleanout frames and covers in earth in cast-in-place concrete block, 18 by 18 by 12 inches deep. Set with tops 1 inch above surrounding earth grade.
- C. Set cleanout frames and covers in concrete pavement and roads with tops flush with pavement surface.

3.5 DRAIN INLET INSTALLATION

- A. Construct drain inlets to sizes and shapes indicated.
- B. Set frames and grates to elevations indicated.

3.6 CONCRETE PLACEMENT

- A. Place cast-in-place concrete according to ACI 318.

3.7 IDENTIFICATION

- A. Materials and their installation are specified in Section 312000 "Earth Moving." Arrange for installation of green warning tape directly over piping and at outside edge of underground structures.
  - 1. Use detectable warning tape over ferrous piping.
  - 2. Use detectable warning tape over nonferrous piping and over edges of underground structures.

3.8 FIELD QUALITY CONTROL

- A. Inspect interior of piping to determine whether line displacement or other damage has occurred. Inspect after approximately 24 inches of backfill is in place, and again at completion of Project.
  - 1. Submit separate reports for each system inspection.
  - 2. Defects requiring correction include the following:
    - a. Alignment: Less than full diameter of inside of pipe is visible between structures.
    - b. Deflection: Flexible piping with deflection that prevents passage of ball or cylinder of size not less than 92.5 percent of piping diameter.
    - c. Damage: Crushed, broken, cracked, or otherwise damaged piping.
    - d. Infiltration: Water leakage into piping.
    - e. Exfiltration: Water leakage from or around piping.

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3. Replace defective piping using new materials, and repeat inspections until defects are within allowances specified.
  4. Reinspect and repeat procedure until results are satisfactory.
- B. Test new piping systems, and parts of existing systems that have been altered, extended, or repaired, for leaks and defects.
1. Do not enclose, cover, or put into service before inspection and approval.
  2. Test completed piping systems according to requirements of authorities having jurisdiction.
  3. Schedule tests and inspections by authorities having jurisdiction with at least 24 hours' advance notice.
  4. Submit separate report for each test.
  5. Gravity-Flow Storm Drainage Piping: Test according to requirements of authorities having jurisdiction, UNI-B-6, and the following:
    - a. Exception: Piping with soiltight joints unless required by authorities having jurisdiction.
    - b. Option: Test plastic piping according to ASTM F 1417.
    - c. Option: Test concrete piping according to ASTM C 924.
- C. Leaks and loss in test pressure constitute defects that must be repaired.
- D. Replace leaking piping using new materials, and repeat testing until leakage is within allowances specified.

3.9 CLEANING

- A. Clean interior of piping of dirt and superfluous materials. Flush with water.

END OF SECTION

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SECTION 334600 - SUBDRAINAGE

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

- A. Section Includes:
  - 1. Perforated-wall pipe and fittings.
  - 2. Geotextile filter fabrics.

1.3 ACTION SUBMITTALS

- A. Submittals shall comply with the requirements of Section 013300 "Submittal Procedures" and the individual sections specifying the work.
- B. Product Data:
  - 1. Geotextile filter fabrics.

PART 2 - PRODUCTS

2.1 PERFORATED-WALL PIPES AND FITTINGS

- A. Perforated PE Pipe and Fittings:
  - 1. NPS 6 and Smaller: ASTM F 405 or AASHTO M 252, Type CP; corrugated, for coupled joints.
  - 2. Couplings: Manufacturer's standard, band type.
- B. Perforated PVC Sewer Pipe and Fittings: ASTM D 2729, bell-and-spigot ends, for loose joints.

2.2 SOIL MATERIALS

- A. Soil materials are specified in Section 312000 "Earth Moving."
- B. For purposes of this specific section, drainage course is 3/4 inch washed crushed stone.

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2.3 GEOTEXTILE FILTER FABRICS

- A. Description: Non-woven polypropylene soil separation geo-synthetic, with flow rate range of 135 gpm/sq. ft. when tested according to ASTM D 4491. Fabric shall be equal in quality and performance to Mirafi 140N.

PART 3 - EXECUTION

3.1 EXAMINATION

- A. Examine surfaces and areas for suitable conditions where subdrainage systems are to be installed.
- B. Proceed with installation only after unsatisfactory conditions have been corrected.

3.2 EARTHWORK

- A. Excavating, trenching, and backfilling are specified in Section 312000 "Earth Moving."

3.3 FOUNDATION DRAINAGE INSTALLATION

- A. Lay flat-style geotextile filter fabric in trench and overlap trench sides.
- B. Place supporting layer of drainage course over compacted subgrade and geotextile filter fabric, to compacted depth of not less than 6 inches.
- C. Install drainage piping as indicated in Part 3 "Piping Installation" Article for foundation subdrainage.
- D. Add drainage course to width of at least 6 inches on sides and to top of pipe to perform tests.
- E. After satisfactory testing, cover drainage piping to depth of at least 6 inches with drainage course. Minimum dimensions of drainage course shall be 18 inches by 18 inches per linear foot.
- F. Wrap top of drainage course with flat-style geotextile filter fabric.
- G. Place backfill material over compacted drainage course. Place material in loose-depth layers not exceeding 6 inches. Thoroughly compact each layer. Final backfill to finish elevations and slope away from building.

3.4 RETAINING-WALL DRAINAGE INSTALLATION

- A. Lay flat-style geotextile filter fabric in trench and overlap trench sides.
- B. Place supporting layer of drainage course over compacted subgrade to compacted depth of not less than 6 inches.

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- C. Install drainage piping as indicated in Part 3 "Piping Installation" Article for retaining-wall subdrainage.
- D. Add drainage course to width of at least 6 inches on sides and to top of pipe to perform tests.
- E. After satisfactory testing, cover drainage piping to depth of at least 6 inches with drainage course. Minimum dimensions of drainage course surrounding drainage piping shall be 18 inches by 18 inches per linear foot.
- F. Place drainage course in layers not exceeding 3 inches in loose depth; compact each layer placed and wrap top of drainage course with flat-style geotextile filter fabric.
- G. Fill to Grade: Place specified fill material over compacted drainage course. Place material in loose-depth layers not exceeding 6 inches. Thoroughly compact each layer. Fill to finish grade.

### 3.5 PIPING INSTALLATION

- A. Install piping beginning at low points of system, true to grades and alignment indicated, with unbroken continuity of invert. Bed piping with full bearing in filtering material. Install gaskets, seals, sleeves, and couplings according to manufacturer's written instructions and other requirements indicated.
  - 1. Retaining-Wall Subdrainage: When water discharges at end of wall into stormwater piping system, install piping level and with a minimum cover of 36 inches unless otherwise indicated.
  - 2. Lay perforated pipe with perforations down.
  - 3. Excavate recesses in trench bottom for bell ends of pipe. Lay pipe with bells facing upslope and with spigot end entered fully into adjacent bell.
- B. Use increasers, reducers, and couplings made for different sizes or materials of pipes and fittings being connected. Reduction of pipe size in direction of flow is prohibited.
- C. Install thermoplastic piping according to ASTM D 2321.

### 3.6 PIPE JOINT CONSTRUCTION

- A. Join perforated PE pipe and fittings with couplings according to ASTM D 3212 with loose banded, coupled, or push-on joints.
- B. Join perforated PVC sewer pipe and fittings according to ASTM D 3212 with loose bell-and-spigot, push-on joints.
- C. Special Pipe Couplings: Join piping made of different materials and dimensions with special couplings made for this application. Use couplings that are compatible with and fit materials and dimensions of both pipes.

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3.7 CLEANOUT INSTALLATION

- A. Comply with requirements for cleanouts specified in Section 334100 "Storm Utility Drainage Piping."
- B. Cleanouts for Foundation and Retaining-Wall Subdrainage:
  - 1. Install cleanouts from piping to grade. Locate cleanouts at beginning of piping run and at changes in direction. Install fittings so cleanouts open in direction of flow in piping.
  - 2. In vehicular-traffic areas, use NPS 4 cast-iron soil pipe and fittings for piping branch fittings and riser extensions to cleanout. Set cleanout frames and covers in a cast-in-place concrete anchor, 18 by 18 by 12 inches deep. Set top of cleanout flush with grade.
  - 3. In nonvehicular-traffic areas, use NPS 4 cast-iron pipe and fittings for piping branch fittings and riser extensions to cleanout. Set cleanout frames and covers in a cast-in-place concrete anchor, 12 by 12 by 4 inches deep. Set top of cleanout 1 inch above grade.
  - 4. Place cast-in-place concrete according to ACI 318.

3.8 CONNECTIONS

- A. Comply with requirements for piping specified in Section 334100 "Storm Utility Drainage Piping." Drawings indicate general arrangement of piping, fittings, and specialties.

3.9 IDENTIFICATION

- A. Arrange for installation of green warning tapes directly over piping. Comply with requirements for underground warning tapes specified in specified in Section 312000 "Earth Moving."
  - 1. Install detectable warning tape over nonferrous piping and over edges of underground structures.

3.10 FIELD QUALITY CONTROL

- A. Tests and Inspections:
  - 1. After installing drainage course to top of piping, test drain piping with water to ensure free flow before backfilling.
  - 2. Remove obstructions, replace damaged components, and repeat test until results are satisfactory.
- B. Drain piping will be considered defective if it does not pass tests and inspections.
- C. Prepare test and inspection reports.

3.11 CLEANING

- A. Clear interior of installed piping and structures of dirt and other superfluous material as work progresses. Maintain swab or drag in piping and pull past each joint as it is completed. Place plugs in ends of uncompleted pipe at end of each day or when work stops.

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END OF SECTION

OAK POINT  
ASSOCIATES



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